APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SS71581
Account Name: VAIBHAV JAIN
Taxpayer Identification Number: ***-**-8973

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

CONSOLIDATED 2018 FORMS 1099 AND DETAILS

VAIBHAV JAIN
3030 DUNVALE RD 7208
HOUSTON, TX 77063 4471

YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER-DEALER OR REGISTERED ADVISOR TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S, INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099. IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-INT
1099-OID
1099-MISC

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ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

RECIPIENT'S Name, Street Address, City, State, and Zip Code
VAIBHAV JAIN
3030 DUNVALE RD 7208
HOUSTON, TX 77063 4471

Copy B for recipient
☐ 2nd TIN Notice
☐ FATCA Filing Requirement

Payer's Federal Identification Number: 13-2967453
Payer's Name, Street, City, State, Zip Code:
APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201
Telephone Number: 214-765-1009

2018 CONSOLIDATED FORMS 1099

FORM 1099-DIV		*OMB NO. 1545-0110*
IRS Box	**DIVIDENDS AND DISTRIBUTIONS**	***Amount***
1a.	Total ordinary dividends (includes Boxes 1b, 5, 6)	$45.32
1b.	Qualified dividends	$45.32
2a.	Total capital gain distributions (includes Boxes 2b, 2c, 2d)	$0.00
2b.	Unrecaptured section 1250 gain	$0.00
2c.	Section 1202 gain	$0.00
2d.	Collectibles (28%) gain	$0.00
3.	Nondividend distributions	$0.00
4.	**Federal income tax withheld**	**$0.00**
5.	Section 199A dividends	$0.00
6.	Investment expenses	$0.00
7.	Foreign tax paid	$0.00
8.	Foreign country or U.S. possession	-
9.	Cash liquidation distributions	$0.00
10.	Noncash liquidation distributions	$0.00
11.	Exempt-interest dividends (includes Box 12)	$0.00
12.	Specified private activity bond interest dividends (AMT)	$0.00

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Form 1099-DIV (OMB NO. 1545-0110)

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required. **Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose. **Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions. **Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Instructions for Schedule D (Form 1040). **Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions. **Box 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the Instructions for Schedule D (Form 1040). **Box 3.** Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550. **Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld. **Box 5.** Shows dividends eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040. **Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a. **Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions. **Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7. **Boxes 9 and 10.** Shows cash and noncash liquidation distributions. **Box 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Box 4* above. **Box 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251. **Boxes 13-15.** State income tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2018 General Instructions for Certain Information Returns. **Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to *www.irs.gov/Form1099DIV.*

Form 1099-INT (OMB NO. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you. **Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2018 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium. **Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction. **Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium. **Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld. **Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions. **Box 7.** Shows the country or U.S. possession to which the foreign tax was paid. **Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See box 4. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income. **Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4). **Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii). **Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Boxes 15-17.** State tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. **Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to *www.irs.gov/Form1099INT.*

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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	$27,685.47	$26,208.81	$0.00	$0.32	$1,476.98
Box A - Ordinary - (basis reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Box B (basis not reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Box B - Ordinary - (basis not reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Total Short-Term	**$27,685.47**	**$26,208.81**	**$0.00**	**$0.32**	**$1,476.98**
LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Box D - Ordinary - (basis reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Box E (basis not reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Box E - Ordinary - (basis not reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Total Long-Term	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**
UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	$0.00	$0.00	$0.00	$0.00	$0.00
Total Unknown Term	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**

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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

RECIPIENT'S Name, Street Address, City, State, and Zip Code
VAIBHAV JAIN
3030 DUNVALE RD 7208
HOUSTON, TX 77063 4471

Copy B for recipient
☐ 2nd TIN Notice
☐ FATCA Filing Requirement

Payer's Federal Identification Number: 13-2967453
Payer's Name, Street, City, State, Zip Code:
APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201
Telephone Number: 214-765-1009

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds

Report on **Form 8949, Part I** with **Box A** checked

Box 6: Gross Proceeds **Box 5:** Box Not Checked (Covered Security) **Box 3:** Basis Reported to the IRS **Box 2:** Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
COMCAST CORP	28.00000	09/24/2018	10/17/2018	$1,013.57	$999.60	$0.00	$0.00	$13.97	
CL A	3.00000	09/24/2018	10/17/2018	$108.58	$106.65	$0.00	$0.00	$1.93	
CUSIP: 20030N101	15.00000	09/25/2018	10/17/2018	$542.99	$537.75	$0.00	$0.00	$5.24	
	45.00000	09/25/2018	10/29/2018	$1,612.32	$1,613.25	$0.00	$0.00	($0.93)	
	9.00000	09/25/2018	10/29/2018	$322.46	$320.13	$0.00	$0.00	$2.33	
Subtotals	**100.00000**			**$3,599.92**	**$3,577.38**	**$0.00**	**$0.00**	**$22.54**	
KROGER CO (THE)	18.00000	07/24/2018	08/29/2018	$553.85	$509.40	$0.00	$0.00	$44.45	
CUSIP: 501044101	8.00000	07/24/2018	08/29/2018	$246.16	$227.36	$0.00	$0.00	$18.80	
	2.00000	07/26/2018	08/29/2018	$61.54	$57.72	$0.00	$0.00	$3.82	
	3.00000	07/27/2018	08/29/2018	$92.31	$86.04	$0.00	$0.00	$6.27	
	19.00000	07/31/2018	08/29/2018	$584.62	$562.02	$0.00	$0.00	$22.60	
	2.00000	08/17/2018	08/29/2018	$61.54	$62.18	$0.00	$0.32	($0.32)	
	18.00000	08/23/2018	08/29/2018	$553.85	$573.84	$0.00	$0.00	($19.99)	

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Account Executive No: DPA

ORIGINAL: 02/01/2019

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(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED — OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on **Form 8949, Part I** with **Box A** checked

Box 6: Gross Proceeds **Box 5:** Box Not Checked (Covered Security) **Box 3:** Basis Reported to the IRS **Box 2:** Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
KROGER CO (THE) CUSIP: 501044101	1.00000	09/14/2018	09/28/2018	$28.97	$28.86	$0.00	$0.00	$0.11	
Subtotals	**71.00000**			**$2,182.84**	**$2,107.42**	**$0.00**	**$0.32**	**$75.74**	
MICROSOFT CORP CUSIP: 594918104	1.00000	07/16/2018	09/25/2018	$114.97	$104.80	$0.00	$0.00	$10.17	
	1.00000	07/17/2018	09/25/2018	$114.97	$105.99	$0.00	$0.00	$8.98	
	1.00000	07/18/2018	09/25/2018	$114.97	$105.27	$0.00	$0.00	$9.70	
	1.00000	07/18/2018	09/25/2018	$114.97	$105.09	$0.00	$0.00	$9.88	
	2.00000	07/20/2018	09/25/2018	$229.94	$214.50	$0.00	$0.00	$15.44	
	3.00000	07/20/2018	09/25/2018	$344.91	$321.00	$0.00	$0.00	$23.91	
	4.00000	07/26/2018	09/25/2018	$459.88	$441.48	$0.00	$0.00	$18.40	
	25.00000	07/30/2018	09/25/2018	$2,874.25	$2,648.50	$0.00	$0.00	$225.75	
	8.00000	07/31/2018	09/25/2018	$919.76	$852.40	$0.00	$0.00	$67.36	
	9.00000	09/13/2018	09/25/2018	$1,034.73	$1,019.25	$0.00	$0.00	$15.48	
	8.00000	09/19/2018	09/25/2018	$919.76	$889.92	$0.00	$0.00	$29.84	
Subtotals	**63.00000**			**$7,243.11**	**$6,808.20**	**$0.00**	**$0.00**	**$434.91**	
NETFLIX COM INC CUSIP: 64110L106	1.00000	06/27/2018	08/13/2018	$345.42	$396.11	$0.00	$0.00	($50.69)	
	1.00000	07/17/2018	08/13/2018	$345.42	$348.78	$0.00	$0.00	($3.36)	
	1.00000	07/17/2018	08/13/2018	$345.42	$353.96	$0.00	$0.00	($8.54)	
	1.00000	07/31/2018	08/13/2018	$345.43	$336.71	$0.00	$0.00	$8.72	
Subtotals	**4.00000**			**$1,381.69**	**$1,435.56**	**$0.00**	**$0.00**	**($53.87)**	
SALESFORCE.COM INC CUSIP: 79466L302	10.00000	08/03/2018	10/01/2018	$1,596.18	$1,400.50	$0.00	$0.00	$195.68	
	1.00000	08/03/2018	10/01/2018	$159.62	$140.35	$0.00	$0.00	$19.27	
	14.00000	08/06/2018	10/01/2018	$2,234.65	$1,991.50	$0.00	$0.00	$243.15	
	10.00000	08/09/2018	10/01/2018	$1,596.18	$1,457.50	$0.00	$0.00	$138.68	
	7.00000	08/13/2018	10/01/2018	$1,117.32	$1,029.00	$0.00	$0.00	$88.32	
	9.00000	08/13/2018	10/01/2018	$1,436.56	$1,318.50	$0.00	$0.00	$118.06	
	7.00000	08/17/2018	10/01/2018	$1,117.32	$1,011.78	$0.00	$0.00	$105.54	
	1.00000	08/24/2018	10/01/2018	$159.62	$150.63	$0.00	$0.00	$8.99	

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The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SS71581
Account Name: VAIBHAV JAIN
Taxpayer Identification Number: ***-**-8973

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED — OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on **Form 8949, Part I** with **Box A** checked

Box 6: Gross Proceeds **Box 5:** Box Not Checked (Covered Security) **Box 3:** Basis Reported to the IRS **Box 2:** Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SALESFORCE.COM INC	5.00000	08/24/2018	10/01/2018	$798.09	$749.70	$0.00	$0.00	$48.39	
CUSIP: 79466L302	14.00000	08/29/2018	10/01/2018	$2,234.65	$2,166.49	$0.00	$0.00	$68.16	
Subtotals	**78.00000**			**$12,450.19**	**$11,415.95**	**$0.00**	**$0.00**	**$1,034.24**	
3-D SYSTEMS CORP-DEL	43.00000	09/25/2018	09/28/2018	$827.72	$864.30	$0.00	$0.00	($36.58)	
CUSIP: 88554D205									
39 ITEMS - TOTAL				**$27,685.47**	**$26,208.81**	**$0.00**	**$0.32**	**$1,476.98**	

FOOT NOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

END OF 2018 FORM 1099-B

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Form 1099-B (OMB NO. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary box in box 2 is checked, an adjustment may be required.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Form 1099-B (OMB NO. 1545-0715)

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2018.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2017.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2018. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2018 in 2019.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2018 Form 6781.

Box 12. If checked, proceeds are from a transaction involving collectibles.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14-16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to *www.irs.gov/Form1099B.*

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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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DETAILS OF 2018 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
10/24/18	COMCAST CORP CL A	20030N101	QUALIFIED DIVIDEND	$19.00	-	-	
09/04/18	KROGER CO (THE)	501044101	QUALIFIED DIVIDEND	$7.00	-	-	
09/13/18	MICROSOFT CORP	594918104	QUALIFIED DIVIDEND	$19.32	-	-	
	Total Qualified Dividends (Box 1b included in Box 1a)			**$45.32**			
	Total Ordinary Dividends (Box 1a)			**$45.32**			

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2018 INVESTMENT DETAILS

DETAILS OF 2018 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
09/25/18	AMAZON.COM INC	023135106	PURCHASE	2.000	$1,947.00	$3,894.00	
09/28/18	AMAZON.COM INC	023135106	PURCHASE	1.000	$2,007.00	$2,007.00	
10/01/18	AMAZON.COM INC	023135106	PURCHASE	2.000	$2,010.00	$4,020.00	
10/01/18	AMAZON.COM INC	023135106	PURCHASE	2.000	$2,016.50	$4,033.00	
10/02/18	AMAZON.COM INC	023135106	PURCHASE	1.000	$1,970.00	$1,970.00	
10/02/18	AMAZON.COM INC	023135106	PURCHASE	1.000	$1,987.00	$1,987.00	
10/17/18	AMAZON.COM INC	023135106	PURCHASE	1.000	$1,830.00	$1,830.00	
10/29/18	AMAZON.COM INC	023135106	PURCHASE	1.000	$1,536.53	$1,536.53	
09/24/18	COMCAST CORP CL A	20030N101	PURCHASE	3.000	$35.55	$106.65	
09/24/18	COMCAST CORP CL A	20030N101	PURCHASE	28.000	$35.70	$999.60	
09/25/18	COMCAST CORP CL A	20030N101	PURCHASE	9.000	$35.57	$320.13	
09/25/18	COMCAST CORP CL A	20030N101	PURCHASE	60.000	$35.85	$2,151.00	
10/17/18	COMCAST CORP CL A	20030N101	SELL	3.000	$36.20	$108.58	
10/17/18	COMCAST CORP CL A	20030N101	SELL	43.000	$36.20	$1,556.56	
10/29/18	COMCAST CORP CL A	20030N101	SELL	54.000	$35.83	$1,934.78	
07/24/18	KROGER CO (THE)	501044101	PURCHASE	8.000	$28.42	$227.36	
07/24/18	KROGER CO (THE)	501044101	PURCHASE	18.000	$28.30	$509.40	
07/26/18	KROGER CO (THE)	501044101	PURCHASE	2.000	$28.86	$57.72	
07/27/18	KROGER CO (THE)	501044101	PURCHASE	3.000	$28.68	$86.04	
07/31/18	KROGER CO (THE)	501044101	PURCHASE	19.000	$29.58	$562.02	
08/17/18	KROGER CO (THE)	501044101	PURCHASE	2.000	$31.09	$62.18	
08/23/18	KROGER CO (THE)	501044101	PURCHASE	18.000	$31.88	$573.84	
08/29/18	KROGER CO (THE)	501044101	SELL	70.000	$30.77	$2,153.87	
09/14/18	KROGER CO (THE)	501044101	PURCHASE	1.000	$28.54	$28.54	
09/28/18	KROGER CO (THE)	501044101	SELL	1.000	$28.99	$28.97	
07/16/18	MICROSOFT CORP	594918104	PURCHASE	1.000	$104.80	$104.80	
07/17/18	MICROSOFT CORP	594918104	PURCHASE	1.000	$105.99	$105.99	
07/18/18	MICROSOFT CORP	594918104	PURCHASE	1.000	$105.09	$105.09	
07/18/18	MICROSOFT CORP	594918104	PURCHASE	1.000	$105.27	$105.27	
07/20/18	MICROSOFT CORP	594918104	PURCHASE	2.000	$107.25	$214.50	
07/20/18	MICROSOFT CORP	594918104	PURCHASE	3.000	$107.00	$321.00	
07/26/18	MICROSOFT CORP	594918104	PURCHASE	4.000	$110.37	$441.48	
07/30/18	MICROSOFT CORP	594918104	PURCHASE	25.000	$105.94	$2,648.50	
07/31/18	MICROSOFT CORP	594918104	PURCHASE	8.000	$106.55	$852.40	

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DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
09/13/18	MICROSOFT CORP	594918104	PURCHASE	9.000	$113.25	$1,019.25	
09/19/18	MICROSOFT CORP	594918104	PURCHASE	8.000	$111.24	$889.92	
09/25/18	MICROSOFT CORP	594918104	SELL	63.000	$114.97	$7,243.11	
06/27/18	NETFLIX COM INC	64110L106	PURCHASE	1.000	$396.11	$396.11	
07/17/18	NETFLIX COM INC	64110L106	PURCHASE	1.000	$348.78	$348.78	
07/17/18	NETFLIX COM INC	64110L106	PURCHASE	1.000	$353.96	$353.96	
07/31/18	NETFLIX COM INC	64110L106	PURCHASE	1.000	$336.71	$336.71	
08/13/18	NETFLIX COM INC	64110L106	SELL	4.000	$345.43	$1,381.69	
09/28/18	NETFLIX COM INC	64110L106	PURCHASE	5.000	$377.55	$1,887.75	
10/04/18	NETFLIX COM INC	64110L106	PURCHASE	1.000	$361.00	$361.00	
10/10/18	NETFLIX COM INC	64110L106	PURCHASE	1.000	$342.29	$342.29	
10/16/18	NETFLIX COM INC	64110L106	PURCHASE	5.000	$347.05	$1,735.25	
10/29/18	NETFLIX COM INC	64110L106	PURCHASE	1.000	$283.76	$283.76	
08/03/18	SALESFORCE.COM INC	79466L302	PURCHASE	1.000	$140.35	$140.35	
08/03/18	SALESFORCE.COM INC	79466L302	PURCHASE	10.000	$140.05	$1,400.50	
08/06/18	SALESFORCE.COM INC	79466L302	PURCHASE	14.000	$142.25	$1,991.50	
08/09/18	SALESFORCE.COM INC	79466L302	PURCHASE	10.000	$145.75	$1,457.50	
08/13/18	SALESFORCE.COM INC	79466L302	PURCHASE	7.000	$147.00	$1,029.00	
08/13/18	SALESFORCE.COM INC	79466L302	PURCHASE	9.000	$146.50	$1,318.50	
08/17/18	SALESFORCE.COM INC	79466L302	PURCHASE	7.000	$144.54	$1,011.78	
08/24/18	SALESFORCE.COM INC	79466L302	PURCHASE	1.000	$150.63	$150.63	
08/24/18	SALESFORCE.COM INC	79466L302	PURCHASE	5.000	$149.94	$749.70	
08/29/18	SALESFORCE.COM INC	79466L302	PURCHASE	14.000	$154.75	$2,166.49	
10/01/18	SALESFORCE.COM INC	79466L302	SELL	78.000	$159.62	$12,450.19	
09/25/18	3-D SYSTEMS CORP-DEL	88554D205	PURCHASE	43.000	$20.10	$864.30	
09/28/18	3-D SYSTEMS CORP-DEL	88554D205	SELL	43.000	$19.25	$827.72	

END OF 2018 DETAILS

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