

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number:***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

CONSOLIDATED 2018 FORMS 1099 AND DETAILS

TILAK MUVVA
13023 OVERCUP OAK CT APT 101
HERNDON, VA 20171

YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER-DEALER OR REGISTERED ADVISOR TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S, INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099. IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-DIV
1099-OID
1099-MISC

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Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number:***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

RECIPIENT'S Name, Street Address, City, State, and Zip Code

TILAK MUVVA
13023 OVERCUP OAK CT APT 101
HERNDON, VA 20171

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201
Telephone Number: 214-765-1009

Copy B for recipient 2nd TIN Notice
 FATCA Filing Requirement

2018 CONSOLIDATED FORMS 1099

| <i>FORM 1099-INT</i> | | <i>OMB NO.1545-0112</i> |
|----------------------|---|-------------------------|
| <i>IRS Box</i> | INTEREST INCOME | Amount |
| 1. | Interest income (not included in Box 3) | \$49.28 |
| 2. | Early withdrawal penalty | \$0.00 |
| 3. | Interest on U.S. Savings Bonds & Treasury obligations | \$0.00 |
| 4. | Federal income tax withheld | \$0.00 |
| 5. | Investment expenses | \$0.00 |
| 6. | Foreign tax paid | \$0.00 |
| 7. | Foreign country or U.S. possession | - |
| 8. | Tax-exempt interest (includes Box 9) | \$0.00 |
| 9. | Specified private activity bond interest | \$0.00 |
| 10. | Market discount | \$0.00 |
| 11. | Bond premium | \$0.00 |
| 12. | Bond premium on Treasury obligations | \$0.00 |
| 13. | Bond premium on tax-exempt bonds | \$0.00 |
| 14. | Tax-exempt and tax credit bond CUSIP no. | - |

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Form 1099-DIV (OMB NO. 1545-0110)

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required. **Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose. **Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions. **Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Instructions for Schedule D (Form 1040). **Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions. **Box 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the Instructions for Schedule D (Form 1040). **Box 3.** Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550. **Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld. **Box 5.** Shows dividends eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040. **Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a. **Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions. **Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7. **Boxes 9 and 10.** Shows cash and noncash liquidation distributions. **Box 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Box 4* above. **Box 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251. **Boxes 13-15.** State income tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2018 General Instructions for Certain Information Returns. **Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Form 1099-INT (OMB NO. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you. **Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2018 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium. **Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction. **Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium. **Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld. **Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions. **Box 7.** Shows the country or U.S. possession to which the foreign tax was paid. **Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See box 4. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income. **Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4). **Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii). **Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Boxes 15-17.** State tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. **Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

| SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I | PROCEEDS | COST BASIS | MARKET DISCOUNT | WASH SALE LOSS DISALLOWED | REALIZED GAIN OR (LOSS) |
|--|---------------------|---------------------|------------------------|----------------------------------|--------------------------------|
| Box A (basis reported to IRS) | \$456,534.07 | \$531,023.15 | \$0.00 | \$44,889.31 | (\$29,599.77) |
| Box A - Ordinary - (basis reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box B (basis not reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box B - Ordinary - (basis not reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Short-Term | \$456,534.07 | \$531,023.15 | \$0.00 | \$44,889.31 | (\$29,599.77) |
| LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II | | | | | |
| Box D (basis reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box D - Ordinary - (basis reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box E (basis not reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box E - Ordinary - (basis not reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Long-Term | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II | | | | | |
| Box B or Box E (basis not reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box B or Box E - Ordinary - (basis not reported to IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Unknown Term | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |

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 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

RECIPIENT'S Name, Street Address, City, State, and Zip Code

TILAK MUVVA
 13023 OVERCUP OAK CT APT 101
 HERNDON, VA 20171

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201
Telephone Number: 214-765-1009

Copy B for recipient 2nd TIN Notice
 FATCA Filing Requirement

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ADVERUM BIOTECHNOLOGIES INC | 100.00000 | 01/26/2018 | 01/30/2018 | \$725.97 | \$697.50 | \$0.00 | \$0.00 | \$28.47 | |
| COM | 5.00000 | 01/30/2018 | 02/14/2018 | \$32.48 | \$40.50 | \$0.00 | \$8.02 | \$0.00 | |
| CUSIP: 00773U108 | 5.00000 | 01/30/2018 | 02/14/2018 | \$32.50 | \$40.50 | \$0.00 | \$8.00 | \$0.00 | |
| | 25.00000 | 01/31/2018 | 02/14/2018 | \$162.49 | \$244.77 | \$0.00 | \$82.28 | \$0.00 | |
| | 25.00000 | 01/31/2018 | 02/14/2018 | \$162.48 | \$203.75 | \$0.00 | \$41.27 | \$0.00 | |
| | 50.00000 | 01/31/2018 | 02/14/2018 | \$324.98 | \$407.50 | \$0.00 | \$82.52 | \$0.00 | |
| | 5.00000 | 01/31/2018 | 02/14/2018 | \$32.49 | \$48.77 | \$0.00 | \$16.28 | \$0.00 | |
| | 15.00000 | 01/31/2018 | 02/14/2018 | \$97.49 | \$145.36 | \$0.00 | \$47.87 | \$0.00 | |
| | 5.00000 | 01/31/2018 | 02/14/2018 | \$32.50 | \$48.75 | \$0.00 | \$16.25 | \$0.00 | |
| | 5.00000 | 01/31/2018 | 02/14/2018 | \$32.50 | \$56.48 | \$0.00 | \$23.98 | \$0.00 | |
| | 15.00000 | 01/31/2018 | 02/14/2018 | \$97.49 | \$122.25 | \$0.00 | \$24.76 | \$0.00 | |
| | 10.00000 | 01/31/2018 | 02/14/2018 | \$65.00 | \$97.90 | \$0.00 | \$32.90 | \$0.00 | |
| | 5.00000 | 01/31/2018 | 02/14/2018 | \$32.50 | \$56.95 | \$0.00 | \$24.45 | \$0.00 | |
| | 30.00000 | 01/31/2018 | 02/14/2018 | \$194.99 | \$289.37 | \$0.00 | \$94.38 | \$0.00 | |

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
 The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|--|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ADVERUM BIOTECHNOLOGIES INC COM CUSIP: 00773U108 | 5.00000 | 02/01/2018 | 02/14/2018 | \$32.75 | \$63.48 | \$0.00 | \$30.73 | \$0.00 | |
| | 10.00000 | 02/01/2018 | 02/14/2018 | \$65.49 | \$95.50 | \$0.00 | \$30.01 | \$0.00 | |
| | 10.00000 | 02/01/2018 | 02/14/2018 | \$65.49 | \$110.91 | \$0.00 | \$45.42 | \$0.00 | |
| | 1.00000 | 02/09/2018 | 02/14/2018 | \$6.55 | \$11.98 | \$0.00 | \$5.43 | \$0.00 | |
| | 3.00000 | 02/09/2018 | 02/14/2018 | \$19.65 | \$30.85 | \$0.00 | \$11.20 | \$0.00 | |
| | 1.00000 | 02/09/2018 | 02/15/2018 | \$6.24 | \$10.28 | \$0.00 | \$4.04 | \$0.00 | |
| | 1.00000 | 02/09/2018 | 03/05/2018 | \$7.46 | \$10.28 | \$0.00 | \$2.82 | \$0.00 | |
| | 25.00000 | 03/02/2018 | 03/05/2018 | \$186.49 | \$175.00 | \$0.00 | \$0.00 | \$11.49 | |
| | 5.00000 | 03/02/2018 | 03/05/2018 | \$37.30 | \$65.73 | \$0.00 | \$28.43 | \$0.00 | |
| | 30.00000 | 03/02/2018 | 03/05/2018 | \$223.79 | \$304.38 | \$0.00 | \$80.59 | \$0.00 | |
| | 1.00000 | 03/02/2018 | 03/05/2018 | \$7.46 | \$7.00 | \$0.00 | \$0.00 | \$0.46 | |
| | 4.00000 | 03/02/2018 | 03/05/2018 | \$29.84 | \$47.56 | \$0.00 | \$17.72 | \$0.00 | |
| | 3.00000 | 03/02/2018 | 03/05/2018 | \$22.38 | \$32.20 | \$0.00 | \$9.82 | \$0.00 | |
| | 10.00000 | 03/02/2018 | 03/05/2018 | \$74.60 | \$115.42 | \$0.00 | \$40.82 | \$0.00 | |
| | 1.00000 | 03/02/2018 | 03/05/2018 | \$7.46 | \$11.04 | \$0.00 | \$3.58 | \$0.00 | |
| | 10.00000 | 03/02/2018 | 03/05/2018 | \$74.60 | \$102.90 | \$0.00 | \$28.30 | \$0.00 | |
| | 10.00000 | 03/02/2018 | 03/05/2018 | \$74.59 | \$100.01 | \$0.00 | \$25.42 | \$0.00 | |
| | 25.00000 | 03/02/2018 | 03/05/2018 | \$186.49 | \$257.28 | \$0.00 | \$70.79 | \$0.00 | |
| | 1.00000 | 03/02/2018 | 03/05/2018 | \$7.46 | \$12.43 | \$0.00 | \$4.97 | \$0.00 | |
| | 1.00000 | 03/05/2018 | 03/07/2018 | \$7.05 | \$12.27 | \$0.00 | \$0.00 | (\$5.22) | |
| | 30.00000 | 03/05/2018 | 03/07/2018 | \$211.64 | \$299.59 | \$0.00 | \$0.00 | (\$87.95) | |
| | 25.00000 | 03/05/2018 | 03/07/2018 | \$176.37 | \$181.25 | \$0.00 | \$0.00 | (\$4.88) | |
| | 10.00000 | 03/05/2018 | 03/07/2018 | \$70.55 | \$113.82 | \$0.00 | \$0.00 | (\$43.27) | |
| | 10.00000 | 03/05/2018 | 03/07/2018 | \$70.55 | \$98.42 | \$0.00 | \$0.00 | (\$27.87) | |
| | 1.00000 | 03/05/2018 | 03/07/2018 | \$7.05 | \$10.12 | \$0.00 | \$0.00 | (\$3.07) | |
| | 10.00000 | 03/05/2018 | 03/07/2018 | \$70.55 | \$101.30 | \$0.00 | \$0.00 | (\$30.75) | |
| | 1.00000 | 03/05/2018 | 03/07/2018 | \$7.05 | \$10.88 | \$0.00 | \$0.00 | (\$3.83) | |
| | 4.00000 | 03/05/2018 | 03/07/2018 | \$28.22 | \$46.92 | \$0.00 | \$0.00 | (\$18.70) | |
| | 5.00000 | 03/05/2018 | 03/07/2018 | \$35.27 | \$64.93 | \$0.00 | \$0.00 | (\$29.66) | |
| | 3.00000 | 03/05/2018 | 03/07/2018 | \$21.16 | \$31.72 | \$0.00 | \$0.00 | (\$10.56) | |

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|--|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ADVERUM BIOTECHNOLOGIES INC COM CUSIP: 00773U108 | 25.00000 | 03/05/2018 | 03/07/2018 | \$176.37 | \$253.29 | \$0.00 | \$0.00 | (\$76.92) | |
| | 100.00000 | 03/06/2018 | 03/07/2018 | \$705.48 | \$695.00 | \$0.00 | \$0.00 | \$10.48 | |
| Subtotals | 681.00000 | | | \$4,749.26 | \$5,984.09 | \$0.00 | \$943.05 | (\$291.78) | |
| AKERS BIOSCIENCES INC COM CUSIP: 00973E102 | 151.00000 | 02/05/2018 | 02/28/2018 | \$104.19 | \$64.17 | \$0.00 | \$0.00 | \$40.02 | |
| | 61.00000 | 02/05/2018 | 02/28/2018 | \$42.07 | \$25.93 | \$0.00 | \$0.00 | \$16.14 | |
| | 100.00000 | 02/05/2018 | 02/28/2018 | \$69.00 | \$42.50 | \$0.00 | \$0.00 | \$26.50 | |
| | 34.00000 | 02/05/2018 | 02/28/2018 | \$23.44 | \$14.45 | \$0.00 | \$0.00 | \$8.99 | |
| | 188.00000 | 02/05/2018 | 02/28/2018 | \$129.69 | \$79.90 | \$0.00 | \$0.00 | \$49.79 | |
| | 316.00000 | 02/05/2018 | 02/28/2018 | \$218.00 | \$134.30 | \$0.00 | \$0.00 | \$83.70 | |
| | 150.00000 | 02/05/2018 | 02/28/2018 | \$103.51 | \$63.75 | \$0.00 | \$0.00 | \$39.76 | |
| | 200.00000 | 02/15/2018 | 02/28/2018 | \$138.01 | \$79.96 | \$0.00 | \$0.00 | \$58.05 | |
| | 299.00000 | 02/15/2018 | 02/28/2018 | \$206.32 | \$117.66 | \$0.00 | \$0.00 | \$88.66 | |
| | 1.00000 | 02/15/2018 | 03/08/2018 | \$0.59 | \$0.39 | \$0.00 | \$0.00 | \$0.20 | |
| | 1,000.00000 | 02/28/2018 | 03/08/2018 | \$587.94 | \$710.40 | \$0.00 | \$116.46 | (\$6.00) | |
| | 500.00000 | 03/02/2018 | 03/08/2018 | \$293.97 | \$347.55 | \$0.00 | \$0.00 | (\$53.58) | |
| | 99.00000 | 03/06/2018 | 03/08/2018 | \$58.21 | \$66.83 | \$0.00 | \$0.00 | (\$8.62) | |
| | 1.00000 | 03/06/2018 | 03/08/2018 | \$0.55 | \$0.79 | \$0.00 | \$0.00 | (\$0.24) | |
| | 949.00000 | 03/12/2018 | 03/13/2018 | \$647.48 | \$777.67 | \$0.00 | \$0.00 | (\$130.19) | |
| | 1.00000 | 03/12/2018 | 04/05/2018 | \$0.58 | \$0.82 | \$0.00 | \$0.00 | (\$0.24) | |
| Subtotals | 4,050.00000 | | | \$2,623.55 | \$2,527.07 | \$0.00 | \$116.46 | \$212.94 | |
| ALLIANCE MMA INC COM CUSIP: 018626101 | 99.00000 | 05/21/2018 | 05/22/2018 | \$54.43 | \$79.18 | \$0.00 | \$0.00 | (\$24.75) | |
| | 1.00000 | 05/21/2018 | 05/22/2018 | \$0.53 | \$0.80 | \$0.00 | \$0.00 | (\$0.27) | |
| | 1.00000 | 05/21/2018 | 05/22/2018 | \$0.55 | \$0.95 | \$0.00 | \$0.00 | (\$0.40) | |
| | 1.00000 | 05/21/2018 | 05/22/2018 | \$0.55 | \$0.70 | \$0.00 | \$0.15 | \$0.00 | |
| | 99.00000 | 05/21/2018 | 05/22/2018 | \$54.43 | \$79.18 | \$0.00 | \$0.00 | (\$24.75) | |
| Subtotals | 201.00000 | | | \$110.49 | \$160.81 | \$0.00 | \$0.15 | (\$50.17) | |

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350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ANTHERA PHARMACEUTICALS INC CUSIP: 03674U300 | 15.00000 | 03/05/2018 | 03/09/2018 | \$39.88 | \$38.25 | \$0.00 | \$0.00 | \$1.63 | |
| | 56.00000 | 03/05/2018 | 03/09/2018 | \$148.95 | \$142.80 | \$0.00 | \$0.00 | \$6.15 | |
| | 29.00000 | 03/05/2018 | 03/09/2018 | \$77.12 | \$73.95 | \$0.00 | \$0.00 | \$3.17 | |
| | 44.00000 | 03/05/2018 | 03/09/2018 | \$117.03 | \$105.60 | \$0.00 | \$0.00 | \$11.43 | |
| | 1.00000 | 03/05/2018 | 03/12/2018 | \$0.50 | \$2.40 | \$0.00 | \$0.00 | (\$1.90) | |
| Subtotals | 145.00000 | | | \$383.48 | \$363.00 | \$0.00 | \$0.00 | \$20.48 | |
| APPLIED OPTOELECTRONICS INC COM CUSIP: 03823U102 | 1.00000 | 05/31/2018 | 06/15/2018 | \$43.78 | \$45.95 | \$0.00 | \$0.00 | (\$2.17) | |
| APRICUS BIOSCIENCES INC COMMON STOCK CUSIP: 03832V307 | 1.00000 | 02/14/2018 | 02/16/2018 | \$1.05 | \$2.78 | \$0.00 | \$1.73 | \$0.00 | |
| | 49.00000 | 02/15/2018 | 02/16/2018 | \$51.95 | \$147.98 | \$0.00 | \$0.00 | (\$96.03) | |
| | 1.00000 | 02/15/2018 | 02/16/2018 | \$1.05 | \$2.88 | \$0.00 | \$1.83 | \$0.00 | |
| | 50.00000 | 02/15/2018 | 02/16/2018 | \$53.01 | \$155.00 | \$0.00 | \$0.00 | (\$101.99) | |
| | 1.00000 | 02/15/2018 | 02/16/2018 | \$1.06 | \$4.85 | \$0.00 | \$0.00 | (\$3.79) | |
| | 98.00000 | 02/15/2018 | 02/16/2018 | \$103.89 | \$281.75 | \$0.00 | \$0.00 | (\$177.86) | |
| | 19.00000 | 02/15/2018 | 02/16/2018 | \$20.14 | \$60.04 | \$0.00 | \$0.00 | (\$39.90) | |
| | 1.00000 | 02/15/2018 | 02/16/2018 | \$1.06 | \$4.60 | \$0.00 | \$3.54 | \$0.00 | |
| | 1.00000 | 02/15/2018 | 02/26/2018 | \$0.98 | \$6.70 | \$0.00 | \$0.00 | (\$5.72) | |
| Subtotals | 221.00000 | | | \$234.19 | \$666.58 | \$0.00 | \$7.10 | (\$425.29) | |
| ARCADIA BIOSCIENCES INC COMMON STOCK CUSIP: 039014204 | 20.00000 | 03/19/2018 | 03/26/2018 | \$595.99 | \$850.00 | \$0.00 | \$0.00 | (\$254.01) | |
| | 10.00000 | 03/19/2018 | 03/26/2018 | \$297.99 | \$503.00 | \$0.00 | \$0.00 | (\$205.01) | |
| | 14.00000 | 03/20/2018 | 03/26/2018 | \$417.19 | \$578.06 | \$0.00 | \$0.00 | (\$160.87) | |
| | 6.00000 | 03/20/2018 | 03/26/2018 | \$178.79 | \$248.58 | \$0.00 | \$0.00 | (\$69.79) | |
| Subtotals | 50.00000 | | | \$1,489.96 | \$2,179.64 | \$0.00 | \$0.00 | (\$689.68) | |
| ARMO BIOSCIENCES INC COMMON STOCK CUSIP: 04225U104 | 1.00000 | 04/11/2018 | 05/10/2018 | \$49.78 | \$30.56 | \$0.00 | \$0.00 | \$19.22 | |
| ATOSSA GENETICS INC COM NEW CUSIP: 04962H209 | 100.00000 | 01/31/2018 | 02/01/2018 | \$66.98 | \$81.82 | \$0.00 | \$0.00 | (\$14.84) | |

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Account No: 5SC85300
 Account Name: TILAK MUVVA
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 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ***BILIBILI INC | 40.00000 | 06/11/2018 | 06/13/2018 | \$777.19 | \$754.00 | \$0.00 | \$0.00 | \$23.19 | |
| AMERICAN DEPOSITORY SHARES | 40.00000 | 06/11/2018 | 06/13/2018 | \$777.19 | \$737.20 | \$0.00 | \$0.00 | \$39.99 | |
| CUSIP: 090040106 | 10.00000 | 06/11/2018 | 06/13/2018 | \$194.30 | \$184.30 | \$0.00 | \$0.00 | \$10.00 | |
| | 60.00000 | 06/11/2018 | 06/13/2018 | \$1,165.78 | \$1,131.00 | \$0.00 | \$0.00 | \$34.78 | |
| | 100.00000 | 06/11/2018 | 06/13/2018 | \$1,942.97 | \$1,914.00 | \$0.00 | \$0.00 | \$28.97 | |
| | 50.00000 | 06/11/2018 | 06/13/2018 | \$971.48 | \$943.50 | \$0.00 | \$0.00 | \$27.98 | |
| | 100.00000 | 06/14/2018 | 06/18/2018 | \$2,129.04 | \$2,051.00 | \$0.00 | \$0.00 | \$78.04 | |
| | 6.00000 | 06/14/2018 | 06/18/2018 | \$127.68 | \$124.86 | \$0.00 | \$0.00 | \$2.82 | |
| | 30.00000 | 06/14/2018 | 06/18/2018 | \$638.38 | \$624.30 | \$0.00 | \$0.00 | \$14.08 | |
| | 50.00000 | 06/14/2018 | 06/18/2018 | \$1,063.97 | \$1,040.50 | \$0.00 | \$0.00 | \$23.47 | |
| | 10.00000 | 06/14/2018 | 06/18/2018 | \$212.78 | \$208.10 | \$0.00 | \$0.00 | \$4.68 | |
| | 4.00000 | 06/14/2018 | 06/18/2018 | \$85.10 | \$83.24 | \$0.00 | \$0.00 | \$1.86 | |
| | 1.00000 | 06/19/2018 | 08/27/2018 | \$11.63 | \$20.87 | \$0.00 | \$0.00 | (\$9.24) | |
| Subtotals | 501.00000 | | | \$10,097.49 | \$9,816.87 | \$0.00 | \$0.00 | \$280.62 | |
| BIOPHARMX CORPORATION | 1,000.00000 | 02/27/2018 | 03/05/2018 | \$299.87 | \$248.00 | \$0.00 | \$0.00 | \$51.87 | |
| COMMON STOCK PAR VALUE \$0.00 | 499.00000 | 03/07/2018 | 03/08/2018 | \$150.67 | \$174.50 | \$0.00 | \$23.83 | \$0.00 | |
| PER SHARE | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| CUSIP: 09072X101 | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 100.00000 | 03/07/2018 | 03/08/2018 | \$30.20 | \$36.14 | \$0.00 | \$5.94 | \$0.00 | |
| | 1.00000 | 03/07/2018 | 03/13/2018 | \$0.31 | \$0.41 | \$0.00 | \$0.10 | \$0.00 | |
| | 100.00000 | 03/12/2018 | 03/13/2018 | \$31.02 | \$40.93 | \$0.00 | \$0.00 | (\$9.91) | |
| | 100.00000 | 03/12/2018 | 03/13/2018 | \$31.02 | \$40.92 | \$0.00 | \$0.00 | (\$9.90) | |

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ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

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|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| BIOPHARMX CORPORATION COMMON STOCK PAR VALUE \$0.00 PER SHARE CUSIP: 09072X101 | 100.00000 | 03/12/2018 | 03/13/2018 | \$31.02 | \$40.92 | \$0.00 | \$0.00 | (\$9.90) | |
| | 100.00000 | 03/12/2018 | 03/13/2018 | \$31.02 | \$40.93 | \$0.00 | \$0.00 | (\$9.91) | |
| | 100.00000 | 03/12/2018 | 03/13/2018 | \$31.02 | \$40.92 | \$0.00 | \$0.00 | (\$9.90) | |
| | 100.00000 | 03/12/2018 | 03/13/2018 | \$31.02 | \$40.92 | \$0.00 | \$0.00 | (\$9.90) | |
| | 100.00000 | 03/12/2018 | 03/13/2018 | \$31.02 | \$40.92 | \$0.00 | \$0.00 | (\$9.90) | |
| | 499.00000 | 03/12/2018 | 03/13/2018 | \$154.76 | \$198.38 | \$0.00 | \$0.00 | (\$43.62) | |
| | 99.00000 | 03/12/2018 | 03/13/2018 | \$30.71 | \$40.51 | \$0.00 | \$0.00 | (\$9.80) | |
| | 100.00000 | 03/12/2018 | 03/13/2018 | \$31.02 | \$40.92 | \$0.00 | \$0.00 | (\$9.90) | |
| | 1.00000 | 03/12/2018 | 03/13/2018 | \$0.31 | \$0.35 | \$0.00 | \$0.00 | (\$0.04) | |
| | 1.00000 | 03/12/2018 | 03/22/2018 | \$0.27 | \$0.45 | \$0.00 | \$0.00 | (\$0.18) | |
| Subtotals | 4,000.00000 | | | \$1,218.06 | \$1,392.30 | \$0.00 | \$83.35 | (\$90.89) | |
| BLACK BOX CORP-DEL CUSIP: 091826107 | 150.00000 | 07/09/2018 | 07/09/2018 | \$349.61 | \$403.50 | \$0.00 | \$53.89 | \$0.00 | |
| | 200.00000 | 07/09/2018 | 07/09/2018 | \$466.15 | \$538.00 | \$0.00 | \$71.85 | \$0.00 | |
| | 31.00000 | 07/11/2018 | 07/16/2018 | \$60.76 | \$96.70 | \$0.00 | \$0.00 | (\$35.94) | |
| | 50.00000 | 07/11/2018 | 07/16/2018 | \$97.99 | \$149.47 | \$0.00 | \$0.00 | (\$51.48) | |
| | 150.00000 | 07/11/2018 | 07/16/2018 | \$293.98 | \$410.89 | \$0.00 | \$0.00 | (\$116.91) | |
| | 100.00000 | 07/11/2018 | 07/16/2018 | \$196.98 | \$273.93 | \$0.00 | \$76.95 | \$0.00 | |
| | 50.00000 | 07/11/2018 | 07/16/2018 | \$97.99 | \$157.48 | \$0.00 | \$0.00 | (\$59.49) | |
| | 69.00000 | 07/11/2018 | 07/16/2018 | \$135.92 | \$215.24 | \$0.00 | \$57.48 | (\$21.84) | |
| | 100.00000 | 07/11/2018 | 07/16/2018 | \$199.98 | \$273.92 | \$0.00 | \$73.94 | \$0.00 | |
| | 50.00000 | 07/11/2018 | 07/16/2018 | \$97.99 | \$168.48 | \$0.00 | \$0.00 | (\$70.49) | |
| Subtotals | 950.00000 | | | \$1,997.35 | \$2,687.61 | \$0.00 | \$334.11 | (\$356.15) | |
| CHF SOLUTIONS INC COMMON STOCK CUSIP: 12542Q508 | 12.00000 | 01/08/2018 | 01/09/2018 | \$43.18 | \$51.72 | \$0.00 | \$0.00 | (\$8.54) | |
| | 100.00000 | 01/08/2018 | 01/09/2018 | \$359.98 | \$431.00 | \$0.00 | \$0.00 | (\$71.02) | |
| | 100.00000 | 01/08/2018 | 01/09/2018 | \$369.98 | \$431.00 | \$0.00 | \$0.00 | (\$61.02) | |
| | 4.00000 | 01/08/2018 | 01/09/2018 | \$14.78 | \$17.24 | \$0.00 | \$0.00 | (\$2.46) | |
| | 50.00000 | 01/08/2018 | 01/09/2018 | \$179.98 | \$215.50 | \$0.00 | \$0.00 | (\$35.52) | |
| | 20.00000 | 01/08/2018 | 01/09/2018 | \$71.98 | \$86.20 | \$0.00 | \$0.00 | (\$14.22) | |

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ORIGINAL: 02/01/2019

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

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|--|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CHF SOLUTIONS INC COMMON STOCK CUSIP: 12542Q508 | 14.00000 | 01/08/2018 | 01/09/2018 | \$50.38 | \$60.34 | \$0.00 | \$0.00 | (\$9.96) | |
| | 1.00000 | 05/14/2018 | 05/18/2018 | \$2.86 | \$2.89 | \$0.00 | \$0.00 | (\$0.03) | |
| Subtotals | 301.00000 | | | \$1,093.12 | \$1,295.89 | \$0.00 | \$0.00 | (\$202.77) | |
| CARA THERAPEUTICS INC CUSIP: 140755109 | 50.00000 | 05/23/2018 | 05/24/2018 | \$824.98 | \$807.47 | \$0.00 | \$0.00 | \$17.51 | |
| | 49.00000 | 05/23/2018 | 05/24/2018 | \$808.48 | \$803.60 | \$0.00 | \$0.00 | \$4.88 | |
| | 1.00000 | 05/23/2018 | 05/24/2018 | \$15.04 | \$16.40 | \$0.00 | \$1.36 | \$0.00 | |
| | 20.00000 | 05/25/2018 | 06/05/2018 | \$314.61 | \$343.00 | \$0.00 | \$0.00 | (\$28.39) | |
| | 29.00000 | 05/25/2018 | 06/05/2018 | \$456.19 | \$552.13 | \$0.00 | \$0.00 | (\$95.94) | |
| | 43.00000 | 05/25/2018 | 06/05/2018 | \$676.41 | \$746.05 | \$0.00 | \$69.64 | \$0.00 | |
| | 6.00000 | 05/25/2018 | 06/05/2018 | \$94.38 | \$104.10 | \$0.00 | \$1.62 | (\$8.10) | |
| | 1.00000 | 05/25/2018 | 06/05/2018 | \$15.73 | \$18.71 | \$0.00 | \$2.98 | \$0.00 | |
| | 50.00000 | 05/25/2018 | 06/05/2018 | \$786.53 | \$865.50 | \$0.00 | \$0.00 | (\$78.97) | |
| | 14.00000 | 05/25/2018 | 06/05/2018 | \$220.23 | \$265.01 | \$0.00 | \$0.00 | (\$44.78) | |
| | 6.00000 | 05/25/2018 | 06/05/2018 | \$94.38 | \$103.86 | \$0.00 | \$0.00 | (\$9.48) | |
| | 1.00000 | 05/25/2018 | 06/05/2018 | \$15.73 | \$20.40 | \$0.00 | \$0.00 | (\$4.67) | |
| | 20.00000 | 05/29/2018 | 06/05/2018 | \$314.61 | \$311.60 | \$0.00 | \$0.00 | \$3.01 | |
| | 20.00000 | 05/29/2018 | 06/05/2018 | \$314.62 | \$312.20 | \$0.00 | \$0.00 | \$2.42 | |
| | 24.00000 | 05/29/2018 | 06/05/2018 | \$377.54 | \$373.44 | \$0.00 | \$0.00 | \$4.10 | |
| | 10.00000 | 05/29/2018 | 06/05/2018 | \$157.31 | \$155.70 | \$0.00 | \$0.00 | \$1.61 | |
| | 1.00000 | 05/29/2018 | 06/07/2018 | \$15.98 | \$17.18 | \$0.00 | \$0.00 | (\$1.20) | |
| Subtotals | 345.00000 | | | \$5,502.75 | \$5,816.35 | \$0.00 | \$75.60 | (\$238.00) | |
| CATALYST BIOSCIENCES INC COMMON STOCK CUSIP: 14888D208 | 1.00000 | 03/07/2018 | 03/12/2018 | \$27.53 | \$30.25 | \$0.00 | \$0.00 | (\$2.72) | |
| | 5.00000 | 03/07/2018 | 03/12/2018 | \$137.64 | \$146.00 | \$0.00 | \$0.00 | (\$8.36) | |
| Subtotals | 6.00000 | | | \$165.17 | \$176.25 | \$0.00 | \$0.00 | (\$11.08) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|--|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CHINA COMMERCIAL CREDIT INC CUSIP: 16891K103 | 24.00000 | 01/05/2018 | 01/09/2018 | \$44.86 | \$46.80 | \$0.00 | \$1.94 | \$0.00 | |
| | 33.00000 | 01/05/2018 | 01/09/2018 | \$61.03 | \$64.35 | \$0.00 | \$3.32 | \$0.00 | |
| | 143.00000 | 01/05/2018 | 01/09/2018 | \$264.52 | \$278.85 | \$0.00 | \$0.10 | (\$14.23) | |
| | 33.00000 | 01/05/2018 | 01/09/2018 | \$61.04 | \$67.67 | \$0.00 | \$0.00 | (\$6.63) | |
| | 143.00000 | 01/05/2018 | 01/09/2018 | \$264.53 | \$278.85 | \$0.00 | \$0.00 | (\$14.32) | |
| | 24.00000 | 01/05/2018 | 01/09/2018 | \$44.40 | \$48.74 | \$0.00 | \$0.00 | (\$4.34) | |
| | 1.00000 | 01/05/2018 | 01/11/2018 | \$1.83 | \$1.95 | \$0.00 | \$0.00 | (\$0.12) | |
| Subtotals | 401.00000 | | | \$742.21 | \$787.21 | \$0.00 | \$5.36 | (\$39.64) | |
| CHINANET ONLINE HOLDINGS INC COMMON STOCK CUSIP: 16949H201 | 99.00000 | 01/04/2018 | 01/04/2018 | \$970.16 | \$567.27 | \$0.00 | \$0.00 | \$402.89 | |
| | 100.00000 | 01/04/2018 | 01/04/2018 | \$854.97 | \$1,157.32 | \$0.00 | \$302.35 | \$0.00 | |
| | 1.00000 | 01/04/2018 | 01/04/2018 | \$9.86 | \$5.73 | \$0.00 | \$0.00 | \$4.13 | |
| | 100.00000 | 01/04/2018 | 01/04/2018 | \$982.96 | \$574.00 | \$0.00 | \$0.00 | \$408.96 | |
| | 100.00000 | 01/04/2018 | 01/04/2018 | \$1,036.97 | \$1,062.26 | \$0.00 | \$25.29 | \$0.00 | |
| | 1.00000 | 01/04/2018 | 01/04/2018 | \$9.80 | \$4.77 | \$0.00 | \$0.00 | \$5.03 | |
| | 99.00000 | 01/04/2018 | 01/04/2018 | \$973.14 | \$568.26 | \$0.00 | \$0.00 | \$404.88 | |
| | 1.00000 | 01/04/2018 | 01/04/2018 | \$10.37 | \$5.74 | \$0.00 | \$0.00 | \$4.63 | |
| | 1.00000 | 01/04/2018 | 01/04/2018 | \$9.83 | \$5.73 | \$0.00 | \$0.00 | \$4.10 | |
| | 100.00000 | 01/04/2018 | 01/04/2018 | \$853.97 | \$1,037.29 | \$0.00 | \$183.32 | \$0.00 | |
| | 99.00000 | 01/04/2018 | 01/04/2018 | \$976.10 | \$567.27 | \$0.00 | \$0.00 | \$408.83 | |
| | 100.00000 | 01/11/2018 | 01/12/2018 | \$505.03 | \$772.84 | \$0.00 | \$0.00 | (\$267.81) | |
| | 100.00000 | 01/11/2018 | 01/12/2018 | \$505.03 | \$996.34 | \$0.00 | \$0.00 | (\$491.31) | |
| | 50.00000 | 01/11/2018 | 01/12/2018 | \$252.51 | \$385.50 | \$0.00 | \$0.00 | (\$132.99) | |
| | 5.00000 | 01/11/2018 | 01/12/2018 | \$25.25 | \$40.74 | \$0.00 | \$0.00 | (\$15.49) | |
| Subtotals | 956.00000 | | | \$7,975.95 | \$7,751.06 | \$0.00 | \$510.96 | \$735.85 | |
| ***CHINACACHE INTL HLDG LTD SPONSORED ADR CUSIP: 16950M107 | 200.00000 | 01/05/2018 | 01/08/2018 | \$519.98 | \$845.02 | \$0.00 | \$0.00 | (\$325.04) | |
| CO DIAGNOSTICS INC COMMON STOCK CUSIP: 189763105 | 100.00000 | 06/06/2018 | 06/08/2018 | \$456.15 | \$525.85 | \$0.00 | \$0.00 | (\$69.70) | |
| | 90.00000 | 06/07/2018 | 06/08/2018 | \$410.54 | \$449.10 | \$0.00 | \$0.00 | (\$38.56) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CO DIAGNOSTICS INC COMMON STOCK CUSIP: 189763105 | 10.00000 | 06/07/2018 | 06/08/2018 | \$45.61 | \$50.00 | \$0.00 | \$0.00 | (\$4.39) | |
| Subtotals | 200.00000 | | | \$912.30 | \$1,024.95 | \$0.00 | \$0.00 | (\$112.65) | |
| COGINT INC COMMON STOCK \$0.0005 PAR VAL PER SHARE CUSIP: 19241Q101 | 199.00000 | 01/10/2018 | 01/11/2018 | \$955.57 | \$1,380.78 | \$0.00 | \$0.00 | (\$425.21) | |
| | 1.00000 | 01/10/2018 | 01/16/2018 | \$4.63 | \$6.94 | \$0.00 | \$0.00 | (\$2.31) | |
| Subtotals | 200.00000 | | | \$960.20 | \$1,387.72 | \$0.00 | \$0.00 | (\$427.52) | |
| COUNTERPATH CORPORATION COM CUSIP: 22228P302 | 10.00000 | 01/11/2018 | 01/22/2018 | \$38.20 | \$59.39 | \$0.00 | \$21.19 | \$0.00 | |
| | 20.00000 | 01/11/2018 | 01/22/2018 | \$76.40 | \$114.40 | \$0.00 | \$38.00 | \$0.00 | |
| | 1.00000 | 01/11/2018 | 01/22/2018 | \$3.82 | \$5.80 | \$0.00 | \$1.98 | \$0.00 | |
| | 69.00000 | 01/12/2018 | 01/22/2018 | \$263.56 | \$458.15 | \$0.00 | \$183.31 | (\$11.28) | |
| | 10.00000 | 01/12/2018 | 01/23/2018 | \$37.80 | \$87.59 | \$0.00 | \$0.00 | (\$49.79) | |
| | 20.00000 | 01/12/2018 | 01/23/2018 | \$75.60 | \$170.80 | \$0.00 | \$0.00 | (\$95.20) | |
| | 1.00000 | 01/12/2018 | 01/23/2018 | \$3.78 | \$8.62 | \$0.00 | \$0.00 | (\$4.84) | |
| | 20.00000 | 01/16/2018 | 01/23/2018 | \$75.59 | \$188.80 | \$0.00 | \$0.00 | (\$113.21) | |
| | 1.00000 | 01/16/2018 | 01/23/2018 | \$3.78 | \$9.44 | \$0.00 | \$0.00 | (\$5.66) | |
| | 44.00000 | 01/17/2018 | 01/23/2018 | \$166.31 | \$343.65 | \$0.00 | \$0.00 | (\$177.34) | |
| Subtotals | 196.00000 | | | \$744.84 | \$1,446.64 | \$0.00 | \$244.48 | (\$457.32) | |
| ***CREDIT SUISSE AG NASSAU B VELOCITYSHARES DAILY 2X VIX SHORT TERM ETN CUSIP: 22542D357 | 1.00000 | 05/29/2018 | 05/30/2018 | \$5.47 | \$5.96 | \$0.00 | \$0.00 | (\$0.49) | |
| | 49.00000 | 05/29/2018 | 05/30/2018 | \$269.99 | \$287.14 | \$0.00 | \$0.00 | (\$17.15) | |
| | 100.00000 | 05/29/2018 | 05/30/2018 | \$550.99 | \$620.00 | \$0.00 | \$69.01 | \$0.00 | |
| | 1.00000 | 05/29/2018 | 05/30/2018 | \$5.51 | \$5.61 | \$0.00 | \$0.10 | \$0.00 | |
| | 100.00000 | 05/29/2018 | 05/30/2018 | \$550.99 | \$585.00 | \$0.00 | \$8.50 | (\$25.51) | |
| | 25.00000 | 05/31/2018 | 05/31/2018 | \$138.23 | \$161.48 | \$0.00 | \$0.00 | (\$23.25) | |
| | 25.00000 | 05/31/2018 | 05/31/2018 | \$138.24 | \$152.73 | \$0.00 | \$0.00 | (\$14.49) | |
| | 75.00000 | 05/31/2018 | 05/31/2018 | \$414.74 | \$484.43 | \$0.00 | \$0.00 | (\$69.69) | |
| Subtotals | 376.00000 | | | \$2,074.16 | \$2,302.35 | \$0.00 | \$77.61 | (\$150.58) | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

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|--|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| DAXOR CORP CUSIP: 239467103 | 74.00000 | 03/09/2018 | 03/13/2018 | \$373.02 | \$610.50 | \$0.00 | \$237.48 | \$0.00 | |
| | 1.00000 | 03/09/2018 | 03/13/2018 | \$5.04 | \$7.90 | \$0.00 | \$2.86 | \$0.00 | |
| | 1.00000 | 03/09/2018 | 03/15/2018 | \$9.35 | \$11.11 | \$0.00 | \$1.76 | \$0.00 | |
| | 25.00000 | 03/14/2018 | 03/15/2018 | \$233.74 | \$183.75 | \$0.00 | \$0.00 | \$49.99 | |
| | 74.00000 | 03/14/2018 | 03/15/2018 | \$691.87 | \$781.38 | \$0.00 | \$89.51 | \$0.00 | |
| | 1.00000 | 03/14/2018 | 03/16/2018 | \$16.25 | \$9.11 | \$0.00 | \$0.00 | \$7.14 | |
| | 9.00000 | 03/15/2018 | 03/16/2018 | \$146.24 | \$123.30 | \$0.00 | \$0.00 | \$22.94 | |
| | 74.00000 | 03/15/2018 | 03/16/2018 | \$1,202.47 | \$947.17 | \$0.00 | \$0.00 | \$255.30 | |
| | 26.00000 | 03/15/2018 | 03/16/2018 | \$422.49 | \$301.34 | \$0.00 | \$0.00 | \$121.15 | |
| | 1.00000 | 03/15/2018 | 03/26/2018 | \$10.25 | \$13.70 | \$0.00 | \$3.45 | \$0.00 | |
| | 50.00000 | 03/19/2018 | 03/26/2018 | \$512.49 | \$657.50 | \$0.00 | \$0.00 | (\$145.01) | |
| | 25.00000 | 03/21/2018 | 03/26/2018 | \$256.24 | \$284.50 | \$0.00 | \$0.00 | (\$28.26) | |
| | 24.00000 | 03/21/2018 | 03/26/2018 | \$246.00 | \$273.12 | \$0.00 | \$0.00 | (\$27.12) | |
| | 50.00000 | 03/21/2018 | 03/26/2018 | \$512.48 | \$549.50 | \$0.00 | \$0.00 | (\$37.02) | |
| | 1.00000 | 03/21/2018 | 05/14/2018 | \$6.44 | \$14.83 | \$0.00 | \$0.00 | (\$8.39) | |
| Subtotals | 436.00000 | | | \$4,644.37 | \$4,768.71 | \$0.00 | \$335.06 | \$210.72 | |
| DIREXION SHARES ETF TRUST | 1.00000 | 03/28/2018 | 05/14/2018 | \$15.41 | \$13.16 | \$0.00 | \$0.00 | \$2.25 | |
| DIREXION DAILY JUNIOR GOLD | 49.00000 | 05/11/2018 | 05/14/2018 | \$755.06 | \$759.01 | \$0.00 | \$0.00 | (\$3.95) | |
| MINERS INDEX BULL 3X SHARES CUSIP: 25460E851 | 1.00000 | 05/11/2018 | 06/15/2018 | \$13.80 | \$15.49 | \$0.00 | \$0.00 | (\$1.69) | |
| Subtotals | 51.00000 | | | \$784.27 | \$787.66 | \$0.00 | \$0.00 | (\$3.39) | |
| DPW HOLDINGS INC COMMON STOCK CUSIP: 26140E105 | 200.00000 | 01/31/2018 | 02/01/2018 | \$394.03 | \$450.00 | \$0.00 | \$0.00 | (\$55.97) | |
| EASTMAN KODAK COMPANY COM NEW CUSIP: 277461406 | 50.00000 | 01/09/2018 | 01/10/2018 | \$559.98 | \$432.50 | \$0.00 | \$0.00 | \$127.48 | |
| | 50.00000 | 01/09/2018 | 01/10/2018 | \$559.97 | \$395.00 | \$0.00 | \$0.00 | \$164.97 | |
| | 50.00000 | 01/09/2018 | 01/10/2018 | \$542.98 | \$432.50 | \$0.00 | \$0.00 | \$110.48 | |
| | 4.00000 | 01/09/2018 | 01/10/2018 | \$43.44 | \$36.40 | \$0.00 | \$0.00 | \$7.04 | |
| | 4.00000 | 01/09/2018 | 01/10/2018 | \$43.44 | \$36.80 | \$0.00 | \$0.00 | \$6.64 | |

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350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

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Account Name: TILAK MUVVA
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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
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|--|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| EASTMAN KODAK COMPANY COM NEW CUSIP: 277461406 | 25.00000 | 01/09/2018 | 01/10/2018 | \$271.49 | \$231.25 | \$0.00 | \$0.00 | \$40.24 | |
| | 15.00000 | 01/09/2018 | 01/10/2018 | \$162.89 | \$138.75 | \$0.00 | \$0.00 | \$24.14 | |
| | 72.00000 | 01/09/2018 | 01/10/2018 | \$781.89 | \$658.80 | \$0.00 | \$0.00 | \$123.09 | |
| | 50.00000 | 01/09/2018 | 01/10/2018 | \$559.97 | \$357.00 | \$0.00 | \$0.00 | \$202.97 | |
| | 50.00000 | 01/09/2018 | 01/10/2018 | \$559.98 | \$395.00 | \$0.00 | \$0.00 | \$164.98 | |
| | 1.00000 | 01/09/2018 | 01/11/2018 | \$9.19 | \$9.10 | \$0.00 | \$0.00 | \$0.09 | |
| | 50.00000 | 01/29/2018 | 02/01/2018 | \$365.49 | \$485.00 | \$0.00 | \$0.00 | (\$119.51) | |
| | 50.00000 | 01/30/2018 | 02/01/2018 | \$365.48 | \$462.00 | \$0.00 | \$0.00 | (\$96.52) | |
| | 100.00000 | 01/30/2018 | 02/01/2018 | \$730.97 | \$915.00 | \$0.00 | \$0.00 | (\$184.03) | |
| Subtotals | 571.00000 | | | \$5,557.16 | \$4,985.10 | \$0.00 | \$0.00 | \$572.06 | |
| ENERJEX RESOURCES INC NEW CUSIP: 292758406 | 500.00000 | 01/11/2018 | 01/12/2018 | \$106.73 | \$108.75 | \$0.00 | \$0.00 | (\$2.02) | |
| | 500.00000 | 01/11/2018 | 01/12/2018 | \$106.73 | \$108.75 | \$0.00 | \$0.00 | (\$2.02) | |
| Subtotals | 1,000.00000 | | | \$213.46 | \$217.50 | \$0.00 | \$0.00 | (\$4.04) | |
| FUNKO INC CLASS A COMMON STOCK CUSIP: 361008105 | 75.00000 | 07/06/2018 | 07/11/2018 | \$1,001.38 | \$1,115.25 | \$0.00 | \$0.00 | (\$113.87) | |
| | 50.00000 | 07/09/2018 | 07/11/2018 | \$667.58 | \$744.50 | \$0.00 | \$0.00 | (\$76.92) | |
| | 25.00000 | 07/09/2018 | 07/11/2018 | \$333.79 | \$353.50 | \$0.00 | \$0.00 | (\$19.71) | |
| | 10.00000 | 07/10/2018 | 07/11/2018 | \$133.52 | \$140.70 | \$0.00 | \$0.00 | (\$7.18) | |
| Subtotals | 160.00000 | | | \$2,136.27 | \$2,353.95 | \$0.00 | \$0.00 | (\$217.68) | |
| FUTURE FINTECH GROUP INC COMMON STOCK CUSIP: 36117V105 | 68.00000 | 01/02/2018 | 01/04/2018 | \$363.87 | \$419.48 | \$0.00 | \$55.61 | \$0.00 | |
| | 99.00000 | 01/02/2018 | 01/04/2018 | \$529.75 | \$432.63 | \$0.00 | \$0.00 | \$97.12 | |
| | 41.00000 | 01/02/2018 | 01/04/2018 | \$219.39 | \$204.54 | \$0.00 | \$0.00 | \$14.85 | |
| | 50.00000 | 01/02/2018 | 01/04/2018 | \$267.55 | \$313.45 | \$0.00 | \$45.90 | \$0.00 | |
| | 6.00000 | 01/02/2018 | 01/04/2018 | \$32.11 | \$36.41 | \$0.00 | \$4.30 | \$0.00 | |
| | 1.00000 | 01/02/2018 | 01/04/2018 | \$5.35 | \$4.35 | \$0.00 | \$0.00 | \$1.00 | |
| | 32.00000 | 01/02/2018 | 01/04/2018 | \$171.23 | \$197.40 | \$0.00 | \$26.17 | \$0.00 | |
| | 40.00000 | 01/02/2018 | 01/04/2018 | \$214.04 | \$245.90 | \$0.00 | \$31.86 | \$0.00 | |
| | 262.00000 | 01/02/2018 | 01/04/2018 | \$1,401.94 | \$1,183.93 | \$0.00 | \$0.00 | \$218.01 | |
| | 1.00000 | 01/02/2018 | 01/04/2018 | \$5.35 | \$6.32 | \$0.00 | \$0.97 | \$0.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| FUTURE FINTECH GROUP INC | 24.00000 | 01/02/2018 | 01/04/2018 | \$128.42 | \$104.88 | \$0.00 | \$0.00 | \$23.54 | |
| COMMON STOCK | 1.00000 | 01/02/2018 | 01/09/2018 | \$4.39 | \$5.34 | \$0.00 | \$0.95 | \$0.00 | |
| CUSIP: 36117V105 | 50.00000 | 01/08/2018 | 01/09/2018 | \$219.49 | \$251.40 | \$0.00 | \$31.91 | \$0.00 | |
| | 6.00000 | 01/08/2018 | 01/09/2018 | \$26.34 | \$28.96 | \$0.00 | \$2.62 | \$0.00 | |
| | 32.00000 | 01/08/2018 | 01/09/2018 | \$140.47 | \$169.21 | \$0.00 | \$28.74 | \$0.00 | |
| | 68.00000 | 01/08/2018 | 01/09/2018 | \$298.50 | \$359.57 | \$0.00 | \$61.07 | \$0.00 | |
| | 53.00000 | 01/08/2018 | 01/09/2018 | \$232.66 | \$217.83 | \$0.00 | \$0.00 | \$14.83 | |
| | 40.00000 | 01/08/2018 | 01/09/2018 | \$175.59 | \$196.26 | \$0.00 | \$20.67 | \$0.00 | |
| | 1.00000 | 01/08/2018 | 01/12/2018 | \$4.24 | \$5.06 | \$0.00 | \$0.82 | \$0.00 | |
| | 67.00000 | 01/10/2018 | 01/12/2018 | \$284.07 | \$344.91 | \$0.00 | \$60.84 | \$0.00 | |
| | 32.00000 | 01/10/2018 | 01/12/2018 | \$135.68 | \$164.74 | \$0.00 | \$29.06 | \$0.00 | |
| | 1.00000 | 01/10/2018 | 01/16/2018 | \$4.03 | \$5.21 | \$0.00 | \$0.00 | (\$1.18) | |
| | 16.00000 | 01/10/2018 | 01/16/2018 | \$64.46 | \$76.27 | \$0.00 | \$0.00 | (\$11.81) | |
| | 6.00000 | 01/10/2018 | 01/16/2018 | \$24.12 | \$28.12 | \$0.00 | \$0.00 | (\$4.00) | |
| | 24.00000 | 01/10/2018 | 01/16/2018 | \$96.47 | \$114.40 | \$0.00 | \$0.00 | (\$17.93) | |
| | 2.00000 | 01/10/2018 | 01/16/2018 | \$8.06 | \$10.43 | \$0.00 | \$0.00 | (\$2.37) | |
| | 1.00000 | 01/10/2018 | 01/16/2018 | \$4.03 | \$5.07 | \$0.00 | \$1.04 | \$0.00 | |
| | 66.00000 | 01/10/2018 | 01/16/2018 | \$265.96 | \$329.86 | \$0.00 | \$0.00 | (\$63.90) | |
| | 30.00000 | 01/10/2018 | 01/16/2018 | \$120.89 | \$146.65 | \$0.00 | \$0.00 | (\$25.76) | |
| | 29.00000 | 01/10/2018 | 01/16/2018 | \$116.86 | \$144.95 | \$0.00 | \$0.00 | (\$28.09) | |
| | 3.00000 | 01/10/2018 | 01/16/2018 | \$12.09 | \$15.47 | \$0.00 | \$3.38 | \$0.00 | |
| | 1.00000 | 01/10/2018 | 01/16/2018 | \$4.03 | \$5.15 | \$0.00 | \$1.12 | \$0.00 | |
| | 1.00000 | 01/10/2018 | 01/16/2018 | \$4.03 | \$5.13 | \$0.00 | \$0.00 | (\$1.10) | |
| | 20.00000 | 01/10/2018 | 01/16/2018 | \$80.39 | \$97.76 | \$0.00 | \$0.00 | (\$17.37) | |
| | 1.00000 | 01/10/2018 | 01/17/2018 | \$3.60 | \$5.00 | \$0.00 | \$0.00 | (\$1.40) | |
| | 1.00000 | 01/19/2018 | 02/12/2018 | \$2.48 | \$4.88 | \$0.00 | \$0.00 | (\$2.40) | |
| Subtotals | 1,176.00000 | | | \$5,671.93 | \$5,886.92 | \$0.00 | \$407.03 | \$192.04 | |

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350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| GENPREX INC | 1.00000 | 04/27/2018 | 05/08/2018 | \$17.81 | \$15.45 | \$0.00 | \$0.00 | \$2.36 | |
| COMMON STOCK | 50.00000 | 04/30/2018 | 05/08/2018 | \$890.70 | \$767.50 | \$0.00 | \$0.00 | \$123.20 | |
| CUSIP: 372446104 | 49.00000 | 04/30/2018 | 05/08/2018 | \$872.89 | \$747.25 | \$0.00 | \$0.00 | \$125.64 | |
| | 50.00000 | 04/30/2018 | 05/08/2018 | \$890.70 | \$762.50 | \$0.00 | \$0.00 | \$128.20 | |
| | 1.00000 | 04/30/2018 | 05/14/2018 | \$9.20 | \$15.25 | \$0.00 | \$6.05 | \$0.00 | |
| | 40.00000 | 05/10/2018 | 05/14/2018 | \$368.03 | \$560.20 | \$0.00 | \$192.17 | \$0.00 | |
| | 20.00000 | 05/10/2018 | 05/14/2018 | \$184.01 | \$289.20 | \$0.00 | \$105.19 | \$0.00 | |
| | 21.00000 | 05/10/2018 | 05/14/2018 | \$193.21 | \$303.66 | \$0.00 | \$110.45 | \$0.00 | |
| | 10.00000 | 05/10/2018 | 05/14/2018 | \$92.01 | \$141.00 | \$0.00 | \$48.99 | \$0.00 | |
| | 4.00000 | 05/10/2018 | 05/14/2018 | \$36.80 | \$57.84 | \$0.00 | \$21.04 | \$0.00 | |
| | 99.00000 | 05/11/2018 | 05/14/2018 | \$910.87 | \$1,240.37 | \$0.00 | \$329.50 | \$0.00 | |
| | 1.00000 | 05/11/2018 | 05/23/2018 | \$9.67 | \$18.58 | \$0.00 | \$8.91 | \$0.00 | |
| | 72.00000 | 05/17/2018 | 05/23/2018 | \$695.98 | \$1,089.24 | \$0.00 | \$0.00 | (\$393.26) | |
| | 20.00000 | 05/17/2018 | 05/23/2018 | \$193.33 | \$353.91 | \$0.00 | \$8.03 | (\$152.55) | |
| | 4.00000 | 05/17/2018 | 05/23/2018 | \$38.66 | \$60.51 | \$0.00 | \$0.00 | (\$21.85) | |
| | 4.00000 | 05/17/2018 | 05/23/2018 | \$38.67 | \$70.78 | \$0.00 | \$0.00 | (\$32.11) | |
| | 18.00000 | 05/17/2018 | 05/23/2018 | \$174.00 | \$272.31 | \$0.00 | \$0.00 | (\$98.31) | |
| | 40.00000 | 05/17/2018 | 05/23/2018 | \$386.66 | \$689.60 | \$0.00 | \$0.00 | (\$302.94) | |
| | 1.00000 | 05/17/2018 | 05/23/2018 | \$9.65 | \$20.71 | \$0.00 | \$0.00 | (\$11.06) | |
| | 1.00000 | 05/17/2018 | 05/23/2018 | \$9.65 | \$19.83 | \$0.00 | \$0.00 | (\$10.18) | |
| | 10.00000 | 05/17/2018 | 05/23/2018 | \$96.66 | \$173.35 | \$0.00 | \$0.00 | (\$76.69) | |
| | 21.00000 | 05/17/2018 | 05/23/2018 | \$202.99 | \$371.60 | \$0.00 | \$0.00 | (\$168.61) | |
| | 4.00000 | 05/17/2018 | 05/23/2018 | \$38.67 | \$47.20 | \$0.00 | \$0.00 | (\$8.53) | |
| | 5.00000 | 05/17/2018 | 05/23/2018 | \$48.33 | \$78.82 | \$0.00 | \$0.00 | (\$30.49) | |
| Subtotals | 546.00000 | | | \$6,409.15 | \$8,166.66 | \$0.00 | \$830.33 | (\$927.18) | |
| GERON CORP | 1.00000 | 03/22/2018 | 04/02/2018 | \$3.93 | \$5.38 | \$0.00 | \$1.45 | \$0.00 | |
| CUSIP: 374163103 | 100.00000 | 03/23/2018 | 04/02/2018 | \$393.07 | \$592.00 | \$0.00 | \$198.93 | \$0.00 | |
| | 50.00000 | 03/23/2018 | 04/02/2018 | \$196.54 | \$287.50 | \$0.00 | \$90.96 | \$0.00 | |
| | 1.00000 | 04/04/2018 | 04/19/2018 | \$3.66 | \$5.39 | \$0.00 | \$1.73 | \$0.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| GERON CORP CUSIP: 374163103 | 66.00000 | 04/12/2018 | 04/19/2018 | \$241.55 | \$432.87 | \$0.00 | \$191.32 | \$0.00 | |
| | 2.00000 | 04/12/2018 | 04/19/2018 | \$7.32 | \$13.12 | \$0.00 | \$5.80 | \$0.00 | |
| | 66.00000 | 04/12/2018 | 04/19/2018 | \$241.55 | \$401.90 | \$0.00 | \$160.35 | \$0.00 | |
| | 9.00000 | 04/12/2018 | 04/19/2018 | \$32.94 | \$59.04 | \$0.00 | \$26.10 | \$0.00 | |
| | 1.00000 | 04/12/2018 | 04/19/2018 | \$3.66 | \$5.86 | \$0.00 | \$2.20 | \$0.00 | |
| | 25.00000 | 04/12/2018 | 04/19/2018 | \$91.48 | \$152.23 | \$0.00 | \$60.75 | \$0.00 | |
| | 23.00000 | 04/12/2018 | 04/19/2018 | \$84.17 | \$150.85 | \$0.00 | \$66.68 | \$0.00 | |
| | 9.00000 | 04/12/2018 | 04/19/2018 | \$32.92 | \$54.80 | \$0.00 | \$21.88 | \$0.00 | |
| | 18.00000 | 04/12/2018 | 04/19/2018 | \$65.88 | \$107.07 | \$0.00 | \$41.19 | \$0.00 | |
| | 49.00000 | 04/12/2018 | 04/19/2018 | \$179.33 | \$202.32 | \$0.00 | \$22.99 | \$0.00 | |
| | 32.00000 | 04/12/2018 | 04/19/2018 | \$117.11 | \$190.34 | \$0.00 | \$73.23 | \$0.00 | |
| | 9.00000 | 04/16/2018 | 04/19/2018 | \$32.94 | \$54.35 | \$0.00 | \$21.41 | \$0.00 | |
| | 2.00000 | 04/16/2018 | 04/19/2018 | \$7.32 | \$13.22 | \$0.00 | \$5.90 | \$0.00 | |
| | 1.00000 | 04/16/2018 | 04/19/2018 | \$3.66 | \$5.91 | \$0.00 | \$2.25 | \$0.00 | |
| | 15.00000 | 04/16/2018 | 04/19/2018 | \$54.90 | \$99.13 | \$0.00 | \$44.23 | \$0.00 | |
| | 23.00000 | 04/16/2018 | 04/19/2018 | \$84.17 | \$152.01 | \$0.00 | \$67.84 | \$0.00 | |
| | 9.00000 | 04/16/2018 | 04/19/2018 | \$32.94 | \$59.49 | \$0.00 | \$26.55 | \$0.00 | |
| | 51.00000 | 04/16/2018 | 04/19/2018 | \$186.65 | \$339.09 | \$0.00 | \$152.44 | \$0.00 | |
| | 18.00000 | 04/17/2018 | 04/19/2018 | \$65.88 | \$112.11 | \$0.00 | \$46.23 | \$0.00 | |
| | 49.00000 | 04/17/2018 | 04/19/2018 | \$179.33 | \$216.05 | \$0.00 | \$36.72 | \$0.00 | |
| | 1.00000 | 04/17/2018 | 04/19/2018 | \$3.66 | \$6.19 | \$0.00 | \$2.53 | \$0.00 | |
| | 9.00000 | 04/17/2018 | 04/19/2018 | \$32.94 | \$62.01 | \$0.00 | \$29.07 | \$0.00 | |
| | 23.00000 | 04/17/2018 | 04/19/2018 | \$84.17 | \$143.25 | \$0.00 | \$59.08 | \$0.00 | |
| | 23.00000 | 04/18/2018 | 04/19/2018 | \$84.17 | \$157.54 | \$0.00 | \$73.37 | \$0.00 | |
| | 1.00000 | 04/18/2018 | 04/24/2018 | \$4.19 | \$6.85 | \$0.00 | \$2.66 | \$0.00 | |
| | 9.00000 | 04/19/2018 | 04/24/2018 | \$37.71 | \$55.43 | \$0.00 | \$17.72 | \$0.00 | |
| | 51.00000 | 04/19/2018 | 04/24/2018 | \$213.68 | \$345.22 | \$0.00 | \$131.54 | \$0.00 | |
| | 23.00000 | 04/19/2018 | 04/24/2018 | \$96.37 | \$146.02 | \$0.00 | \$49.65 | \$0.00 | |
| | 18.00000 | 04/19/2018 | 04/24/2018 | \$75.42 | \$114.27 | \$0.00 | \$38.85 | \$0.00 | |
| | 23.00000 | 04/19/2018 | 04/24/2018 | \$96.37 | \$160.31 | \$0.00 | \$63.94 | \$0.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| GERON CORP CUSIP: 374163103 | 15.00000 | 04/19/2018 | 04/24/2018 | \$62.85 | \$100.93 | \$0.00 | \$38.08 | \$0.00 | |
| | 1.00000 | 04/19/2018 | 04/24/2018 | \$4.19 | \$6.73 | \$0.00 | \$2.54 | \$0.00 | |
| | 49.00000 | 04/19/2018 | 04/24/2018 | \$205.30 | \$221.94 | \$0.00 | \$16.64 | \$0.00 | |
| | 1.00000 | 04/19/2018 | 04/24/2018 | \$4.19 | \$6.31 | \$0.00 | \$2.12 | \$0.00 | |
| | 9.00000 | 04/19/2018 | 04/24/2018 | \$37.71 | \$63.09 | \$0.00 | \$25.38 | \$0.00 | |
| | 1.00000 | 04/19/2018 | 05/21/2018 | \$3.64 | \$6.44 | \$0.00 | \$2.80 | \$0.00 | |
| | 15.00000 | 05/10/2018 | 05/21/2018 | \$54.60 | \$94.63 | \$0.00 | \$40.03 | \$0.00 | |
| | 9.00000 | 05/10/2018 | 05/21/2018 | \$32.67 | \$51.65 | \$0.00 | \$18.98 | \$0.00 | |
| | 23.00000 | 05/10/2018 | 05/21/2018 | \$83.48 | \$136.35 | \$0.00 | \$52.87 | \$0.00 | |
| | 50.00000 | 05/10/2018 | 05/21/2018 | \$181.99 | \$317.44 | \$0.00 | \$135.45 | \$0.00 | |
| | 1.00000 | 05/10/2018 | 05/21/2018 | \$3.63 | \$6.35 | \$0.00 | \$2.72 | \$0.00 | |
| | 9.00000 | 05/10/2018 | 05/21/2018 | \$32.76 | \$59.31 | \$0.00 | \$26.55 | \$0.00 | |
| | 1.00000 | 05/10/2018 | 05/21/2018 | \$3.63 | \$6.57 | \$0.00 | \$2.94 | \$0.00 | |
| | 18.00000 | 05/10/2018 | 05/21/2018 | \$65.34 | \$106.70 | \$0.00 | \$41.36 | \$0.00 | |
| | 48.00000 | 05/10/2018 | 05/21/2018 | \$174.23 | \$197.25 | \$0.00 | \$23.02 | \$0.00 | |
| | 1.00000 | 05/10/2018 | 05/21/2018 | \$3.64 | \$5.89 | \$0.00 | \$2.25 | \$0.00 | |
| | 23.00000 | 05/10/2018 | 05/21/2018 | \$83.71 | \$150.64 | \$0.00 | \$66.93 | \$0.00 | |
| | 1.00000 | 05/10/2018 | 05/21/2018 | \$3.64 | \$6.31 | \$0.00 | \$2.67 | \$0.00 | |
| | 1.00000 | 05/10/2018 | 05/23/2018 | \$4.19 | \$4.11 | \$0.00 | \$0.00 | \$0.08 | |
| | 50.00000 | 05/11/2018 | 05/23/2018 | \$209.54 | \$303.95 | \$0.00 | \$94.41 | \$0.00 | |
| | 9.00000 | 05/11/2018 | 05/23/2018 | \$37.72 | \$56.88 | \$0.00 | \$19.16 | \$0.00 | |
| | 48.00000 | 05/11/2018 | 05/23/2018 | \$201.16 | \$184.78 | \$0.00 | \$0.00 | \$16.38 | |
| | 9.00000 | 05/11/2018 | 05/23/2018 | \$37.72 | \$49.31 | \$0.00 | \$11.59 | \$0.00 | |
| | 15.00000 | 05/11/2018 | 05/23/2018 | \$62.86 | \$90.58 | \$0.00 | \$27.72 | \$0.00 | |
| | 1.00000 | 05/11/2018 | 05/23/2018 | \$4.19 | \$6.09 | \$0.00 | \$1.90 | \$0.00 | |
| | 23.00000 | 05/11/2018 | 05/23/2018 | \$96.39 | \$130.38 | \$0.00 | \$33.99 | \$0.00 | |
| | 18.00000 | 05/11/2018 | 05/23/2018 | \$75.43 | \$102.02 | \$0.00 | \$26.59 | \$0.00 | |
| | 1.00000 | 05/11/2018 | 05/23/2018 | \$4.19 | \$3.37 | \$0.00 | \$0.00 | \$0.82 | |
| | 23.00000 | 05/11/2018 | 05/23/2018 | \$96.39 | \$144.44 | \$0.00 | \$48.05 | \$0.00 | |
| | 1.00000 | 05/11/2018 | 05/23/2018 | \$4.19 | \$6.31 | \$0.00 | \$2.12 | \$0.00 | |

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 ONE DALLAS CENTER
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 Account Name: TILAK MUVVA
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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| GERON CORP CUSIP: 374163103 | 1.00000 | 05/11/2018 | 05/23/2018 | \$4.19 | \$5.62 | \$0.00 | \$1.43 | \$0.00 | |
| | 1.00000 | 05/11/2018 | 05/23/2018 | \$4.19 | \$6.04 | \$0.00 | \$1.85 | \$0.00 | |
| | 200.00000 | 05/22/2018 | 05/23/2018 | \$838.17 | \$820.00 | \$0.00 | \$0.00 | \$18.17 | |
| | 99.00000 | 05/22/2018 | 05/23/2018 | \$414.89 | \$399.96 | \$0.00 | \$0.00 | \$14.93 | |
| | 1.00000 | 05/22/2018 | 06/04/2018 | \$3.78 | \$6.16 | \$0.00 | \$2.38 | \$0.00 | |
| | 18.00000 | 05/23/2018 | 06/04/2018 | \$68.04 | \$110.10 | \$0.00 | \$0.00 | (\$42.06) | |
| | 9.00000 | 05/23/2018 | 06/04/2018 | \$34.02 | \$60.92 | \$0.00 | \$26.90 | \$0.00 | |
| | 1.00000 | 05/23/2018 | 06/04/2018 | \$3.78 | \$6.54 | \$0.00 | \$2.76 | \$0.00 | |
| | 100.00000 | 05/23/2018 | 06/04/2018 | \$377.99 | \$470.00 | \$0.00 | \$0.00 | (\$92.01) | |
| | 15.00000 | 05/23/2018 | 06/04/2018 | \$56.70 | \$97.32 | \$0.00 | \$40.62 | \$0.00 | |
| | 50.00000 | 05/23/2018 | 06/04/2018 | \$189.00 | \$231.99 | \$0.00 | \$0.00 | (\$42.99) | |
| | 1.00000 | 05/23/2018 | 06/04/2018 | \$3.78 | \$6.07 | \$0.00 | \$2.29 | \$0.00 | |
| | 1.00000 | 05/23/2018 | 06/04/2018 | \$3.78 | \$6.49 | \$0.00 | \$2.71 | \$0.00 | |
| | 20.00000 | 05/23/2018 | 06/04/2018 | \$75.60 | \$93.64 | \$0.00 | \$0.00 | (\$18.04) | |
| | 100.00000 | 05/23/2018 | 06/04/2018 | \$377.99 | \$470.00 | \$0.00 | \$0.00 | (\$92.01) | |
| | 23.00000 | 05/23/2018 | 06/04/2018 | \$86.94 | \$154.76 | \$0.00 | \$67.82 | \$0.00 | |
| | 50.00000 | 05/23/2018 | 06/04/2018 | \$189.00 | \$326.39 | \$0.00 | \$137.39 | \$0.00 | |
| | 9.00000 | 05/23/2018 | 06/04/2018 | \$34.02 | \$53.35 | \$0.00 | \$0.00 | (\$19.33) | |
| | 23.00000 | 05/23/2018 | 06/04/2018 | \$86.94 | \$140.70 | \$0.00 | \$0.00 | (\$53.76) | |
| | 50.00000 | 05/23/2018 | 06/04/2018 | \$189.00 | \$236.00 | \$0.00 | \$0.00 | (\$47.00) | |
| | 200.00000 | 05/23/2018 | 06/04/2018 | \$755.98 | \$960.00 | \$0.00 | \$0.00 | (\$204.02) | |
| | 100.00000 | 05/24/2018 | 06/04/2018 | \$377.99 | \$470.00 | \$0.00 | \$0.00 | (\$92.01) | |
| | 99.00000 | 05/29/2018 | 06/04/2018 | \$374.21 | \$449.46 | \$0.00 | \$0.00 | (\$75.25) | |
| | 50.00000 | 05/29/2018 | 06/04/2018 | \$188.99 | \$227.50 | \$0.00 | \$0.00 | (\$38.51) | |
| | 1.00000 | 05/29/2018 | 06/05/2018 | \$3.90 | \$6.92 | \$0.00 | \$0.00 | (\$3.02) | |
| | 50.00000 | 06/04/2018 | 06/05/2018 | \$194.99 | \$331.88 | \$0.00 | \$0.00 | (\$136.89) | |
| | 1.00000 | 06/04/2018 | 06/05/2018 | \$3.90 | \$6.18 | \$0.00 | \$0.00 | (\$2.28) | |
| | 9.00000 | 06/04/2018 | 06/05/2018 | \$35.10 | \$61.91 | \$0.00 | \$0.00 | (\$26.81) | |
| | 1.00000 | 06/04/2018 | 06/05/2018 | \$3.90 | \$6.60 | \$0.00 | \$0.00 | (\$2.70) | |
| | 23.00000 | 06/04/2018 | 06/05/2018 | \$89.69 | \$157.29 | \$0.00 | \$0.00 | (\$67.60) | |

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| GERON CORP CUSIP: 374163103 | 15.00000 | 06/04/2018 | 06/05/2018 | \$58.50 | \$98.97 | \$0.00 | \$0.00 | (\$40.47) | |
| Subtotals | 2,605.00000 | | | \$10,075.70 | \$14,014.63 | \$0.00 | \$2,892.55 | (\$1,046.38) | |
| HTG MOLECULAR DIAGNOSTICS IN COM CUSIP: 40434H104 | 1.00000 | 01/04/2018 | 01/05/2018 | \$3.60 | \$3.18 | \$0.00 | \$0.00 | \$0.42 | |
| | 207.00000 | 01/04/2018 | 01/05/2018 | \$745.16 | \$749.34 | \$0.00 | \$4.18 | \$0.00 | |
| | 1.00000 | 01/04/2018 | 01/08/2018 | \$3.42 | \$3.62 | \$0.00 | \$0.20 | \$0.00 | |
| | 100.00000 | 01/05/2018 | 01/08/2018 | \$341.99 | \$403.98 | \$0.00 | \$0.00 | (\$61.99) | |
| | 100.00000 | 01/05/2018 | 01/08/2018 | \$341.99 | \$447.01 | \$0.00 | \$1.05 | (\$103.97) | |
| | 192.00000 | 01/05/2018 | 01/08/2018 | \$656.61 | \$698.80 | \$0.00 | \$0.00 | (\$42.19) | |
| | 7.00000 | 01/05/2018 | 01/08/2018 | \$23.94 | \$25.62 | \$0.00 | \$0.00 | (\$1.68) | |
| | 1.00000 | 01/05/2018 | 01/11/2018 | \$3.36 | \$3.84 | \$0.00 | \$0.00 | (\$0.48) | |
| | 1.00000 | 01/12/2018 | 01/16/2018 | \$3.59 | \$4.88 | \$0.00 | \$0.00 | (\$1.29) | |
| Subtotals | 610.00000 | | | \$2,123.66 | \$2,340.27 | \$0.00 | \$5.43 | (\$211.18) | |
| HEAT BIOLOGICS INC COMMON STOCK CUSIP: 42237K300 | 9.00000 | 05/01/2018 | 05/22/2018 | \$16.90 | \$32.13 | \$0.00 | \$15.23 | \$0.00 | |
| | 1.00000 | 05/01/2018 | 05/22/2018 | \$1.88 | \$4.93 | \$0.00 | \$3.05 | \$0.00 | |
| | 90.00000 | 05/01/2018 | 05/22/2018 | \$169.20 | \$321.27 | \$0.00 | \$152.07 | \$0.00 | |
| | 1.00000 | 05/01/2018 | 05/22/2018 | \$1.88 | \$3.24 | \$0.00 | \$1.36 | \$0.00 | |
| | 91.00000 | 05/02/2018 | 05/22/2018 | \$171.08 | \$279.37 | \$0.00 | \$108.29 | \$0.00 | |
| | 9.00000 | 05/02/2018 | 05/22/2018 | \$16.92 | \$42.86 | \$0.00 | \$25.94 | \$0.00 | |
| | 150.00000 | 05/08/2018 | 05/22/2018 | \$281.99 | \$199.50 | \$0.00 | \$0.00 | \$82.49 | |
| | 150.00000 | 05/08/2018 | 05/22/2018 | \$281.99 | \$199.50 | \$0.00 | \$0.00 | \$82.49 | |
| | 199.00000 | 05/08/2018 | 05/22/2018 | \$374.11 | \$264.67 | \$0.00 | \$0.00 | \$109.44 | |
| | 1.00000 | 05/08/2018 | 05/23/2018 | \$2.17 | \$4.38 | \$0.00 | \$2.21 | \$0.00 | |
| | 90.00000 | 05/22/2018 | 05/23/2018 | \$195.35 | \$344.67 | \$0.00 | \$149.32 | \$0.00 | |
| | 91.00000 | 05/22/2018 | 05/23/2018 | \$197.52 | \$303.03 | \$0.00 | \$105.51 | \$0.00 | |
| | 9.00000 | 05/22/2018 | 05/23/2018 | \$19.53 | \$45.20 | \$0.00 | \$25.67 | \$0.00 | |
| | 109.00000 | 05/22/2018 | 05/23/2018 | \$236.59 | \$233.26 | \$0.00 | \$0.00 | \$3.33 | |
| | 100.00000 | 05/22/2018 | 05/29/2018 | \$232.05 | \$211.00 | \$0.00 | \$0.00 | \$21.05 | |
| | 9.00000 | 05/22/2018 | 05/29/2018 | \$20.88 | \$46.01 | \$0.00 | \$0.00 | (\$25.13) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| HEAT BIOLOGICS INC | 1.00000 | 05/22/2018 | 05/29/2018 | \$2.32 | \$4.35 | \$0.00 | \$2.03 | \$0.00 | |
| COMMON STOCK | 200.00000 | 05/22/2018 | 05/29/2018 | \$464.11 | \$428.00 | \$0.00 | \$0.00 | \$36.11 | |
| CUSIP: 42237K300 | 10.00000 | 05/22/2018 | 05/29/2018 | \$23.21 | \$22.60 | \$0.00 | \$0.00 | \$0.61 | |
| | 91.00000 | 05/22/2018 | 05/29/2018 | \$211.17 | \$311.17 | \$0.00 | \$0.00 | (\$100.00) | |
| | 90.00000 | 05/22/2018 | 05/29/2018 | \$208.85 | \$352.72 | \$0.00 | \$0.00 | (\$143.87) | |
| | 199.00000 | 05/24/2018 | 05/29/2018 | \$461.79 | \$419.69 | \$0.00 | \$0.00 | \$42.10 | |
| | 1.00000 | 05/24/2018 | 05/31/2018 | \$2.24 | \$4.14 | \$0.00 | \$0.00 | (\$1.90) | |
| Subtotals | 1,701.00000 | | | \$3,593.73 | \$4,077.69 | \$0.00 | \$590.68 | \$106.72 | |
| ***HUYA INC | 1.00000 | 06/19/2018 | 06/21/2018 | \$42.47 | \$45.86 | \$0.00 | \$3.39 | \$0.00 | |
| AMERICAN DEPOSITARY SHARES | 14.00000 | 06/19/2018 | 06/21/2018 | \$594.58 | \$634.20 | \$0.00 | \$39.62 | \$0.00 | |
| ECH REPRSNTNG ONE CL A ORD S | 10.00000 | 06/19/2018 | 06/25/2018 | \$331.99 | \$447.00 | \$0.00 | \$115.01 | \$0.00 | |
| CUSIP: 44852D108 | 25.00000 | 06/19/2018 | 06/25/2018 | \$829.23 | \$1,417.52 | \$0.00 | \$588.29 | \$0.00 | |
| | 5.00000 | 06/19/2018 | 06/25/2018 | \$165.85 | \$284.00 | \$0.00 | \$118.15 | \$0.00 | |
| | 1.00000 | 06/19/2018 | 06/25/2018 | \$33.17 | \$60.19 | \$0.00 | \$27.02 | \$0.00 | |
| | 1.00000 | 06/19/2018 | 06/25/2018 | \$33.20 | \$48.69 | \$0.00 | \$15.49 | \$0.00 | |
| | 14.00000 | 06/19/2018 | 06/25/2018 | \$464.79 | \$665.42 | \$0.00 | \$200.63 | \$0.00 | |
| | 35.00000 | 06/19/2018 | 06/25/2018 | \$1,161.98 | \$1,585.50 | \$0.00 | \$423.52 | \$0.00 | |
| | 1.00000 | 06/20/2018 | 06/25/2018 | \$33.17 | \$43.70 | \$0.00 | \$10.53 | \$0.00 | |
| | 14.00000 | 06/20/2018 | 06/25/2018 | \$464.37 | \$812.43 | \$0.00 | \$348.06 | \$0.00 | |
| | 5.00000 | 06/20/2018 | 06/25/2018 | \$165.85 | \$279.00 | \$0.00 | \$113.15 | \$0.00 | |
| | 10.00000 | 06/20/2018 | 06/25/2018 | \$331.69 | \$552.01 | \$0.00 | \$220.32 | \$0.00 | |
| | 50.00000 | 06/21/2018 | 06/25/2018 | \$1,658.47 | \$1,989.00 | \$0.00 | \$330.53 | \$0.00 | |
| | 10.00000 | 06/21/2018 | 06/25/2018 | \$331.69 | \$421.80 | \$0.00 | \$90.11 | \$0.00 | |
| | 24.00000 | 06/21/2018 | 06/25/2018 | \$796.07 | \$952.32 | \$0.00 | \$156.25 | \$0.00 | |
| | 1.00000 | 06/21/2018 | 07/06/2018 | \$28.64 | \$66.70 | \$0.00 | \$38.06 | \$0.00 | |
| | 7.00000 | 06/29/2018 | 07/06/2018 | \$200.48 | \$287.77 | \$0.00 | \$87.29 | \$0.00 | |
| | 13.00000 | 06/29/2018 | 07/06/2018 | \$372.31 | \$534.44 | \$0.00 | \$162.13 | \$0.00 | |
| | 5.00000 | 06/29/2018 | 07/06/2018 | \$143.20 | \$289.86 | \$0.00 | \$146.66 | \$0.00 | |
| | 1.00000 | 06/29/2018 | 07/06/2018 | \$28.60 | \$63.83 | \$0.00 | \$35.23 | \$0.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ***HUYA INC | 9.00000 | 06/29/2018 | 07/06/2018 | \$257.75 | \$370.00 | \$0.00 | \$112.25 | \$0.00 | |
| AMERICAN DEPOSITARY SHARES | 10.00000 | 06/29/2018 | 07/06/2018 | \$285.49 | \$651.62 | \$0.00 | \$366.13 | \$0.00 | |
| ECH REPRSNTNG ONE CL A ORD S | 4.00000 | 06/29/2018 | 07/06/2018 | \$114.20 | \$255.33 | \$0.00 | \$141.13 | \$0.00 | |
| CUSIP: 44852D108 | 1.00000 | 06/29/2018 | 07/06/2018 | \$28.62 | \$62.22 | \$0.00 | \$33.60 | \$0.00 | |
| | 24.00000 | 06/29/2018 | 07/06/2018 | \$686.38 | \$984.25 | \$0.00 | \$297.87 | \$0.00 | |
| | 5.00000 | 06/29/2018 | 07/06/2018 | \$143.20 | \$217.26 | \$0.00 | \$74.06 | \$0.00 | |
| | 5.00000 | 06/29/2018 | 07/06/2018 | \$143.20 | \$290.35 | \$0.00 | \$147.15 | \$0.00 | |
| | 5.00000 | 06/29/2018 | 07/06/2018 | \$143.20 | \$285.35 | \$0.00 | \$142.15 | \$0.00 | |
| | 4.00000 | 06/29/2018 | 07/06/2018 | \$114.40 | \$254.21 | \$0.00 | \$139.81 | \$0.00 | |
| | 10.00000 | 06/29/2018 | 07/06/2018 | \$286.39 | \$564.72 | \$0.00 | \$278.33 | \$0.00 | |
| | 5.00000 | 06/29/2018 | 07/06/2018 | \$143.20 | \$217.55 | \$0.00 | \$74.35 | \$0.00 | |
| | 14.00000 | 06/29/2018 | 07/06/2018 | \$400.95 | \$830.22 | \$0.00 | \$429.27 | \$0.00 | |
| | 20.00000 | 06/29/2018 | 07/06/2018 | \$572.79 | \$1,159.43 | \$0.00 | \$586.64 | \$0.00 | |
| | 1.00000 | 06/29/2018 | 07/06/2018 | \$28.64 | \$44.97 | \$0.00 | \$16.33 | \$0.00 | |
| | 1.00000 | 06/29/2018 | 07/06/2018 | \$28.55 | \$72.56 | \$0.00 | \$44.01 | \$0.00 | |
| | 5.00000 | 06/29/2018 | 07/06/2018 | \$142.74 | \$319.65 | \$0.00 | \$176.91 | \$0.00 | |
| | 5.00000 | 06/29/2018 | 07/06/2018 | \$143.20 | \$311.11 | \$0.00 | \$167.91 | \$0.00 | |
| | 21.00000 | 06/29/2018 | 07/06/2018 | \$600.59 | \$863.32 | \$0.00 | \$262.73 | \$0.00 | |
| | 1.00000 | 07/03/2018 | 07/06/2018 | \$28.64 | \$48.03 | \$0.00 | \$19.39 | \$0.00 | |
| | 10.00000 | 07/03/2018 | 07/06/2018 | \$286.39 | \$595.33 | \$0.00 | \$308.94 | \$0.00 | |
| | 4.00000 | 07/03/2018 | 07/06/2018 | \$114.56 | \$176.68 | \$0.00 | \$62.12 | \$0.00 | |
| | 5.00000 | 07/03/2018 | 07/06/2018 | \$143.19 | \$232.85 | \$0.00 | \$89.66 | \$0.00 | |
| | 14.00000 | 07/03/2018 | 07/06/2018 | \$400.95 | \$854.45 | \$0.00 | \$453.50 | \$0.00 | |
| | 5.00000 | 07/03/2018 | 07/06/2018 | \$143.20 | \$232.56 | \$0.00 | \$89.36 | \$0.00 | |
| | 5.00000 | 07/03/2018 | 07/06/2018 | \$143.20 | \$300.65 | \$0.00 | \$157.45 | \$0.00 | |
| | 3.00000 | 07/03/2018 | 07/09/2018 | \$88.80 | \$132.51 | \$0.00 | \$43.71 | \$0.00 | |
| | 3.00000 | 07/03/2018 | 07/09/2018 | \$88.80 | \$132.51 | \$0.00 | \$43.71 | \$0.00 | |
| | 5.00000 | 07/05/2018 | 07/09/2018 | \$148.00 | \$331.17 | \$0.00 | \$183.17 | \$0.00 | |
| | 10.00000 | 07/05/2018 | 07/09/2018 | \$295.99 | \$420.92 | \$0.00 | \$124.93 | \$0.00 | |
| | 5.00000 | 07/05/2018 | 07/09/2018 | \$147.99 | \$321.86 | \$0.00 | \$173.87 | \$0.00 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ***HUYA INC | 1.00000 | 07/05/2018 | 07/09/2018 | \$29.60 | \$73.63 | \$0.00 | \$44.03 | \$0.00 | |
| AMERICAN DEPOSITARY SHARES | 8.00000 | 07/05/2018 | 07/09/2018 | \$236.79 | \$332.01 | \$0.00 | \$95.22 | \$0.00 | |
| ECH REPRSNTNG ONE CL A ORD S | 1.00000 | 07/05/2018 | 07/09/2018 | \$29.60 | \$64.22 | \$0.00 | \$34.62 | \$0.00 | |
| CUSIP: 44852D108 | 4.00000 | 07/05/2018 | 07/09/2018 | \$118.40 | \$257.09 | \$0.00 | \$138.69 | \$0.00 | |
| | 5.00000 | 07/05/2018 | 07/09/2018 | \$148.00 | \$328.01 | \$0.00 | \$180.01 | \$0.00 | |
| | 9.00000 | 07/05/2018 | 07/09/2018 | \$266.39 | \$378.83 | \$0.00 | \$112.44 | \$0.00 | |
| | 1.00000 | 07/05/2018 | 07/11/2018 | \$33.88 | \$41.50 | \$0.00 | \$7.62 | \$0.00 | |
| | 12.00000 | 07/10/2018 | 07/11/2018 | \$406.55 | \$540.85 | \$0.00 | \$55.96 | (\$78.34) | |
| | 13.00000 | 07/10/2018 | 07/11/2018 | \$440.43 | \$584.63 | \$0.00 | \$0.00 | (\$144.20) | |
| | 1.00000 | 07/10/2018 | 07/11/2018 | \$33.88 | \$65.69 | \$0.00 | \$0.00 | (\$31.81) | |
| | 10.00000 | 07/10/2018 | 07/11/2018 | \$338.79 | \$445.01 | \$0.00 | \$0.00 | (\$106.22) | |
| | 4.00000 | 07/10/2018 | 07/11/2018 | \$135.52 | \$257.93 | \$0.00 | \$0.00 | (\$122.41) | |
| | 5.00000 | 07/10/2018 | 07/11/2018 | \$169.40 | \$328.36 | \$0.00 | \$0.00 | (\$158.96) | |
| | 1.00000 | 07/10/2018 | 07/11/2018 | \$35.64 | \$44.50 | \$0.00 | \$0.00 | (\$8.86) | |
| | 4.00000 | 07/10/2018 | 07/11/2018 | \$135.52 | \$268.17 | \$0.00 | \$0.00 | (\$132.65) | |
| | 10.00000 | 07/11/2018 | 07/11/2018 | \$356.45 | \$668.88 | \$0.00 | \$0.00 | (\$312.43) | |
| | 4.00000 | 07/11/2018 | 07/11/2018 | \$142.58 | \$206.10 | \$0.00 | \$0.00 | (\$63.52) | |
| | 1.00000 | 07/11/2018 | 07/11/2018 | \$35.64 | \$80.02 | \$0.00 | \$0.00 | (\$44.38) | |
| | 10.00000 | 07/11/2018 | 07/11/2018 | \$356.45 | \$683.87 | \$0.00 | \$0.00 | (\$327.42) | |
| | 5.00000 | 07/11/2018 | 07/11/2018 | \$178.22 | \$359.99 | \$0.00 | \$0.00 | (\$181.77) | |
| | 5.00000 | 07/11/2018 | 07/11/2018 | \$178.22 | \$353.84 | \$0.00 | \$0.00 | (\$175.62) | |
| | 5.00000 | 07/11/2018 | 07/11/2018 | \$178.22 | \$337.42 | \$0.00 | \$0.00 | (\$159.20) | |
| | 3.00000 | 07/11/2018 | 07/11/2018 | \$106.93 | \$151.69 | \$0.00 | \$0.00 | (\$44.76) | |
| | 5.00000 | 07/11/2018 | 07/11/2018 | \$178.23 | \$269.33 | \$0.00 | \$0.00 | (\$91.10) | |
| | 1.00000 | 07/11/2018 | 07/11/2018 | \$35.64 | \$43.61 | \$0.00 | \$0.00 | (\$7.97) | |
| | 5.00000 | 07/11/2018 | 07/11/2018 | \$178.22 | \$269.63 | \$0.00 | \$0.00 | (\$91.41) | |
| | 4.00000 | 07/11/2018 | 07/11/2018 | \$142.58 | \$188.75 | \$0.00 | \$0.00 | (\$46.17) | |
| | 1.00000 | 07/11/2018 | 07/11/2018 | \$35.64 | \$55.38 | \$0.00 | \$0.00 | (\$19.74) | |
| | 3.00000 | 07/11/2018 | 07/11/2018 | \$106.93 | \$151.69 | \$0.00 | \$0.00 | (\$44.76) | |
| | 10.00000 | 07/11/2018 | 07/11/2018 | \$356.45 | \$484.88 | \$0.00 | \$0.00 | (\$128.43) | |

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ***HUYA INC | 4.00000 | 07/11/2018 | 07/11/2018 | \$142.58 | \$282.67 | \$0.00 | \$0.00 | (\$140.09) | |
| AMERICAN DEPOSITARY SHARES | 5.00000 | 07/11/2018 | 07/11/2018 | \$178.22 | \$363.14 | \$0.00 | \$0.00 | (\$184.92) | |
| ECH REPRSTNG ONE CL A ORD S | 9.00000 | 07/11/2018 | 07/11/2018 | \$320.80 | \$436.39 | \$0.00 | \$0.00 | (\$115.59) | |
| CUSIP: 44852D108 | 8.00000 | 07/11/2018 | 07/11/2018 | \$285.16 | \$383.18 | \$0.00 | \$0.00 | (\$98.02) | |
| | 1.00000 | 07/11/2018 | 07/11/2018 | \$35.64 | \$70.62 | \$0.00 | \$0.00 | (\$34.98) | |
| Subtotals | 644.00000 | | | \$20,592.19 | \$32,866.39 | \$0.00 | \$9,178.47 | (\$3,095.73) | |
| ITUS CORPORATION | 9.00000 | 01/11/2018 | 01/24/2018 | \$50.38 | \$32.03 | \$0.00 | \$0.00 | \$18.35 | |
| COM NEW | 1.00000 | 01/11/2018 | 01/24/2018 | \$5.60 | \$3.56 | \$0.00 | \$0.00 | \$2.04 | |
| CUSIP: 45069V203 | 1.00000 | 01/11/2018 | 01/24/2018 | \$5.60 | \$3.65 | \$0.00 | \$0.00 | \$1.95 | |
| | 50.00000 | 01/12/2018 | 01/24/2018 | \$279.98 | \$183.50 | \$0.00 | \$0.00 | \$96.48 | |
| | 6.00000 | 01/12/2018 | 01/24/2018 | \$33.60 | \$23.88 | \$0.00 | \$0.00 | \$9.72 | |
| | 52.00000 | 01/12/2018 | 01/30/2018 | \$208.51 | \$211.12 | \$0.00 | \$2.61 | \$0.00 | |
| | 9.00000 | 01/12/2018 | 01/30/2018 | \$36.09 | \$35.81 | \$0.00 | \$0.00 | \$0.28 | |
| | 10.00000 | 01/16/2018 | 01/30/2018 | \$40.10 | \$43.40 | \$0.00 | \$3.30 | \$0.00 | |
| | 25.00000 | 01/16/2018 | 01/30/2018 | \$100.25 | \$109.75 | \$0.00 | \$9.50 | \$0.00 | |
| | 75.00000 | 01/16/2018 | 01/30/2018 | \$300.73 | \$337.50 | \$0.00 | \$36.77 | \$0.00 | |
| | 6.00000 | 01/17/2018 | 01/30/2018 | \$24.00 | \$29.58 | \$0.00 | \$5.58 | \$0.00 | |
| | 6.00000 | 01/17/2018 | 01/30/2018 | \$24.00 | \$28.62 | \$0.00 | \$4.62 | \$0.00 | |
| | 29.00000 | 01/17/2018 | 01/30/2018 | \$116.28 | \$133.40 | \$0.00 | \$17.12 | \$0.00 | |
| | 69.00000 | 01/17/2018 | 01/30/2018 | \$275.98 | \$349.16 | \$0.00 | \$73.18 | \$0.00 | |
| | 31.00000 | 01/17/2018 | 01/30/2018 | \$123.99 | \$143.23 | \$0.00 | \$19.24 | \$0.00 | |
| | 21.00000 | 01/17/2018 | 01/30/2018 | \$84.00 | \$97.65 | \$0.00 | \$13.65 | \$0.00 | |
| | 4.00000 | 01/19/2018 | 01/30/2018 | \$16.00 | \$18.44 | \$0.00 | \$2.44 | \$0.00 | |
| | 29.00000 | 01/19/2018 | 01/30/2018 | \$115.99 | \$140.37 | \$0.00 | \$0.00 | (\$24.38) | |
| | 17.00000 | 01/19/2018 | 01/30/2018 | \$68.00 | \$72.25 | \$0.00 | \$0.00 | (\$4.25) | |
| | 4.00000 | 01/19/2018 | 01/30/2018 | \$16.00 | \$18.52 | \$0.00 | \$0.00 | (\$2.52) | |
| | 1.00000 | 01/19/2018 | 01/30/2018 | \$4.00 | \$4.29 | \$0.00 | \$0.00 | (\$0.29) | |
| | 10.00000 | 01/19/2018 | 01/30/2018 | \$40.00 | \$42.89 | \$0.00 | \$0.00 | (\$2.89) | |
| | 21.00000 | 01/19/2018 | 01/30/2018 | \$83.99 | \$97.86 | \$0.00 | \$11.89 | (\$1.98) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ITUS CORPORATION COM NEW CUSIP: 45069V203 | 81.00000 | 01/22/2018 | 01/30/2018 | \$323.98 | \$383.94 | \$0.00 | \$0.00 | (\$59.96) | |
| | 14.00000 | 01/26/2018 | 01/31/2018 | \$54.19 | \$88.49 | \$0.00 | \$0.00 | (\$34.30) | |
| | 21.00000 | 01/26/2018 | 01/31/2018 | \$81.28 | \$133.35 | \$0.00 | \$0.00 | (\$52.07) | |
| | 6.00000 | 01/29/2018 | 01/31/2018 | \$23.22 | \$29.70 | \$0.00 | \$0.00 | (\$6.48) | |
| | 6.00000 | 01/29/2018 | 01/31/2018 | \$23.22 | \$30.66 | \$0.00 | \$0.00 | (\$7.44) | |
| | 4.00000 | 01/29/2018 | 01/31/2018 | \$15.48 | \$19.16 | \$0.00 | \$0.00 | (\$3.68) | |
| | 17.00000 | 01/29/2018 | 01/31/2018 | \$65.80 | \$81.61 | \$0.00 | \$0.00 | (\$15.81) | |
| | 69.00000 | 01/29/2018 | 01/31/2018 | \$267.06 | \$361.60 | \$0.00 | \$0.00 | (\$94.54) | |
| | 18.00000 | 01/29/2018 | 01/31/2018 | \$69.68 | \$87.13 | \$0.00 | \$0.00 | (\$17.45) | |
| | 1.00000 | 03/05/2018 | 03/22/2018 | \$4.38 | \$3.69 | \$0.00 | \$0.00 | \$0.69 | |
| Subtotals | 723.00000 | | | \$2,981.36 | \$3,379.79 | \$0.00 | \$199.90 | (\$198.53) | |
| IMMERSION CORP CUSIP: 452521107 | 1.00000 | 06/13/2018 | 06/27/2018 | \$15.53 | \$17.65 | \$0.00 | \$0.00 | (\$2.12) | |
| INFINITY PHARMACEUTICALS INC CUSIP: 45665G303 | 1.00000 | 03/12/2018 | 03/13/2018 | \$2.46 | \$2.52 | \$0.00 | \$0.00 | (\$0.06) | |
| INNOVATE BIOPHARMACEUTICALS INC COMMON STOCK CUSIP: 45782F105 | 99.00000 | 07/02/2018 | 07/03/2018 | \$2,727.76 | \$2,642.31 | \$0.00 | \$0.00 | \$85.45 | |
| | 1.00000 | 07/02/2018 | 07/03/2018 | \$27.55 | \$25.99 | \$0.00 | \$0.00 | \$1.56 | |
| | 1.00000 | 07/02/2018 | 07/05/2018 | \$20.28 | \$26.69 | \$0.00 | \$6.41 | \$0.00 | |
| | 50.00000 | 07/03/2018 | 07/05/2018 | \$1,013.93 | \$1,325.00 | \$0.00 | \$0.00 | (\$311.07) | |
| | 10.00000 | 07/03/2018 | 07/05/2018 | \$202.79 | \$271.30 | \$0.00 | \$0.00 | (\$68.51) | |
| | 10.00000 | 07/03/2018 | 07/05/2018 | \$202.78 | \$261.80 | \$0.00 | \$0.00 | (\$59.02) | |
| | 50.00000 | 07/03/2018 | 07/05/2018 | \$1,013.93 | \$1,325.00 | \$0.00 | \$0.00 | (\$311.07) | |
| | 9.00000 | 07/03/2018 | 07/05/2018 | \$182.51 | \$232.74 | \$0.00 | \$0.00 | (\$50.23) | |
| | 50.00000 | 07/03/2018 | 07/05/2018 | \$1,013.93 | \$1,365.00 | \$0.00 | \$0.00 | (\$351.07) | |
| Subtotals | 280.00000 | | | \$6,405.46 | \$7,475.83 | \$0.00 | \$6.41 | (\$1,063.96) | |
| INSYS THERAPEUTICS INC CUSIP: 45824V209 | 100.00000 | 01/02/2018 | 01/03/2018 | \$1,322.96 | \$1,155.96 | \$0.00 | \$0.00 | \$167.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ***QIYI INC | 49.00000 | 05/24/2018 | 05/29/2018 | \$1,122.57 | \$1,134.35 | \$0.00 | \$11.78 | \$0.00 | |
| AMERICAN DEPOSITORY SHARES | 1.00000 | 05/24/2018 | 05/29/2018 | \$22.81 | \$23.83 | \$0.00 | \$1.02 | \$0.00 | |
| CUSIP: 46267X108 | 49.00000 | 05/24/2018 | 05/29/2018 | \$1,117.71 | \$1,146.13 | \$0.00 | \$28.42 | \$0.00 | |
| | 1.00000 | 05/24/2018 | 05/29/2018 | \$22.91 | \$23.59 | \$0.00 | \$0.68 | \$0.00 | |
| | 1.00000 | 05/24/2018 | 06/07/2018 | \$30.35 | \$24.17 | \$0.00 | \$0.00 | \$6.18 | |
| | 1.00000 | 05/31/2018 | 06/07/2018 | \$30.35 | \$25.39 | \$0.00 | \$0.00 | \$4.96 | |
| | 25.00000 | 05/31/2018 | 06/07/2018 | \$758.78 | \$634.75 | \$0.00 | \$0.00 | \$124.03 | |
| | 49.00000 | 05/31/2018 | 06/07/2018 | \$1,487.20 | \$1,272.53 | \$0.00 | \$0.00 | \$214.67 | |
| | 20.00000 | 05/31/2018 | 06/07/2018 | \$607.02 | \$506.80 | \$0.00 | \$0.00 | \$100.22 | |
| | 25.00000 | 05/31/2018 | 06/07/2018 | \$758.78 | \$640.00 | \$0.00 | \$0.00 | \$118.78 | |
| | 25.00000 | 05/31/2018 | 06/07/2018 | \$758.78 | \$638.00 | \$0.00 | \$0.00 | \$120.78 | |
| | 26.00000 | 06/04/2018 | 06/07/2018 | \$782.92 | \$755.04 | \$0.00 | \$0.00 | \$27.88 | |
| | 4.00000 | 06/04/2018 | 06/07/2018 | \$121.40 | \$116.16 | \$0.00 | \$0.00 | \$5.24 | |
| | 50.00000 | 06/04/2018 | 06/07/2018 | \$1,517.56 | \$1,470.00 | \$0.00 | \$0.00 | \$47.56 | |
| | 24.00000 | 06/05/2018 | 06/07/2018 | \$722.69 | \$693.60 | \$0.00 | \$0.00 | \$29.09 | |
| | 1.00000 | 06/05/2018 | 06/08/2018 | \$33.55 | \$28.90 | \$0.00 | \$0.00 | \$4.65 | |
| | 25.00000 | 06/07/2018 | 06/08/2018 | \$837.74 | \$741.25 | \$0.00 | \$0.00 | \$96.49 | |
| | 9.00000 | 06/08/2018 | 06/08/2018 | \$301.59 | \$302.40 | \$0.00 | \$0.81 | \$0.00 | |
| | 100.00000 | 06/08/2018 | 06/08/2018 | \$3,350.98 | \$3,332.92 | \$0.00 | \$0.00 | \$18.06 | |
| | 100.00000 | 06/08/2018 | 06/08/2018 | \$3,350.98 | \$3,390.00 | \$0.00 | \$39.02 | \$0.00 | |
| | 1.00000 | 06/08/2018 | 06/14/2018 | \$39.30 | \$33.99 | \$0.00 | \$0.00 | \$5.31 | |
| | 9.00000 | 06/11/2018 | 06/14/2018 | \$353.69 | \$306.81 | \$0.00 | \$0.00 | \$46.88 | |
| | 42.00000 | 06/11/2018 | 06/14/2018 | \$1,650.58 | \$1,405.32 | \$0.00 | \$0.00 | \$245.26 | |
| | 20.00000 | 06/11/2018 | 06/14/2018 | \$785.99 | \$684.00 | \$0.00 | \$0.00 | \$101.99 | |
| | 20.00000 | 06/11/2018 | 06/14/2018 | \$785.99 | \$678.00 | \$0.00 | \$0.00 | \$107.99 | |
| | 9.00000 | 06/11/2018 | 06/14/2018 | \$353.70 | \$301.95 | \$0.00 | \$0.00 | \$51.75 | |
| | 50.00000 | 06/11/2018 | 06/14/2018 | \$1,964.97 | \$1,692.51 | \$0.00 | \$0.00 | \$272.46 | |
| | 49.00000 | 06/11/2018 | 06/14/2018 | \$1,925.67 | \$1,658.66 | \$0.00 | \$0.00 | \$267.01 | |
| | 1.00000 | 06/11/2018 | 06/18/2018 | \$43.70 | \$34.09 | \$0.00 | \$0.00 | \$9.61 | |
| | 20.00000 | 06/12/2018 | 06/18/2018 | \$873.98 | \$680.00 | \$0.00 | \$0.00 | \$193.98 | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ***QIYI INC | 41.00000 | 06/14/2018 | 06/18/2018 | \$1,791.67 | \$1,688.79 | \$0.00 | \$0.00 | \$102.88 | |
| AMERICAN DEPOSITORY SHARES | 9.00000 | 06/14/2018 | 06/18/2018 | \$393.29 | \$370.71 | \$0.00 | \$0.00 | \$22.58 | |
| CUSIP: 46267X108 | 40.00000 | 06/15/2018 | 06/18/2018 | \$1,747.97 | \$1,594.40 | \$0.00 | \$0.00 | \$153.57 | |
| | 1.00000 | 06/15/2018 | 06/19/2018 | \$44.15 | \$39.44 | \$0.00 | \$0.00 | \$4.71 | |
| | 24.00000 | 06/19/2018 | 06/19/2018 | \$1,059.50 | \$1,032.00 | \$0.00 | \$0.00 | \$27.50 | |
| | 26.00000 | 06/19/2018 | 06/19/2018 | \$1,147.62 | \$1,118.00 | \$0.00 | \$0.00 | \$29.62 | |
| | 49.00000 | 06/19/2018 | 06/19/2018 | \$2,162.82 | \$2,243.86 | \$0.00 | \$81.04 | \$0.00 | |
| | 1.00000 | 06/19/2018 | 07/09/2018 | \$30.57 | \$44.10 | \$0.00 | \$13.53 | \$0.00 | |
| | 1.00000 | 06/19/2018 | 07/09/2018 | \$30.57 | \$45.79 | \$0.00 | \$15.22 | \$0.00 | |
| | 25.00000 | 06/19/2018 | 07/09/2018 | \$764.32 | \$1,065.00 | \$0.00 | \$0.00 | (\$300.68) | |
| | 25.00000 | 06/19/2018 | 07/09/2018 | \$764.32 | \$1,066.25 | \$0.00 | \$0.00 | (\$301.93) | |
| | 20.00000 | 06/19/2018 | 07/09/2018 | \$611.46 | \$837.00 | \$0.00 | \$0.00 | (\$225.54) | |
| | 5.00000 | 06/19/2018 | 07/09/2018 | \$152.87 | \$212.25 | \$0.00 | \$0.00 | (\$59.38) | |
| | 50.00000 | 06/19/2018 | 07/09/2018 | \$1,528.65 | \$2,175.00 | \$0.00 | \$646.35 | \$0.00 | |
| | 49.00000 | 06/19/2018 | 07/09/2018 | \$1,498.08 | \$2,241.94 | \$0.00 | \$743.86 | \$0.00 | |
| | 25.00000 | 06/21/2018 | 07/09/2018 | \$764.33 | \$982.50 | \$0.00 | \$0.00 | (\$218.17) | |
| | 25.00000 | 06/21/2018 | 07/09/2018 | \$764.32 | \$1,010.25 | \$0.00 | \$0.00 | (\$245.93) | |
| | 25.00000 | 06/22/2018 | 07/09/2018 | \$764.32 | \$904.25 | \$0.00 | \$0.00 | (\$139.93) | |
| | 10.00000 | 06/25/2018 | 07/09/2018 | \$305.73 | \$326.80 | \$0.00 | \$0.00 | (\$21.07) | |
| | 10.00000 | 06/25/2018 | 07/09/2018 | \$305.73 | \$327.00 | \$0.00 | \$0.00 | (\$21.27) | |
| | 10.00000 | 06/25/2018 | 07/09/2018 | \$305.73 | \$333.00 | \$0.00 | \$0.00 | (\$27.27) | |
| | 1.00000 | 06/27/2018 | 07/09/2018 | \$30.57 | \$31.23 | \$0.00 | \$0.00 | (\$0.66) | |
| | 10.00000 | 06/27/2018 | 07/09/2018 | \$305.73 | \$311.00 | \$0.00 | \$0.00 | (\$5.27) | |
| | 8.00000 | 07/05/2018 | 07/09/2018 | \$244.59 | \$248.00 | \$0.00 | \$0.00 | (\$3.41) | |
| | 10.00000 | 07/06/2018 | 07/09/2018 | \$305.73 | \$298.80 | \$0.00 | \$0.00 | \$6.93 | |
| | 1.00000 | 07/06/2018 | 07/12/2018 | \$35.00 | \$45.10 | \$0.00 | \$0.00 | (\$10.10) | |
| | 49.00000 | 07/10/2018 | 07/12/2018 | \$1,714.97 | \$2,281.87 | \$0.00 | \$0.00 | (\$566.90) | |
| | 49.00000 | 07/10/2018 | 07/12/2018 | \$1,714.97 | \$2,171.43 | \$0.00 | \$0.00 | (\$456.46) | |
| | 1.00000 | 07/10/2018 | 07/12/2018 | \$35.00 | \$44.92 | \$0.00 | \$0.00 | (\$9.92) | |
| | 1.00000 | 07/10/2018 | 07/30/2018 | \$31.60 | \$44.31 | \$0.00 | \$0.00 | (\$12.71) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| Subtotals | 1,407.00000 | | | \$47,870.42 | \$49,510.13 | \$0.00 | \$1,581.73 | (\$57.98) | |
| JAGUAR HEALTH INC COMMON STOCK CUSIP: 47010C201 | 100.00000 | 03/08/2018 | 03/09/2018 | \$31.90 | \$16.80 | \$0.00 | \$0.00 | \$15.10 | |
| | 100.00000 | 03/08/2018 | 03/09/2018 | \$31.89 | \$16.80 | \$0.00 | \$0.00 | \$15.09 | |
| | 100.00000 | 03/08/2018 | 03/12/2018 | \$22.69 | \$16.80 | \$0.00 | \$0.00 | \$5.89 | |
| | 188.00000 | 03/08/2018 | 03/12/2018 | \$42.65 | \$31.58 | \$0.00 | \$0.00 | \$11.07 | |
| | 100.00000 | 03/08/2018 | 03/12/2018 | \$22.69 | \$16.80 | \$0.00 | \$0.00 | \$5.89 | |
| | 350.00000 | 03/08/2018 | 03/12/2018 | \$79.40 | \$58.77 | \$0.00 | \$0.00 | \$20.63 | |
| | 462.00000 | 03/08/2018 | 03/12/2018 | \$104.81 | \$77.62 | \$0.00 | \$0.00 | \$27.19 | |
| | 400.00000 | 03/08/2018 | 03/12/2018 | \$90.75 | \$67.20 | \$0.00 | \$0.00 | \$23.55 | |
| | 100.00000 | 03/08/2018 | 03/12/2018 | \$22.69 | \$16.80 | \$0.00 | \$0.00 | \$5.89 | |
| | 100.00000 | 03/08/2018 | 03/12/2018 | \$22.69 | \$16.80 | \$0.00 | \$0.00 | \$5.89 | |
| | 1.00000 | 03/09/2018 | 03/12/2018 | \$0.21 | \$0.32 | \$0.00 | \$0.11 | \$0.00 | |
| | 1.00000 | 03/13/2018 | 04/05/2018 | \$0.16 | \$0.36 | \$0.00 | \$0.00 | (\$0.20) | |
| Subtotals | 2,002.00000 | | | \$472.53 | \$336.65 | \$0.00 | \$0.11 | \$135.99 | |
| JUNO THERAPEUTICS INC COM CUSIP: 48205A109 | 8.00000 | 01/17/2018 | 01/19/2018 | \$552.21 | \$553.44 | \$0.00 | \$0.00 | (\$1.23) | |
| KEMET CORP COM CUSIP: 488360207 | 2.00000 | 03/06/2018 | 03/09/2018 | \$39.04 | \$36.02 | \$0.00 | \$0.00 | \$3.02 | |
| | 8.00000 | 03/06/2018 | 03/09/2018 | \$156.14 | \$143.68 | \$0.00 | \$0.00 | \$12.46 | |
| | 50.00000 | 03/19/2018 | 03/21/2018 | \$1,001.00 | \$962.50 | \$0.00 | \$0.00 | \$38.50 | |
| | 1.00000 | 07/11/2018 | 08/13/2018 | \$24.15 | \$28.23 | \$0.00 | \$0.00 | (\$4.08) | |
| Subtotals | 61.00000 | | | \$1,220.33 | \$1,170.43 | \$0.00 | \$0.00 | \$49.90 | |
| LM FUNDING AMERICA INC COM CUSIP: 502074107 | 500.00000 | 01/09/2018 | 01/10/2018 | \$765.12 | \$844.70 | \$0.00 | \$0.00 | (\$79.58) | |
| LIGHTBRIDGE CORPORATION COM CUSIP: 53224K203 | 83.00000 | 01/24/2018 | 01/25/2018 | \$259.77 | \$317.06 | \$0.00 | \$0.00 | (\$57.29) | |
| | 14.00000 | 01/24/2018 | 01/25/2018 | \$43.82 | \$53.76 | \$0.00 | \$0.00 | (\$9.94) | |
| Subtotals | 97.00000 | | | \$303.59 | \$370.82 | \$0.00 | \$0.00 | (\$67.23) | |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|--|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| LONGFIN CORP | 15.00000 | 04/05/2018 | 05/24/2018 | \$99.00 | \$256.80 | \$0.00 | \$0.00 | (\$157.80) | |
| CL A CM STK COMMON STOCK CUSIP: 54304F106 | 75.00000 | 04/05/2018 | 05/24/2018 | \$494.98 | \$1,284.00 | \$0.00 | \$0.00 | (\$789.02) | |
| Subtotals | 90.00000 | | | \$593.98 | \$1,540.80 | \$0.00 | \$0.00 | (\$946.82) | |
| MEXCO ENERGY CORP CUSIP: 592770101 | 150.00000 | 01/03/2018 | 01/04/2018 | \$757.48 | \$778.50 | \$0.00 | \$0.00 | (\$21.02) | |
| MICRON TECHNOLOGY INC CUSIP: 595112103 | 30.00000 | 03/16/2018 | 04/12/2018 | \$1,578.11 | \$1,816.50 | \$0.00 | \$238.39 | \$0.00 | |
| | 20.00000 | 03/22/2018 | 04/12/2018 | \$1,052.07 | \$1,085.00 | \$0.00 | \$32.93 | \$0.00 | |
| | 10.00000 | 03/22/2018 | 04/12/2018 | \$526.04 | \$579.50 | \$0.00 | \$53.46 | \$0.00 | |
| | 10.00000 | 03/27/2018 | 04/12/2018 | \$526.04 | \$545.50 | \$0.00 | \$19.46 | \$0.00 | |
| | 30.00000 | 03/27/2018 | 04/12/2018 | \$1,578.10 | \$1,576.05 | \$0.00 | \$0.00 | \$2.05 | |
| | 25.00000 | 03/28/2018 | 04/18/2018 | \$1,348.71 | \$1,483.66 | \$0.00 | \$134.95 | \$0.00 | |
| | 5.00000 | 04/13/2018 | 04/18/2018 | \$269.74 | \$300.93 | \$0.00 | \$31.19 | \$0.00 | |
| | 15.00000 | 04/13/2018 | 04/18/2018 | \$809.23 | \$808.30 | \$0.00 | \$0.00 | \$0.93 | |
| | 10.00000 | 04/13/2018 | 04/18/2018 | \$539.49 | \$575.86 | \$0.00 | \$36.37 | \$0.00 | |
| | 5.00000 | 04/17/2018 | 04/18/2018 | \$269.74 | \$268.23 | \$0.00 | \$0.00 | \$1.51 | |
| | 10.00000 | 04/17/2018 | 05/10/2018 | \$518.49 | \$539.46 | \$0.00 | \$20.97 | \$0.00 | |
| | 9.00000 | 04/17/2018 | 05/10/2018 | \$466.64 | \$500.28 | \$0.00 | \$33.64 | \$0.00 | |
| | 5.00000 | 04/17/2018 | 05/10/2018 | \$259.24 | \$290.94 | \$0.00 | \$31.70 | \$0.00 | |
| | 10.00000 | 04/17/2018 | 05/10/2018 | \$518.48 | \$573.48 | \$0.00 | \$55.00 | \$0.00 | |
| | 15.00000 | 04/17/2018 | 05/10/2018 | \$777.73 | \$860.97 | \$0.00 | \$83.24 | \$0.00 | |
| | 15.00000 | 04/19/2018 | 05/10/2018 | \$786.87 | \$854.39 | \$0.00 | \$67.52 | \$0.00 | |
| | 19.00000 | 04/19/2018 | 05/10/2018 | \$985.12 | \$976.79 | \$0.00 | \$0.00 | \$8.33 | |
| | 5.00000 | 04/19/2018 | 05/10/2018 | \$262.29 | \$284.55 | \$0.00 | \$22.26 | \$0.00 | |
| | 1.00000 | 04/19/2018 | 05/10/2018 | \$51.85 | \$55.05 | \$0.00 | \$3.20 | \$0.00 | |
| | 6.00000 | 04/19/2018 | 05/11/2018 | \$313.49 | \$308.46 | \$0.00 | \$0.00 | \$5.03 | |
| | 10.00000 | 04/19/2018 | 05/11/2018 | \$522.49 | \$535.07 | \$0.00 | \$12.58 | \$0.00 | |
| | 9.00000 | 04/19/2018 | 05/11/2018 | \$470.24 | \$496.33 | \$0.00 | \$26.09 | \$0.00 | |
| | 5.00000 | 04/19/2018 | 05/11/2018 | \$261.24 | \$284.55 | \$0.00 | \$23.31 | \$0.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| MICRON TECHNOLOGY INC CUSIP: 595112103 | 5.00000 | 04/19/2018 | 05/11/2018 | \$261.24 | \$288.75 | \$0.00 | \$27.51 | \$0.00 | |
| | 9.00000 | 04/30/2018 | 05/11/2018 | \$470.24 | \$452.21 | \$0.00 | \$0.00 | \$18.03 | |
| | 1.00000 | 04/30/2018 | 05/11/2018 | \$52.25 | \$48.95 | \$0.00 | \$0.00 | \$3.30 | |
| | 14.00000 | 05/08/2018 | 05/11/2018 | \$731.48 | \$679.84 | \$0.00 | \$0.00 | \$51.64 | |
| | 5.00000 | 05/08/2018 | 05/11/2018 | \$261.24 | \$265.06 | \$0.00 | \$3.82 | \$0.00 | |
| | 9.00000 | 05/08/2018 | 05/11/2018 | \$470.24 | \$437.04 | \$0.00 | \$0.00 | \$33.20 | |
| | 6.00000 | 05/08/2018 | 05/11/2018 | \$313.49 | \$318.37 | \$0.00 | \$4.88 | \$0.00 | |
| | 1.00000 | 05/08/2018 | 05/22/2018 | \$58.91 | \$53.22 | \$0.00 | \$0.00 | \$5.69 | |
| | 9.00000 | 05/17/2018 | 05/22/2018 | \$530.22 | \$531.44 | \$0.00 | \$1.22 | \$0.00 | |
| | 2.00000 | 05/17/2018 | 05/22/2018 | \$117.83 | \$114.82 | \$0.00 | \$0.00 | \$3.01 | |
| | 5.00000 | 05/17/2018 | 05/22/2018 | \$294.57 | \$308.26 | \$0.00 | \$13.69 | \$0.00 | |
| | 4.00000 | 05/17/2018 | 05/22/2018 | \$235.66 | \$243.25 | \$0.00 | \$7.59 | \$0.00 | |
| | 6.00000 | 05/21/2018 | 05/22/2018 | \$353.48 | \$337.25 | \$0.00 | \$0.00 | \$16.23 | |
| | 5.00000 | 05/21/2018 | 05/22/2018 | \$294.57 | \$280.79 | \$0.00 | \$0.00 | \$13.78 | |
| | 8.00000 | 05/21/2018 | 05/22/2018 | \$471.31 | \$453.22 | \$0.00 | \$0.00 | \$18.09 | |
| | 1.00000 | 05/21/2018 | 05/23/2018 | \$59.03 | \$57.30 | \$0.00 | \$0.00 | \$1.73 | |
| | 13.00000 | 05/30/2018 | 06/21/2018 | \$795.20 | \$835.77 | \$0.00 | \$40.57 | \$0.00 | |
| | 9.00000 | 05/30/2018 | 06/21/2018 | \$550.44 | \$593.34 | \$0.00 | \$0.00 | (\$42.90) | |
| | 49.00000 | 05/30/2018 | 06/21/2018 | \$2,997.28 | \$3,027.22 | \$0.00 | \$29.94 | \$0.00 | |
| | 2.00000 | 05/30/2018 | 06/21/2018 | \$122.32 | \$135.37 | \$0.00 | \$0.00 | (\$13.05) | |
| | 9.00000 | 05/30/2018 | 06/21/2018 | \$550.52 | \$579.83 | \$0.00 | \$29.31 | \$0.00 | |
| | 3.00000 | 05/30/2018 | 06/21/2018 | \$183.51 | \$198.56 | \$0.00 | \$15.05 | \$0.00 | |
| | 5.00000 | 05/30/2018 | 06/21/2018 | \$305.80 | \$342.64 | \$0.00 | \$0.00 | (\$36.84) | |
| | 1.00000 | 05/30/2018 | 06/21/2018 | \$61.16 | \$66.80 | \$0.00 | \$5.64 | \$0.00 | |
| | 5.00000 | 05/30/2018 | 06/21/2018 | \$305.85 | \$335.14 | \$0.00 | \$29.29 | \$0.00 | |
| | 20.00000 | 05/30/2018 | 06/21/2018 | \$1,223.38 | \$1,280.00 | \$0.00 | \$56.62 | \$0.00 | |
| | 1.00000 | 05/30/2018 | 06/21/2018 | \$61.17 | \$61.73 | \$0.00 | \$0.56 | \$0.00 | |
| | 8.00000 | 05/30/2018 | 06/21/2018 | \$489.28 | \$526.33 | \$0.00 | \$0.00 | (\$37.05) | |
| | 24.00000 | 05/31/2018 | 06/21/2018 | \$1,467.84 | \$1,445.78 | \$0.00 | \$0.00 | \$22.06 | |
| | 20.00000 | 05/31/2018 | 06/21/2018 | \$1,223.20 | \$1,249.22 | \$0.00 | \$0.00 | (\$26.02) | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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|--|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| MICRON TECHNOLOGY INC CUSIP: 595112103 | 5.00000 | 05/31/2018 | 06/21/2018 | \$305.80 | \$313.75 | \$0.00 | \$0.00 | (\$7.95) | |
| | 1.00000 | 05/31/2018 | 06/21/2018 | \$61.16 | \$60.19 | \$0.00 | \$0.00 | \$0.97 | |
| | 1.00000 | 06/14/2018 | 06/21/2018 | \$61.16 | \$59.63 | \$0.00 | \$0.00 | \$1.53 | |
| | 1.00000 | 06/14/2018 | 06/21/2018 | \$61.16 | \$59.61 | \$0.00 | \$0.00 | \$1.55 | |
| | 10.00000 | 06/18/2018 | 06/21/2018 | \$611.60 | \$591.81 | \$0.00 | \$0.00 | \$19.79 | |
| | 7.00000 | 06/18/2018 | 06/21/2018 | \$428.12 | \$409.43 | \$0.00 | \$0.00 | \$18.69 | |
| | 3.00000 | 06/18/2018 | 06/21/2018 | \$183.48 | \$177.30 | \$0.00 | \$0.00 | \$6.18 | |
| | 10.00000 | 06/18/2018 | 06/21/2018 | \$611.60 | \$591.62 | \$0.00 | \$0.00 | \$19.98 | |
| | 19.00000 | 06/20/2018 | 06/21/2018 | \$1,162.05 | \$1,141.33 | \$0.00 | \$0.00 | \$20.72 | |
| | 1.00000 | 06/20/2018 | 07/06/2018 | \$53.22 | \$65.71 | \$0.00 | \$0.00 | (\$12.49) | |
| Subtotals | 596.00000 | | | \$33,543.50 | \$34,617.73 | \$0.00 | \$1,191.95 | \$117.72 | |
| ***MOMO INC ADR CUSIP: 60879B107 | 1.00000 | 03/07/2018 | 03/12/2018 | \$37.25 | \$36.10 | \$0.00 | \$0.00 | \$1.15 | |
| | 24.00000 | 03/08/2018 | 03/12/2018 | \$893.99 | \$877.92 | \$0.00 | \$0.00 | \$16.07 | |
| | 1.00000 | 03/08/2018 | 03/21/2018 | \$39.95 | \$36.58 | \$0.00 | \$0.00 | \$3.37 | |
| | 24.00000 | 03/16/2018 | 03/21/2018 | \$958.77 | \$884.40 | \$0.00 | \$0.00 | \$74.37 | |
| | 1.00000 | 03/16/2018 | 04/11/2018 | \$37.61 | \$36.85 | \$0.00 | \$0.00 | \$0.76 | |
| | 10.00000 | 03/22/2018 | 04/11/2018 | \$376.09 | \$388.50 | \$0.00 | \$1.24 | (\$11.17) | |
| | 49.00000 | 03/27/2018 | 04/11/2018 | \$1,842.83 | \$1,786.05 | \$0.00 | \$0.00 | \$56.78 | |
| | 1.00000 | 03/27/2018 | 04/27/2018 | \$35.69 | \$37.69 | \$0.00 | \$0.00 | (\$2.00) | |
| Subtotals | 111.00000 | | | \$4,222.18 | \$4,084.09 | \$0.00 | \$1.24 | \$139.33 | |
| MULESOFT INC CLASS A COMMON STOCK CUSIP: 625207105 | 15.00000 | 03/20/2018 | 03/21/2018 | \$667.47 | \$660.75 | \$0.00 | \$0.00 | \$6.72 | |
| MY SIZE INC COM CUSIP: 62844N109 | 200.00000 | 01/05/2018 | 01/10/2018 | \$348.07 | \$387.92 | \$0.00 | \$0.40 | (\$39.45) | |
| | 100.00000 | 01/08/2018 | 01/10/2018 | \$174.03 | \$288.00 | \$0.00 | \$0.00 | (\$113.97) | |
| | 50.00000 | 01/08/2018 | 01/10/2018 | \$87.02 | \$144.00 | \$0.00 | \$0.00 | (\$56.98) | |
| | 50.00000 | 01/08/2018 | 01/10/2018 | \$87.02 | \$143.00 | \$0.00 | \$0.00 | (\$55.98) | |
| | 1.00000 | 01/09/2018 | 01/10/2018 | \$1.46 | \$2.29 | \$0.00 | \$0.00 | (\$0.83) | |
| | 100.00000 | 01/09/2018 | 01/10/2018 | \$174.03 | \$209.00 | \$0.00 | \$0.00 | (\$34.97) | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| MY SIZE INC COM CUSIP: 62844N109 | 99.00000 | 01/09/2018 | 01/10/2018 | \$172.29 | \$206.91 | \$0.00 | \$0.00 | (\$34.62) | |
| | 1.00000 | 01/26/2018 | 02/16/2018 | \$1.23 | \$2.89 | \$0.00 | \$0.00 | (\$1.66) | |
| Subtotals | 601.00000 | | | \$1,045.15 | \$1,384.01 | \$0.00 | \$0.40 | (\$338.46) | |
| MYOMO INC COMMON STOCK CUSIP: 62857J102 | 1.00000 | 01/29/2018 | 02/12/2018 | \$3.59 | \$5.09 | \$0.00 | \$1.50 | \$0.00 | |
| | 5.00000 | 02/01/2018 | 02/12/2018 | \$17.65 | \$23.05 | \$0.00 | \$0.00 | (\$5.40) | |
| | 4.00000 | 02/01/2018 | 02/12/2018 | \$14.34 | \$18.44 | \$0.00 | \$4.10 | \$0.00 | |
| | 1.00000 | 02/01/2018 | 02/12/2018 | \$3.53 | \$6.11 | \$0.00 | \$0.00 | (\$2.58) | |
| | 46.00000 | 02/02/2018 | 02/12/2018 | \$162.36 | \$192.23 | \$0.00 | \$0.00 | (\$29.87) | |
| | 4.00000 | 02/02/2018 | 02/12/2018 | \$14.12 | \$20.82 | \$0.00 | \$0.00 | (\$6.70) | |
| Subtotals | 61.00000 | | | \$215.59 | \$265.74 | \$0.00 | \$5.60 | (\$44.55) | |
| NEUROMETRIX INC COMMON STOCK CUSIP: 641255708 | 19.00000 | 01/17/2018 | 01/25/2018 | \$35.72 | \$53.39 | \$0.00 | \$17.67 | \$0.00 | |
| | 81.00000 | 01/17/2018 | 01/25/2018 | \$152.26 | \$227.61 | \$0.00 | \$75.35 | \$0.00 | |
| | 19.00000 | 01/17/2018 | 01/25/2018 | \$35.52 | \$64.79 | \$0.00 | \$29.27 | \$0.00 | |
| | 45.00000 | 01/17/2018 | 01/25/2018 | \$84.14 | \$153.46 | \$0.00 | \$69.32 | \$0.00 | |
| | 16.00000 | 01/17/2018 | 01/25/2018 | \$29.92 | \$54.56 | \$0.00 | \$24.64 | \$0.00 | |
| | 20.00000 | 01/17/2018 | 01/25/2018 | \$37.40 | \$68.21 | \$0.00 | \$30.81 | \$0.00 | |
| | 22.00000 | 01/18/2018 | 01/25/2018 | \$40.04 | \$79.65 | \$0.00 | \$0.00 | (\$39.61) | |
| | 20.00000 | 01/18/2018 | 01/25/2018 | \$36.40 | \$72.41 | \$0.00 | \$0.00 | (\$36.01) | |
| | 16.00000 | 01/18/2018 | 01/25/2018 | \$29.12 | \$57.92 | \$0.00 | \$0.00 | (\$28.80) | |
| | 58.00000 | 01/23/2018 | 01/25/2018 | \$105.55 | \$121.22 | \$0.00 | \$0.00 | (\$15.67) | |
| | 19.00000 | 01/23/2018 | 01/25/2018 | \$34.58 | \$68.98 | \$0.00 | \$0.00 | (\$34.40) | |
| | 23.00000 | 01/23/2018 | 01/25/2018 | \$41.85 | \$83.50 | \$0.00 | \$0.00 | (\$41.65) | |
| | 79.00000 | 01/24/2018 | 01/25/2018 | \$143.76 | \$158.00 | \$0.00 | \$0.00 | (\$14.24) | |
| Subtotals | 437.00000 | | | \$806.26 | \$1,263.70 | \$0.00 | \$247.06 | (\$210.38) | |
| NEW AGE BEVERAGES CORPORATIO COM CUSIP: 64157V108 | 1.00000 | 01/19/2018 | 01/22/2018 | \$3.88 | \$3.51 | \$0.00 | \$0.00 | \$0.37 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| NOVAVAX INC CUSIP: 670002104 | 1.00000 | 02/09/2018 | 03/01/2018 | \$2.54 | \$1.99 | \$0.00 | \$0.00 | \$0.55 | |
| | 1.00000 | 02/09/2018 | 03/01/2018 | \$2.54 | \$2.00 | \$0.00 | \$0.00 | \$0.54 | |
| | 90.00000 | 02/12/2018 | 03/01/2018 | \$229.04 | \$178.20 | \$0.00 | \$0.00 | \$50.84 | |
| | 50.00000 | 02/15/2018 | 03/01/2018 | \$127.24 | \$104.95 | \$0.00 | \$0.00 | \$22.29 | |
| | 8.00000 | 02/15/2018 | 03/01/2018 | \$20.36 | \$16.79 | \$0.00 | \$0.00 | \$3.57 | |
| | 12.00000 | 02/15/2018 | 03/01/2018 | \$30.54 | \$25.19 | \$0.00 | \$0.00 | \$5.35 | |
| | 99.00000 | 02/16/2018 | 03/01/2018 | \$251.94 | \$243.54 | \$0.00 | \$0.00 | \$8.40 | |
| | 38.00000 | 02/16/2018 | 03/01/2018 | \$96.70 | \$85.50 | \$0.00 | \$0.00 | \$11.20 | |
| | 62.00000 | 02/16/2018 | 03/01/2018 | \$157.78 | \$139.50 | \$0.00 | \$0.00 | \$18.28 | |
| | 1.00000 | 02/16/2018 | 03/02/2018 | \$2.16 | \$2.46 | \$0.00 | \$0.00 | (\$0.30) | |
| | 100.00000 | 03/01/2018 | 03/02/2018 | \$215.99 | \$227.00 | \$0.00 | \$0.00 | (\$11.01) | |
| Subtotals | 462.00000 | | | \$1,136.83 | \$1,027.12 | \$0.00 | \$0.00 | \$109.71 | |
| NXT ID INC COM NEW CUSIP: 67091J206 | 150.00000 | 01/04/2018 | 01/05/2018 | \$521.96 | \$544.49 | \$0.00 | \$0.00 | (\$22.53) | |
| | 99.00000 | 01/04/2018 | 01/05/2018 | \$344.50 | \$359.36 | \$0.00 | \$0.00 | (\$14.86) | |
| | 1.00000 | 01/04/2018 | 01/05/2018 | \$3.48 | \$4.70 | \$0.00 | \$0.00 | (\$1.22) | |
| Subtotals | 250.00000 | | | \$869.94 | \$908.55 | \$0.00 | \$0.00 | (\$38.61) | |
| OMEROS CORPORATION CUSIP: 682143102 | 99.00000 | 04/04/2018 | 04/05/2018 | \$1,272.17 | \$1,255.32 | \$0.00 | \$0.00 | \$16.85 | |
| | 1.00000 | 04/04/2018 | 04/17/2018 | \$14.72 | \$12.68 | \$0.00 | \$0.00 | \$2.04 | |
| | 29.00000 | 04/11/2018 | 04/17/2018 | \$426.88 | \$427.75 | \$0.00 | \$0.87 | \$0.00 | |
| | 30.00000 | 04/11/2018 | 04/17/2018 | \$441.61 | \$439.18 | \$0.00 | \$0.00 | \$2.43 | |
| | 65.00000 | 04/11/2018 | 04/17/2018 | \$956.81 | \$974.95 | \$0.00 | \$18.14 | \$0.00 | |
| | 50.00000 | 04/11/2018 | 04/17/2018 | \$736.01 | \$752.50 | \$0.00 | \$16.49 | \$0.00 | |
| | 1.00000 | 04/11/2018 | 04/30/2018 | \$14.80 | \$15.03 | \$0.00 | \$0.23 | \$0.00 | |
| | 25.00000 | 04/17/2018 | 04/30/2018 | \$369.99 | \$388.97 | \$0.00 | \$18.98 | \$0.00 | |
| | 20.00000 | 04/19/2018 | 04/30/2018 | \$295.99 | \$305.60 | \$0.00 | \$9.61 | \$0.00 | |
| | 11.00000 | 04/19/2018 | 04/30/2018 | \$162.01 | \$164.78 | \$0.00 | \$2.77 | \$0.00 | |
| | 39.00000 | 04/19/2018 | 04/30/2018 | \$577.18 | \$593.93 | \$0.00 | \$16.75 | \$0.00 | |
| | 30.00000 | 04/19/2018 | 04/30/2018 | \$441.84 | \$458.39 | \$0.00 | \$16.55 | \$0.00 | |
| | 25.00000 | 04/24/2018 | 04/30/2018 | \$368.19 | \$377.23 | \$0.00 | \$9.04 | \$0.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| OMEROS CORPORATION CUSIP: 682143102 | 17.00000 | 04/24/2018 | 04/30/2018 | \$250.37 | \$244.12 | \$0.00 | \$0.00 | \$6.25 | |
| | 1.00000 | 04/24/2018 | 04/30/2018 | \$14.73 | \$14.56 | \$0.00 | \$0.00 | \$0.17 | |
| | 15.00000 | 04/24/2018 | 04/30/2018 | \$220.92 | \$221.39 | \$0.00 | \$0.47 | \$0.00 | |
| | 1.00000 | 04/24/2018 | 05/11/2018 | \$18.03 | \$14.36 | \$0.00 | \$0.00 | \$3.67 | |
| | 5.00000 | 05/09/2018 | 05/11/2018 | \$90.38 | \$85.80 | \$0.00 | \$0.00 | \$4.58 | |
| | 8.00000 | 05/09/2018 | 05/11/2018 | \$144.28 | \$139.76 | \$0.00 | \$0.00 | \$4.52 | |
| | 14.00000 | 05/09/2018 | 05/11/2018 | \$252.48 | \$246.14 | \$0.00 | \$0.00 | \$6.34 | |
| | 20.00000 | 05/09/2018 | 05/11/2018 | \$360.69 | \$351.37 | \$0.00 | \$0.00 | \$9.32 | |
| | 2.00000 | 05/09/2018 | 05/11/2018 | \$36.15 | \$34.94 | \$0.00 | \$0.00 | \$1.21 | |
| | 5.00000 | 05/09/2018 | 05/11/2018 | \$90.38 | \$85.80 | \$0.00 | \$0.00 | \$4.58 | |
| | 10.00000 | 05/09/2018 | 05/11/2018 | \$180.76 | \$172.09 | \$0.00 | \$0.00 | \$8.67 | |
| | 6.00000 | 05/09/2018 | 05/11/2018 | \$108.21 | \$105.84 | \$0.00 | \$0.00 | \$2.37 | |
| | 5.00000 | 05/09/2018 | 05/11/2018 | \$90.17 | \$88.21 | \$0.00 | \$0.00 | \$1.96 | |
| | 5.00000 | 05/09/2018 | 05/11/2018 | \$90.17 | \$86.95 | \$0.00 | \$0.00 | \$3.22 | |
| | 6.00000 | 05/09/2018 | 05/11/2018 | \$108.21 | \$103.69 | \$0.00 | \$0.00 | \$4.52 | |
| | 24.00000 | 05/09/2018 | 05/11/2018 | \$432.83 | \$420.43 | \$0.00 | \$0.00 | \$12.40 | |
| | 20.00000 | 05/09/2018 | 05/11/2018 | \$361.51 | \$344.00 | \$0.00 | \$0.00 | \$17.51 | |
| | 10.00000 | 05/09/2018 | 05/11/2018 | \$180.35 | \$176.32 | \$0.00 | \$0.00 | \$4.03 | |
| | 15.00000 | 05/09/2018 | 05/11/2018 | \$270.52 | \$261.32 | \$0.00 | \$0.00 | \$9.20 | |
| | 10.00000 | 05/09/2018 | 05/11/2018 | \$180.76 | \$174.00 | \$0.00 | \$0.00 | \$6.76 | |
| | 25.00000 | 05/09/2018 | 05/11/2018 | \$450.86 | \$443.79 | \$0.00 | \$0.00 | \$7.07 | |
| | 10.00000 | 05/10/2018 | 05/11/2018 | \$180.76 | \$163.00 | \$0.00 | \$0.00 | \$17.76 | |
| | 40.00000 | 05/10/2018 | 05/11/2018 | \$723.02 | \$652.00 | \$0.00 | \$0.00 | \$71.02 | |
| | 49.00000 | 05/10/2018 | 05/11/2018 | \$885.70 | \$798.70 | \$0.00 | \$0.00 | \$87.00 | |
| | 1.00000 | 05/10/2018 | 05/15/2018 | \$23.59 | \$16.30 | \$0.00 | \$0.00 | \$7.29 | |
| | 100.00000 | 05/11/2018 | 05/15/2018 | \$2,358.94 | \$1,935.50 | \$0.00 | \$0.00 | \$423.44 | |
| | 49.00000 | 05/14/2018 | 05/15/2018 | \$1,155.88 | \$984.90 | \$0.00 | \$0.00 | \$170.98 | |
| | 1.00000 | 05/14/2018 | 05/16/2018 | \$22.93 | \$20.10 | \$0.00 | \$0.00 | \$2.83 | |
| | 50.00000 | 05/15/2018 | 05/16/2018 | \$1,120.96 | \$1,329.75 | \$0.00 | \$208.79 | \$0.00 | |
| | 1.00000 | 05/15/2018 | 05/16/2018 | \$22.42 | \$26.74 | \$0.00 | \$4.32 | \$0.00 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| OMEROS CORPORATION CUSIP: 682143102 | 49.00000 | 05/15/2018 | 05/16/2018 | \$1,123.74 | \$1,217.59 | \$0.00 | \$93.85 | \$0.00 | |
| | 49.00000 | 05/15/2018 | 05/16/2018 | \$1,098.55 | \$1,286.00 | \$0.00 | \$187.45 | \$0.00 | |
| | 100.00000 | 05/15/2018 | 05/16/2018 | \$2,293.36 | \$2,482.85 | \$0.00 | \$189.49 | \$0.00 | |
| | 1.00000 | 05/15/2018 | 05/30/2018 | \$20.17 | \$26.27 | \$0.00 | \$6.10 | \$0.00 | |
| | 1.00000 | 05/17/2018 | 05/30/2018 | \$20.17 | \$21.73 | \$0.00 | \$1.56 | \$0.00 | |
| | 25.00000 | 05/17/2018 | 05/30/2018 | \$513.03 | \$627.63 | \$0.00 | \$114.60 | \$0.00 | |
| | 2.00000 | 05/17/2018 | 05/30/2018 | \$40.20 | \$51.12 | \$0.00 | \$10.92 | \$0.00 | |
| | 47.00000 | 05/17/2018 | 05/30/2018 | \$944.78 | \$1,111.65 | \$0.00 | \$166.87 | \$0.00 | |
| | 43.00000 | 05/17/2018 | 05/30/2018 | \$864.37 | \$1,114.25 | \$0.00 | \$249.88 | \$0.00 | |
| | 1.00000 | 05/17/2018 | 05/30/2018 | \$20.52 | \$26.75 | \$0.00 | \$6.23 | \$0.00 | |
| | 1.00000 | 05/17/2018 | 05/30/2018 | \$20.52 | \$26.54 | \$0.00 | \$6.02 | \$0.00 | |
| | 5.00000 | 05/17/2018 | 05/30/2018 | \$102.61 | \$124.82 | \$0.00 | \$22.21 | \$0.00 | |
| | 1.00000 | 05/17/2018 | 05/30/2018 | \$20.17 | \$26.06 | \$0.00 | \$5.89 | \$0.00 | |
| | 7.00000 | 05/17/2018 | 05/30/2018 | \$141.17 | \$181.39 | \$0.00 | \$40.22 | \$0.00 | |
| | 17.00000 | 05/17/2018 | 05/30/2018 | \$348.86 | \$416.08 | \$0.00 | \$67.22 | \$0.00 | |
| | 1.00000 | 05/17/2018 | 05/30/2018 | \$20.52 | \$22.21 | \$0.00 | \$1.69 | \$0.00 | |
| | 7.00000 | 05/18/2018 | 05/30/2018 | \$143.65 | \$180.43 | \$0.00 | \$5.25 | (\$31.53) | |
| | 43.00000 | 05/18/2018 | 05/30/2018 | \$882.42 | \$1,111.17 | \$0.00 | \$0.00 | (\$228.75) | |
| | 1.00000 | 05/22/2018 | 05/31/2018 | \$20.06 | \$25.51 | \$0.00 | \$0.00 | (\$5.45) | |
| | 4.00000 | 05/22/2018 | 05/31/2018 | \$80.24 | \$101.29 | \$0.00 | \$0.00 | (\$21.05) | |
| | 1.00000 | 05/22/2018 | 05/31/2018 | \$20.06 | \$22.57 | \$0.00 | \$0.00 | (\$2.51) | |
| | 25.00000 | 05/22/2018 | 05/31/2018 | \$501.52 | \$636.60 | \$0.00 | \$0.00 | (\$135.08) | |
| | 2.00000 | 05/22/2018 | 05/31/2018 | \$40.12 | \$53.06 | \$0.00 | \$0.00 | (\$12.94) | |
| | 1.00000 | 05/22/2018 | 05/31/2018 | \$20.06 | \$27.11 | \$0.00 | \$0.00 | (\$7.05) | |
| | 1.00000 | 05/22/2018 | 05/31/2018 | \$20.06 | \$26.90 | \$0.00 | \$0.00 | (\$6.84) | |
| | 16.00000 | 05/22/2018 | 05/31/2018 | \$320.97 | \$397.35 | \$0.00 | \$0.00 | (\$76.38) | |
| | 25.00000 | 05/22/2018 | 05/31/2018 | \$501.52 | \$615.51 | \$0.00 | \$0.00 | (\$113.99) | |
| | 1.00000 | 05/22/2018 | 05/31/2018 | \$20.06 | \$26.13 | \$0.00 | \$0.00 | (\$6.07) | |
| | 22.00000 | 05/22/2018 | 05/31/2018 | \$441.33 | \$541.65 | \$0.00 | \$0.00 | (\$100.32) | |
| | 1.00000 | 05/22/2018 | 07/02/2018 | \$18.00 | \$24.83 | \$0.00 | \$0.00 | (\$6.83) | |

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 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

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|--|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| Subtotals | 1,450.00000 | | | \$27,127.97 | \$28,461.23 | \$0.00 | \$1,498.46 | \$165.20 | |
| OTONOMY INC COM CUSIP: 68906L105 | 1.00000 | 02/16/2018 | 02/28/2018 | \$6.00 | \$5.95 | \$0.00 | \$0.00 | \$0.05 | |
| | 49.00000 | 02/16/2018 | 02/28/2018 | \$293.98 | \$289.10 | \$0.00 | \$0.00 | \$4.88 | |
| | 1.00000 | 02/16/2018 | 03/09/2018 | \$5.25 | \$5.90 | \$0.00 | \$0.65 | \$0.00 | |
| | 25.00000 | 02/20/2018 | 03/09/2018 | \$131.24 | \$145.00 | \$0.00 | \$0.00 | (\$13.76) | |
| | 50.00000 | 02/20/2018 | 03/09/2018 | \$262.49 | \$287.50 | \$0.00 | \$0.00 | (\$25.01) | |
| | 50.00000 | 02/27/2018 | 03/09/2018 | \$262.49 | \$297.50 | \$0.00 | \$0.00 | (\$35.01) | |
| | 99.00000 | 03/01/2018 | 03/09/2018 | \$519.72 | \$559.35 | \$0.00 | \$0.00 | (\$39.63) | |
| | 1.00000 | 03/01/2018 | 03/12/2018 | \$5.14 | \$6.30 | \$0.00 | \$0.00 | (\$1.16) | |
| Subtotals | 276.00000 | | | \$1,486.31 | \$1,596.60 | \$0.00 | \$0.65 | (\$109.64) | |
| OVERSTOCK.COM INC DEL CUSIP: 690370101 | 1.00000 | 01/08/2018 | 01/16/2018 | \$69.95 | \$86.95 | \$0.00 | \$17.00 | \$0.00 | |
| | 19.00000 | 01/10/2018 | 01/16/2018 | \$1,329.00 | \$1,535.20 | \$0.00 | \$206.20 | \$0.00 | |
| | 1.00000 | 01/10/2018 | 01/17/2018 | \$68.78 | \$91.20 | \$0.00 | \$22.42 | \$0.00 | |
| | 9.00000 | 01/10/2018 | 01/17/2018 | \$613.33 | \$924.34 | \$0.00 | \$0.00 | (\$311.01) | |
| | 9.00000 | 01/10/2018 | 01/17/2018 | \$619.17 | \$820.81 | \$0.00 | \$201.64 | \$0.00 | |
| | 1.00000 | 01/10/2018 | 01/17/2018 | \$68.80 | \$97.80 | \$0.00 | \$29.00 | \$0.00 | |
| | 1.00000 | 01/10/2018 | 01/17/2018 | \$68.15 | \$102.72 | \$0.00 | \$0.00 | (\$34.57) | |
| | 1.00000 | 01/10/2018 | 01/17/2018 | \$68.15 | \$109.30 | \$0.00 | \$0.00 | (\$41.15) | |
| | 9.00000 | 01/10/2018 | 01/17/2018 | \$613.32 | \$820.37 | \$0.00 | \$0.00 | (\$207.05) | |
| Subtotals | 51.00000 | | | \$3,518.65 | \$4,588.69 | \$0.00 | \$476.26 | (\$593.78) | |
| PARETEUM CORPORATION COMMON STOCK CUSIP: 69946T207 | 55.00000 | 01/03/2018 | 01/03/2018 | \$168.89 | \$238.13 | \$0.00 | \$0.00 | (\$69.24) | |
| | 7.00000 | 01/03/2018 | 01/03/2018 | \$21.50 | \$29.82 | \$0.00 | \$0.00 | (\$8.32) | |
| | 38.00000 | 01/03/2018 | 01/03/2018 | \$116.69 | \$161.87 | \$0.00 | \$0.00 | (\$45.18) | |
| | 55.00000 | 01/03/2018 | 01/03/2018 | \$168.89 | \$234.27 | \$0.00 | \$0.00 | (\$65.38) | |
| | 94.00000 | 01/03/2018 | 01/03/2018 | \$288.65 | \$406.04 | \$0.00 | \$0.00 | (\$117.39) | |
| | 25.00000 | 01/03/2018 | 01/03/2018 | \$76.77 | \$110.49 | \$0.00 | \$0.00 | (\$33.72) | |
| | 105.00000 | 01/03/2018 | 01/03/2018 | \$322.44 | \$443.07 | \$0.00 | \$0.00 | (\$120.63) | |
| | 1.00000 | 01/03/2018 | 01/03/2018 | \$3.07 | \$4.42 | \$0.00 | \$0.00 | (\$1.35) | |
| Subtotals | 380.00000 | | | \$1,166.90 | \$1,628.11 | \$0.00 | \$0.00 | (\$461.21) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|--|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PROTEOSTASIS THERAPEUTICS IN COM CUSIP: 74373B109 | 47.00000 | 03/13/2018 | 03/14/2018 | \$309.78 | \$307.85 | \$0.00 | \$0.00 | \$1.93 | |
| | 14.00000 | 03/13/2018 | 03/14/2018 | \$92.27 | \$91.70 | \$0.00 | \$0.00 | \$0.57 | |
| | 1.00000 | 03/13/2018 | 03/14/2018 | \$6.59 | \$6.13 | \$0.00 | \$0.00 | \$0.46 | |
| | 10.00000 | 03/13/2018 | 03/14/2018 | \$65.91 | \$65.50 | \$0.00 | \$0.00 | \$0.41 | |
| | 1.00000 | 03/13/2018 | 03/26/2018 | \$4.55 | \$6.55 | \$0.00 | \$2.00 | \$0.00 | |
| | 1.00000 | 03/16/2018 | 03/26/2018 | \$4.55 | \$9.24 | \$0.00 | \$0.00 | (\$4.69) | |
| | 99.00000 | 03/16/2018 | 03/26/2018 | \$450.42 | \$716.76 | \$0.00 | \$266.34 | \$0.00 | |
| | 1.00000 | 03/22/2018 | 03/26/2018 | \$4.55 | \$5.37 | \$0.00 | \$0.00 | (\$0.82) | |
| | 99.00000 | 03/22/2018 | 03/26/2018 | \$450.42 | \$797.96 | \$0.00 | \$0.00 | (\$347.54) | |
| Subtotals | 273.00000 | | | \$1,389.04 | \$2,007.06 | \$0.00 | \$268.34 | (\$349.68) | |
| REGIONAL HEALTH PROPERTIES INC COMMON STOCK CUSIP: 75903M101 | 900.00000 | 05/22/2018 | 05/23/2018 | \$224.88 | \$409.47 | \$0.00 | \$0.00 | (\$184.59) | |
| | 100.00000 | 05/22/2018 | 05/23/2018 | \$24.99 | \$45.50 | \$0.00 | \$0.00 | (\$20.51) | |
| Subtotals | 1,000.00000 | | | \$249.87 | \$454.97 | \$0.00 | \$0.00 | (\$205.10) | |
| RIOT BLOCKCHAIN INC COMMON STOCK CUSIP: 767292105 | 1.00000 | 12/27/2017 | 01/02/2018 | \$26.31 | \$37.21 | \$0.00 | \$0.00 | (\$10.90) | |
| | 10.00000 | 12/29/2017 | 01/02/2018 | \$263.12 | \$355.98 | \$0.00 | \$0.00 | (\$92.86) | |
| Subtotals | 11.00000 | | | \$289.43 | \$393.19 | \$0.00 | \$0.00 | (\$103.76) | |
| RITE AID CORP CUSIP: 767754104 | 1.00000 | 01/11/2018 | 01/12/2018 | \$2.47 | \$2.36 | \$0.00 | \$0.00 | \$0.11 | |
| SEMILED5 CORP COM NEW CUSIP: 816645204 | 100.00000 | 01/10/2018 | 01/11/2018 | \$472.07 | \$729.99 | \$0.00 | \$0.00 | (\$257.92) | |
| SENESTECH INC COM CUSIP: 81720R109 | 250.00000 | 05/21/2018 | 05/21/2018 | \$289.99 | \$282.50 | \$0.00 | \$0.00 | \$7.49 | |
| | 49.00000 | 05/21/2018 | 05/21/2018 | \$56.84 | \$55.37 | \$0.00 | \$0.00 | \$1.47 | |
| | 101.00000 | 05/21/2018 | 05/21/2018 | \$117.16 | \$114.13 | \$0.00 | \$0.00 | \$3.03 | |
| | 100.00000 | 05/21/2018 | 05/21/2018 | \$115.99 | \$113.00 | \$0.00 | \$0.00 | \$2.99 | |
| | 500.00000 | 05/21/2018 | 05/21/2018 | \$579.98 | \$535.00 | \$0.00 | \$0.00 | \$44.98 | |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| SENESTECH INC | 40.00000 | 05/21/2018 | 05/22/2018 | \$65.61 | \$58.40 | \$0.00 | \$0.00 | \$7.21 | |
| COM | 350.00000 | 05/21/2018 | 05/22/2018 | \$574.06 | \$458.50 | \$0.00 | \$0.00 | \$115.56 | |
| CUSIP: 81720R109 | 30.00000 | 05/21/2018 | 05/22/2018 | \$42.88 | \$42.30 | \$0.00 | \$0.00 | \$0.58 | |
| | 200.00000 | 05/21/2018 | 05/22/2018 | \$328.03 | \$300.00 | \$0.00 | \$0.00 | \$28.03 | |
| | 100.00000 | 05/21/2018 | 05/22/2018 | \$164.01 | \$149.00 | \$0.00 | \$0.00 | \$15.01 | |
| | 20.00000 | 05/21/2018 | 05/22/2018 | \$32.80 | \$26.80 | \$0.00 | \$0.00 | \$6.00 | |
| | 44.00000 | 05/21/2018 | 05/22/2018 | \$72.17 | \$62.04 | \$0.00 | \$0.00 | \$10.13 | |
| | 200.00000 | 05/21/2018 | 05/22/2018 | \$328.03 | \$296.00 | \$0.00 | \$0.00 | \$32.03 | |
| | 1.00000 | 05/21/2018 | 05/23/2018 | \$1.33 | \$1.41 | \$0.00 | \$0.08 | \$0.00 | |
| | 499.00000 | 05/22/2018 | 05/23/2018 | \$673.63 | \$688.22 | \$0.00 | \$14.59 | \$0.00 | |
| | 400.00000 | 05/22/2018 | 05/23/2018 | \$539.98 | \$516.00 | \$0.00 | \$0.00 | \$23.98 | |
| | 99.00000 | 05/22/2018 | 05/23/2018 | \$131.66 | \$127.71 | \$0.00 | \$0.00 | \$3.95 | |
| | 1.00000 | 05/22/2018 | 05/23/2018 | \$1.35 | \$1.37 | \$0.00 | \$0.02 | \$0.00 | |
| | 1.00000 | 05/22/2018 | 05/31/2018 | \$1.35 | \$1.40 | \$0.00 | \$0.05 | \$0.00 | |
| | 292.00000 | 05/23/2018 | 05/31/2018 | \$391.27 | \$443.19 | \$0.00 | \$51.92 | \$0.00 | |
| | 99.00000 | 05/23/2018 | 05/31/2018 | \$132.64 | \$150.26 | \$0.00 | \$17.62 | \$0.00 | |
| | 109.00000 | 05/23/2018 | 05/31/2018 | \$146.05 | \$154.69 | \$0.00 | \$8.64 | \$0.00 | |
| | 1.00000 | 05/23/2018 | 05/31/2018 | \$1.34 | \$1.47 | \$0.00 | \$0.13 | \$0.00 | |
| | 391.00000 | 05/23/2018 | 05/31/2018 | \$528.11 | \$566.65 | \$0.00 | \$38.54 | \$0.00 | |
| | 108.00000 | 05/23/2018 | 05/31/2018 | \$145.87 | \$156.43 | \$0.00 | \$10.56 | \$0.00 | |
| | 99.00000 | 05/25/2018 | 05/31/2018 | \$132.66 | \$123.53 | \$0.00 | \$0.00 | \$9.13 | |
| | 1.00000 | 05/25/2018 | 06/06/2018 | \$2.04 | \$1.25 | \$0.00 | \$0.00 | \$0.79 | |
| | 1.00000 | 06/05/2018 | 06/06/2018 | \$2.18 | \$2.02 | \$0.00 | \$0.00 | \$0.16 | |
| | 1.00000 | 06/05/2018 | 06/06/2018 | \$2.04 | \$1.98 | \$0.00 | \$0.00 | \$0.06 | |
| | 92.00000 | 06/05/2018 | 06/06/2018 | \$184.91 | \$186.56 | \$0.00 | \$1.65 | \$0.00 | |
| | 33.00000 | 06/05/2018 | 06/06/2018 | \$67.30 | \$66.92 | \$0.00 | \$0.00 | \$0.38 | |
| | 66.00000 | 06/05/2018 | 06/06/2018 | \$132.65 | \$133.85 | \$0.00 | \$1.20 | \$0.00 | |
| | 99.00000 | 06/05/2018 | 06/06/2018 | \$198.97 | \$199.76 | \$0.00 | \$0.79 | \$0.00 | |
| | 8.00000 | 06/05/2018 | 06/06/2018 | \$16.08 | \$15.58 | \$0.00 | \$0.00 | \$0.50 | |
| | 33.00000 | 06/06/2018 | 06/06/2018 | \$71.99 | \$74.84 | \$0.00 | \$2.85 | \$0.00 | |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

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|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| SENESTECH INC | 92.00000 | 06/06/2018 | 06/06/2018 | \$200.69 | \$209.57 | \$0.00 | \$8.88 | \$0.00 | |
| COM | 8.00000 | 06/06/2018 | 06/06/2018 | \$17.45 | \$18.71 | \$0.00 | \$1.26 | \$0.00 | |
| CUSIP: 81720R109 | 66.00000 | 06/06/2018 | 06/06/2018 | \$143.98 | \$150.36 | \$0.00 | \$6.38 | \$0.00 | |
| | 100.00000 | 06/06/2018 | 06/06/2018 | \$218.14 | \$238.93 | \$0.00 | \$20.79 | \$0.00 | |
| | 100.00000 | 06/06/2018 | 06/06/2018 | \$218.14 | \$248.28 | \$0.00 | \$30.14 | \$0.00 | |
| | 1.00000 | 06/06/2018 | 06/11/2018 | \$1.62 | \$2.34 | \$0.00 | \$0.72 | \$0.00 | |
| | 16.00000 | 06/07/2018 | 06/11/2018 | \$25.92 | \$27.33 | \$0.00 | \$1.41 | \$0.00 | |
| | 84.00000 | 06/07/2018 | 06/11/2018 | \$136.08 | \$161.07 | \$0.00 | \$24.99 | \$0.00 | |
| | 18.00000 | 06/07/2018 | 06/11/2018 | \$29.16 | \$31.62 | \$0.00 | \$2.46 | \$0.00 | |
| | 66.00000 | 06/07/2018 | 06/11/2018 | \$106.92 | \$115.94 | \$0.00 | \$9.02 | \$0.00 | |
| | 84.00000 | 06/07/2018 | 06/11/2018 | \$136.07 | \$168.12 | \$0.00 | \$32.05 | \$0.00 | |
| | 16.00000 | 06/07/2018 | 06/11/2018 | \$25.92 | \$32.17 | \$0.00 | \$6.25 | \$0.00 | |
| | 16.00000 | 06/07/2018 | 06/11/2018 | \$25.92 | \$29.89 | \$0.00 | \$3.97 | \$0.00 | |
| | 50.00000 | 06/07/2018 | 06/11/2018 | \$81.00 | \$94.86 | \$0.00 | \$13.86 | \$0.00 | |
| | 74.00000 | 06/08/2018 | 06/11/2018 | \$119.87 | \$112.96 | \$0.00 | \$0.00 | \$6.91 | |
| | 7.00000 | 06/08/2018 | 06/11/2018 | \$11.34 | \$10.54 | \$0.00 | \$0.00 | \$0.80 | |
| | 84.00000 | 06/08/2018 | 06/11/2018 | \$136.07 | \$119.28 | \$0.00 | \$0.00 | \$16.79 | |
| | 26.00000 | 06/08/2018 | 06/11/2018 | \$42.12 | \$39.43 | \$0.00 | \$0.00 | \$2.69 | |
| | 8.00000 | 06/08/2018 | 06/11/2018 | \$12.96 | \$12.62 | \$0.00 | \$0.00 | \$0.34 | |
| | 1.00000 | 06/08/2018 | 07/02/2018 | \$1.56 | \$2.14 | \$0.00 | \$0.58 | \$0.00 | |
| | 16.00000 | 06/21/2018 | 07/02/2018 | \$24.64 | \$36.93 | \$0.00 | \$0.00 | (\$12.29) | |
| | 18.00000 | 06/21/2018 | 07/02/2018 | \$27.90 | \$39.54 | \$0.00 | \$11.64 | \$0.00 | |
| | 16.00000 | 06/21/2018 | 07/02/2018 | \$24.80 | \$39.21 | \$0.00 | \$14.41 | \$0.00 | |
| | 149.00000 | 06/21/2018 | 07/02/2018 | \$229.44 | \$306.92 | \$0.00 | \$0.00 | (\$77.48) | |
| | 14.00000 | 06/21/2018 | 07/02/2018 | \$21.54 | \$33.00 | \$0.00 | \$11.46 | \$0.00 | |
| | 40.00000 | 06/21/2018 | 07/02/2018 | \$61.99 | \$93.49 | \$0.00 | \$31.50 | \$0.00 | |
| | 36.00000 | 06/21/2018 | 07/02/2018 | \$55.43 | \$84.87 | \$0.00 | \$0.82 | (\$28.62) | |
| | 1.00000 | 06/21/2018 | 07/02/2018 | \$1.55 | \$2.64 | \$0.00 | \$1.09 | \$0.00 | |
| | 34.00000 | 06/21/2018 | 07/02/2018 | \$52.69 | \$80.15 | \$0.00 | \$27.46 | \$0.00 | |
| | 66.00000 | 06/21/2018 | 07/02/2018 | \$102.29 | \$144.97 | \$0.00 | \$42.68 | \$0.00 | |

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DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH
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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

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|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| SENESTECH INC | 84.00000 | 06/21/2018 | 07/02/2018 | \$130.19 | \$205.08 | \$0.00 | \$74.89 | \$0.00 | |
| COM | 10.00000 | 06/21/2018 | 07/02/2018 | \$15.58 | \$23.37 | \$0.00 | \$7.79 | \$0.00 | |
| CUSIP: 81720R109 | 16.00000 | 06/21/2018 | 07/02/2018 | \$24.80 | \$34.37 | \$0.00 | \$9.57 | \$0.00 | |
| | 1.00000 | 06/22/2018 | 07/02/2018 | \$1.54 | \$2.99 | \$0.00 | \$0.00 | (\$1.45) | |
| | 40.00000 | 06/22/2018 | 07/02/2018 | \$61.59 | \$107.46 | \$0.00 | \$0.00 | (\$45.87) | |
| | 84.00000 | 06/22/2018 | 07/02/2018 | \$129.35 | \$234.41 | \$0.00 | \$0.00 | (\$105.06) | |
| | 34.00000 | 06/22/2018 | 07/02/2018 | \$52.35 | \$92.74 | \$0.00 | \$0.00 | (\$40.39) | |
| | 16.00000 | 06/22/2018 | 07/02/2018 | \$24.64 | \$39.95 | \$0.00 | \$0.00 | (\$15.31) | |
| | 12.00000 | 06/22/2018 | 07/02/2018 | \$18.48 | \$32.86 | \$0.00 | \$0.00 | (\$14.38) | |
| | 66.00000 | 06/22/2018 | 07/02/2018 | \$101.63 | \$168.02 | \$0.00 | \$0.00 | (\$66.39) | |
| | 15.00000 | 06/22/2018 | 07/02/2018 | \$23.10 | \$41.99 | \$0.00 | \$0.00 | (\$18.89) | |
| | 10.00000 | 06/22/2018 | 07/02/2018 | \$15.40 | \$26.78 | \$0.00 | \$0.00 | (\$11.38) | |
| | 18.00000 | 06/22/2018 | 07/02/2018 | \$27.72 | \$45.82 | \$0.00 | \$0.00 | (\$18.10) | |
| | 200.00000 | 06/22/2018 | 07/02/2018 | \$307.97 | \$384.00 | \$0.00 | \$0.00 | (\$76.03) | |
| | 2.00000 | 06/22/2018 | 07/02/2018 | \$3.08 | \$5.48 | \$0.00 | \$0.00 | (\$2.40) | |
| | 1.00000 | 06/22/2018 | 07/02/2018 | \$1.54 | \$2.82 | \$0.00 | \$0.00 | (\$1.28) | |
| | 1.00000 | 06/22/2018 | 07/12/2018 | \$1.21 | \$2.74 | \$0.00 | \$0.00 | (\$1.53) | |
| Subtotals | 6,335.00000 | | | \$9,506.32 | \$10,246.89 | \$0.00 | \$544.71 | (\$195.86) | |
| SENSEONICS HOLDINGS INC | 100.00000 | 06/22/2018 | 06/25/2018 | \$468.13 | \$480.00 | \$0.00 | \$0.00 | (\$11.87) | |
| COMMON STOCK \$0.001 PAR VALU | 100.00000 | 06/22/2018 | 06/25/2018 | \$468.13 | \$476.00 | \$0.00 | \$0.00 | (\$7.87) | |
| CUSIP: 81727U105 | | | | | | | | | |
| Subtotals | 200.00000 | | | \$936.26 | \$956.00 | \$0.00 | \$0.00 | (\$19.74) | |
| SHIFTPIXY INC | 99.00000 | 03/09/2018 | 03/13/2018 | \$374.21 | \$603.90 | \$0.00 | \$0.00 | (\$229.69) | |
| COMMON STOCK | 100.00000 | 03/09/2018 | 03/13/2018 | \$377.99 | \$598.00 | \$0.00 | \$2.20 | (\$217.81) | |
| CUSIP: 82452L104 | 1.00000 | 03/09/2018 | 03/14/2018 | \$3.68 | \$8.30 | \$0.00 | \$0.00 | (\$4.62) | |
| Subtotals | 200.00000 | | | \$755.88 | \$1,210.20 | \$0.00 | \$2.20 | (\$452.12) | |

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Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL ZUO 09/21/18 40 CUSIP: 8275302 | 1.00000 | 08/30/2018 | 09/21/2018 | \$0.00 | \$195.00 | \$0.00 | \$0.00 | (\$195.00) | EXPIRATION |
| | 1.00000 | 08/30/2018 | 09/21/2018 | \$0.00 | \$185.00 | \$0.00 | \$0.00 | (\$185.00) | EXPIRATION |
| | 2.00000 | 08/31/2018 | 09/21/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| | 5.00000 | 08/31/2018 | 09/21/2018 | \$0.00 | \$50.00 | \$0.00 | \$0.00 | (\$50.00) | EXPIRATION |
| | 1.00000 | 08/31/2018 | 09/21/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$475.00 | \$0.00 | \$0.00 | (\$475.00) | |
| CALL AMD 07/27/18 16.5 CUSIP: 8278782 | 1.00000 | 07/20/2018 | 07/26/2018 | \$98.99 | \$94.00 | \$0.00 | \$0.00 | \$4.99 | |
| | 1.00000 | 07/20/2018 | 07/26/2018 | \$98.98 | \$94.00 | \$0.00 | \$0.00 | \$4.98 | |
| | 1.00000 | 07/20/2018 | 07/26/2018 | \$98.99 | \$94.00 | \$0.00 | \$0.00 | \$4.99 | |
| | 4.00000 | 07/20/2018 | 07/26/2018 | \$391.98 | \$376.00 | \$0.00 | \$0.00 | \$15.98 | |
| Subtotals | 7.00000 | | | \$688.94 | \$658.00 | \$0.00 | \$0.00 | \$30.94 | |
| SKYLINE MEDICAL INC COMMON STOCK CUSIP: 83084T606 | 30.00000 | 01/31/2018 | 02/01/2018 | \$37.52 | \$40.80 | \$0.00 | \$0.00 | (\$3.28) | |
| | 100.00000 | 01/31/2018 | 02/01/2018 | \$125.08 | \$140.94 | \$0.00 | \$0.00 | (\$15.86) | |
| | 1.00000 | 01/31/2018 | 02/01/2018 | \$1.25 | \$1.27 | \$0.00 | \$0.00 | (\$0.02) | |
| Subtotals | 131.00000 | | | \$163.85 | \$183.01 | \$0.00 | \$0.00 | (\$19.16) | |
| ***SOGOU INC ADS EACH REPRESENTING ONE CLASS A ORDINARY SHARE CUSIP: 83409V104 | 100.00000 | 06/05/2018 | 06/08/2018 | \$1,131.97 | \$1,145.90 | \$0.00 | \$13.93 | \$0.00 | |
| | 100.00000 | 06/05/2018 | 06/08/2018 | \$1,131.97 | \$1,133.00 | \$0.00 | \$1.03 | \$0.00 | |
| | 40.00000 | 06/05/2018 | 06/08/2018 | \$452.79 | \$447.60 | \$0.00 | \$0.00 | \$5.19 | |
| | 100.00000 | 06/14/2018 | 06/20/2018 | \$1,363.98 | \$1,317.93 | \$0.00 | \$0.00 | \$46.05 | |
| | 99.00000 | 06/14/2018 | 06/20/2018 | \$1,350.34 | \$1,329.60 | \$0.00 | \$0.00 | \$20.74 | |
| | 1.00000 | 06/14/2018 | 07/30/2018 | \$9.14 | \$13.43 | \$0.00 | \$0.00 | (\$4.29) | |
| Subtotals | 440.00000 | | | \$5,440.19 | \$5,387.46 | \$0.00 | \$14.96 | \$67.69 | |
| SOLENO THERAPEUTICS INC COMMON STOCK CUSIP: 834203200 | 1.00000 | 06/22/2018 | 06/25/2018 | \$2.73 | \$2.96 | \$0.00 | \$0.00 | (\$0.23) | |
| ***SOPHIRIS BIO INC COM NEW CUSIP: 83578Q209 | 100.00000 | 01/05/2018 | 01/08/2018 | \$241.99 | \$243.99 | \$0.00 | \$0.00 | (\$2.00) | |

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350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

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Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
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| SORRENTO THERAPEUTICS INC CUSIP: 83587F202 | 50.00000 | 02/01/2018 | 02/14/2018 | \$407.48 | \$362.00 | \$0.00 | \$0.00 | \$45.48 | |
| | 49.00000 | 02/05/2018 | 02/14/2018 | \$399.34 | \$357.70 | \$0.00 | \$0.00 | \$41.64 | |
| | 1.00000 | 02/05/2018 | 02/16/2018 | \$8.95 | \$7.30 | \$0.00 | \$0.00 | \$1.65 | |
| | 99.00000 | 02/15/2018 | 02/16/2018 | \$886.01 | \$866.25 | \$0.00 | \$0.00 | \$19.76 | |
| | 10.00000 | 02/15/2018 | 02/16/2018 | \$89.50 | \$85.40 | \$0.00 | \$0.00 | \$4.10 | |
| | 1.00000 | 02/15/2018 | 03/01/2018 | \$9.80 | \$8.75 | \$0.00 | \$0.00 | \$1.05 | |
| | 5.00000 | 02/20/2018 | 03/01/2018 | \$49.00 | \$46.20 | \$0.00 | \$0.00 | \$2.80 | |
| | 50.00000 | 02/28/2018 | 03/01/2018 | \$489.98 | \$517.50 | \$0.00 | \$27.52 | \$0.00 | |
| | 29.00000 | 02/28/2018 | 03/01/2018 | \$284.18 | \$301.60 | \$0.00 | \$17.42 | \$0.00 | |
| | 8.00000 | 02/28/2018 | 03/01/2018 | \$78.40 | \$83.20 | \$0.00 | \$4.80 | \$0.00 | |
| | 1.00000 | 02/28/2018 | 03/20/2018 | \$8.05 | \$10.95 | \$0.00 | \$2.90 | \$0.00 | |
| | 22.00000 | 03/12/2018 | 03/20/2018 | \$177.09 | \$194.71 | \$0.00 | \$17.62 | \$0.00 | |
| | 2.00000 | 03/12/2018 | 03/20/2018 | \$16.10 | \$17.80 | \$0.00 | \$1.70 | \$0.00 | |
| | 25.00000 | 03/12/2018 | 03/20/2018 | \$201.24 | \$222.51 | \$0.00 | \$21.27 | \$0.00 | |
| | 8.00000 | 03/12/2018 | 03/27/2018 | \$49.68 | \$71.60 | \$0.00 | \$0.00 | (\$21.92) | |
| | 10.00000 | 03/12/2018 | 03/27/2018 | \$62.10 | \$91.51 | \$0.00 | \$0.00 | (\$29.41) | |
| | 1.00000 | 03/12/2018 | 03/27/2018 | \$6.21 | \$11.25 | \$0.00 | \$0.00 | (\$5.04) | |
| | 29.00000 | 03/12/2018 | 03/27/2018 | \$180.08 | \$259.57 | \$0.00 | \$0.00 | (\$79.49) | |
| | 61.00000 | 03/13/2018 | 03/27/2018 | \$378.79 | \$484.95 | \$0.00 | \$0.00 | (\$106.16) | |
| | 2.00000 | 03/13/2018 | 03/27/2018 | \$12.42 | \$17.60 | \$0.00 | \$0.00 | (\$5.18) | |
| | 25.00000 | 03/13/2018 | 03/27/2018 | \$155.24 | \$220.02 | \$0.00 | \$0.00 | (\$64.78) | |
| | 12.00000 | 03/13/2018 | 03/27/2018 | \$74.52 | \$105.01 | \$0.00 | \$0.00 | (\$30.49) | |
| | 50.00000 | 03/16/2018 | 03/27/2018 | \$310.49 | \$385.00 | \$0.00 | \$0.00 | (\$74.51) | |
| Subtotals | 550.00000 | | | \$4,334.65 | \$4,728.38 | \$0.00 | \$93.23 | (\$300.50) | |
| PUT MSFT 07/27/18 106 CUSIP: 8516662 | 4.00000 | 07/20/2018 | 07/27/2018 | \$7.99 | \$316.00 | \$0.00 | \$0.00 | (\$308.01) | |
| | 1.00000 | 07/20/2018 | 07/27/2018 | \$2.00 | \$79.00 | \$0.00 | \$0.00 | (\$77.00) | |
| | 12.00000 | 07/20/2018 | 07/27/2018 | \$23.98 | \$948.00 | \$0.00 | \$0.00 | (\$924.02) | |
| | 3.00000 | 07/20/2018 | 07/27/2018 | \$5.99 | \$237.00 | \$0.00 | \$0.00 | (\$231.01) | |
| | 9.00000 | 07/23/2018 | 07/27/2018 | \$17.98 | \$234.00 | \$0.00 | \$0.00 | (\$216.02) | |

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DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT MSFT 07/27/18 106 CUSIP: 8516662 | 1.00000 | 07/23/2018 | 07/27/2018 | \$1.99 | \$30.00 | \$0.00 | \$0.00 | (\$28.01) | |
| | 1.00000 | 07/23/2018 | 07/27/2018 | \$2.00 | \$26.00 | \$0.00 | \$0.00 | (\$24.00) | |
| | 1.00000 | 07/25/2018 | 07/27/2018 | \$2.00 | \$4.00 | \$0.00 | \$0.00 | (\$2.00) | |
| Subtotals | 32.00000 | | | \$63.93 | \$1,874.00 | \$0.00 | \$0.00 | (\$1,810.07) | |
| CALL UAA 07/27/18 21.5 CUSIP: 8529234 | 3.00000 | 07/20/2018 | 07/23/2018 | \$401.98 | \$444.00 | \$0.00 | \$0.00 | (\$42.02) | |
| CALL TNDM 07/20/18 30 CUSIP: 8556435 | 1.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| | 2.00000 | 07/13/2018 | 07/20/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| | 1.00000 | 07/13/2018 | 07/20/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 1.00000 | 07/13/2018 | 07/20/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 5.00000 | 07/13/2018 | 07/20/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$230.00 | \$0.00 | \$0.00 | (\$230.00) | |
| PUT AMD 08/10/18 18 CUSIP: 8566866 | 3.00000 | 07/26/2018 | 08/03/2018 | \$65.99 | \$201.00 | \$0.00 | \$135.01 | \$0.00 | |
| | 1.00000 | 07/27/2018 | 08/03/2018 | \$21.99 | \$31.00 | \$0.00 | \$9.01 | \$0.00 | |
| | 2.00000 | 07/27/2018 | 08/10/2018 | \$0.00 | \$146.01 | \$0.00 | \$0.00 | (\$146.01) | EXPIRATION |
| | 1.00000 | 07/27/2018 | 08/10/2018 | \$0.00 | \$37.00 | \$0.00 | \$0.00 | (\$37.00) | EXPIRATION |
| | 1.00000 | 07/27/2018 | 08/10/2018 | \$0.00 | \$42.00 | \$0.00 | \$0.00 | (\$42.00) | EXPIRATION |
| | 1.00000 | 07/27/2018 | 08/10/2018 | \$0.00 | \$51.01 | \$0.00 | \$0.00 | (\$51.01) | EXPIRATION |
| | 1.00000 | 07/27/2018 | 08/10/2018 | \$0.00 | \$76.00 | \$0.00 | \$0.00 | (\$76.00) | EXPIRATION |
| | 3.00000 | 07/27/2018 | 08/10/2018 | \$0.00 | \$93.00 | \$0.00 | \$0.00 | (\$93.00) | EXPIRATION |
| Subtotals | 13.00000 | | | \$87.98 | \$677.02 | \$0.00 | \$144.02 | (\$445.02) | |
| CALL ATVI 08/10/18 73 CUSIP: 8569051 | 3.00000 | 08/01/2018 | 08/03/2018 | \$485.98 | \$711.00 | \$0.00 | \$0.00 | (\$225.02) | |
| PUT CAT 08/10/18 143 CUSIP: 8572749 | 1.00000 | 07/30/2018 | 08/01/2018 | \$474.98 | \$264.00 | \$0.00 | \$0.00 | \$210.98 | |
| | 1.00000 | 07/30/2018 | 08/01/2018 | \$474.99 | \$267.00 | \$0.00 | \$0.00 | \$207.99 | |
| | 1.00000 | 07/31/2018 | 08/06/2018 | \$496.99 | \$288.00 | \$0.00 | \$0.00 | \$208.99 | |
| | 1.00000 | 07/31/2018 | 08/06/2018 | \$496.98 | \$170.00 | \$0.00 | \$0.00 | \$326.98 | |
| Subtotals | 4.00000 | | | \$1,943.94 | \$989.00 | \$0.00 | \$0.00 | \$954.94 | |

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| STITCH FIX INC | 50.00000 | 07/06/2018 | 07/10/2018 | \$1,656.67 | \$1,611.42 | \$0.00 | \$0.00 | \$45.25 | |
| CLASS A COMMON STOCK | 29.00000 | 07/09/2018 | 07/10/2018 | \$960.87 | \$919.30 | \$0.00 | \$0.00 | \$41.57 | |
| CUSIP: 860897107 | 20.00000 | 07/09/2018 | 07/10/2018 | \$662.67 | \$646.00 | \$0.00 | \$0.00 | \$16.67 | |
| | 1.00000 | 07/09/2018 | 10/01/2018 | \$38.63 | \$31.70 | \$0.00 | \$0.00 | \$6.93 | |
| Subtotals | 100.00000 | | | \$3,318.84 | \$3,208.42 | \$0.00 | \$0.00 | \$110.42 | |
| CALL TTWO 08/10/18 113 CUSIP: 8715462 | 1.00000 | 08/02/2018 | 08/03/2018 | \$1,509.97 | \$460.00 | \$0.00 | \$0.00 | \$1,049.97 | |
| SYNDAX PHARMACEUTICALS INC COM CUSIP: 87164F105 | 100.00000 | 03/13/2018 | 03/14/2018 | \$1,260.01 | \$1,070.99 | \$0.00 | \$0.00 | \$189.02 | |
| | 4.00000 | 03/13/2018 | 03/14/2018 | \$50.40 | \$42.52 | \$0.00 | \$0.00 | \$7.88 | |
| | 1.00000 | 03/13/2018 | 03/21/2018 | \$14.08 | \$10.63 | \$0.00 | \$0.00 | \$3.45 | |
| | 50.00000 | 03/14/2018 | 03/21/2018 | \$703.98 | \$674.44 | \$0.00 | \$0.00 | \$29.54 | |
| | 25.00000 | 03/14/2018 | 03/21/2018 | \$351.99 | \$332.75 | \$0.00 | \$0.00 | \$19.24 | |
| | 10.00000 | 03/14/2018 | 03/21/2018 | \$140.79 | \$134.00 | \$0.00 | \$0.00 | \$6.79 | |
| | 30.00000 | 03/14/2018 | 03/21/2018 | \$422.38 | \$396.60 | \$0.00 | \$0.00 | \$25.78 | |
| | 25.00000 | 03/14/2018 | 03/21/2018 | \$351.99 | \$340.00 | \$0.00 | \$0.00 | \$11.99 | |
| | 4.00000 | 03/14/2018 | 03/21/2018 | \$56.32 | \$52.88 | \$0.00 | \$0.00 | \$3.44 | |
| | 1.00000 | 03/14/2018 | 04/30/2018 | \$10.51 | \$13.22 | \$0.00 | \$0.00 | (\$2.71) | |
| Subtotals | 250.00000 | | | \$3,362.45 | \$3,068.03 | \$0.00 | \$0.00 | \$294.42 | |
| CALL WTW 08/10/18 92 CUSIP: 8719174 | 2.00000 | 08/06/2018 | 08/10/2018 | \$0.00 | \$1,060.00 | \$0.00 | \$0.00 | (\$1,060.00) | EXPIRATION |
| | 1.00000 | 08/07/2018 | 08/10/2018 | \$0.00 | \$10.00 | \$0.00 | \$0.00 | (\$10.00) | EXPIRATION |
| Subtotals | 3.00000 | | | \$0.00 | \$1,070.00 | \$0.00 | \$0.00 | (\$1,070.00) | |
| CALL SYNT 08/17/18 40 CUSIP: 8726644 | 1.00000 | 07/25/2018 | 07/26/2018 | \$80.00 | \$91.01 | \$0.00 | \$0.00 | (\$11.01) | |
| | 1.00000 | 07/25/2018 | 07/26/2018 | \$79.99 | \$90.00 | \$0.00 | \$0.00 | (\$10.01) | |
| | 1.00000 | 07/25/2018 | 07/26/2018 | \$79.99 | \$85.00 | \$0.00 | \$5.01 | \$0.00 | |
| | 1.00000 | 07/25/2018 | 07/26/2018 | \$80.00 | \$90.01 | \$0.00 | \$0.00 | (\$10.01) | |
| | 1.00000 | 07/25/2018 | 07/26/2018 | \$79.99 | \$85.00 | \$0.00 | \$0.00 | (\$5.01) | |
| | 1.00000 | 07/25/2018 | 07/26/2018 | \$79.99 | \$86.00 | \$0.00 | \$6.01 | \$0.00 | |
| | 1.00000 | 07/25/2018 | 07/26/2018 | \$80.00 | \$85.00 | \$0.00 | \$5.00 | \$0.00 | |

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OMB NO. 1545-0715

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|--|----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| Subtotals | 7.00000 | | | \$559.96 | \$612.02 | \$0.00 | \$16.02 | (\$36.04) | |
| CALL FNKO 08/17/18 17.5 CUSIP: 8730885 | 3.00000 | 07/20/2018 | 07/23/2018 | \$584.98 | \$420.00 | \$0.00 | \$0.00 | \$164.98 | |
| | 1.00000 | 07/20/2018 | 07/23/2018 | \$194.98 | \$140.00 | \$0.00 | \$0.00 | \$54.98 | |
| Subtotals | 4.00000 | | | \$779.96 | \$560.00 | \$0.00 | \$0.00 | \$219.96 | |
| PUT FNKO 08/17/18 17.5 CUSIP: 8730886 | 1.00000 | 08/06/2018 | 08/09/2018 | \$109.98 | \$115.00 | \$0.00 | \$0.00 | (\$5.02) | |
| | 2.00000 | 08/06/2018 | 08/09/2018 | \$219.98 | \$230.00 | \$0.00 | \$0.00 | (\$10.02) | |
| | 1.00000 | 08/06/2018 | 08/09/2018 | \$109.98 | \$115.00 | \$0.00 | \$0.00 | (\$5.02) | |
| | 1.00000 | 08/06/2018 | 08/09/2018 | \$109.98 | \$115.00 | \$0.00 | \$0.00 | (\$5.02) | |
| Subtotals | 5.00000 | | | \$549.92 | \$575.00 | \$0.00 | \$0.00 | (\$25.08) | |
| PUT NVDA 08/24/18 195 CUSIP: 8732860 | 5.00000 | 08/16/2018 | 08/24/2018 | \$0.00 | \$105.00 | \$0.00 | \$0.00 | (\$105.00) | EXPIRATION |
| PUT TTD 08/24/18 115 CUSIP: 8734766 | 1.00000 | 08/10/2018 | 08/20/2018 | \$9.99 | \$200.00 | \$0.00 | \$0.00 | (\$190.01) | |
| | 1.00000 | 08/10/2018 | 08/20/2018 | \$10.00 | \$200.00 | \$0.00 | \$0.00 | (\$190.00) | |
| | 1.00000 | 08/15/2018 | 08/20/2018 | \$9.99 | \$45.00 | \$0.00 | \$0.00 | (\$35.01) | |
| Subtotals | 3.00000 | | | \$29.98 | \$445.00 | \$0.00 | \$0.00 | (\$415.02) | |
| CALL BABA 08/24/18 185 CUSIP: 8738452 | 1.00000 | 08/22/2018 | 08/23/2018 | \$182.99 | \$214.00 | \$0.00 | \$0.00 | (\$31.01) | |
| | 2.00000 | 08/22/2018 | 08/23/2018 | \$365.99 | \$404.00 | \$0.00 | \$0.00 | (\$38.01) | |
| | 1.00000 | 08/22/2018 | 08/23/2018 | \$183.00 | \$216.00 | \$0.00 | \$0.00 | (\$33.00) | |
| Subtotals | 4.00000 | | | \$731.98 | \$834.00 | \$0.00 | \$0.00 | (\$102.02) | |
| PUT DATA 08/10/18 104 CUSIP: 8743007 | 1.00000 | 08/06/2018 | 08/07/2018 | \$145.98 | \$145.00 | \$0.00 | \$0.00 | \$0.98 | |
| | 1.00000 | 08/06/2018 | 08/07/2018 | \$144.98 | \$145.00 | \$0.00 | \$0.00 | (\$0.02) | |
| Subtotals | 2.00000 | | | \$290.96 | \$290.00 | \$0.00 | \$0.00 | \$0.96 | |
| TANDEM DIABETES CARE INC COMMON STOCK CUSIP: 875372203 | 1.00000 | 04/12/2018 | 05/15/2018 | \$11.07 | \$7.07 | \$0.00 | \$0.00 | \$4.00 | |
| | 10.00000 | 05/11/2018 | 05/15/2018 | \$110.70 | \$88.90 | \$0.00 | \$0.00 | \$21.80 | |
| | 11.00000 | 05/14/2018 | 05/15/2018 | \$121.77 | \$112.97 | \$0.00 | \$0.00 | \$8.80 | |
| | 89.00000 | 05/14/2018 | 05/15/2018 | \$985.19 | \$914.00 | \$0.00 | \$0.00 | \$71.19 | |
| | 99.00000 | 05/14/2018 | 05/15/2018 | \$1,095.89 | \$1,037.52 | \$0.00 | \$0.00 | \$58.37 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| TANDEM DIABETES CARE INC | 1.00000 | 05/14/2018 | 05/16/2018 | \$11.64 | \$10.48 | \$0.00 | \$0.00 | \$1.16 | |
| COMMON STOCK | 39.00000 | 05/15/2018 | 05/16/2018 | \$453.95 | \$453.96 | \$0.00 | \$0.01 | \$0.00 | |
| CUSIP: 875372203 | 1.00000 | 05/15/2018 | 05/22/2018 | \$12.87 | \$11.64 | \$0.00 | \$0.00 | \$1.23 | |
| | 61.00000 | 05/18/2018 | 05/22/2018 | \$785.09 | \$773.44 | \$0.00 | \$0.00 | \$11.65 | |
| | 99.00000 | 05/18/2018 | 05/22/2018 | \$1,274.16 | \$1,256.81 | \$0.00 | \$0.00 | \$17.35 | |
| | 39.00000 | 05/18/2018 | 05/22/2018 | \$501.94 | \$494.51 | \$0.00 | \$0.00 | \$7.43 | |
| | 1.00000 | 05/18/2018 | 05/25/2018 | \$14.12 | \$12.69 | \$0.00 | \$0.00 | \$1.43 | |
| | 49.00000 | 05/23/2018 | 05/25/2018 | \$691.86 | \$652.19 | \$0.00 | \$0.00 | \$39.67 | |
| | 50.00000 | 05/23/2018 | 05/25/2018 | \$703.50 | \$665.49 | \$0.00 | \$0.00 | \$38.01 | |
| | 1.00000 | 05/23/2018 | 06/04/2018 | \$15.15 | \$13.31 | \$0.00 | \$0.00 | \$1.84 | |
| | 50.00000 | 05/31/2018 | 06/04/2018 | \$757.48 | \$756.45 | \$0.00 | \$0.00 | \$1.03 | |
| | 2.00000 | 05/31/2018 | 06/04/2018 | \$30.30 | \$29.26 | \$0.00 | \$0.00 | \$1.04 | |
| | 1.00000 | 05/31/2018 | 06/04/2018 | \$15.15 | \$15.09 | \$0.00 | \$0.00 | \$0.06 | |
| | 49.00000 | 05/31/2018 | 06/04/2018 | \$742.33 | \$708.05 | \$0.00 | \$0.00 | \$34.28 | |
| | 50.00000 | 05/31/2018 | 06/04/2018 | \$757.48 | \$725.00 | \$0.00 | \$0.00 | \$32.48 | |
| | 14.00000 | 05/31/2018 | 06/04/2018 | \$212.10 | \$211.26 | \$0.00 | \$0.00 | \$0.84 | |
| | 1.00000 | 05/31/2018 | 06/08/2018 | \$15.72 | \$14.45 | \$0.00 | \$0.00 | \$1.27 | |
| | 49.00000 | 06/05/2018 | 06/08/2018 | \$770.31 | \$745.78 | \$0.00 | \$0.00 | \$24.53 | |
| | 1.00000 | 06/05/2018 | 06/08/2018 | \$15.73 | \$15.22 | \$0.00 | \$0.00 | \$0.51 | |
| | 99.00000 | 06/05/2018 | 06/08/2018 | \$1,557.23 | \$1,504.80 | \$0.00 | \$0.00 | \$52.43 | |
| | 50.00000 | 06/05/2018 | 06/08/2018 | \$786.03 | \$767.50 | \$0.00 | \$0.00 | \$18.53 | |
| | 1.00000 | 06/05/2018 | 06/11/2018 | \$16.21 | \$15.20 | \$0.00 | \$0.00 | \$1.01 | |
| | 100.00000 | 06/08/2018 | 06/11/2018 | \$1,620.97 | \$1,565.00 | \$0.00 | \$0.00 | \$55.97 | |
| | 49.00000 | 06/08/2018 | 06/11/2018 | \$794.28 | \$764.89 | \$0.00 | \$0.00 | \$29.39 | |
| | 1.00000 | 06/08/2018 | 06/19/2018 | \$19.68 | \$15.61 | \$0.00 | \$0.00 | \$4.07 | |
| | 50.00000 | 06/13/2018 | 06/19/2018 | \$984.00 | \$890.00 | \$0.00 | \$0.00 | \$94.00 | |
| | 35.00000 | 06/13/2018 | 06/19/2018 | \$688.80 | \$630.00 | \$0.00 | \$0.00 | \$58.80 | |
| | 25.00000 | 06/13/2018 | 06/19/2018 | \$492.00 | \$457.50 | \$0.00 | \$0.00 | \$34.50 | |
| | 50.00000 | 06/13/2018 | 06/19/2018 | \$983.99 | \$877.50 | \$0.00 | \$0.00 | \$106.49 | |
| | 49.00000 | 06/14/2018 | 06/19/2018 | \$964.31 | \$882.00 | \$0.00 | \$0.00 | \$82.31 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| TANDEM DIABETES CARE INC | 15.00000 | 06/14/2018 | 06/19/2018 | \$295.20 | \$274.95 | \$0.00 | \$0.00 | \$20.25 | |
| COMMON STOCK | 25.00000 | 06/14/2018 | 06/19/2018 | \$492.00 | \$458.25 | \$0.00 | \$0.00 | \$33.75 | |
| CUSIP: 875372203 | 1.00000 | 06/14/2018 | 06/22/2018 | \$23.92 | \$18.00 | \$0.00 | \$0.00 | \$5.92 | |
| | 37.00000 | 06/21/2018 | 06/22/2018 | \$887.98 | \$778.85 | \$0.00 | \$0.00 | \$109.13 | |
| | 50.00000 | 06/21/2018 | 06/22/2018 | \$1,199.98 | \$1,043.00 | \$0.00 | \$0.00 | \$156.98 | |
| | 26.00000 | 06/21/2018 | 06/22/2018 | \$626.07 | \$612.82 | \$0.00 | \$0.00 | \$13.25 | |
| | 13.00000 | 06/21/2018 | 06/22/2018 | \$311.99 | \$273.65 | \$0.00 | \$0.00 | \$38.34 | |
| | 50.00000 | 06/21/2018 | 06/22/2018 | \$1,199.98 | \$1,005.00 | \$0.00 | \$0.00 | \$194.98 | |
| | 1.00000 | 06/21/2018 | 06/22/2018 | \$24.00 | \$21.12 | \$0.00 | \$0.00 | \$2.88 | |
| | 49.00000 | 06/21/2018 | 06/22/2018 | \$1,172.07 | \$1,034.88 | \$0.00 | \$0.00 | \$137.19 | |
| | 24.00000 | 06/21/2018 | 06/22/2018 | \$575.99 | \$565.68 | \$0.00 | \$0.00 | \$10.31 | |
| | 50.00000 | 06/21/2018 | 06/22/2018 | \$1,199.98 | \$1,095.00 | \$0.00 | \$0.00 | \$104.98 | |
| | 25.00000 | 06/21/2018 | 06/22/2018 | \$599.99 | \$521.75 | \$0.00 | \$0.00 | \$78.24 | |
| | 99.00000 | 06/22/2018 | 06/22/2018 | \$2,383.89 | \$2,449.26 | \$0.00 | \$65.37 | \$0.00 | |
| | 1.00000 | 06/22/2018 | 07/02/2018 | \$21.40 | \$24.74 | \$0.00 | \$3.34 | \$0.00 | |
| | 1.00000 | 06/25/2018 | 07/02/2018 | \$20.29 | \$26.79 | \$0.00 | \$6.50 | \$0.00 | |
| | 50.00000 | 06/25/2018 | 07/02/2018 | \$1,069.98 | \$1,205.77 | \$0.00 | \$135.79 | \$0.00 | |
| | 49.00000 | 06/25/2018 | 07/02/2018 | \$994.19 | \$1,269.87 | \$0.00 | \$275.68 | \$0.00 | |
| | 50.00000 | 06/25/2018 | 07/02/2018 | \$1,014.48 | \$1,308.54 | \$0.00 | \$294.06 | \$0.00 | |
| | 1.00000 | 06/25/2018 | 07/02/2018 | \$20.29 | \$23.20 | \$0.00 | \$2.91 | \$0.00 | |
| | 49.00000 | 06/25/2018 | 07/02/2018 | \$1,048.58 | \$1,181.65 | \$0.00 | \$133.07 | \$0.00 | |
| | 13.00000 | 06/27/2018 | 07/02/2018 | \$263.76 | \$288.33 | \$0.00 | \$0.00 | (\$24.57) | |
| | 10.00000 | 06/27/2018 | 07/02/2018 | \$202.90 | \$219.90 | \$0.00 | \$0.00 | (\$17.00) | |
| | 1.00000 | 06/27/2018 | 07/10/2018 | \$23.10 | \$28.68 | \$0.00 | \$0.00 | (\$5.58) | |
| | 49.00000 | 07/09/2018 | 07/10/2018 | \$1,131.88 | \$1,415.18 | \$0.00 | \$0.00 | (\$283.30) | |
| | 1.00000 | 07/09/2018 | 07/18/2018 | \$26.25 | \$28.88 | \$0.00 | \$0.00 | (\$2.63) | |
| | 49.00000 | 07/13/2018 | 07/18/2018 | \$1,286.22 | \$1,525.18 | \$0.00 | \$0.00 | (\$238.96) | |
| | 1.00000 | 07/13/2018 | 08/20/2018 | \$35.84 | \$28.41 | \$0.00 | \$0.00 | \$7.43 | |
| Subtotals | 2,067.00000 | | | \$37,165.20 | \$36,822.87 | \$0.00 | \$916.73 | \$1,259.06 | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|--|----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL MOMO 08/24/18 45 CUSIP: 8755178 | 3.00000 | 08/21/2018 | 08/22/2018 | \$44.99 | \$195.00 | \$0.00 | \$0.00 | (\$150.01) | |
| | 2.00000 | 08/21/2018 | 08/22/2018 | \$29.99 | \$130.00 | \$0.00 | \$0.00 | (\$100.01) | |
| Subtotals | 5.00000 | | | \$74.98 | \$325.00 | \$0.00 | \$0.00 | (\$250.02) | |
| CALL NVDA 08/24/18 270 CUSIP: 8757481 | 1.00000 | 08/16/2018 | 08/17/2018 | \$32.98 | \$455.00 | \$0.00 | \$422.02 | \$0.00 | |
| | 1.00000 | 08/16/2018 | 08/17/2018 | \$25.98 | \$832.02 | \$0.00 | \$806.04 | \$0.00 | |
| | 1.00000 | 08/16/2018 | 08/17/2018 | \$18.98 | \$1,231.04 | \$0.00 | \$0.00 | (\$1,212.06) | |
| Subtotals | 3.00000 | | | \$77.94 | \$2,518.06 | \$0.00 | \$1,228.06 | (\$1,212.06) | |
| CALL TGT 08/24/18 83.5 CUSIP: 8782035 | 1.00000 | 08/21/2018 | 08/22/2018 | \$464.98 | \$233.00 | \$0.00 | \$0.00 | \$231.98 | |
| | 1.00000 | 08/21/2018 | 08/22/2018 | \$464.99 | \$235.00 | \$0.00 | \$0.00 | \$229.99 | |
| Subtotals | 2.00000 | | | \$929.97 | \$468.00 | \$0.00 | \$0.00 | \$461.97 | |
| CALL TPR 08/24/18 48.5 CUSIP: 8782593 | 1.00000 | 08/13/2018 | 08/14/2018 | \$399.98 | \$170.00 | \$0.00 | \$0.00 | \$229.98 | |
| | 1.00000 | 08/13/2018 | 08/14/2018 | \$359.98 | \$175.00 | \$0.00 | \$0.00 | \$184.98 | |
| | 1.00000 | 08/13/2018 | 08/14/2018 | \$399.98 | \$170.00 | \$0.00 | \$0.00 | \$229.98 | |
| Subtotals | 3.00000 | | | \$1,159.94 | \$515.00 | \$0.00 | \$0.00 | \$644.94 | |
| PUT TWLO 08/10/18 63 CUSIP: 8783215 | 1.00000 | 08/06/2018 | 08/10/2018 | \$0.00 | \$370.00 | \$0.00 | \$0.00 | (\$370.00) | EXPIRATION |
| PUT TTWO 08/24/18 120 CUSIP: 8783249 | 3.00000 | 08/03/2018 | 08/06/2018 | \$959.97 | \$465.00 | \$0.00 | \$0.00 | \$494.97 | |
| TECHNICAL COMMUNICATIONS COR CUSIP: 878409101 | 281.00000 | 12/29/2017 | 01/02/2018 | \$2,883.72 | \$3,723.25 | \$0.00 | \$0.00 | (\$839.53) | |
| ***THE9 LTD ADR CUSIP: 88337K104 | 100.00000 | 01/16/2018 | 01/17/2018 | \$114.99 | \$137.90 | \$0.00 | \$0.00 | (\$22.91) | |
| TRANSENERIX INC CUSIP: 89366M201 | 50.00000 | 03/05/2018 | 03/06/2018 | \$83.98 | \$96.50 | \$0.00 | \$0.00 | (\$12.52) | |
| | 100.00000 | 05/21/2018 | 05/23/2018 | \$282.98 | \$347.90 | \$0.00 | \$64.92 | \$0.00 | |
| | 1.00000 | 05/22/2018 | 05/23/2018 | \$2.83 | \$3.89 | \$0.00 | \$1.06 | \$0.00 | |
| | 90.00000 | 05/22/2018 | 05/23/2018 | \$254.68 | \$382.00 | \$0.00 | \$127.32 | \$0.00 | |

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IN ACCOUNT WITH

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

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|---|---------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| TRANSENERIX INC CUSIP: 89366M201 | 9.00000 | 05/22/2018 | 05/23/2018 | \$25.45 | \$34.96 | \$0.00 | \$9.51 | \$0.00 | |
| | 9.00000 | 05/22/2018 | 05/23/2018 | \$25.47 | \$38.22 | \$0.00 | \$12.75 | \$0.00 | |
| | 1.00000 | 05/22/2018 | 05/23/2018 | \$2.83 | \$4.25 | \$0.00 | \$1.42 | \$0.00 | |
| | 90.00000 | 05/22/2018 | 05/23/2018 | \$254.68 | \$349.58 | \$0.00 | \$94.90 | \$0.00 | |
| | 100.00000 | 06/22/2018 | 06/25/2018 | \$438.99 | \$426.00 | \$0.00 | \$0.00 | \$12.99 | |
| | 30.00000 | 06/22/2018 | 06/25/2018 | \$134.05 | \$164.14 | \$0.00 | \$30.09 | \$0.00 | |
| | 1.00000 | 06/22/2018 | 06/25/2018 | \$4.47 | \$5.48 | \$0.00 | \$1.01 | \$0.00 | |
| | 59.00000 | 06/22/2018 | 06/25/2018 | \$259.01 | \$251.19 | \$0.00 | \$0.00 | \$7.82 | |
| | 100.00000 | 06/22/2018 | 06/25/2018 | \$438.99 | \$418.90 | \$0.00 | \$0.00 | \$20.09 | |
| | 100.00000 | 06/22/2018 | 06/25/2018 | \$438.99 | \$426.00 | \$0.00 | \$0.00 | \$12.99 | |
| | 90.00000 | 06/22/2018 | 06/25/2018 | \$395.09 | \$504.33 | \$0.00 | \$109.24 | \$0.00 | |
| | 1.00000 | 06/22/2018 | 06/25/2018 | \$4.39 | \$5.61 | \$0.00 | \$1.22 | \$0.00 | |
| | 9.00000 | 06/22/2018 | 06/25/2018 | \$39.51 | \$50.45 | \$0.00 | \$10.94 | \$0.00 | |
| | 100.00000 | 06/22/2018 | 06/25/2018 | \$438.99 | \$432.91 | \$0.00 | \$0.00 | \$6.08 | |
| | 9.00000 | 06/22/2018 | 06/25/2018 | \$40.22 | \$49.26 | \$0.00 | \$9.04 | \$0.00 | |
| | 1.00000 | 06/22/2018 | 06/28/2018 | \$5.10 | \$5.47 | \$0.00 | \$0.37 | \$0.00 | |
| | 99.00000 | 06/25/2018 | 06/28/2018 | \$497.95 | \$483.12 | \$0.00 | \$0.00 | \$14.83 | |
| | 1.00000 | 06/25/2018 | 06/28/2018 | \$5.10 | \$5.99 | \$0.00 | \$0.89 | \$0.00 | |
| | 1.00000 | 06/25/2018 | 06/28/2018 | \$5.03 | \$5.87 | \$0.00 | \$0.84 | \$0.00 | |
| | 9.00000 | 06/25/2018 | 06/28/2018 | \$45.90 | \$53.85 | \$0.00 | \$7.95 | \$0.00 | |
| | 623.00000 | 06/25/2018 | 06/28/2018 | \$3,133.57 | \$3,101.42 | \$0.00 | \$0.00 | \$32.15 | |
| | 59.00000 | 06/25/2018 | 06/28/2018 | \$300.89 | \$365.36 | \$0.00 | \$64.47 | \$0.00 | |
| | 30.00000 | 06/25/2018 | 06/28/2018 | \$152.99 | \$179.46 | \$0.00 | \$26.47 | \$0.00 | |
| | 9.00000 | 06/25/2018 | 06/28/2018 | \$45.27 | \$52.75 | \$0.00 | \$7.48 | \$0.00 | |
| | 30.00000 | 06/25/2018 | 06/28/2018 | \$150.89 | \$175.82 | \$0.00 | \$24.93 | \$0.00 | |
| | 59.00000 | 06/25/2018 | 06/28/2018 | \$296.76 | \$358.18 | \$0.00 | \$61.42 | \$0.00 | |
| | 1.00000 | 06/25/2018 | 06/28/2018 | \$5.03 | \$5.35 | \$0.00 | \$0.32 | \$0.00 | |
| | 178.00000 | 06/25/2018 | 06/28/2018 | \$895.31 | \$886.24 | \$0.00 | \$0.00 | \$9.07 | |
| | 1.00000 | 06/25/2018 | 06/29/2018 | \$4.26 | \$5.20 | \$0.00 | \$0.94 | \$0.00 | |
| | 1.00000 | 06/28/2018 | 06/29/2018 | \$4.26 | \$5.94 | \$0.00 | \$1.68 | \$0.00 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|--------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| TRANSENERIX INC CUSIP: 89366M201 | 59.00000 | 06/28/2018 | 06/29/2018 | \$251.33 | \$362.32 | \$0.00 | \$110.99 | \$0.00 | |
| | 9.00000 | 06/28/2018 | 06/29/2018 | \$38.34 | \$53.38 | \$0.00 | \$15.04 | \$0.00 | |
| | 30.00000 | 06/28/2018 | 06/29/2018 | \$127.80 | \$177.93 | \$0.00 | \$50.13 | \$0.00 | |
| | 1.00000 | 06/28/2018 | 07/03/2018 | \$3.81 | \$6.04 | \$0.00 | \$0.00 | (\$2.23) | |
| | 1.00000 | 07/02/2018 | 07/03/2018 | \$3.81 | \$5.82 | \$0.00 | \$0.00 | (\$2.01) | |
| | 141.00000 | 07/02/2018 | 07/03/2018 | \$537.33 | \$585.15 | \$0.00 | \$0.00 | (\$47.82) | |
| | 401.00000 | 07/02/2018 | 07/03/2018 | \$1,528.14 | \$1,660.14 | \$0.00 | \$0.00 | (\$132.00) | |
| | 59.00000 | 07/02/2018 | 07/03/2018 | \$224.84 | \$355.25 | \$0.00 | \$0.00 | (\$130.41) | |
| | 30.00000 | 07/02/2018 | 07/03/2018 | \$114.32 | \$174.33 | \$0.00 | \$0.00 | (\$60.01) | |
| | 259.00000 | 07/02/2018 | 07/03/2018 | \$987.00 | \$1,074.85 | \$0.00 | \$0.00 | (\$87.85) | |
| | 9.00000 | 07/02/2018 | 07/03/2018 | \$34.30 | \$52.30 | \$0.00 | \$0.00 | (\$18.00) | |
| Subtotals | 3,050.00000 | | | \$12,964.93 | \$14,189.10 | \$0.00 | \$847.34 | (\$376.83) | |
| PUT CAT 09/21/18 135 CUSIP: 8BTRZK0 | 2.00000 | 09/07/2018 | 09/21/2018 | \$0.00 | \$174.00 | \$0.00 | \$0.00 | (\$174.00) | EXPIRATION |
| PUT PAYC 08/17/18 125 CUSIP: 8BTTZB1 | 1.00000 | 08/01/2018 | 08/06/2018 | \$44.98 | \$260.00 | \$0.00 | \$0.00 | (\$215.02) | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$44.98 | \$260.00 | \$0.00 | \$0.00 | (\$215.02) | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$44.98 | \$260.00 | \$0.00 | \$0.00 | (\$215.02) | |
| Subtotals | 3.00000 | | | \$134.94 | \$780.00 | \$0.00 | \$0.00 | (\$645.06) | |
| PUT SFIX 09/21/18 35 CUSIP: 8BTWCX6 | 1.00000 | 08/30/2018 | 09/05/2018 | \$30.00 | \$90.00 | \$0.00 | \$0.00 | (\$60.00) | |
| | 1.00000 | 08/31/2018 | 09/05/2018 | \$29.99 | \$60.00 | \$0.00 | \$0.00 | (\$30.01) | |
| | 1.00000 | 08/31/2018 | 09/05/2018 | \$29.99 | \$50.00 | \$0.00 | \$0.00 | (\$20.01) | |
| | 1.00000 | 08/31/2018 | 09/05/2018 | \$30.00 | \$50.00 | \$0.00 | \$0.00 | (\$20.00) | |
| Subtotals | 4.00000 | | | \$119.98 | \$250.00 | \$0.00 | \$0.00 | (\$130.02) | |
| CALL HUYA 07/20/18 45 CUSIP: 8BWHRQ7 | 1.00000 | 07/10/2018 | 07/11/2018 | \$39.98 | \$15.00 | \$0.00 | \$0.00 | \$24.98 | |
| | 2.00000 | 07/10/2018 | 07/11/2018 | \$79.98 | \$30.00 | \$0.00 | \$0.00 | \$49.98 | |
| | 1.00000 | 07/10/2018 | 07/11/2018 | \$39.98 | \$15.00 | \$0.00 | \$0.00 | \$24.98 | |
| | 1.00000 | 07/10/2018 | 07/19/2018 | \$4.99 | \$15.00 | \$0.00 | \$0.00 | (\$10.01) | |
| | 1.00000 | 07/13/2018 | 07/19/2018 | \$4.99 | \$40.00 | \$0.00 | \$0.00 | (\$35.01) | |

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 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| Subtotals | 6.00000 | | | \$169.92 | \$115.00 | \$0.00 | \$0.00 | \$54.92 | |
| CALL LEN 10/19/18 50 CUSIP: 8BXBV2 | 1.00000 | 10/02/2018 | 10/03/2018 | \$13.00 | \$61.00 | \$0.00 | \$0.00 | (\$48.00) | |
| | 1.00000 | 10/02/2018 | 10/03/2018 | \$12.99 | \$61.00 | \$0.00 | \$0.00 | (\$48.01) | |
| | 2.00000 | 10/02/2018 | 10/03/2018 | \$25.99 | \$124.00 | \$0.00 | \$0.00 | (\$98.01) | |
| Subtotals | 4.00000 | | | \$51.98 | \$246.00 | \$0.00 | \$0.00 | (\$194.02) | |
| CALL BBY 09/21/18 90 CUSIP: 8BYLWK1 | 1.00000 | 08/27/2018 | 09/21/2018 | \$0.00 | \$94.00 | \$0.00 | \$0.00 | (\$94.00) | EXPIRATION |
| | 3.00000 | 08/27/2018 | 09/21/2018 | \$0.00 | \$270.00 | \$0.00 | \$0.00 | (\$270.00) | EXPIRATION |
| Subtotals | 4.00000 | | | \$0.00 | \$364.00 | \$0.00 | \$0.00 | (\$364.00) | |
| PUT CAT 08/17/18 138 CUSIP: 8C69402 | 1.00000 | 08/03/2018 | 08/09/2018 | \$90.99 | \$270.00 | \$0.00 | \$0.00 | (\$179.01) | |
| | 1.00000 | 08/03/2018 | 08/09/2018 | \$90.98 | \$240.00 | \$0.00 | \$149.02 | \$0.00 | |
| | 1.00000 | 08/03/2018 | 08/09/2018 | \$91.00 | \$389.02 | \$0.00 | \$0.00 | (\$298.02) | |
| | 1.00000 | 08/06/2018 | 08/09/2018 | \$91.00 | \$173.00 | \$0.00 | \$0.00 | (\$82.00) | |
| | 1.00000 | 08/07/2018 | 08/09/2018 | \$90.99 | \$62.00 | \$0.00 | \$0.00 | \$28.99 | |
| Subtotals | 5.00000 | | | \$454.96 | \$1,134.02 | \$0.00 | \$149.02 | (\$530.04) | |
| CALL SFIX 07/20/18 35 CUSIP: 8C72013 | 1.00000 | 07/09/2018 | 07/10/2018 | \$89.99 | \$55.00 | \$0.00 | \$0.00 | \$34.99 | |
| | 1.00000 | 07/09/2018 | 07/10/2018 | \$89.99 | \$60.00 | \$0.00 | \$0.00 | \$29.99 | |
| | 1.00000 | 07/09/2018 | 07/19/2018 | \$65.00 | \$55.00 | \$0.00 | \$0.00 | \$10.00 | |
| | 2.00000 | 07/11/2018 | 07/19/2018 | \$129.99 | \$170.00 | \$0.00 | \$0.00 | (\$40.01) | |
| | 1.00000 | 07/11/2018 | 07/19/2018 | \$65.00 | \$87.00 | \$0.00 | \$0.00 | (\$22.00) | |
| | 1.00000 | 07/11/2018 | 07/19/2018 | \$65.00 | \$90.00 | \$0.00 | \$0.00 | (\$25.00) | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$65.00 | \$50.00 | \$0.00 | \$0.00 | \$15.00 | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$65.00 | \$50.00 | \$0.00 | \$0.00 | \$15.00 | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$64.99 | \$50.00 | \$0.00 | \$0.00 | \$14.99 | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$65.00 | \$70.00 | \$0.00 | \$0.00 | (\$5.00) | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$65.00 | \$50.00 | \$0.00 | \$0.00 | \$15.00 | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$65.00 | \$50.00 | \$0.00 | \$0.00 | \$15.00 | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$65.00 | \$60.00 | \$0.00 | \$0.00 | \$5.00 | |
| | 2.00000 | 07/12/2018 | 07/19/2018 | \$129.99 | \$130.00 | \$0.00 | \$0.00 | (\$0.01) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL SFIX 07/20/18 35 CUSIP: 8C72013 | 1.00000 | 07/12/2018 | 07/19/2018 | \$65.00 | \$60.00 | \$0.00 | \$0.00 | \$5.00 | |
| | 5.00000 | 07/16/2018 | 07/19/2018 | \$324.97 | \$145.00 | \$0.00 | \$0.00 | \$179.97 | |
| Subtotals | 22.00000 | | | \$1,479.92 | \$1,232.00 | \$0.00 | \$0.00 | \$247.92 | |
| PUT SFIX 07/20/18 35 CUSIP: 8C72014 | 2.00000 | 07/19/2018 | 07/20/2018 | \$119.98 | \$140.00 | \$0.00 | \$0.00 | (\$20.02) | |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$74.98 | \$70.00 | \$0.00 | \$0.00 | \$4.98 | |
| Subtotals | 3.00000 | | | \$194.96 | \$210.00 | \$0.00 | \$0.00 | (\$15.04) | |
| CALL DRI 10/19/18 125 CUSIP: 8CRSNP2 | 1.00000 | 09/20/2018 | 10/19/2018 | \$0.00 | \$38.00 | \$0.00 | \$0.00 | (\$38.00) | EXPIRATION |
| | 2.00000 | 09/20/2018 | 10/19/2018 | \$0.00 | \$70.00 | \$0.00 | \$0.00 | (\$70.00) | EXPIRATION |
| | 1.00000 | 09/21/2018 | 10/19/2018 | \$0.00 | \$10.00 | \$0.00 | \$0.00 | (\$10.00) | EXPIRATION |
| Subtotals | 4.00000 | | | \$0.00 | \$118.00 | \$0.00 | \$0.00 | (\$118.00) | |
| PUT GRUB 08/10/18 128 CUSIP: 8CRWBG5 | 1.00000 | 08/06/2018 | 08/08/2018 | \$169.98 | \$210.00 | \$0.00 | \$0.00 | (\$40.02) | |
| | 1.00000 | 08/06/2018 | 08/08/2018 | \$169.98 | \$210.00 | \$0.00 | \$0.00 | (\$40.02) | |
| | 1.00000 | 08/06/2018 | 08/08/2018 | \$169.98 | \$210.00 | \$0.00 | \$0.00 | (\$40.02) | |
| Subtotals | 3.00000 | | | \$509.94 | \$630.00 | \$0.00 | \$0.00 | (\$120.06) | |
| CALL DKS 09/21/18 39 CUSIP: 8CTYCG2 | 1.00000 | 08/28/2018 | 08/31/2018 | \$39.99 | \$215.02 | \$0.00 | \$0.00 | (\$175.03) | |
| | 1.00000 | 08/28/2018 | 08/31/2018 | \$39.98 | \$130.00 | \$0.00 | \$90.02 | \$0.00 | |
| | 1.00000 | 08/28/2018 | 08/31/2018 | \$39.98 | \$130.00 | \$0.00 | \$90.02 | \$0.00 | |
| | 1.00000 | 08/28/2018 | 08/31/2018 | \$39.99 | \$215.02 | \$0.00 | \$0.00 | (\$175.03) | |
| Subtotals | 4.00000 | | | \$159.94 | \$690.04 | \$0.00 | \$180.04 | (\$350.06) | |
| PUT EBAY 11/16/18 25 CUSIP: 8CVWLG1 | 5.00000 | 10/30/2018 | 10/31/2018 | \$59.98 | \$310.00 | \$0.00 | \$0.00 | (\$250.02) | |
| CALL IBM 10/19/18 160 CUSIP: 8CWHRF4 | 20.00000 | 10/15/2018 | 10/19/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| PUT HUYA 07/20/18 30 CUSIP: 8CWVMN0 | 1.00000 | 07/11/2018 | 07/16/2018 | \$24.98 | \$90.00 | \$0.00 | \$65.02 | \$0.00 | |
| | 1.00000 | 07/11/2018 | 07/16/2018 | \$24.98 | \$130.02 | \$0.00 | \$105.04 | \$0.00 | |
| | 1.00000 | 07/12/2018 | 07/16/2018 | \$24.98 | \$135.04 | \$0.00 | \$0.00 | (\$110.06) | |
| Subtotals | 3.00000 | | | \$74.94 | \$355.06 | \$0.00 | \$170.06 | (\$110.06) | |

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 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT EVH 09/21/18 20 CUSIP: 8CXZWZ9 | 1.00000 | 07/30/2018 | 07/31/2018 | \$129.98 | \$130.00 | \$0.00 | \$0.00 | (\$0.02) | |
| | 1.00000 | 07/30/2018 | 08/06/2018 | \$129.98 | \$130.00 | \$0.00 | \$0.00 | (\$0.02) | |
| Subtotals | 2.00000 | | | \$259.96 | \$260.00 | \$0.00 | \$0.00 | (\$0.04) | |
| CALL PVTL 09/21/18 33 CUSIP: 8CYLSK5 | 1.00000 | 09/12/2018 | 09/21/2018 | \$0.00 | \$150.00 | \$0.00 | \$0.00 | (\$150.00) | EXPIRATION |
| | 2.00000 | 09/12/2018 | 09/21/2018 | \$0.00 | \$290.00 | \$0.00 | \$0.00 | (\$290.00) | EXPIRATION |
| | 1.00000 | 09/12/2018 | 09/21/2018 | \$0.00 | \$135.00 | \$0.00 | \$0.00 | (\$135.00) | EXPIRATION |
| | 1.00000 | 09/12/2018 | 09/21/2018 | \$0.00 | \$170.00 | \$0.00 | \$0.00 | (\$170.00) | EXPIRATION |
| Subtotals | 5.00000 | | | \$0.00 | \$745.00 | \$0.00 | \$0.00 | (\$745.00) | |
| CALL BIG 09/21/18 55 CUSIP: 8CZJRR8 | 1.00000 | 08/23/2018 | 09/21/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| | 1.00000 | 08/27/2018 | 09/21/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| Subtotals | 2.00000 | | | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | |
| CALL GERN 10/05/18 10 CUSIP: 8CZJSD5 | 1.00000 | 09/24/2018 | 10/05/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| | 5.00000 | 09/25/2018 | 10/05/2018 | \$0.00 | \$225.00 | \$0.00 | \$0.00 | (\$225.00) | EXPIRATION |
| | 3.00000 | 09/26/2018 | 10/05/2018 | \$0.00 | \$135.00 | \$0.00 | \$0.00 | (\$135.00) | EXPIRATION |
| | 1.00000 | 09/26/2018 | 10/05/2018 | \$0.00 | \$45.00 | \$0.00 | \$0.00 | (\$45.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$435.00 | \$0.00 | \$0.00 | (\$435.00) | |
| CALL GERN 10/12/18 8 CUSIP: 8CZJVT3 | 1.00000 | 09/21/2018 | 10/12/2018 | \$0.00 | \$65.00 | \$0.00 | \$0.00 | (\$65.00) | EXPIRATION |
| CALL BJ 09/21/18 30 CUSIP: 8CZLSH8 | 1.00000 | 08/27/2018 | 08/30/2018 | \$94.98 | \$140.00 | \$0.00 | \$45.02 | \$0.00 | |
| | 1.00000 | 08/28/2018 | 08/30/2018 | \$94.99 | \$40.00 | \$0.00 | \$0.00 | \$54.99 | |
| | 1.00000 | 08/28/2018 | 08/30/2018 | \$94.98 | \$80.02 | \$0.00 | \$0.00 | \$14.96 | |
| | 1.00000 | 08/29/2018 | 08/30/2018 | \$94.99 | \$55.00 | \$0.00 | \$0.00 | \$39.99 | |
| | 1.00000 | 08/29/2018 | 08/30/2018 | \$95.00 | \$55.00 | \$0.00 | \$0.00 | \$40.00 | |
| Subtotals | 5.00000 | | | \$474.94 | \$370.02 | \$0.00 | \$45.02 | \$149.94 | |
| CALL BJ 09/21/18 35 CUSIP: 8CZLSJ3 | 2.00000 | 08/27/2018 | 09/21/2018 | \$0.00 | \$90.00 | \$0.00 | \$0.00 | (\$90.00) | EXPIRATION |
| | 1.00000 | 08/28/2018 | 09/21/2018 | \$0.00 | \$10.00 | \$0.00 | \$0.00 | (\$10.00) | EXPIRATION |
| | 1.00000 | 08/28/2018 | 09/21/2018 | \$0.00 | \$10.00 | \$0.00 | \$0.00 | (\$10.00) | EXPIRATION |

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ORIGINAL: 02/01/2019

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL BJ 09/21/18 35 CUSIP: 8CZLSJ3 | 1.00000 | 08/31/2018 | 09/21/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| | 1.00000 | 09/04/2018 | 09/21/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| | 1.00000 | 09/07/2018 | 09/21/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 3.00000 | 09/07/2018 | 09/21/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$220.00 | \$0.00 | \$0.00 | (\$220.00) | |
| PUT FNSR 09/21/18 20 CUSIP: 8D02025 | 5.00000 | 09/07/2018 | 09/10/2018 | \$299.98 | \$100.00 | \$0.00 | \$0.00 | \$199.98 | |
| CALL BBOX 07/20/18 2.5 CUSIP: 8D52975 | 1.00000 | 07/13/2018 | 07/16/2018 | \$10.98 | \$25.00 | \$0.00 | \$0.00 | (\$14.02) | |
| CALL FAST 10/19/18 60 CUSIP: 8E08728 | 5.00000 | 10/09/2018 | 10/19/2018 | \$0.00 | \$270.00 | \$0.00 | \$0.00 | (\$270.00) | EXPIRATION |
| CALL CLDR 09/21/18 17.5 CUSIP: 8E59002 | 2.00000 | 09/05/2018 | 09/06/2018 | \$169.99 | \$68.00 | \$0.00 | \$0.00 | \$101.99 | |
| | 3.00000 | 09/05/2018 | 09/06/2018 | \$254.99 | \$102.00 | \$0.00 | \$0.00 | \$152.99 | |
| Subtotals | 5.00000 | | | \$424.98 | \$170.00 | \$0.00 | \$0.00 | \$254.98 | |
| CALL CLDR 09/21/18 20 CUSIP: 8E59064 | 5.00000 | 09/05/2018 | 09/06/2018 | \$99.98 | \$75.00 | \$0.00 | \$0.00 | \$24.98 | |
| CALL TLRV 09/28/18 150 CUSIP: 8GBJPN6 | 2.00000 | 09/17/2018 | 09/18/2018 | \$1,139.97 | \$534.00 | \$0.00 | \$0.00 | \$605.97 | |
| | 1.00000 | 09/17/2018 | 09/18/2018 | \$689.98 | \$280.00 | \$0.00 | \$0.00 | \$409.98 | |
| Subtotals | 3.00000 | | | \$1,829.95 | \$814.00 | \$0.00 | \$0.00 | \$1,015.95 | |
| PUT ANET 08/17/18 260 CUSIP: 8GGXKV3 | 1.00000 | 08/06/2018 | 08/15/2018 | \$64.98 | \$290.00 | \$0.00 | \$225.02 | \$0.00 | |
| | 1.00000 | 08/06/2018 | 08/15/2018 | \$64.98 | \$290.00 | \$0.00 | \$225.02 | \$0.00 | |
| | 1.00000 | 08/10/2018 | 08/15/2018 | \$64.99 | \$360.02 | \$0.00 | \$0.00 | (\$295.03) | |
| | 1.00000 | 08/10/2018 | 08/15/2018 | \$65.00 | \$130.00 | \$0.00 | \$0.00 | (\$65.00) | |
| | 1.00000 | 08/10/2018 | 08/15/2018 | \$65.00 | \$360.02 | \$0.00 | \$0.00 | (\$295.02) | |
| | 1.00000 | 08/13/2018 | 08/15/2018 | \$64.99 | \$65.00 | \$0.00 | \$0.00 | (\$0.01) | |
| Subtotals | 6.00000 | | | \$389.94 | \$1,495.04 | \$0.00 | \$450.04 | (\$655.06) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT FNKO 07/20/18 12.5 CUSIP: 8GHLKM5 | 3.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$12.00 | \$0.00 | \$0.00 | (\$12.00) | EXPIRATION |
| | 6.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| | 3.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$12.00 | \$0.00 | \$0.00 | (\$12.00) | EXPIRATION |
| | 4.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$16.00 | \$0.00 | \$0.00 | (\$16.00) | EXPIRATION |
| | 4.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 3.00000 | 07/13/2018 | 07/20/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| | 2.00000 | 07/13/2018 | 07/20/2018 | \$0.00 | \$10.00 | \$0.00 | \$0.00 | (\$10.00) | EXPIRATION |
| Subtotals | 25.00000 | | | \$0.00 | \$115.00 | \$0.00 | \$0.00 | (\$115.00) | |
| CALL FNKO 07/20/18 15 CUSIP: 8GHLKM6 | 10.00000 | 07/09/2018 | 07/12/2018 | \$599.97 | \$200.00 | \$0.00 | \$0.00 | \$399.97 | |
| PUT FNKO 07/20/18 15 CUSIP: 8GHLKM7 | 1.00000 | 07/13/2018 | 07/17/2018 | \$19.99 | \$25.00 | \$0.00 | \$0.00 | (\$5.01) | |
| | 2.00000 | 07/13/2018 | 07/17/2018 | \$39.99 | \$30.00 | \$0.00 | \$0.00 | \$9.99 | |
| | 1.00000 | 07/13/2018 | 07/17/2018 | \$20.00 | \$25.00 | \$0.00 | \$0.00 | (\$5.00) | |
| Subtotals | 4.00000 | | | \$79.98 | \$80.00 | \$0.00 | \$0.00 | (\$0.02) | |
| CALL FNKO 07/20/18 17.5 CUSIP: 8GHLKM8 | 1.00000 | 07/16/2018 | 07/19/2018 | \$30.00 | \$25.00 | \$0.00 | \$0.00 | \$5.00 | |
| | 8.00000 | 07/16/2018 | 07/19/2018 | \$239.97 | \$200.00 | \$0.00 | \$0.00 | \$39.97 | |
| | 1.00000 | 07/16/2018 | 07/19/2018 | \$30.00 | \$25.00 | \$0.00 | \$0.00 | \$5.00 | |
| Subtotals | 10.00000 | | | \$299.97 | \$250.00 | \$0.00 | \$0.00 | \$49.97 | |
| CALL CRM 09/21/18 170 CUSIP: 8GHQWZ1 | 4.00000 | 08/29/2018 | 09/21/2018 | \$0.00 | \$328.00 | \$0.00 | \$0.00 | (\$328.00) | EXPIRATION |
| | 1.00000 | 08/29/2018 | 09/21/2018 | \$0.00 | \$71.00 | \$0.00 | \$0.00 | (\$71.00) | EXPIRATION |
| | 1.00000 | 08/31/2018 | 09/21/2018 | \$0.00 | \$31.00 | \$0.00 | \$0.00 | (\$31.00) | EXPIRATION |
| Subtotals | 6.00000 | | | \$0.00 | \$430.00 | \$0.00 | \$0.00 | (\$430.00) | |
| PUT AVY 08/17/18 110 CUSIP: 8GJLWL2 | 1.00000 | 07/25/2018 | 08/06/2018 | \$44.99 | \$55.00 | \$0.00 | \$0.00 | (\$10.01) | |
| | 1.00000 | 07/25/2018 | 08/06/2018 | \$45.00 | \$55.00 | \$0.00 | \$0.00 | (\$10.00) | |
| | 5.00000 | 07/25/2018 | 08/06/2018 | \$224.99 | \$425.00 | \$0.00 | \$0.00 | (\$200.01) | |
| Subtotals | 7.00000 | | | \$314.98 | \$535.00 | \$0.00 | \$0.00 | (\$220.02) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL FNKO 10/19/18 30 CUSIP: 8GJLZY2 | 5.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$75.00 | \$0.00 | \$0.00 | (\$75.00) | EXPIRATION |
| PUT FNSR 09/21/18 20.5 CUSIP: 8GJMNJ8 | 1.00000 | 09/07/2018 | 09/10/2018 | \$84.98 | \$35.00 | \$0.00 | \$0.00 | \$49.98 | |
| | 1.00000 | 09/07/2018 | 09/10/2018 | \$85.98 | \$35.00 | \$0.00 | \$0.00 | \$50.98 | |
| | 1.00000 | 09/07/2018 | 09/10/2018 | \$85.98 | \$35.00 | \$0.00 | \$0.00 | \$50.98 | |
| Subtotals | 3.00000 | | | \$256.94 | \$105.00 | \$0.00 | \$0.00 | \$151.94 | |
| CALL DIS 08/17/18 117 CUSIP: 8GJTDD5 | 1.00000 | 08/07/2018 | 08/08/2018 | \$100.98 | \$268.00 | \$0.00 | \$0.00 | (\$167.02) | |
| | 2.00000 | 08/07/2018 | 08/08/2018 | \$247.98 | \$536.00 | \$0.00 | \$0.00 | (\$288.02) | |
| Subtotals | 3.00000 | | | \$348.96 | \$804.00 | \$0.00 | \$0.00 | (\$455.04) | |
| PUT INNT 07/20/18 5 CUSIP: 8GJVTX3 | 3.00000 | 07/17/2018 | 07/20/2018 | \$14.99 | \$60.00 | \$0.00 | \$0.00 | (\$45.01) | |
| | 2.00000 | 07/17/2018 | 07/20/2018 | \$9.99 | \$40.00 | \$0.00 | \$0.00 | (\$30.01) | |
| | 5.00000 | 07/18/2018 | 07/20/2018 | \$24.99 | \$50.00 | \$0.00 | \$0.00 | (\$25.01) | |
| Subtotals | 10.00000 | | | \$49.97 | \$150.00 | \$0.00 | \$0.00 | (\$100.03) | |
| PUT INNT 07/20/18 7.5 CUSIP: 8GJVZ4 | 3.00000 | 07/16/2018 | 07/20/2018 | \$344.99 | \$165.00 | \$0.00 | \$0.00 | \$179.99 | |
| | 5.00000 | 07/16/2018 | 07/20/2018 | \$574.98 | \$150.00 | \$0.00 | \$0.00 | \$424.98 | |
| | 2.00000 | 07/16/2018 | 07/20/2018 | \$229.99 | \$140.00 | \$0.00 | \$0.00 | \$89.99 | |
| Subtotals | 10.00000 | | | \$1,149.96 | \$455.00 | \$0.00 | \$0.00 | \$694.96 | |
| PUT INNT 07/20/18 10 CUSIP: 8GJVZ8 | 1.00000 | 07/16/2018 | 07/19/2018 | \$349.98 | \$120.00 | \$0.00 | \$0.00 | \$229.98 | |
| | 2.00000 | 07/16/2018 | 07/19/2018 | \$679.98 | \$180.00 | \$0.00 | \$0.00 | \$499.98 | |
| | 2.00000 | 07/16/2018 | 07/19/2018 | \$679.98 | \$240.00 | \$0.00 | \$0.00 | \$439.98 | |
| Subtotals | 5.00000 | | | \$1,709.94 | \$540.00 | \$0.00 | \$0.00 | \$1,169.94 | |
| PUT IQ 07/20/18 25 CUSIP: 8GJWZ2 | 10.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$50.00 | \$0.00 | \$0.00 | (\$50.00) | EXPIRATION |
| PUT IQ 07/20/18 30 CUSIP: 8GJWZ4 | 2.00000 | 07/12/2018 | 07/16/2018 | \$9.98 | \$66.00 | \$0.00 | \$0.00 | (\$56.02) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL BOFI 08/17/18 40 CUSIP: 8GKMXQ6 | 1.00000 | 08/07/2018 | 08/08/2018 | \$14.98 | \$185.00 | \$0.00 | \$170.02 | \$0.00 | |
| | 1.00000 | 08/07/2018 | 08/08/2018 | \$14.99 | \$185.00 | \$0.00 | \$0.00 | (\$170.01) | |
| | 1.00000 | 08/07/2018 | 08/08/2018 | \$14.99 | \$355.02 | \$0.00 | \$0.00 | (\$340.03) | |
| Subtotals | 3.00000 | | | \$44.96 | \$725.02 | \$0.00 | \$170.02 | (\$510.04) | |
| PUT FLIR 08/17/18 55 CUSIP: 8GMLXS1 | 1.00000 | 07/25/2018 | 07/31/2018 | \$19.98 | \$41.00 | \$0.00 | \$21.02 | \$0.00 | |
| | 1.00000 | 07/25/2018 | 07/31/2018 | \$21.00 | \$56.02 | \$0.00 | \$0.00 | (\$35.02) | |
| | 1.00000 | 07/25/2018 | 07/31/2018 | \$20.99 | \$30.00 | \$0.00 | \$0.00 | (\$9.01) | |
| | 2.00000 | 07/25/2018 | 07/31/2018 | \$41.99 | \$60.00 | \$0.00 | \$0.00 | (\$18.01) | |
| Subtotals | 5.00000 | | | \$103.96 | \$187.02 | \$0.00 | \$21.02 | (\$62.04) | |
| PUT GRUB 08/17/18 125 CUSIP: 8GNDKY0 | 1.00000 | 08/01/2018 | 08/06/2018 | \$189.98 | \$370.00 | \$0.00 | \$180.02 | \$0.00 | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$189.98 | \$370.00 | \$0.00 | \$180.02 | \$0.00 | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$190.00 | \$530.02 | \$0.00 | \$0.00 | (\$340.02) | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$189.99 | \$530.02 | \$0.00 | \$0.00 | (\$340.03) | |
| | 1.00000 | 08/03/2018 | 08/06/2018 | \$189.99 | \$270.00 | \$0.00 | \$0.00 | (\$80.01) | |
| Subtotals | 5.00000 | | | \$949.94 | \$2,070.04 | \$0.00 | \$360.04 | (\$760.06) | |
| CALL HELE 10/19/18 135 CUSIP: 8GNFSC7 | 1.00000 | 10/08/2018 | 10/09/2018 | \$94.98 | \$90.00 | \$0.00 | \$0.00 | \$4.98 | |
| | 1.00000 | 10/08/2018 | 10/09/2018 | \$94.98 | \$90.00 | \$0.00 | \$0.00 | \$4.98 | |
| | 1.00000 | 10/08/2018 | 10/09/2018 | \$79.98 | \$90.00 | \$0.00 | \$0.00 | (\$10.02) | |
| Subtotals | 3.00000 | | | \$269.94 | \$270.00 | \$0.00 | \$0.00 | (\$0.06) | |
| CALL HEAR 08/17/18 35 CUSIP: 8GNGWJ3 | 1.00000 | 08/06/2018 | 08/15/2018 | \$4.98 | \$190.00 | \$0.00 | \$185.02 | \$0.00 | |
| | 1.00000 | 08/06/2018 | 08/17/2018 | \$0.00 | \$190.00 | \$0.00 | \$0.00 | (\$190.00) | EXPIRATION |
| | 1.00000 | 08/07/2018 | 08/17/2018 | \$0.00 | \$305.02 | \$0.00 | \$0.00 | (\$305.02) | EXPIRATION |
| | 1.00000 | 08/07/2018 | 08/17/2018 | \$0.00 | \$95.00 | \$0.00 | \$0.00 | (\$95.00) | EXPIRATION |
| | 1.00000 | 08/07/2018 | 08/17/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| Subtotals | 5.00000 | | | \$4.98 | \$860.02 | \$0.00 | \$185.02 | (\$670.02) | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
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ORIGINAL: 02/01/2019

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT EXPE 08/17/18 132 CUSIP: 8GNNLZ5 | 1.00000 | 08/01/2018 | 08/06/2018 | \$120.99 | \$215.00 | \$0.00 | \$0.00 | (\$94.01) | |
| | 1.00000 | 08/03/2018 | 08/06/2018 | \$120.99 | \$185.00 | \$0.00 | \$0.00 | (\$64.01) | |
| | 1.00000 | 08/03/2018 | 08/06/2018 | \$121.00 | \$185.00 | \$0.00 | \$0.00 | (\$64.00) | |
| Subtotals | 3.00000 | | | \$362.98 | \$585.00 | \$0.00 | \$0.00 | (\$222.02) | |
| PUT EXPE 08/17/18 134 CUSIP: 8GNMFM8 | 2.00000 | 08/01/2018 | 08/07/2018 | \$439.98 | \$480.00 | \$0.00 | \$0.00 | (\$40.02) | |
| PUT FIVE 09/21/18 115 CUSIP: 8GNYWW1 | 5.00000 | 09/07/2018 | 09/21/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| CALL FL 09/21/18 55 CUSIP: 8GPDWC2 | 1.00000 | 08/23/2018 | 09/07/2018 | \$4.99 | \$300.00 | \$0.00 | \$0.00 | (\$295.01) | |
| | 1.00000 | 08/23/2018 | 09/07/2018 | \$4.99 | \$330.00 | \$0.00 | \$0.00 | (\$325.01) | |
| | 1.00000 | 08/23/2018 | 09/07/2018 | \$5.00 | \$310.00 | \$0.00 | \$0.00 | (\$305.00) | |
| Subtotals | 3.00000 | | | \$14.98 | \$940.00 | \$0.00 | \$0.00 | (\$925.02) | |
| CALL FNKO 09/21/18 30 CUSIP: 8GPGXK0 | 1.00000 | 09/04/2018 | 09/07/2018 | \$150.00 | \$60.00 | \$0.00 | \$0.00 | \$90.00 | |
| | 4.00000 | 09/05/2018 | 09/07/2018 | \$599.98 | \$200.00 | \$0.00 | \$0.00 | \$399.98 | |
| | 2.00000 | 09/11/2018 | 09/12/2018 | \$71.99 | \$194.00 | \$0.00 | \$0.00 | (\$122.01) | |
| | 2.00000 | 09/11/2018 | 09/12/2018 | \$71.99 | \$150.00 | \$0.00 | \$0.00 | (\$78.01) | |
| | 1.00000 | 09/11/2018 | 09/12/2018 | \$36.00 | \$86.00 | \$0.00 | \$0.00 | (\$50.00) | |
| Subtotals | 10.00000 | | | \$929.96 | \$690.00 | \$0.00 | \$0.00 | \$239.96 | |
| PUT PEP 08/17/18 115 CUSIP: 8GPJCB8 | 3.00000 | 08/03/2018 | 08/06/2018 | \$98.99 | \$315.00 | \$0.00 | \$0.00 | (\$216.01) | |
| | 2.00000 | 08/03/2018 | 08/06/2018 | \$65.99 | \$142.00 | \$0.00 | \$0.00 | (\$76.01) | |
| Subtotals | 5.00000 | | | \$164.98 | \$457.00 | \$0.00 | \$0.00 | (\$292.02) | |
| CALL KEM 07/20/18 30 CUSIP: 8GPQGF2 | 1.00000 | 07/13/2018 | 07/18/2018 | \$14.98 | \$20.00 | \$0.00 | \$5.02 | \$0.00 | |
| | 3.00000 | 07/13/2018 | 07/18/2018 | \$44.99 | \$75.02 | \$0.00 | \$0.00 | (\$30.03) | |
| | 1.00000 | 07/13/2018 | 07/18/2018 | \$14.98 | \$20.00 | \$0.00 | \$5.02 | \$0.00 | |
| | 1.00000 | 07/13/2018 | 07/18/2018 | \$15.00 | \$25.02 | \$0.00 | \$0.00 | (\$10.02) | |
| | 1.00000 | 07/13/2018 | 07/18/2018 | \$14.99 | \$25.02 | \$0.00 | \$0.00 | (\$10.03) | |
| | 3.00000 | 07/13/2018 | 07/18/2018 | \$44.98 | \$60.00 | \$0.00 | \$15.02 | \$0.00 | |
| Subtotals | 10.00000 | | | \$149.92 | \$225.06 | \$0.00 | \$25.06 | (\$50.08) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL KEM 08/17/18 29 CUSIP: 8GPQQJ1 | 4.00000 | 07/20/2018 | 08/07/2018 | \$39.99 | \$780.00 | \$0.00 | \$0.00 | (\$740.01) | |
| | 1.00000 | 07/20/2018 | 08/07/2018 | \$9.98 | \$195.00 | \$0.00 | \$185.02 | \$0.00 | |
| | 3.00000 | 07/25/2018 | 08/07/2018 | \$29.99 | \$135.00 | \$0.00 | \$0.00 | (\$105.01) | |
| | 1.00000 | 07/25/2018 | 08/07/2018 | \$10.00 | \$230.02 | \$0.00 | \$0.00 | (\$220.02) | |
| | 1.00000 | 07/25/2018 | 08/07/2018 | \$9.99 | \$45.00 | \$0.00 | \$0.00 | (\$35.01) | |
| Subtotals | 10.00000 | | | \$99.95 | \$1,385.02 | \$0.00 | \$185.02 | (\$1,100.05) | |
| PUT KLAC 08/17/18 115 CUSIP: 8GPSCP7 | 1.00000 | 07/31/2018 | 08/06/2018 | \$104.98 | \$175.00 | \$0.00 | \$70.02 | \$0.00 | |
| | 1.00000 | 07/31/2018 | 08/06/2018 | \$105.00 | \$265.02 | \$0.00 | \$0.00 | (\$160.02) | |
| | 1.00000 | 07/31/2018 | 08/06/2018 | \$104.99 | \$175.00 | \$0.00 | \$0.00 | (\$70.01) | |
| | 1.00000 | 07/31/2018 | 08/06/2018 | \$104.99 | \$175.00 | \$0.00 | \$0.00 | (\$70.01) | |
| Subtotals | 4.00000 | | | \$419.96 | \$790.02 | \$0.00 | \$70.02 | (\$300.04) | |
| PUT NBEV 10/19/18 2.5 CUSIP: 8GQMJH2 | 10.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| | 4.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$28.00 | \$0.00 | \$0.00 | (\$28.00) | EXPIRATION |
| | 1.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$5.00 | \$0.00 | \$0.00 | (\$5.00) | EXPIRATION |
| | 4.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$28.00 | \$0.00 | \$0.00 | (\$28.00) | EXPIRATION |
| | 1.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$7.00 | \$0.00 | \$0.00 | (\$7.00) | EXPIRATION |
| | 1.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$7.00 | \$0.00 | \$0.00 | (\$7.00) | EXPIRATION |
| Subtotals | 21.00000 | | | \$0.00 | \$175.00 | \$0.00 | \$0.00 | (\$175.00) | |
| CALL HEAR 09/21/18 30 CUSIP: 8GQWPF3 | 1.00000 | 08/28/2018 | 08/29/2018 | \$40.00 | \$47.02 | \$0.00 | \$7.02 | \$0.00 | |
| | 1.00000 | 08/28/2018 | 08/29/2018 | \$39.98 | \$42.00 | \$0.00 | \$2.02 | \$0.00 | |
| | 1.00000 | 08/28/2018 | 08/29/2018 | \$39.99 | \$45.00 | \$0.00 | \$0.00 | (\$5.01) | |
| | 1.00000 | 08/28/2018 | 08/29/2018 | \$39.99 | \$47.02 | \$0.00 | \$7.03 | \$0.00 | |
| | 1.00000 | 08/28/2018 | 08/29/2018 | \$39.98 | \$42.00 | \$0.00 | \$2.02 | \$0.00 | |
| | 1.00000 | 08/28/2018 | 08/30/2018 | \$30.98 | \$47.02 | \$0.00 | \$0.00 | (\$16.04) | |
| | 1.00000 | 08/28/2018 | 08/30/2018 | \$30.98 | \$47.03 | \$0.00 | \$0.00 | (\$16.05) | |
| Subtotals | 7.00000 | | | \$261.90 | \$317.09 | \$0.00 | \$18.09 | (\$37.10) | |

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 DALLAS, TX 75201

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 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL MSFT 07/20/18 106 CUSIP: 8GQZLM0 | 5.00000 | 07/19/2018 | 07/20/2018 | \$579.98 | \$570.00 | \$0.00 | \$0.00 | \$9.98 | |
| CALL MSFT 07/20/18 108 CUSIP: 8GQZLM4 | 1.00000 | 07/18/2018 | 07/20/2018 | \$52.99 | \$79.00 | \$0.00 | \$0.00 | (\$26.01) | |
| | 1.00000 | 07/18/2018 | 07/20/2018 | \$52.99 | \$79.00 | \$0.00 | \$0.00 | (\$26.01) | |
| Subtotals | 2.00000 | | | \$105.98 | \$158.00 | \$0.00 | \$0.00 | (\$52.02) | |
| PUT INNT 09/21/18 5 CUSIP: 8GRTYM3 | 5.00000 | 08/31/2018 | 09/12/2018 | \$49.98 | \$200.00 | \$0.00 | \$0.00 | (\$150.02) | |
| CALL INTU 09/21/18 220 CUSIP: 8GRVNL0 | 1.00000 | 09/05/2018 | 09/06/2018 | \$369.98 | \$210.00 | \$0.00 | \$0.00 | \$159.98 | |
| CALL INTU 09/21/18 230 CUSIP: 8GRVNL2 | 3.00000 | 08/23/2018 | 09/04/2018 | \$239.99 | \$345.00 | \$0.00 | \$70.01 | (\$35.00) | |
| | 2.00000 | 08/31/2018 | 09/04/2018 | \$159.99 | \$120.00 | \$0.00 | \$0.00 | \$39.99 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$184.98 | \$120.00 | \$0.00 | \$0.00 | \$64.98 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$184.98 | \$117.01 | \$0.00 | \$0.00 | \$67.97 | |
| Subtotals | 7.00000 | | | \$769.94 | \$702.01 | \$0.00 | \$70.01 | \$137.94 | |
| CALL INTU 09/21/18 240 CUSIP: 8GRVNL4 | 8.00000 | 09/07/2018 | 09/10/2018 | \$159.97 | \$120.00 | \$0.00 | \$0.00 | \$39.97 | |
| PUT IQ 08/17/18 28.5 CUSIP: 8GRWNW0 | 1.00000 | 08/08/2018 | 08/14/2018 | \$134.99 | \$90.00 | \$0.00 | \$0.00 | \$44.99 | |
| | 1.00000 | 08/08/2018 | 08/14/2018 | \$134.99 | \$90.00 | \$0.00 | \$0.00 | \$44.99 | |
| Subtotals | 2.00000 | | | \$269.98 | \$180.00 | \$0.00 | \$0.00 | \$89.98 | |
| CALL KR 09/21/18 35 CUSIP: 8GSNNK9 | 3.00000 | 09/12/2018 | 09/21/2018 | \$0.00 | \$72.00 | \$0.00 | \$0.00 | (\$72.00) | EXPIRATION |
| | 5.00000 | 09/12/2018 | 09/21/2018 | \$0.00 | \$120.00 | \$0.00 | \$0.00 | (\$120.00) | EXPIRATION |
| | 2.00000 | 09/12/2018 | 09/21/2018 | \$0.00 | \$48.00 | \$0.00 | \$0.00 | (\$48.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$240.00 | \$0.00 | \$0.00 | (\$240.00) | |
| PUT TLRV 11/02/18 75 CUSIP: 8GTNLD7 | 1.00000 | 10/22/2018 | 10/29/2018 | \$174.98 | \$40.00 | \$0.00 | \$0.00 | \$134.98 | |
| | 1.00000 | 10/22/2018 | 10/29/2018 | \$134.98 | \$40.00 | \$0.00 | \$0.00 | \$94.98 | |
| | 1.00000 | 10/22/2018 | 10/29/2018 | \$114.98 | \$65.00 | \$0.00 | \$0.00 | \$49.98 | |
| | 1.00000 | 10/22/2018 | 10/29/2018 | \$134.98 | \$40.00 | \$0.00 | \$0.00 | \$94.98 | |

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DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT TLRV 11/02/18 75 CUSIP: 8GTNLD7 | 2.00000 | 10/22/2018 | 10/29/2018 | \$249.98 | \$130.00 | \$0.00 | \$0.00 | \$119.98 | |
| | 1.00000 | 10/22/2018 | 10/29/2018 | \$134.98 | \$40.00 | \$0.00 | \$0.00 | \$94.98 | |
| | 1.00000 | 10/23/2018 | 10/29/2018 | \$134.98 | \$55.00 | \$0.00 | \$0.00 | \$79.98 | |
| | 1.00000 | 10/23/2018 | 10/29/2018 | \$144.98 | \$45.00 | \$0.00 | \$0.00 | \$99.98 | |
| | 1.00000 | 10/23/2018 | 10/31/2018 | \$25.00 | \$40.00 | \$0.00 | \$0.00 | (\$15.00) | |
| | 1.00000 | 10/23/2018 | 10/31/2018 | \$24.99 | \$40.00 | \$0.00 | \$0.00 | (\$15.01) | |
| | 1.00000 | 10/23/2018 | 10/31/2018 | \$24.99 | \$40.00 | \$0.00 | \$0.00 | (\$15.01) | |
| | 1.00000 | 10/23/2018 | 10/31/2018 | \$25.00 | \$40.00 | \$0.00 | \$0.00 | (\$15.00) | |
| Subtotals | 13.00000 | | | \$1,324.82 | \$615.00 | \$0.00 | \$0.00 | \$709.82 | |
| CALL SFIX 07/20/18 34 CUSIP: 8GTVMT8 | 4.00000 | 07/16/2018 | 07/19/2018 | \$319.98 | \$200.00 | \$0.00 | \$0.00 | \$119.98 | |
| | 1.00000 | 07/16/2018 | 07/19/2018 | \$79.98 | \$50.00 | \$0.00 | \$0.00 | \$29.98 | |
| | 10.00000 | 07/19/2018 | 07/20/2018 | \$1,099.96 | \$700.00 | \$0.00 | \$0.00 | \$399.96 | |
| Subtotals | 15.00000 | | | \$1,499.92 | \$950.00 | \$0.00 | \$0.00 | \$549.92 | |
| CALL NXPI 09/21/18 95 CUSIP: 8GVWZP6 | 2.00000 | 09/11/2018 | 09/13/2018 | \$41.99 | \$60.00 | \$0.00 | \$0.00 | (\$18.01) | |
| | 1.00000 | 09/11/2018 | 09/13/2018 | \$20.99 | \$29.00 | \$0.00 | \$0.00 | (\$8.01) | |
| Subtotals | 3.00000 | | | \$62.98 | \$89.00 | \$0.00 | \$0.00 | (\$26.02) | |
| PUT OKTA 09/21/18 60 CUSIP: 8GWBYD3 | 1.00000 | 09/07/2018 | 09/12/2018 | \$9.98 | \$25.00 | \$0.00 | \$15.02 | \$0.00 | |
| | 3.00000 | 09/07/2018 | 09/12/2018 | \$29.98 | \$75.00 | \$0.00 | \$0.00 | (\$45.02) | |
| | 1.00000 | 09/07/2018 | 09/12/2018 | \$9.98 | \$40.02 | \$0.00 | \$0.00 | (\$30.04) | |
| Subtotals | 5.00000 | | | \$49.94 | \$140.02 | \$0.00 | \$15.02 | (\$75.06) | |
| PUT PEP 08/17/18 116 CUSIP: 8GWQCJ6 | 4.00000 | 08/03/2018 | 08/07/2018 | \$1,059.97 | \$440.00 | \$0.00 | \$0.00 | \$619.97 | |
| PUT SNA 08/17/18 170 CUSIP: 8GWRXM3 | 3.00000 | 07/20/2018 | 07/24/2018 | \$1,319.98 | \$645.00 | \$0.00 | \$0.00 | \$674.98 | |
| | 1.00000 | 07/20/2018 | 07/24/2018 | \$439.99 | \$215.00 | \$0.00 | \$0.00 | \$224.99 | |
| | 1.00000 | 07/20/2018 | 07/24/2018 | \$439.99 | \$215.00 | \$0.00 | \$0.00 | \$224.99 | |
| Subtotals | 5.00000 | | | \$2,199.96 | \$1,075.00 | \$0.00 | \$0.00 | \$1,124.96 | |

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT PI 09/21/18 20 CUSIP: 8GWVWC5 | 10.00000 | 09/13/2018 | 09/21/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| CALL PLAY 09/21/18 60 CUSIP: 8GWXCS6 | 1.00000 | 09/13/2018 | 09/14/2018 | \$84.99 | \$170.00 | \$0.00 | \$0.00 | (\$85.01) | |
| | 1.00000 | 09/13/2018 | 09/14/2018 | \$84.99 | \$170.00 | \$0.00 | \$0.00 | (\$85.01) | |
| Subtotals | 2.00000 | | | \$169.98 | \$340.00 | \$0.00 | \$0.00 | (\$170.02) | |
| PUT PM 10/19/18 80 CUSIP: 8GWYSG4 | 1.00000 | 10/17/2018 | 10/19/2018 | \$0.00 | \$29.00 | \$0.00 | \$0.00 | (\$29.00) | EXPIRATION |
| | 3.00000 | 10/17/2018 | 10/19/2018 | \$0.00 | \$90.00 | \$0.00 | \$0.00 | (\$90.00) | EXPIRATION |
| | 5.00000 | 10/17/2018 | 10/19/2018 | \$0.00 | \$150.00 | \$0.00 | \$0.00 | (\$150.00) | EXPIRATION |
| | 1.00000 | 10/17/2018 | 10/19/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$299.00 | \$0.00 | \$0.00 | (\$299.00) | |
| PUT RPM 10/19/18 60 CUSIP: 8GXSCX8 | 3.00000 | 10/02/2018 | 10/04/2018 | \$314.98 | \$195.00 | \$0.00 | \$0.00 | \$119.98 | |
| CALL ROKU 09/21/18 75 CUSIP: 8GYGSS9 | 1.00000 | 09/12/2018 | 09/18/2018 | \$75.98 | \$102.00 | \$0.00 | \$0.00 | (\$26.02) | |
| CALL TEAM 10/19/18 100 CUSIP: 8GYHKZ2 | 1.00000 | 09/19/2018 | 09/24/2018 | \$149.98 | \$180.00 | \$0.00 | \$0.00 | (\$30.02) | |
| PUT AAPL 09/21/18 215 CUSIP: 8GYKKB1 | 1.00000 | 09/12/2018 | 09/17/2018 | \$136.99 | \$146.00 | \$0.00 | \$0.00 | (\$9.01) | |
| | 1.00000 | 09/14/2018 | 09/17/2018 | \$136.99 | \$79.00 | \$0.00 | \$0.00 | \$57.99 | |
| Subtotals | 2.00000 | | | \$273.98 | \$225.00 | \$0.00 | \$0.00 | \$48.98 | |
| CALL AAPL 09/21/18 240 CUSIP: 8GYKFF1 | 1.00000 | 08/30/2018 | 08/31/2018 | \$118.98 | \$81.00 | \$0.00 | \$0.00 | \$37.98 | |
| | 1.00000 | 09/05/2018 | 09/11/2018 | \$38.98 | \$95.00 | \$0.00 | \$56.02 | \$0.00 | |
| | 1.00000 | 09/05/2018 | 09/11/2018 | \$38.98 | \$151.02 | \$0.00 | \$112.04 | \$0.00 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$39.98 | \$159.05 | \$0.00 | \$119.07 | \$0.00 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$39.99 | \$52.00 | \$0.00 | \$12.01 | \$0.00 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$40.99 | \$154.07 | \$0.00 | \$113.08 | \$0.00 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$39.99 | \$164.04 | \$0.00 | \$124.05 | \$0.00 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$40.98 | \$47.01 | \$0.00 | \$6.03 | \$0.00 | |

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL AAPL 09/21/18 240 CUSIP: 8GYKKF1 | 1.00000 | 09/10/2018 | 09/11/2018 | \$40.98 | \$17.00 | \$0.00 | \$0.00 | \$23.98 | |
| | 1.00000 | 09/10/2018 | 09/11/2018 | \$40.99 | \$23.03 | \$0.00 | \$0.00 | \$17.96 | |
| | 1.00000 | 09/10/2018 | 09/11/2018 | \$40.98 | \$130.08 | \$0.00 | \$0.00 | (\$89.10) | |
| Subtotals | 11.00000 | | | \$521.82 | \$1,073.30 | \$0.00 | \$542.30 | (\$9.18) | |
| CALL SOGO 07/20/18 12.5 CUSIP: 8GZFLS1 | 3.00000 | 07/10/2018 | 07/20/2018 | \$0.00 | \$45.00 | \$0.00 | \$0.00 | (\$45.00) | EXPIRATION |
| | 1.00000 | 07/10/2018 | 07/20/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| | 6.00000 | 07/10/2018 | 07/20/2018 | \$0.00 | \$90.00 | \$0.00 | \$0.00 | (\$90.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$150.00 | \$0.00 | \$0.00 | (\$150.00) | |
| CALL AMZN 10/19/18 2050 CUSIP: 8J01075 | 1.00000 | 10/05/2018 | 10/17/2018 | \$8.00 | \$600.00 | \$0.00 | \$592.00 | \$0.00 | |
| | 1.00000 | 10/08/2018 | 10/17/2018 | \$8.00 | \$300.00 | \$0.00 | \$292.00 | \$0.00 | |
| | 1.00000 | 10/08/2018 | 10/17/2018 | \$7.99 | \$345.00 | \$0.00 | \$337.01 | \$0.00 | |
| | 1.00000 | 10/12/2018 | 10/17/2018 | \$8.99 | \$651.00 | \$0.00 | \$642.01 | \$0.00 | |
| | 1.00000 | 10/12/2018 | 10/17/2018 | \$8.99 | \$387.01 | \$0.00 | \$378.02 | \$0.00 | |
| | 1.00000 | 10/12/2018 | 10/17/2018 | \$7.99 | \$55.00 | \$0.00 | \$47.01 | \$0.00 | |
| | 1.00000 | 10/15/2018 | 10/17/2018 | \$11.00 | \$364.01 | \$0.00 | \$0.00 | (\$353.01) | |
| | 1.00000 | 10/15/2018 | 10/17/2018 | \$10.99 | \$688.02 | \$0.00 | \$0.00 | (\$677.03) | |
| | 1.00000 | 10/15/2018 | 10/17/2018 | \$8.99 | \$673.01 | \$0.00 | \$664.02 | \$0.00 | |
| | 1.00000 | 10/15/2018 | 10/17/2018 | \$11.00 | \$24.00 | \$0.00 | \$0.00 | (\$13.00) | |
| | 1.00000 | 10/15/2018 | 10/17/2018 | \$10.99 | \$108.01 | \$0.00 | \$0.00 | (\$97.02) | |
| | 1.00000 | 10/15/2018 | 10/17/2018 | \$8.99 | \$342.00 | \$0.00 | \$333.01 | \$0.00 | |
| | 1.00000 | 10/15/2018 | 10/17/2018 | \$11.00 | \$409.02 | \$0.00 | \$0.00 | (\$398.02) | |
| | 1.00000 | 10/15/2018 | 10/17/2018 | \$9.00 | \$90.01 | \$0.00 | \$81.01 | \$0.00 | |
| Subtotals | 14.00000 | | | \$131.92 | \$5,036.09 | \$0.00 | \$3,366.09 | (\$1,538.08) | |
| CALL AMZN 10/19/18 2110 CUSIP: 8J01133 | 1.00000 | 10/04/2018 | 10/10/2018 | \$58.98 | \$340.00 | \$0.00 | \$281.02 | \$0.00 | |
| | 1.00000 | 10/05/2018 | 10/10/2018 | \$58.99 | \$537.02 | \$0.00 | \$58.99 | (\$478.03) | |
| | 1.00000 | 10/08/2018 | 10/10/2018 | \$58.99 | \$148.00 | \$0.00 | \$0.00 | (\$89.01) | |
| Subtotals | 3.00000 | | | \$176.96 | \$1,025.02 | \$0.00 | \$281.02 | (\$567.04) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT IRBT 09/21/18 95 CUSIP: 8J51606 | 1.00000 | 08/28/2018 | 09/06/2018 | \$109.98 | \$45.00 | \$0.00 | \$0.00 | \$64.98 | |
| CALL TLRV 09/28/18 280 CUSIP: 8J56431 | 1.00000 | 09/20/2018 | 09/28/2018 | \$0.00 | \$520.00 | \$0.00 | \$0.00 | (\$520.00) | EXPIRATION |
| CALL TLRV 09/28/18 310 CUSIP: 8J56454 | 1.00000 | 09/19/2018 | 09/20/2018 | \$569.98 | \$2,200.00 | \$0.00 | \$0.00 | (\$1,630.02) | |
| CALL TLRV 10/12/18 200 CUSIP: 8J57023 | 1.00000 | 09/27/2018 | 10/12/2018 | \$0.00 | \$370.00 | \$0.00 | \$0.00 | (\$370.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$170.00 | \$0.00 | \$0.00 | (\$170.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$170.00 | \$0.00 | \$0.00 | (\$170.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$190.00 | \$0.00 | \$0.00 | (\$190.00) | EXPIRATION |
| | 1.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 1.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 1.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 1.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 2.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| | 1.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 3.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| | 1.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 5.00000 | 10/08/2018 | 10/12/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| Subtotals | 20.00000 | | | \$0.00 | \$1,220.00 | \$0.00 | \$0.00 | (\$1,220.00) | |
| CALL TLRV 10/12/18 240 CUSIP: 8J57256 | 1.00000 | 09/27/2018 | 10/12/2018 | \$0.00 | \$160.00 | \$0.00 | \$0.00 | (\$160.00) | EXPIRATION |
| | 1.00000 | 09/27/2018 | 10/12/2018 | \$0.00 | \$190.00 | \$0.00 | \$0.00 | (\$190.00) | EXPIRATION |
| | 1.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$165.00 | \$0.00 | \$0.00 | (\$165.00) | EXPIRATION |
| | 1.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$130.00 | \$0.00 | \$0.00 | (\$130.00) | EXPIRATION |
| | 1.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$110.00 | \$0.00 | \$0.00 | (\$110.00) | EXPIRATION |
| | 2.00000 | 10/02/2018 | 10/12/2018 | \$0.00 | \$210.00 | \$0.00 | \$0.00 | (\$210.00) | EXPIRATION |
| | 1.00000 | 10/02/2018 | 10/12/2018 | \$0.00 | \$105.00 | \$0.00 | \$0.00 | (\$105.00) | EXPIRATION |
| | 1.00000 | 10/02/2018 | 10/12/2018 | \$0.00 | \$190.00 | \$0.00 | \$0.00 | (\$190.00) | EXPIRATION |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL TLRY 10/12/18 240 CUSIP: 8J57256 | 1.00000 | 10/03/2018 | 10/12/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$50.00 | \$0.00 | \$0.00 | (\$50.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$85.00 | \$0.00 | \$0.00 | (\$85.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| Subtotals | 14.00000 | | | \$0.00 | \$1,575.00 | \$0.00 | \$0.00 | (\$1,575.00) | |
| CALL TLRY 10/26/18 200 CUSIP: 8J57693 | 1.00000 | 10/08/2018 | 10/16/2018 | \$489.98 | \$190.00 | \$0.00 | \$0.00 | \$299.98 | |
| | 1.00000 | 10/12/2018 | 10/16/2018 | \$449.98 | \$100.00 | \$0.00 | \$0.00 | \$349.98 | |
| | 1.00000 | 10/16/2018 | 10/17/2018 | \$170.00 | \$370.00 | \$0.00 | \$0.00 | (\$200.00) | |
| | 1.00000 | 10/16/2018 | 10/17/2018 | \$170.00 | \$460.00 | \$0.00 | \$0.00 | (\$290.00) | |
| | 1.00000 | 10/16/2018 | 10/17/2018 | \$169.99 | \$440.00 | \$0.00 | \$0.00 | (\$270.01) | |
| | 1.00000 | 10/16/2018 | 10/17/2018 | \$169.99 | \$340.00 | \$0.00 | \$0.00 | (\$170.01) | |
| Subtotals | 6.00000 | | | \$1,619.94 | \$1,900.00 | \$0.00 | \$0.00 | (\$280.06) | |
| CALL TNDM 09/21/18 50 CUSIP: 8J75736 | 1.00000 | 08/31/2018 | 09/13/2018 | \$269.98 | \$160.00 | \$0.00 | \$0.00 | \$109.98 | |
| | 1.00000 | 08/31/2018 | 09/13/2018 | \$319.99 | \$160.00 | \$0.00 | \$0.00 | \$159.99 | |
| | 1.00000 | 08/31/2018 | 09/13/2018 | \$319.99 | \$165.00 | \$0.00 | \$0.00 | \$154.99 | |
| | 1.00000 | 08/31/2018 | 09/13/2018 | \$269.98 | \$160.00 | \$0.00 | \$0.00 | \$109.98 | |
| | 1.00000 | 09/05/2018 | 09/13/2018 | \$244.99 | \$115.00 | \$0.00 | \$0.00 | \$129.99 | |
| | 1.00000 | 09/10/2018 | 09/13/2018 | \$244.99 | \$105.00 | \$0.00 | \$0.00 | \$139.99 | |
| | 3.00000 | 09/14/2018 | 09/21/2018 | \$0.00 | \$390.00 | \$0.00 | \$0.00 | (\$390.00) | EXPIRATION |
| | 1.00000 | 09/14/2018 | 09/21/2018 | \$0.00 | \$110.00 | \$0.00 | \$0.00 | (\$110.00) | EXPIRATION |
| | 1.00000 | 09/17/2018 | 09/21/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| | 1.00000 | 09/17/2018 | 09/21/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 2.00000 | 09/17/2018 | 09/21/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| | 2.00000 | 09/17/2018 | 09/21/2018 | \$0.00 | \$110.00 | \$0.00 | \$0.00 | (\$110.00) | EXPIRATION |
| | 2.00000 | 09/17/2018 | 09/21/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| | 3.00000 | 09/18/2018 | 09/21/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| Subtotals | 21.00000 | | | \$1,669.92 | \$1,650.00 | \$0.00 | \$0.00 | \$19.92 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL VKTX 10/19/18 22 CUSIP: 8J77490 | 1.00000 | 09/25/2018 | 09/28/2018 | \$54.98 | \$110.00 | \$0.00 | \$0.00 | (\$55.02) | |
| PUT AMD 10/12/18 30 CUSIP: 8KBPCG3 | 1.00000 | 09/25/2018 | 09/28/2018 | \$110.98 | \$67.00 | \$0.00 | \$0.00 | \$43.98 | |
| | 1.00000 | 09/25/2018 | 09/28/2018 | \$133.98 | \$67.00 | \$0.00 | \$0.00 | \$66.98 | |
| Subtotals | 2.00000 | | | \$244.96 | \$134.00 | \$0.00 | \$0.00 | \$110.96 | |
| CALL INTU 10/19/18 240 CUSIP: 8KJLCS1 | 1.00000 | 09/19/2018 | 10/01/2018 | \$59.98 | \$100.00 | \$0.00 | \$40.02 | \$0.00 | |
| | 1.00000 | 09/19/2018 | 10/01/2018 | \$60.99 | \$100.00 | \$0.00 | \$0.00 | (\$39.01) | |
| | 1.00000 | 09/26/2018 | 10/01/2018 | \$60.99 | \$80.02 | \$0.00 | \$0.00 | (\$19.03) | |
| Subtotals | 3.00000 | | | \$181.96 | \$280.02 | \$0.00 | \$40.02 | (\$58.04) | |
| PUT TNDM 07/20/18 22.5 CUSIP: 8KKWGG1 | 1.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| | 1.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| Subtotals | 2.00000 | | | \$0.00 | \$55.00 | \$0.00 | \$0.00 | (\$55.00) | |
| CALL MSFT 07/20/18 105 CUSIP: 8KMFRB8 | 5.00000 | 07/19/2018 | 07/20/2018 | \$1,289.97 | \$770.00 | \$0.00 | \$0.00 | \$519.97 | |
| CALL TRXC 07/20/18 4 CUSIP: 8KNWBD9 | 9.00000 | 07/09/2018 | 07/11/2018 | \$314.97 | \$270.00 | \$0.00 | \$0.00 | \$44.97 | |
| | 1.00000 | 07/09/2018 | 07/11/2018 | \$35.00 | \$30.00 | \$0.00 | \$0.00 | \$5.00 | |
| Subtotals | 10.00000 | | | \$349.97 | \$300.00 | \$0.00 | \$0.00 | \$49.97 | |
| CALL TRXC 07/20/18 5 CUSIP: 8KNWBF3 | 1.00000 | 06/25/2018 | 07/19/2018 | \$5.00 | \$45.00 | \$0.00 | \$0.00 | (\$40.00) | |
| | 2.00000 | 07/05/2018 | 07/19/2018 | \$10.00 | \$20.00 | \$0.00 | \$0.00 | (\$10.00) | |
| | 1.00000 | 07/05/2018 | 07/19/2018 | \$5.00 | \$10.00 | \$0.00 | \$0.00 | (\$5.00) | |
| | 1.00000 | 07/05/2018 | 07/19/2018 | \$5.00 | \$10.00 | \$0.00 | \$0.00 | (\$5.00) | |
| | 3.00000 | 07/05/2018 | 07/19/2018 | \$14.99 | \$30.00 | \$0.00 | \$0.00 | (\$15.01) | |
| | 3.00000 | 07/05/2018 | 07/19/2018 | \$14.99 | \$30.00 | \$0.00 | \$0.00 | (\$15.01) | |
| | 2.00000 | 07/05/2018 | 07/19/2018 | \$9.99 | \$20.00 | \$0.00 | \$0.00 | (\$10.01) | |
| | 2.00000 | 07/05/2018 | 07/19/2018 | \$9.99 | \$20.00 | \$0.00 | \$0.00 | (\$10.01) | |
| | 1.00000 | 07/05/2018 | 07/19/2018 | \$5.00 | \$10.00 | \$0.00 | \$0.00 | (\$5.00) | |
| | 3.00000 | 07/05/2018 | 07/19/2018 | \$14.99 | \$30.00 | \$0.00 | \$0.00 | (\$15.01) | |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL TRXC 07/20/18 5 CUSIP: 8KNWBF3 | 1.00000 | 07/05/2018 | 07/19/2018 | \$5.00 | \$10.00 | \$0.00 | \$0.00 | (\$5.00) | |
| Subtotals | 20.00000 | | | \$99.95 | \$235.00 | \$0.00 | \$0.00 | (\$135.05) | |
| PUT TRXC 08/17/18 5 CUSIP: 8KPCNC1 | 1.00000 | 08/06/2018 | 08/07/2018 | \$50.00 | \$40.00 | \$0.00 | \$0.00 | \$10.00 | |
| | 5.00000 | 08/06/2018 | 08/07/2018 | \$249.98 | \$200.00 | \$0.00 | \$0.00 | \$49.98 | |
| | 2.00000 | 08/06/2018 | 08/07/2018 | \$99.99 | \$80.00 | \$0.00 | \$0.00 | \$19.99 | |
| | 1.00000 | 08/06/2018 | 08/07/2018 | \$50.00 | \$40.00 | \$0.00 | \$0.00 | \$10.00 | |
| | 1.00000 | 08/06/2018 | 08/07/2018 | \$50.00 | \$40.00 | \$0.00 | \$0.00 | \$10.00 | |
| Subtotals | 10.00000 | | | \$499.97 | \$400.00 | \$0.00 | \$0.00 | \$99.97 | |
| PUT SKX 10/19/18 20 CUSIP: 8KRJLH4 | 2.00000 | 10/18/2018 | 10/19/2018 | \$0.00 | \$44.00 | \$0.00 | \$0.00 | (\$44.00) | EXPIRATION |
| | 3.00000 | 10/18/2018 | 10/19/2018 | \$0.00 | \$66.00 | \$0.00 | \$0.00 | (\$66.00) | EXPIRATION |
| Subtotals | 5.00000 | | | \$0.00 | \$110.00 | \$0.00 | \$0.00 | (\$110.00) | |
| CALL NVDA 09/21/18 300 CUSIP: 8KSCDG6 | 1.00000 | 09/05/2018 | 09/14/2018 | \$22.99 | \$91.00 | \$0.00 | \$68.01 | \$0.00 | |
| | 1.00000 | 09/05/2018 | 09/14/2018 | \$22.98 | \$91.00 | \$0.00 | \$68.02 | \$0.00 | |
| | 1.00000 | 09/05/2018 | 09/14/2018 | \$23.00 | \$145.02 | \$0.00 | \$122.02 | \$0.00 | |
| | 1.00000 | 09/06/2018 | 09/14/2018 | \$22.99 | \$47.00 | \$0.00 | \$24.01 | \$0.00 | |
| | 1.00000 | 09/06/2018 | 09/14/2018 | \$22.98 | \$115.01 | \$0.00 | \$92.03 | \$0.00 | |
| | 1.00000 | 09/07/2018 | 09/14/2018 | \$23.99 | \$156.02 | \$0.00 | \$132.03 | \$0.00 | |
| | 1.00000 | 09/07/2018 | 09/14/2018 | \$23.99 | \$58.01 | \$0.00 | \$34.02 | \$0.00 | |
| | 1.00000 | 09/07/2018 | 09/21/2018 | \$0.00 | \$113.03 | \$0.00 | \$0.00 | (\$113.03) | EXPIRATION |
| | 1.00000 | 09/11/2018 | 09/21/2018 | \$0.00 | \$148.03 | \$0.00 | \$0.00 | (\$148.03) | EXPIRATION |
| | 4.00000 | 09/11/2018 | 09/21/2018 | \$0.00 | \$64.00 | \$0.00 | \$0.00 | (\$64.00) | EXPIRATION |
| | 6.00000 | 09/11/2018 | 09/21/2018 | \$0.00 | \$72.00 | \$0.00 | \$0.00 | (\$72.00) | EXPIRATION |
| | 1.00000 | 09/11/2018 | 09/21/2018 | \$0.00 | \$50.02 | \$0.00 | \$0.00 | (\$50.02) | EXPIRATION |
| Subtotals | 20.00000 | | | \$162.92 | \$1,150.14 | \$0.00 | \$540.14 | (\$447.08) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL TIF 09/21/18 135 CUSIP: 8KSCMF9 | 1.00000 | 08/27/2018 | 08/28/2018 | \$266.99 | \$320.00 | \$0.00 | \$0.00 | (\$53.01) | |
| | 2.00000 | 08/27/2018 | 08/28/2018 | \$533.98 | \$630.00 | \$0.00 | \$0.00 | (\$96.02) | |
| Subtotals | 3.00000 | | | \$800.97 | \$950.00 | \$0.00 | \$0.00 | (\$149.03) | |
| CALL TJX 09/21/18 105 CUSIP: 8KSCVK8 | 1.00000 | 08/20/2018 | 08/21/2018 | \$284.98 | \$184.00 | \$0.00 | \$0.00 | \$100.98 | |
| | 1.00000 | 08/20/2018 | 08/21/2018 | \$294.98 | \$180.00 | \$0.00 | \$0.00 | \$114.98 | |
| Subtotals | 2.00000 | | | \$579.96 | \$364.00 | \$0.00 | \$0.00 | \$215.96 | |
| PUT TRXC 09/21/18 4.5 CUSIP: 8KSGRG2 | 1.00000 | 08/24/2018 | 09/21/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| | 10.00000 | 08/24/2018 | 09/21/2018 | \$0.00 | \$200.00 | \$0.00 | \$0.00 | (\$200.00) | EXPIRATION |
| | 1.00000 | 08/27/2018 | 09/21/2018 | \$0.00 | \$15.00 | \$0.00 | \$0.00 | (\$15.00) | EXPIRATION |
| Subtotals | 12.00000 | | | \$0.00 | \$230.00 | \$0.00 | \$0.00 | (\$230.00) | |
| CALL TTD 08/17/18 93 CUSIP: 8KSHHX5 | 1.00000 | 08/09/2018 | 08/10/2018 | \$2,042.96 | \$800.00 | \$0.00 | \$0.00 | \$1,242.96 | |
| CALL STLD 08/17/18 48 CUSIP: 8KSJQW1 | 2.00000 | 07/23/2018 | 07/24/2018 | \$399.99 | \$320.00 | \$0.00 | \$0.00 | \$79.99 | |
| | 1.00000 | 07/23/2018 | 07/24/2018 | \$199.98 | \$155.00 | \$0.00 | \$0.00 | \$44.98 | |
| | 1.00000 | 07/23/2018 | 07/24/2018 | \$199.99 | \$160.00 | \$0.00 | \$0.00 | \$39.99 | |
| | 1.00000 | 07/23/2018 | 07/24/2018 | \$199.99 | \$160.00 | \$0.00 | \$0.00 | \$39.99 | |
| | 1.00000 | 07/23/2018 | 07/24/2018 | \$200.00 | \$160.00 | \$0.00 | \$0.00 | \$40.00 | |
| | 1.00000 | 07/23/2018 | 07/24/2018 | \$199.98 | \$160.00 | \$0.00 | \$0.00 | \$39.98 | |
| Subtotals | 7.00000 | | | \$1,399.93 | \$1,115.00 | \$0.00 | \$0.00 | \$284.93 | |
| CALL PVH 09/21/18 165 CUSIP: 8KSTDL6 | 2.00000 | 08/29/2018 | 09/21/2018 | \$0.00 | \$330.00 | \$0.00 | \$0.00 | (\$330.00) | EXPIRATION |
| CALL RRGB 09/21/18 45 CUSIP: 8KTBXQ7 | 1.00000 | 08/21/2018 | 08/22/2018 | \$9.99 | \$220.02 | \$0.00 | \$0.00 | (\$210.03) | |
| | 1.00000 | 08/21/2018 | 08/22/2018 | \$9.98 | \$115.00 | \$0.00 | \$105.02 | \$0.00 | |
| | 1.00000 | 08/21/2018 | 08/22/2018 | \$9.99 | \$115.00 | \$0.00 | \$0.00 | (\$105.01) | |
| Subtotals | 3.00000 | | | \$29.96 | \$450.02 | \$0.00 | \$105.02 | (\$315.04) | |

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 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL WB 08/17/18 83.5 CUSIP: 8KTCXC2 | 1.00000 | 08/07/2018 | 08/08/2018 | \$269.99 | \$370.00 | \$0.00 | \$0.00 | (\$100.01) | |
| | 1.00000 | 08/07/2018 | 08/08/2018 | \$269.99 | \$470.02 | \$0.00 | \$0.00 | (\$200.03) | |
| | 1.00000 | 08/07/2018 | 08/08/2018 | \$269.98 | \$370.00 | \$0.00 | \$100.02 | \$0.00 | |
| Subtotals | 3.00000 | | | \$809.96 | \$1,210.02 | \$0.00 | \$100.02 | (\$300.04) | |
| CALL ISRG 10/19/18 550 CUSIP: 8KTLRZ2 | 1.00000 | 10/18/2018 | 10/19/2018 | \$0.00 | \$360.00 | \$0.00 | \$0.00 | (\$360.00) | EXPIRATION |
| | 1.00000 | 10/18/2018 | 10/19/2018 | \$0.00 | \$380.00 | \$0.00 | \$0.00 | (\$380.00) | EXPIRATION |
| Subtotals | 2.00000 | | | \$0.00 | \$740.00 | \$0.00 | \$0.00 | (\$740.00) | |
| PUT AMZN 09/07/18 1900 CUSIP: 8KTWSK1 | 1.00000 | 08/30/2018 | 09/05/2018 | \$84.98 | \$145.00 | \$0.00 | \$60.02 | \$0.00 | |
| | 1.00000 | 08/30/2018 | 09/05/2018 | \$84.98 | \$222.02 | \$0.00 | \$0.00 | (\$137.04) | |
| Subtotals | 2.00000 | | | \$169.96 | \$367.02 | \$0.00 | \$60.02 | (\$137.04) | |
| CALL BABA 09/07/18 185 CUSIP: 8KTYQK8 | 1.00000 | 08/22/2018 | 08/23/2018 | \$389.98 | \$345.00 | \$0.00 | \$0.00 | \$44.98 | |
| PUT MU 09/21/18 40 CUSIP: 8KVCGT3 | 1.00000 | 09/13/2018 | 09/21/2018 | \$0.00 | \$76.00 | \$0.00 | \$0.00 | (\$76.00) | EXPIRATION |
| | 1.00000 | 09/13/2018 | 09/21/2018 | \$0.00 | \$76.00 | \$0.00 | \$0.00 | (\$76.00) | EXPIRATION |
| | 5.00000 | 09/18/2018 | 09/21/2018 | \$0.00 | \$110.00 | \$0.00 | \$0.00 | (\$110.00) | EXPIRATION |
| | 3.00000 | 09/19/2018 | 09/21/2018 | \$0.00 | \$78.00 | \$0.00 | \$0.00 | (\$78.00) | EXPIRATION |
| | 2.00000 | 09/20/2018 | 09/21/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| | 2.00000 | 09/20/2018 | 09/21/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| | 2.00000 | 09/20/2018 | 09/21/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| | 4.00000 | 09/20/2018 | 09/21/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| Subtotals | 20.00000 | | | \$0.00 | \$490.00 | \$0.00 | \$0.00 | (\$490.00) | |
| CALL MU 09/21/18 60 CUSIP: 8KVCGV9 | 1.00000 | 08/24/2018 | 08/30/2018 | \$63.98 | \$38.00 | \$0.00 | \$0.00 | \$25.98 | |
| | 1.00000 | 08/24/2018 | 08/30/2018 | \$63.98 | \$38.00 | \$0.00 | \$0.00 | \$25.98 | |
| | 1.00000 | 08/24/2018 | 08/30/2018 | \$63.98 | \$38.00 | \$0.00 | \$0.00 | \$25.98 | |
| | 1.00000 | 08/24/2018 | 08/30/2018 | \$63.98 | \$38.00 | \$0.00 | \$0.00 | \$25.98 | |
| | 1.00000 | 08/24/2018 | 08/30/2018 | \$63.98 | \$38.00 | \$0.00 | \$0.00 | \$25.98 | |
| Subtotals | 5.00000 | | | \$319.90 | \$190.00 | \$0.00 | \$0.00 | \$129.90 | |

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 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT GRUB 08/03/18 138 CUSIP: 8KWCYG7 | 1.00000 | 07/26/2018 | 07/30/2018 | \$1,319.97 | \$308.00 | \$0.00 | \$0.00 | \$1,011.97 | |
| Subtotals | 2.00000 | | | \$2,639.94 | \$618.00 | \$0.00 | \$0.00 | \$2,021.94 | |
| CALL AMRN 10/19/18 20 CUSIP: 8KWGFC2 | 1.00000 | 10/01/2018 | 10/03/2018 | \$109.98 | \$124.00 | \$0.00 | \$0.00 | (\$14.02) | |
| Subtotals | 2.00000 | | | \$326.96 | \$248.00 | \$0.00 | \$0.00 | \$78.96 | |
| CALL BBY 10/05/18 16 CUSIP: 8KWLYX0 | 10.00000 | 09/27/2018 | 10/05/2018 | \$0.00 | \$110.00 | \$0.00 | \$0.00 | (\$110.00) | EXPIRATION |
| Subtotals | 20.00000 | | | \$0.00 | \$220.00 | \$0.00 | \$0.00 | (\$220.00) | |
| PUT SFIX 10/05/18 35 CUSIP: 8KWPXS2 | 1.00000 | 10/01/2018 | 10/02/2018 | \$449.98 | \$33.00 | \$0.00 | \$0.00 | \$416.98 | |
| Subtotals | 3.00000 | | | \$969.94 | \$99.00 | \$0.00 | \$0.00 | \$870.94 | |
| PUT IRBT 08/03/18 88 CUSIP: 8KWQBT4 | 1.00000 | 07/25/2018 | 07/26/2018 | \$539.99 | \$265.00 | \$0.00 | \$0.00 | \$274.99 | |
| Subtotals | 6.00000 | | | \$3,239.93 | \$1,610.00 | \$0.00 | \$0.00 | \$1,629.93 | |
| CALL NBEV 10/19/18 15 CUSIP: 8KWSBL8 | 4.00000 | 10/08/2018 | 10/19/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| PUT COST 10/05/18 220 CUSIP: 8KWVKR6 | 2.00000 | 10/04/2018 | 10/05/2018 | \$299.98 | \$60.00 | \$0.00 | \$0.00 | \$239.98 | |
| Subtotals | 1.00000 | | | \$179.98 | \$31.00 | \$0.00 | \$0.00 | \$148.98 | |
| Subtotals | 1.00000 | | | \$184.98 | \$31.00 | \$0.00 | \$0.00 | \$153.98 | |
| Subtotals | 1.00000 | | | \$129.98 | \$30.00 | \$0.00 | \$0.00 | \$99.98 | |
| Subtotals | 1.00000 | | | \$154.98 | \$31.00 | \$0.00 | \$0.00 | \$123.98 | |
| Subtotals | 1.00000 | | | \$159.98 | \$31.00 | \$0.00 | \$0.00 | \$128.98 | |
| Subtotals | 1.00000 | | | \$154.98 | \$30.00 | \$0.00 | \$0.00 | \$124.98 | |

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT COST 10/05/18 220 CUSIP: 8KWVKR6 | 1.00000 | 10/04/2018 | 10/05/2018 | \$154.98 | \$30.00 | \$0.00 | \$0.00 | \$124.98 | |
| | 1.00000 | 10/04/2018 | 10/05/2018 | \$189.98 | \$31.00 | \$0.00 | \$0.00 | \$158.98 | |
| Subtotals | 10.00000 | | | \$1,609.82 | \$305.00 | \$0.00 | \$0.00 | \$1,304.82 | |
| CALL GERN 09/21/18 6 CUSIP: 8LBPTV9 | 1.00000 | 08/28/2018 | 08/31/2018 | \$124.98 | \$80.00 | \$0.00 | \$0.00 | \$44.98 | |
| CALL GERN 09/21/18 7 CUSIP: 8LBPTW1 | 1.00000 | 09/07/2018 | 09/21/2018 | \$0.00 | \$95.00 | \$0.00 | \$0.00 | (\$95.00) | EXPIRATION |
| | 1.00000 | 09/07/2018 | 09/21/2018 | \$0.00 | \$95.00 | \$0.00 | \$0.00 | (\$95.00) | EXPIRATION |
| | 1.00000 | 09/11/2018 | 09/21/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| | 1.00000 | 09/11/2018 | 09/21/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| Subtotals | 4.00000 | | | \$0.00 | \$350.00 | \$0.00 | \$0.00 | (\$350.00) | |
| CALL GERN 09/21/18 8 CUSIP: 8LBPVJ8 | 3.00000 | 08/28/2018 | 08/31/2018 | \$194.98 | \$129.00 | \$0.00 | \$0.00 | \$65.98 | |
| | 1.00000 | 08/28/2018 | 08/31/2018 | \$64.98 | \$43.00 | \$0.00 | \$0.00 | \$21.98 | |
| | 1.00000 | 08/28/2018 | 08/31/2018 | \$64.98 | \$43.00 | \$0.00 | \$0.00 | \$21.98 | |
| | 3.00000 | 09/05/2018 | 09/21/2018 | \$0.00 | \$165.00 | \$0.00 | \$0.00 | (\$165.00) | EXPIRATION |
| | 1.00000 | 09/05/2018 | 09/21/2018 | \$0.00 | \$55.00 | \$0.00 | \$0.00 | (\$55.00) | EXPIRATION |
| | 1.00000 | 09/05/2018 | 09/21/2018 | \$0.00 | \$55.00 | \$0.00 | \$0.00 | (\$55.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$324.94 | \$490.00 | \$0.00 | \$0.00 | (\$165.06) | |
| PUT PAYC 08/17/18 120 CUSIP: 8LDDKW7 | 1.00000 | 08/01/2018 | 08/06/2018 | \$24.99 | \$170.00 | \$0.00 | \$0.00 | (\$145.01) | |
| | 2.00000 | 08/01/2018 | 08/06/2018 | \$49.99 | \$680.00 | \$0.00 | \$0.00 | (\$630.01) | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$25.00 | \$320.00 | \$0.00 | \$0.00 | (\$295.00) | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$25.00 | \$175.00 | \$0.00 | \$0.00 | (\$150.00) | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$25.00 | \$320.00 | \$0.00 | \$0.00 | (\$295.00) | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$25.00 | \$205.00 | \$0.00 | \$0.00 | (\$180.00) | |
| | 1.00000 | 08/01/2018 | 08/06/2018 | \$24.99 | \$330.00 | \$0.00 | \$0.00 | (\$305.01) | |
| Subtotals | 8.00000 | | | \$199.97 | \$2,200.00 | \$0.00 | \$0.00 | (\$2,000.03) | |

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT THO 09/21/18 90 CUSIP: 8LFBMQ3 | 1.00000 | 09/19/2018 | 09/20/2018 | \$99.98 | \$30.00 | \$0.00 | \$0.00 | \$69.98 | |
| | 1.00000 | 09/19/2018 | 09/20/2018 | \$54.98 | \$40.00 | \$0.00 | \$0.00 | \$14.98 | |
| Subtotals | 2.00000 | | | \$154.96 | \$70.00 | \$0.00 | \$0.00 | \$84.96 | |
| PUT CTRP 09/21/18 33 CUSIP: 8LFLHB9 | 5.00000 | 09/05/2018 | 09/21/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| CALL NEWR 09/21/18 110 CUSIP: 8LGLVD6 | 1.00000 | 09/05/2018 | 09/07/2018 | \$94.99 | \$50.00 | \$0.00 | \$0.00 | \$44.99 | |
| | 1.00000 | 09/05/2018 | 09/07/2018 | \$94.99 | \$50.00 | \$0.00 | \$0.00 | \$44.99 | |
| Subtotals | 2.00000 | | | \$189.98 | \$100.00 | \$0.00 | \$0.00 | \$89.98 | |
| CALL VEEV 09/21/18 105 CUSIP: 8LGQXV1 | 1.00000 | 08/23/2018 | 08/24/2018 | \$124.98 | \$35.00 | \$0.00 | \$0.00 | \$89.98 | |
| | 1.00000 | 08/23/2018 | 08/24/2018 | \$129.98 | \$35.00 | \$0.00 | \$0.00 | \$94.98 | |
| | 1.00000 | 08/23/2018 | 08/24/2018 | \$124.98 | \$35.00 | \$0.00 | \$0.00 | \$89.98 | |
| | 1.00000 | 08/23/2018 | 08/24/2018 | \$129.98 | \$40.00 | \$0.00 | \$0.00 | \$89.98 | |
| Subtotals | 4.00000 | | | \$509.92 | \$145.00 | \$0.00 | \$0.00 | \$364.92 | |
| CALL GERN 09/21/18 10 CUSIP: 8LGVHD9 | 5.00000 | 09/04/2018 | 09/21/2018 | \$0.00 | \$175.00 | \$0.00 | \$0.00 | (\$175.00) | EXPIRATION |
| | 2.00000 | 09/07/2018 | 09/21/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| | 1.00000 | 09/10/2018 | 09/21/2018 | \$0.00 | \$25.00 | \$0.00 | \$0.00 | (\$25.00) | EXPIRATION |
| | 1.00000 | 09/10/2018 | 09/21/2018 | \$0.00 | \$25.00 | \$0.00 | \$0.00 | (\$25.00) | EXPIRATION |
| | 1.00000 | 09/10/2018 | 09/21/2018 | \$0.00 | \$25.00 | \$0.00 | \$0.00 | (\$25.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$310.00 | \$0.00 | \$0.00 | (\$310.00) | |
| CALL LULU 09/21/18 145 CUSIP: 8LJJGF8 | 1.00000 | 08/30/2018 | 08/31/2018 | \$1,229.97 | \$360.00 | \$0.00 | \$0.00 | \$869.97 | |
| CALL AGN 08/03/18 177.5 CUSIP: 8LJSLK9 | 1.00000 | 07/25/2018 | 07/26/2018 | \$241.98 | \$305.00 | \$0.00 | \$0.00 | (\$63.02) | |
| PUT EXPE 08/03/18 136 CUSIP: 8LKMSQ7 | 1.00000 | 07/27/2018 | 08/02/2018 | \$420.00 | \$120.00 | \$0.00 | \$0.00 | \$300.00 | |
| | 1.00000 | 07/27/2018 | 08/02/2018 | \$419.99 | \$190.00 | \$0.00 | \$0.00 | \$229.99 | |
| | 1.00000 | 07/27/2018 | 08/02/2018 | \$419.99 | \$190.00 | \$0.00 | \$0.00 | \$229.99 | |
| | 1.00000 | 07/27/2018 | 08/02/2018 | \$419.99 | \$190.00 | \$0.00 | \$0.00 | \$229.99 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT EXPE 08/03/18 136 CUSIP: 8LKMSQ7 | 1.00000 | 07/27/2018 | 08/02/2018 | \$419.99 | \$120.00 | \$0.00 | \$0.00 | \$299.99 | |
| Subtotals | 5.00000 | | | \$2,099.96 | \$810.00 | \$0.00 | \$0.00 | \$1,289.96 | |
| PUT HUYA 07/20/18 27.5 CUSIP: 8LKPHP8 | 1.00000 | 07/11/2018 | 07/16/2018 | \$9.98 | \$35.00 | \$0.00 | \$0.00 | (\$25.02) | |
| | 1.00000 | 07/11/2018 | 07/16/2018 | \$9.98 | \$35.00 | \$0.00 | \$25.02 | \$0.00 | |
| | 1.00000 | 07/12/2018 | 07/16/2018 | \$9.98 | \$40.02 | \$0.00 | \$0.00 | (\$30.04) | |
| Subtotals | 3.00000 | | | \$29.94 | \$110.02 | \$0.00 | \$25.02 | (\$55.06) | |
| CALL HUYA 07/20/18 32.5 CUSIP: 8LKPHP9 | 1.00000 | 07/05/2018 | 07/09/2018 | \$89.98 | \$110.00 | \$0.00 | \$20.02 | \$0.00 | |
| | 1.00000 | 07/05/2018 | 07/09/2018 | \$159.98 | \$110.00 | \$0.00 | \$0.00 | \$49.98 | |
| | 1.00000 | 07/05/2018 | 07/09/2018 | \$124.98 | \$130.02 | \$0.00 | \$5.04 | \$0.00 | |
| | 1.00000 | 07/05/2018 | 07/09/2018 | \$124.98 | \$115.04 | \$0.00 | \$0.00 | \$9.94 | |
| | 1.00000 | 07/05/2018 | 07/09/2018 | \$159.98 | \$110.00 | \$0.00 | \$0.00 | \$49.98 | |
| Subtotals | 5.00000 | | | \$659.90 | \$575.06 | \$0.00 | \$25.06 | \$109.90 | |
| PUT HUYA 07/20/18 32.5 CUSIP: 8LKPHQ0 | 1.00000 | 07/11/2018 | 07/18/2018 | \$9.98 | \$110.00 | \$0.00 | \$100.02 | \$0.00 | |
| | 1.00000 | 07/11/2018 | 07/18/2018 | \$9.98 | \$110.00 | \$0.00 | \$100.02 | \$0.00 | |
| | 1.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$155.02 | \$0.00 | \$0.00 | (\$155.02) | EXPIRATION |
| | 1.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| | 1.00000 | 07/12/2018 | 07/20/2018 | \$0.00 | \$175.02 | \$0.00 | \$0.00 | (\$175.02) | EXPIRATION |
| Subtotals | 5.00000 | | | \$19.96 | \$630.04 | \$0.00 | \$200.04 | (\$410.04) | |
| CALL HUYA 07/20/18 37.5 CUSIP: 8LKPHQ1 | 1.00000 | 07/10/2018 | 07/11/2018 | \$84.98 | \$75.00 | \$0.00 | \$0.00 | \$9.98 | |
| | 1.00000 | 07/10/2018 | 07/11/2018 | \$84.99 | \$60.00 | \$0.00 | \$0.00 | \$24.99 | |
| | 2.00000 | 07/10/2018 | 07/11/2018 | \$169.99 | \$130.00 | \$0.00 | \$0.00 | \$39.99 | |
| Subtotals | 4.00000 | | | \$339.96 | \$265.00 | \$0.00 | \$0.00 | \$74.96 | |
| CALL HUYA 07/20/18 42.5 CUSIP: 8LKPHQ3 | 4.00000 | 07/16/2018 | 07/19/2018 | \$119.99 | \$200.00 | \$0.00 | \$0.00 | (\$80.01) | |
| | 3.00000 | 07/17/2018 | 07/19/2018 | \$89.99 | \$135.00 | \$0.00 | \$0.00 | (\$45.01) | |
| | 2.00000 | 07/18/2018 | 07/19/2018 | \$59.99 | \$90.00 | \$0.00 | \$0.00 | (\$30.01) | |
| Subtotals | 9.00000 | | | \$269.97 | \$425.00 | \$0.00 | \$0.00 | (\$155.03) | |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL IQ 07/20/18 37 CUSIP: 8LKPQN9 | 1.00000 | 07/10/2018 | 07/12/2018 | \$99.98 | \$40.00 | \$0.00 | \$0.00 | \$59.98 | |
| | 1.00000 | 07/10/2018 | 07/12/2018 | \$99.98 | \$40.00 | \$0.00 | \$0.00 | \$59.98 | |
| | 2.00000 | 07/11/2018 | 07/12/2018 | \$199.98 | \$60.00 | \$0.00 | \$0.00 | \$139.98 | |
| | 1.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| | 1.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$130.00 | \$0.00 | \$0.00 | (\$130.00) | EXPIRATION |
| | 2.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$140.00 | \$0.00 | \$0.00 | (\$140.00) | EXPIRATION |
| | 1.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| | 1.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| | 1.00000 | 07/18/2018 | 07/20/2018 | \$0.00 | \$65.00 | \$0.00 | \$0.00 | (\$65.00) | EXPIRATION |
| | 1.00000 | 07/18/2018 | 07/20/2018 | \$0.00 | \$65.00 | \$0.00 | \$0.00 | (\$65.00) | EXPIRATION |
| Subtotals | 12.00000 | | | \$399.94 | \$840.00 | \$0.00 | \$0.00 | (\$440.06) | |
| CALL IQ 07/20/18 37.5 CUSIP: 8LKPQP1 | 1.00000 | 07/13/2018 | 07/20/2018 | \$0.00 | \$55.00 | \$0.00 | \$0.00 | (\$55.00) | EXPIRATION |
| | 5.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$550.00 | \$0.00 | \$0.00 | (\$550.00) | EXPIRATION |
| | 2.00000 | 07/17/2018 | 07/20/2018 | \$0.00 | \$200.00 | \$0.00 | \$0.00 | (\$200.00) | EXPIRATION |
| | 2.00000 | 07/17/2018 | 07/20/2018 | \$0.00 | \$180.00 | \$0.00 | \$0.00 | (\$180.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$985.00 | \$0.00 | \$0.00 | (\$985.00) | |
| CALL IQ 07/27/18 37.5 CUSIP: 8LKPQW1 | 1.00000 | 07/19/2018 | 07/24/2018 | \$4.98 | \$60.00 | \$0.00 | \$0.00 | (\$55.02) | |
| | 1.00000 | 07/19/2018 | 07/24/2018 | \$4.98 | \$60.00 | \$0.00 | \$0.00 | (\$55.02) | |
| | 4.00000 | 07/19/2018 | 07/24/2018 | \$19.98 | \$240.00 | \$0.00 | \$0.00 | (\$220.02) | |
| | 1.00000 | 07/19/2018 | 07/24/2018 | \$4.98 | \$60.00 | \$0.00 | \$0.00 | (\$55.02) | |
| | 1.00000 | 07/19/2018 | 07/24/2018 | \$4.98 | \$60.00 | \$0.00 | \$0.00 | (\$55.02) | |
| | 1.00000 | 07/19/2018 | 07/24/2018 | \$4.98 | \$60.00 | \$0.00 | \$0.00 | (\$55.02) | |
| | 1.00000 | 07/19/2018 | 07/24/2018 | \$4.98 | \$60.00 | \$0.00 | \$0.00 | (\$55.02) | |
| Subtotals | 10.00000 | | | \$49.86 | \$600.00 | \$0.00 | \$0.00 | (\$550.14) | |
| PUT QCOM 08/03/18 61 CUSIP: 8LKSYW0 | 7.00000 | 07/26/2018 | 08/03/2018 | \$0.00 | \$133.00 | \$0.00 | \$0.00 | (\$133.00) | EXPIRATION |
| | 1.00000 | 07/26/2018 | 08/03/2018 | \$0.00 | \$58.00 | \$0.00 | \$0.00 | (\$58.00) | EXPIRATION |
| | 1.00000 | 07/26/2018 | 08/03/2018 | \$0.00 | \$67.00 | \$0.00 | \$0.00 | (\$67.00) | EXPIRATION |
| | 1.00000 | 07/26/2018 | 08/03/2018 | \$0.00 | \$88.00 | \$0.00 | \$0.00 | (\$88.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$346.00 | \$0.00 | \$0.00 | (\$346.00) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|--|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL SHOP 08/03/18 155 CUSIP: 8LKTQS7 | 1.00000 | 07/30/2018 | 07/31/2018 | \$44.98 | \$360.00 | \$0.00 | \$0.00 | (\$315.02) | |
| CALL SHOP 08/03/18 157.5 CUSIP: 8LKTQS9 | 1.00000 | 07/30/2018 | 07/31/2018 | \$34.98 | \$285.00 | \$0.00 | \$250.02 | \$0.00 | |
| | 1.00000 | 07/30/2018 | 08/03/2018 | \$0.00 | \$535.02 | \$0.00 | \$0.00 | (\$535.02) | EXPIRATION |
| Subtotals | 2.00000 | | | \$34.98 | \$820.02 | \$0.00 | \$250.02 | (\$535.02) | |
| CALL NKTR 08/10/18 56 CUSIP: 8LKZVS5 | 1.00000 | 08/08/2018 | 08/09/2018 | \$104.99 | \$205.00 | \$0.00 | \$0.00 | (\$100.01) | |
| | 1.00000 | 08/08/2018 | 08/09/2018 | \$104.99 | \$205.00 | \$0.00 | \$0.00 | (\$100.01) | |
| Subtotals | 2.00000 | | | \$209.98 | \$410.00 | \$0.00 | \$0.00 | (\$200.02) | |
| PUT SIG 09/07/18 64 CUSIP: 8LLCRD9 | 1.00000 | 08/30/2018 | 08/31/2018 | \$109.99 | \$69.00 | \$0.00 | \$0.00 | \$40.99 | |
| | 2.00000 | 08/30/2018 | 08/31/2018 | \$219.99 | \$200.00 | \$0.00 | \$0.00 | \$19.99 | |
| Subtotals | 3.00000 | | | \$329.98 | \$269.00 | \$0.00 | \$0.00 | \$60.98 | |
| CALL PEP 10/05/18 115 CUSIP: 8LLJZS0 | 5.00000 | 09/28/2018 | 10/05/2018 | \$0.00 | \$90.00 | \$0.00 | \$0.00 | (\$90.00) | EXPIRATION |
| | 2.00000 | 10/01/2018 | 10/05/2018 | \$0.00 | \$36.00 | \$0.00 | \$0.00 | (\$36.00) | EXPIRATION |
| | 5.00000 | 10/01/2018 | 10/05/2018 | \$0.00 | \$90.00 | \$0.00 | \$0.00 | (\$90.00) | EXPIRATION |
| | 2.00000 | 10/01/2018 | 10/05/2018 | \$0.00 | \$34.00 | \$0.00 | \$0.00 | (\$34.00) | EXPIRATION |
| | 1.00000 | 10/01/2018 | 10/05/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 5.00000 | 10/01/2018 | 10/05/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| Subtotals | 20.00000 | | | \$0.00 | \$370.00 | \$0.00 | \$0.00 | (\$370.00) | |
| PUT WDAY 09/07/18 140 CUSIP: 8LLLNC2 | 2.00000 | 09/04/2018 | 09/05/2018 | \$109.99 | \$110.00 | \$0.00 | \$0.00 | (\$0.01) | |
| | 1.00000 | 09/04/2018 | 09/05/2018 | \$54.99 | \$55.00 | \$0.00 | \$0.01 | \$0.00 | |
| | 1.00000 | 09/04/2018 | 09/05/2018 | \$59.99 | \$55.00 | \$0.00 | \$0.00 | \$4.99 | |
| | 1.00000 | 09/04/2018 | 09/05/2018 | \$59.99 | \$55.01 | \$0.00 | \$0.00 | \$4.98 | |
| Subtotals | 5.00000 | | | \$284.96 | \$275.01 | \$0.00 | \$0.01 | \$9.96 | |
| PUT WMT 09/07/18 92 CUSIP: 8LLTMM3 | 5.00000 | 08/16/2018 | 08/22/2018 | \$99.98 | \$100.00 | \$0.00 | \$0.00 | (\$0.02) | |
| | 5.00000 | 08/16/2018 | 08/22/2018 | \$99.99 | \$100.00 | \$0.00 | \$0.00 | (\$0.01) | |
| Subtotals | 10.00000 | | | \$199.97 | \$200.00 | \$0.00 | \$0.00 | (\$0.03) | |

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 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL AMZN 09/07/18 2010 CUSIP: 8LLQFQ5 | 1.00000 | 08/16/2018 | 08/24/2018 | \$201.99 | \$745.00 | \$0.00 | \$0.00 | (\$543.01) | |
| | 1.00000 | 08/21/2018 | 08/24/2018 | \$201.99 | \$280.00 | \$0.00 | \$0.00 | (\$78.01) | |
| | 2.00000 | 08/23/2018 | 08/24/2018 | \$403.99 | \$546.00 | \$0.00 | \$0.00 | (\$142.01) | |
| Subtotals | 4.00000 | | | \$807.97 | \$1,571.00 | \$0.00 | \$0.00 | (\$763.03) | |
| PUT FB 09/07/18 170 CUSIP: 8LLQVK1 | 2.00000 | 08/30/2018 | 08/31/2018 | \$91.98 | \$48.00 | \$0.00 | \$0.00 | \$43.98 | |
| | 3.00000 | 08/30/2018 | 08/31/2018 | \$137.98 | \$72.00 | \$0.00 | \$0.00 | \$65.98 | |
| Subtotals | 5.00000 | | | \$229.96 | \$120.00 | \$0.00 | \$0.00 | \$109.96 | |
| PUT SODA 08/03/18 108 CUSIP: 8LLRSR4 | 1.00000 | 08/02/2018 | 08/03/2018 | \$0.00 | \$95.00 | \$0.00 | \$0.00 | (\$95.00) | EXPIRATION |
| CALL NKTR 08/10/18 56.5 CUSIP: 8LLTXV4 | 2.00000 | 08/08/2018 | 08/09/2018 | \$129.98 | \$390.00 | \$0.00 | \$0.00 | (\$260.02) | |
| PUT NFLX 09/07/18 275 CUSIP: 8LLTYB8 | 2.00000 | 08/15/2018 | 09/05/2018 | \$19.98 | \$172.00 | \$0.00 | \$0.00 | (\$152.02) | |
| PUT GRUB 08/03/18 135 CUSIP: 8LLXLL3 | 1.00000 | 07/26/2018 | 08/01/2018 | \$859.98 | \$340.00 | \$0.00 | \$0.00 | \$519.98 | |
| | 2.00000 | 07/26/2018 | 08/01/2018 | \$1,719.97 | \$540.00 | \$0.00 | \$0.00 | \$1,179.97 | |
| | 1.00000 | 07/26/2018 | 08/01/2018 | \$859.99 | \$340.00 | \$0.00 | \$0.00 | \$519.99 | |
| Subtotals | 4.00000 | | | \$3,439.94 | \$1,220.00 | \$0.00 | \$0.00 | \$2,219.94 | |
| CALL SFIX 07/20/18 36 CUSIP: 8LMGVQ4 | 1.00000 | 07/09/2018 | 07/10/2018 | \$84.99 | \$45.00 | \$0.00 | \$0.00 | \$39.99 | |
| | 1.00000 | 07/09/2018 | 07/10/2018 | \$85.00 | \$45.00 | \$0.00 | \$0.00 | \$40.00 | |
| | 1.00000 | 07/09/2018 | 07/10/2018 | \$85.00 | \$49.00 | \$0.00 | \$0.00 | \$36.00 | |
| | 1.00000 | 07/09/2018 | 07/10/2018 | \$84.99 | \$49.00 | \$0.00 | \$0.00 | \$35.99 | |
| | 1.00000 | 07/09/2018 | 07/10/2018 | \$85.00 | \$45.00 | \$0.00 | \$0.00 | \$40.00 | |
| | 4.00000 | 07/09/2018 | 07/19/2018 | \$79.99 | \$180.00 | \$0.00 | \$0.00 | (\$100.01) | |
| | 1.00000 | 07/10/2018 | 07/19/2018 | \$20.00 | \$75.00 | \$0.00 | \$0.00 | (\$55.00) | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$19.99 | \$35.00 | \$0.00 | \$0.00 | (\$15.01) | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$19.99 | \$25.00 | \$0.00 | \$0.00 | (\$5.01) | |
| | 1.00000 | 07/12/2018 | 07/19/2018 | \$20.00 | \$25.00 | \$0.00 | \$0.00 | (\$5.00) | |
| Subtotals | 13.00000 | | | \$584.95 | \$573.00 | \$0.00 | \$0.00 | \$11.95 | |

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DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

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|---|----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL SFIX 09/21/18 40 CUSIP: 8LMGY7 | 2.00000 | 08/27/2018 | 08/30/2018 | \$259.98 | \$226.00 | \$0.00 | \$0.00 | \$33.98 | |
| PUT SODA 08/17/18 109 CUSIP: 8LMJJV9 | 1.00000 | 08/02/2018 | 08/06/2018 | \$54.98 | \$290.00 | \$0.00 | \$235.02 | \$0.00 | |
| | 1.00000 | 08/02/2018 | 08/06/2018 | \$54.99 | \$365.02 | \$0.00 | \$0.00 | (\$310.03) | |
| | 1.00000 | 08/02/2018 | 08/06/2018 | \$54.98 | \$290.00 | \$0.00 | \$235.02 | \$0.00 | |
| | 1.00000 | 08/02/2018 | 08/06/2018 | \$54.98 | \$290.00 | \$0.00 | \$235.02 | \$0.00 | |
| | 1.00000 | 08/03/2018 | 08/06/2018 | \$54.99 | \$340.02 | \$0.00 | \$0.00 | (\$285.03) | |
| | 1.00000 | 08/03/2018 | 08/06/2018 | \$55.00 | \$330.02 | \$0.00 | \$0.00 | (\$275.02) | |
| Subtotals | 6.00000 | | | \$329.92 | \$1,905.06 | \$0.00 | \$705.06 | (\$870.08) | |
| PUT TLRY 12/21/18 20 CUSIP: 8LMKKT4 | 5.00000 | 10/29/2018 | 11/06/2018 | \$24.98 | \$55.00 | \$0.00 | \$0.00 | (\$30.02) | |
| CALL SQ 09/21/18 95 CUSIP: 8LMLML7 | 2.00000 | 09/05/2018 | 09/11/2018 | \$341.99 | \$274.00 | \$0.00 | \$0.00 | \$67.99 | |
| | 1.00000 | 09/10/2018 | 09/11/2018 | \$170.99 | \$104.00 | \$0.00 | \$0.00 | \$66.99 | |
| Subtotals | 3.00000 | | | \$512.98 | \$378.00 | \$0.00 | \$0.00 | \$134.98 | |
| CALL STZ 10/05/18 225 CUSIP: 8LMMGW7 | 1.00000 | 10/04/2018 | 10/05/2018 | \$59.99 | \$50.00 | \$0.00 | \$0.00 | \$9.99 | |
| | 1.00000 | 10/04/2018 | 10/05/2018 | \$59.99 | \$51.00 | \$0.00 | \$0.00 | \$8.99 | |
| Subtotals | 2.00000 | | | \$119.98 | \$101.00 | \$0.00 | \$0.00 | \$18.98 | |
| CALL STZ 10/05/18 220 CUSIP: 8LMMGX1 | 1.00000 | 10/03/2018 | 10/04/2018 | \$259.98 | \$130.00 | \$0.00 | \$0.00 | \$129.98 | |
| PUT TTD 10/05/18 135 CUSIP: 8LMNCN4 | 1.00000 | 09/25/2018 | 10/01/2018 | \$19.99 | \$55.00 | \$0.00 | \$35.01 | \$0.00 | |
| | 2.00000 | 09/25/2018 | 10/01/2018 | \$49.99 | \$230.01 | \$0.00 | \$180.02 | \$0.00 | |
| | 2.00000 | 09/25/2018 | 10/01/2018 | \$39.99 | \$170.00 | \$0.00 | \$130.01 | \$0.00 | |
| | 1.00000 | 09/26/2018 | 10/01/2018 | \$30.00 | \$55.01 | \$0.00 | \$25.01 | \$0.00 | |
| | 1.00000 | 09/26/2018 | 10/01/2018 | \$29.99 | \$115.01 | \$0.00 | \$85.02 | \$0.00 | |
| | 1.00000 | 09/26/2018 | 10/01/2018 | \$29.98 | \$105.03 | \$0.00 | \$75.05 | \$0.00 | |
| | 2.00000 | 09/26/2018 | 10/01/2018 | \$49.99 | \$40.00 | \$0.00 | \$0.00 | \$9.99 | |
| | 1.00000 | 09/26/2018 | 10/01/2018 | \$29.98 | \$110.01 | \$0.00 | \$80.03 | \$0.00 | |
| | 1.00000 | 09/26/2018 | 10/01/2018 | \$25.00 | \$55.01 | \$0.00 | \$30.01 | \$0.00 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT TTD 10/05/18 135 CUSIP: 8LMNCN4 | 1.00000 | 09/26/2018 | 10/01/2018 | \$29.99 | \$25.00 | \$0.00 | \$0.00 | \$4.99 | |
| | 1.00000 | 09/27/2018 | 10/01/2018 | \$89.98 | \$15.00 | \$0.00 | \$0.00 | \$74.98 | |
| | 1.00000 | 09/27/2018 | 10/01/2018 | \$69.98 | \$40.01 | \$0.00 | \$0.00 | \$29.97 | |
| | 1.00000 | 09/27/2018 | 10/01/2018 | \$89.98 | \$15.00 | \$0.00 | \$0.00 | \$74.98 | |
| | 1.00000 | 09/27/2018 | 10/01/2018 | \$59.98 | \$100.02 | \$0.00 | \$0.00 | (\$40.04) | |
| | 1.00000 | 09/27/2018 | 10/01/2018 | \$39.98 | \$65.07 | \$0.00 | \$0.00 | (\$25.09) | |
| | 1.00000 | 09/27/2018 | 10/01/2018 | \$39.98 | \$90.05 | \$0.00 | \$50.07 | \$0.00 | |
| Subtotals | 19.00000 | | | \$724.78 | \$1,285.23 | \$0.00 | \$690.23 | \$129.78 | |
| CALL AMD 09/21/18 35 CUSIP: 8LMVFW8 | 2.00000 | 09/07/2018 | 09/10/2018 | \$51.99 | \$20.00 | \$0.00 | \$0.00 | \$31.99 | |
| | 4.00000 | 09/07/2018 | 09/10/2018 | \$103.99 | \$40.00 | \$0.00 | \$0.00 | \$63.99 | |
| | 1.00000 | 09/07/2018 | 09/10/2018 | \$25.98 | \$10.00 | \$0.00 | \$0.00 | \$15.98 | |
| | 1.00000 | 09/07/2018 | 09/10/2018 | \$25.99 | \$10.00 | \$0.00 | \$0.00 | \$15.99 | |
| | 2.00000 | 09/07/2018 | 09/10/2018 | \$51.99 | \$20.00 | \$0.00 | \$0.00 | \$31.99 | |
| | 1.00000 | 09/12/2018 | 09/13/2018 | \$127.98 | \$60.00 | \$0.00 | \$0.00 | \$67.98 | |
| | 1.00000 | 09/13/2018 | 09/18/2018 | \$16.00 | \$135.00 | \$0.00 | \$0.00 | (\$119.00) | |
| | 1.00000 | 09/13/2018 | 09/18/2018 | \$15.99 | \$51.00 | \$0.00 | \$35.01 | \$0.00 | |
| | 1.00000 | 09/13/2018 | 09/18/2018 | \$15.99 | \$135.00 | \$0.00 | \$119.01 | \$0.00 | |
| | 2.00000 | 09/13/2018 | 09/18/2018 | \$31.99 | \$204.00 | \$0.00 | \$172.01 | \$0.00 | |
| | 1.00000 | 09/13/2018 | 09/18/2018 | \$16.00 | \$100.00 | \$0.00 | \$84.00 | \$0.00 | |
| | 1.00000 | 09/13/2018 | 09/18/2018 | \$15.99 | \$135.01 | \$0.00 | \$119.02 | \$0.00 | |
| | 1.00000 | 09/14/2018 | 09/18/2018 | \$15.99 | \$94.01 | \$0.00 | \$0.00 | (\$78.02) | |
| | 1.00000 | 09/17/2018 | 09/18/2018 | \$16.00 | \$160.02 | \$0.00 | \$0.00 | (\$144.02) | |
| | 1.00000 | 09/17/2018 | 09/18/2018 | \$15.99 | \$161.01 | \$0.00 | \$0.00 | (\$145.02) | |
| Subtotals | 21.00000 | | | \$547.86 | \$1,335.05 | \$0.00 | \$529.05 | (\$258.14) | |
| CALL CGC 09/21/18 70 CUSIP: 8LMVRH9 | 1.00000 | 09/05/2018 | 09/14/2018 | \$4.99 | \$90.00 | \$0.00 | \$0.00 | (\$85.01) | |
| | 1.00000 | 09/05/2018 | 09/14/2018 | \$5.00 | \$95.00 | \$0.00 | \$0.00 | (\$90.00) | |
| | 1.00000 | 09/05/2018 | 09/14/2018 | \$5.00 | \$100.00 | \$0.00 | \$0.00 | (\$95.00) | |
| | 1.00000 | 09/07/2018 | 09/14/2018 | \$4.99 | \$45.00 | \$0.00 | \$0.00 | (\$40.01) | |
| Subtotals | 4.00000 | | | \$19.98 | \$330.00 | \$0.00 | \$0.00 | (\$310.02) | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT GERN 09/21/18 4.5 CUSIP: 8LYDHY9 | 3.00000 | 08/24/2018 | 08/28/2018 | \$194.98 | \$255.00 | \$0.00 | \$0.00 | (\$60.02) | |
| CALL GRUB 09/21/18 150 CUSIP: 8LYMWWL9 | 1.00000 | 09/12/2018 | 09/14/2018 | \$254.98 | \$100.00 | \$0.00 | \$0.00 | \$154.98 | |
| | 1.00000 | 09/12/2018 | 09/14/2018 | \$224.98 | \$100.00 | \$0.00 | \$0.00 | \$124.98 | |
| | 1.00000 | 09/12/2018 | 09/14/2018 | \$224.98 | \$100.00 | \$0.00 | \$0.00 | \$124.98 | |
| Subtotals | 3.00000 | | | \$704.94 | \$300.00 | \$0.00 | \$0.00 | \$404.94 | |
| CALL NTN 09/21/18 70 CUSIP: 8LYSWT4 | 1.00000 | 08/27/2018 | 09/21/2018 | \$0.00 | \$90.00 | \$0.00 | \$0.00 | (\$90.00) | EXPIRATION |
| | 1.00000 | 08/27/2018 | 09/21/2018 | \$0.00 | \$90.00 | \$0.00 | \$0.00 | (\$90.00) | EXPIRATION |
| Subtotals | 2.00000 | | | \$0.00 | \$180.00 | \$0.00 | \$0.00 | (\$180.00) | |
| CALL ANF 08/31/18 29 CUSIP: 8NBRRN5 | 2.00000 | 08/29/2018 | 08/31/2018 | \$0.00 | \$186.00 | \$0.00 | \$0.00 | (\$186.00) | EXPIRATION |
| CALL BIB 08/31/18 370 CUSIP: 8NBCDB7 | 1.00000 | 07/26/2018 | 08/01/2018 | \$229.98 | \$370.00 | \$0.00 | \$0.00 | (\$140.02) | |
| | 1.00000 | 07/26/2018 | 08/01/2018 | \$229.98 | \$370.00 | \$0.00 | \$0.00 | (\$140.02) | |
| Subtotals | 2.00000 | | | \$459.96 | \$740.00 | \$0.00 | \$0.00 | (\$280.04) | |
| PUT CMG 08/31/18 472.5 CUSIP: 8NBCSG0 | 1.00000 | 08/16/2018 | 08/17/2018 | \$169.98 | \$340.00 | \$0.00 | \$0.00 | (\$170.02) | |
| PUT HAS 07/27/18 106 CUSIP: 8NBFQY8 | 1.00000 | 07/23/2018 | 07/24/2018 | \$217.98 | \$165.00 | \$0.00 | \$0.00 | \$52.98 | |
| CALL HUYA 07/20/18 38.5 CUSIP: 8NBFYF9 | 5.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$400.00 | \$0.00 | \$0.00 | (\$400.00) | EXPIRATION |
| CALL HUYA 07/27/18 37.5 CUSIP: 8NBFYL1 | 1.00000 | 07/19/2018 | 07/20/2018 | \$69.98 | \$115.00 | \$0.00 | \$0.00 | (\$45.02) | |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$69.98 | \$115.00 | \$0.00 | \$0.00 | (\$45.02) | |
| Subtotals | 2.00000 | | | \$139.96 | \$230.00 | \$0.00 | \$0.00 | (\$90.04) | |
| CALL HUYA 07/27/18 39 CUSIP: 8NBFYL7 | 1.00000 | 07/19/2018 | 07/20/2018 | \$34.99 | \$80.00 | \$0.00 | \$45.01 | \$0.00 | |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$34.99 | \$124.01 | \$0.00 | \$0.00 | (\$89.02) | |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$34.99 | \$79.00 | \$0.00 | \$0.00 | (\$44.01) | |
| | 2.00000 | 07/19/2018 | 07/20/2018 | \$69.99 | \$158.00 | \$0.00 | \$0.00 | (\$88.01) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

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Box 2: Type of Gain or Loss - Short-Term

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|---|----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| Subtotals | 5.00000 | | | \$174.96 | \$441.01 | \$0.00 | \$45.01 | (\$221.04) | |
| CALL HUYA 07/27/18 41 CUSIP: 8NBFYM5 | 1.00000 | 07/18/2018 | 07/19/2018 | \$149.98 | \$120.00 | \$0.00 | \$0.00 | \$29.98 | |
| | 3.00000 | 07/18/2018 | 07/19/2018 | \$449.98 | \$360.00 | \$0.00 | \$0.00 | \$89.98 | |
| Subtotals | 4.00000 | | | \$599.96 | \$480.00 | \$0.00 | \$0.00 | \$119.96 | |
| CALL HUYA 07/27/18 41.5 CUSIP: 8NBFYM7 | 2.00000 | 07/19/2018 | 07/20/2018 | \$49.99 | \$160.00 | \$0.00 | \$0.00 | (\$110.01) | |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$24.99 | \$190.04 | \$0.00 | \$0.00 | (\$165.05) | |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$24.98 | \$80.00 | \$0.00 | \$55.02 | \$0.00 | |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$24.98 | \$135.02 | \$0.00 | \$110.04 | \$0.00 | |
| Subtotals | 5.00000 | | | \$124.94 | \$565.06 | \$0.00 | \$165.06 | (\$275.06) | |
| PUT MU 08/31/18 45 CUSIP: 8NBHJS0 | 2.00000 | 08/15/2018 | 08/31/2018 | \$0.00 | \$136.00 | \$0.00 | \$0.00 | (\$136.00) | EXPIRATION |
| CALL SPLK 08/31/18 111 CUSIP: 8NBJZD3 | 1.00000 | 08/23/2018 | 08/24/2018 | \$1,189.97 | \$330.00 | \$0.00 | \$0.00 | \$859.97 | |
| CALL AMZN 08/31/18 1970 CUSIP: 8NBMFT4 | 1.00000 | 08/10/2018 | 08/13/2018 | \$1,529.97 | \$880.00 | \$0.00 | \$0.00 | \$649.97 | |
| CALL AMZN 08/31/18 2010 CUSIP: 8NBMFV2 | 2.00000 | 08/09/2018 | 08/13/2018 | \$1,499.97 | \$1,150.00 | \$0.00 | \$0.00 | \$349.97 | |
| | 1.00000 | 08/10/2018 | 08/13/2018 | \$749.99 | \$450.00 | \$0.00 | \$0.00 | \$299.99 | |
| | 1.00000 | 08/13/2018 | 08/21/2018 | \$99.99 | \$525.00 | \$0.00 | \$425.01 | \$0.00 | |
| | 1.00000 | 08/13/2018 | 08/21/2018 | \$99.99 | \$595.00 | \$0.00 | \$495.01 | \$0.00 | |
| | 1.00000 | 08/13/2018 | 08/21/2018 | \$81.99 | \$1,060.01 | \$0.00 | \$978.02 | \$0.00 | |
| | 1.00000 | 08/16/2018 | 08/21/2018 | \$81.99 | \$795.01 | \$0.00 | \$713.02 | \$0.00 | |
| | 1.00000 | 08/17/2018 | 08/21/2018 | \$82.99 | \$1,171.02 | \$0.00 | \$0.00 | (\$1,088.03) | |
| | 1.00000 | 08/20/2018 | 08/21/2018 | \$82.99 | \$852.02 | \$0.00 | \$0.00 | (\$769.03) | |
| Subtotals | 9.00000 | | | \$2,779.90 | \$6,598.06 | \$0.00 | \$2,611.06 | (\$1,207.10) | |
| CALL AMZN 08/31/18 2040 CUSIP: 8NBMFV8 | 1.00000 | 08/14/2018 | 08/20/2018 | \$64.00 | \$410.00 | \$0.00 | \$0.00 | (\$346.00) | |
| | 1.00000 | 08/14/2018 | 08/20/2018 | \$63.99 | \$400.00 | \$0.00 | \$0.00 | (\$336.01) | |
| | 1.00000 | 08/15/2018 | 08/20/2018 | \$63.99 | \$395.00 | \$0.00 | \$0.00 | (\$331.01) | |

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 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL AMZN 08/31/18 2040 CUSIP: 8NBMFV8 | 1.00000 | 08/16/2018 | 08/20/2018 | \$64.00 | \$260.00 | \$0.00 | \$0.00 | (\$196.00) | |
| Subtotals | 4.00000 | | | \$255.98 | \$1,465.00 | \$0.00 | \$0.00 | (\$1,209.02) | |
| CALL HUYA 07/20/18 40.5 CUSIP: 8NBND3 | 1.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| | 1.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| | 3.00000 | 07/16/2018 | 07/20/2018 | \$0.00 | \$180.00 | \$0.00 | \$0.00 | (\$180.00) | EXPIRATION |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$55.00 | \$0.00 | \$0.00 | (\$55.00) | EXPIRATION |
| | 4.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$220.00 | \$0.00 | \$0.00 | (\$220.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$575.00 | \$0.00 | \$0.00 | (\$575.00) | |
| CALL TLRD 09/21/18 28 CUSIP: 8NBNSN1 | 1.00000 | 09/11/2018 | 09/13/2018 | \$102.98 | \$30.00 | \$0.00 | \$0.00 | \$72.98 | |
| | 1.00000 | 09/11/2018 | 09/13/2018 | \$105.98 | \$30.00 | \$0.00 | \$0.00 | \$75.98 | |
| | 1.00000 | 09/11/2018 | 09/13/2018 | \$90.98 | \$30.00 | \$0.00 | \$0.00 | \$60.98 | |
| | 1.00000 | 09/11/2018 | 09/13/2018 | \$105.98 | \$30.00 | \$0.00 | \$0.00 | \$75.98 | |
| | 1.00000 | 09/11/2018 | 09/13/2018 | \$89.98 | \$30.00 | \$0.00 | \$0.00 | \$59.98 | |
| Subtotals | 5.00000 | | | \$495.90 | \$150.00 | \$0.00 | \$0.00 | \$345.90 | |
| CALL WMT 08/31/18 100 CUSIP: 8NBNTM9 | 5.00000 | 08/15/2018 | 08/16/2018 | \$519.98 | \$70.00 | \$0.00 | \$0.00 | \$449.98 | |
| | 5.00000 | 08/15/2018 | 08/16/2018 | \$549.98 | \$75.00 | \$0.00 | \$0.00 | \$474.98 | |
| | 5.00000 | 08/15/2018 | 08/16/2018 | \$544.98 | \$75.00 | \$0.00 | \$0.00 | \$469.98 | |
| Subtotals | 15.00000 | | | \$1,614.94 | \$220.00 | \$0.00 | \$0.00 | \$1,394.94 | |
| CALL BHC 10/19/18 32 CUSIP: 8NBXZ3 | 5.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| CALL BHC 10/19/18 35 CUSIP: 8NBXZ9 | 9.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$27.00 | \$0.00 | \$0.00 | (\$27.00) | EXPIRATION |
| | 1.00000 | 10/01/2018 | 10/19/2018 | \$0.00 | \$3.00 | \$0.00 | \$0.00 | (\$3.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | |
| PUT MU 09/28/18 40 CUSIP: 8NBQRY8 | 2.00000 | 09/20/2018 | 09/28/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| | 1.00000 | 09/20/2018 | 09/28/2018 | \$0.00 | \$41.00 | \$0.00 | \$0.00 | (\$41.00) | EXPIRATION |
| | 3.00000 | 09/20/2018 | 09/28/2018 | \$0.00 | \$120.00 | \$0.00 | \$0.00 | (\$120.00) | EXPIRATION |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT MU 09/28/18 40 CUSIP: 8NBQRY8 | 1.00000 | 09/20/2018 | 09/28/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| | 2.00000 | 09/20/2018 | 09/28/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| | 1.00000 | 09/20/2018 | 09/28/2018 | \$0.00 | \$40.00 | \$0.00 | \$0.00 | (\$40.00) | EXPIRATION |
| Subtotals | 10.00000 | | | \$0.00 | \$401.00 | \$0.00 | \$0.00 | (\$401.00) | |
| CALL TTD 09/21/18 150 CUSIP: 8NBQXD7 | 1.00000 | 08/31/2018 | 09/04/2018 | \$369.98 | \$245.00 | \$0.00 | \$0.00 | \$124.98 | |
| | 1.00000 | 08/31/2018 | 09/04/2018 | \$369.98 | \$245.00 | \$0.00 | \$0.00 | \$124.98 | |
| | 1.00000 | 09/05/2018 | 09/07/2018 | \$349.98 | \$245.00 | \$0.00 | \$0.00 | \$104.98 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$309.98 | \$215.00 | \$0.00 | \$0.00 | \$94.98 | |
| | 1.00000 | 09/07/2018 | 09/11/2018 | \$309.98 | \$210.00 | \$0.00 | \$0.00 | \$99.98 | |
| | 1.00000 | 09/10/2018 | 09/11/2018 | \$359.98 | \$160.00 | \$0.00 | \$0.00 | \$199.98 | |
| | 1.00000 | 09/12/2018 | 09/13/2018 | \$469.98 | \$210.00 | \$0.00 | \$0.00 | \$259.98 | |
| | 1.00000 | 09/12/2018 | 09/13/2018 | \$459.98 | \$162.00 | \$0.00 | \$0.00 | \$297.98 | |
| | 1.00000 | 09/12/2018 | 09/13/2018 | \$429.98 | \$170.00 | \$0.00 | \$0.00 | \$259.98 | |
| | 1.00000 | 09/12/2018 | 09/13/2018 | \$469.99 | \$235.00 | \$0.00 | \$0.00 | \$234.99 | |
| | 1.00000 | 09/14/2018 | 09/18/2018 | \$109.98 | \$190.00 | \$0.00 | \$80.02 | \$0.00 | |
| | 1.00000 | 09/14/2018 | 09/18/2018 | \$109.99 | \$185.00 | \$0.00 | \$75.01 | \$0.00 | |
| | 1.00000 | 09/14/2018 | 09/18/2018 | \$109.99 | \$265.02 | \$0.00 | \$155.03 | \$0.00 | |
| | 1.00000 | 09/14/2018 | 09/20/2018 | \$10.00 | \$250.01 | \$0.00 | \$0.00 | (\$240.01) | |
| | 1.00000 | 09/14/2018 | 09/20/2018 | \$10.00 | \$335.03 | \$0.00 | \$0.00 | (\$325.03) | |
| | 1.00000 | 09/17/2018 | 09/20/2018 | \$10.00 | \$135.00 | \$0.00 | \$0.00 | (\$125.00) | |
| | 1.00000 | 09/17/2018 | 09/20/2018 | \$10.00 | \$100.00 | \$0.00 | \$0.00 | (\$90.00) | |
| | 2.00000 | 09/17/2018 | 09/20/2018 | \$19.99 | \$130.00 | \$0.00 | \$0.00 | (\$110.01) | |
| | 1.00000 | 09/17/2018 | 09/20/2018 | \$10.00 | \$135.00 | \$0.00 | \$0.00 | (\$125.00) | |
| | 1.00000 | 09/18/2018 | 09/20/2018 | \$10.00 | \$70.00 | \$0.00 | \$0.00 | (\$60.00) | |
| | 5.00000 | 09/19/2018 | 09/20/2018 | \$49.97 | \$135.00 | \$0.00 | \$0.00 | (\$85.03) | |
| Subtotals | 26.00000 | | | \$4,359.73 | \$4,027.06 | \$0.00 | \$310.06 | \$642.73 | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL TTD 09/28/18 150 CUSIP: 8NBQXG1 | 1.00000 | 09/19/2018 | 09/25/2018 | \$54.99 | \$170.00 | \$0.00 | \$0.00 | (\$115.01) | |
| | 2.00000 | 09/20/2018 | 09/25/2018 | \$109.99 | \$210.00 | \$0.00 | \$0.00 | (\$100.01) | |
| Subtotals | 3.00000 | | | \$164.98 | \$380.00 | \$0.00 | \$0.00 | (\$215.02) | |
| CALL AMD 09/21/18 27 CUSIP: 8NBRDH1 | 5.00000 | 08/24/2018 | 08/27/2018 | \$1,029.97 | \$150.00 | \$0.00 | \$0.00 | \$879.97 | |
| | 1.00000 | 08/28/2018 | 09/04/2018 | \$189.98 | \$85.00 | \$0.00 | \$0.00 | \$104.98 | |
| | 1.00000 | 08/28/2018 | 09/04/2018 | \$189.98 | \$86.00 | \$0.00 | \$0.00 | \$103.98 | |
| | 1.00000 | 08/28/2018 | 09/04/2018 | \$196.99 | \$75.00 | \$0.00 | \$0.00 | \$121.99 | |
| | 2.00000 | 08/28/2018 | 09/04/2018 | \$393.99 | \$218.00 | \$0.00 | \$0.00 | \$175.99 | |
| | 2.00000 | 08/28/2018 | 09/04/2018 | \$449.98 | \$240.00 | \$0.00 | \$0.00 | \$209.98 | |
| | 3.00000 | 08/29/2018 | 09/04/2018 | \$599.98 | \$231.00 | \$0.00 | \$0.00 | \$368.98 | |
| Subtotals | 15.00000 | | | \$3,050.87 | \$1,085.00 | \$0.00 | \$0.00 | \$1,965.87 | |
| CALL AMD 09/21/18 30 CUSIP: 8NBRDH7 | 2.00000 | 09/07/2018 | 09/10/2018 | \$259.98 | \$120.00 | \$0.00 | \$0.00 | \$139.98 | |
| | 1.00000 | 09/07/2018 | 09/10/2018 | \$143.98 | \$56.00 | \$0.00 | \$0.00 | \$87.98 | |
| | 1.00000 | 09/07/2018 | 09/10/2018 | \$133.99 | \$56.00 | \$0.00 | \$0.00 | \$77.99 | |
| | 1.00000 | 09/07/2018 | 09/10/2018 | \$133.99 | \$57.00 | \$0.00 | \$0.00 | \$76.99 | |
| Subtotals | 5.00000 | | | \$671.94 | \$289.00 | \$0.00 | \$0.00 | \$382.94 | |
| CALL TNDM 09/21/18 40 CUSIP: 8NBTQC6 | 1.00000 | 08/24/2018 | 08/27/2018 | \$329.99 | \$225.00 | \$0.00 | \$0.00 | \$104.99 | |
| | 1.00000 | 08/24/2018 | 08/27/2018 | \$329.99 | \$225.00 | \$0.00 | \$0.00 | \$104.99 | |
| Subtotals | 2.00000 | | | \$659.98 | \$450.00 | \$0.00 | \$0.00 | \$209.98 | |
| CALL TNDM 09/21/18 45 CUSIP: 8NBTQC8 | 1.00000 | 08/24/2018 | 08/27/2018 | \$139.98 | \$90.00 | \$0.00 | \$0.00 | \$49.98 | |
| | 1.00000 | 08/24/2018 | 08/27/2018 | \$139.98 | \$90.00 | \$0.00 | \$0.00 | \$49.98 | |
| Subtotals | 2.00000 | | | \$279.96 | \$180.00 | \$0.00 | \$0.00 | \$99.96 | |
| PUT BBY 09/28/18 17 CUSIP: 8NBVYX3 | 1.00000 | 09/26/2018 | 09/27/2018 | \$254.98 | \$26.00 | \$0.00 | \$0.00 | \$228.98 | |
| | 2.00000 | 09/26/2018 | 09/27/2018 | \$389.99 | \$52.00 | \$0.00 | \$0.00 | \$337.99 | |
| | 1.00000 | 09/26/2018 | 09/27/2018 | \$195.00 | \$26.00 | \$0.00 | \$0.00 | \$169.00 | |
| | 1.00000 | 09/26/2018 | 09/27/2018 | \$203.98 | \$26.00 | \$0.00 | \$0.00 | \$177.98 | |
| | 1.00000 | 09/26/2018 | 09/27/2018 | \$194.99 | \$27.00 | \$0.00 | \$0.00 | \$167.99 | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| PUT BBY 09/28/18 17 CUSIP: 8NBVYX3 | 1.00000 | 09/26/2018 | 09/27/2018 | \$214.98 | \$26.00 | \$0.00 | \$0.00 | \$188.98 | |
| | 1.00000 | 09/26/2018 | 09/27/2018 | \$194.99 | \$26.00 | \$0.00 | \$0.00 | \$168.99 | |
| | 2.00000 | 09/26/2018 | 09/27/2018 | \$449.98 | \$52.00 | \$0.00 | \$0.00 | \$397.98 | |
| Subtotals | 10.00000 | | | \$2,098.89 | \$261.00 | \$0.00 | \$0.00 | \$1,837.89 | |
| CALL AMZN 09/28/18 2010 CUSIP: 8NCFPQ7 | 1.00000 | 09/19/2018 | 09/25/2018 | \$274.98 | \$635.00 | \$0.00 | \$360.02 | \$0.00 | |
| | 1.00000 | 09/19/2018 | 09/25/2018 | \$275.98 | \$985.02 | \$0.00 | \$709.04 | \$0.00 | |
| | 1.00000 | 09/20/2018 | 09/25/2018 | \$274.98 | \$1,314.04 | \$0.00 | \$1,039.06 | \$0.00 | |
| | 1.00000 | 09/21/2018 | 09/25/2018 | \$152.00 | \$1,312.08 | \$0.00 | \$0.00 | (\$1,160.08) | |
| | 1.00000 | 09/21/2018 | 09/25/2018 | \$150.98 | \$1,259.06 | \$0.00 | \$1,108.08 | \$0.00 | |
| | 1.00000 | 09/24/2018 | 09/25/2018 | \$151.99 | \$131.00 | \$0.00 | \$0.00 | \$20.99 | |
| | 1.00000 | 09/24/2018 | 09/25/2018 | \$152.00 | \$169.00 | \$0.00 | \$0.00 | (\$17.00) | |
| | 1.00000 | 09/24/2018 | 09/25/2018 | \$151.99 | \$165.00 | \$0.00 | \$0.00 | (\$13.01) | |
| Subtotals | 8.00000 | | | \$1,584.90 | \$5,970.20 | \$0.00 | \$3,216.20 | (\$1,169.10) | |
| CALL TGT 09/28/18 100 CUSIP: 8NCGTM7 | 20.00000 | 09/07/2018 | 09/28/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| CALL WDAY 09/14/18 155 CUSIP: 8NCGXR5 | 2.00000 | 09/05/2018 | 09/11/2018 | \$29.99 | \$100.00 | \$0.00 | \$0.00 | (\$70.01) | |
| | 1.00000 | 09/05/2018 | 09/11/2018 | \$14.99 | \$30.00 | \$0.00 | \$0.00 | (\$15.01) | |
| Subtotals | 3.00000 | | | \$44.98 | \$130.00 | \$0.00 | \$0.00 | (\$85.02) | |
| PUT STZ 10/12/18 210 CUSIP: 8NCMHP1 | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$50.00 | \$0.00 | \$0.00 | (\$50.00) | EXPIRATION |
| | 2.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/12/2018 | \$0.00 | \$105.00 | \$0.00 | \$0.00 | (\$105.00) | EXPIRATION |
| | 1.00000 | 10/05/2018 | 10/12/2018 | \$0.00 | \$25.00 | \$0.00 | \$0.00 | (\$25.00) | EXPIRATION |
| | 1.00000 | 10/05/2018 | 10/12/2018 | \$0.00 | \$30.00 | \$0.00 | \$0.00 | (\$30.00) | EXPIRATION |
| Subtotals | 6.00000 | | | \$0.00 | \$310.00 | \$0.00 | \$0.00 | (\$310.00) | |
| CALL TTD 10/12/18 160 CUSIP: 8NCMXH0 | 1.00000 | 10/01/2018 | 10/12/2018 | \$0.00 | \$95.00 | \$0.00 | \$0.00 | (\$95.00) | EXPIRATION |
| | 1.00000 | 10/01/2018 | 10/12/2018 | \$0.00 | \$70.00 | \$0.00 | \$0.00 | (\$70.00) | EXPIRATION |
| | 2.00000 | 10/02/2018 | 10/12/2018 | \$0.00 | \$78.00 | \$0.00 | \$0.00 | (\$78.00) | EXPIRATION |
| | 3.00000 | 10/02/2018 | 10/12/2018 | \$0.00 | \$105.00 | \$0.00 | \$0.00 | (\$105.00) | EXPIRATION |

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 Account Name: TILAK MUVVA
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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

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|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| Subtotals | 7.00000 | | | \$0.00 | \$348.00 | \$0.00 | \$0.00 | (\$348.00) | |
| CALL TLRY 09/21/18 100 CUSIP: 8NCQMJ5 | 1.00000 | 09/10/2018 | 09/11/2018 | \$389.98 | \$250.00 | \$0.00 | \$0.00 | \$139.98 | |
| CALL AMD 10/12/18 35 CUSIP: 8NCQTT6 | 2.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| | 3.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$99.00 | \$0.00 | \$0.00 | (\$99.00) | EXPIRATION |
| | 1.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$33.00 | \$0.00 | \$0.00 | (\$33.00) | EXPIRATION |
| | 3.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$96.00 | \$0.00 | \$0.00 | (\$96.00) | EXPIRATION |
| | 2.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$74.00 | \$0.00 | \$0.00 | (\$74.00) | EXPIRATION |
| | 1.00000 | 09/28/2018 | 10/12/2018 | \$0.00 | \$33.00 | \$0.00 | \$0.00 | (\$33.00) | EXPIRATION |
| | 2.00000 | 10/01/2018 | 10/12/2018 | \$0.00 | \$62.00 | \$0.00 | \$0.00 | (\$62.00) | EXPIRATION |
| | 1.00000 | 10/01/2018 | 10/12/2018 | \$0.00 | \$31.00 | \$0.00 | \$0.00 | (\$31.00) | EXPIRATION |
| | 5.00000 | 10/02/2018 | 10/12/2018 | \$0.00 | \$75.00 | \$0.00 | \$0.00 | (\$75.00) | EXPIRATION |
| Subtotals | 20.00000 | | | \$0.00 | \$583.00 | \$0.00 | \$0.00 | (\$583.00) | |
| CALL AMD 10/26/18 30 CUSIP: 8NCTZK8 | 4.00000 | 10/24/2018 | 10/26/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| | 3.00000 | 10/24/2018 | 10/26/2018 | \$0.00 | \$45.00 | \$0.00 | \$0.00 | (\$45.00) | EXPIRATION |
| | 6.00000 | 10/24/2018 | 10/26/2018 | \$0.00 | \$90.00 | \$0.00 | \$0.00 | (\$90.00) | EXPIRATION |
| Subtotals | 13.00000 | | | \$0.00 | \$195.00 | \$0.00 | \$0.00 | (\$195.00) | |
| CALL IBM 10/26/18 160 CUSIP: 8NCYBL9 | 10.00000 | 10/15/2018 | 10/26/2018 | \$0.00 | \$80.00 | \$0.00 | \$0.00 | (\$80.00) | EXPIRATION |
| CALL PYPL 10/26/18 95 CUSIP: 8NCZWY3 | 1.00000 | 09/28/2018 | 10/26/2018 | \$0.00 | \$82.00 | \$0.00 | \$0.00 | (\$82.00) | EXPIRATION |
| | 3.00000 | 10/04/2018 | 10/26/2018 | \$0.00 | \$102.00 | \$0.00 | \$0.00 | (\$102.00) | EXPIRATION |
| | 1.00000 | 10/12/2018 | 10/26/2018 | \$0.00 | \$10.00 | \$0.00 | \$0.00 | (\$10.00) | EXPIRATION |
| Subtotals | 5.00000 | | | \$0.00 | \$194.00 | \$0.00 | \$0.00 | (\$194.00) | |
| CALL TLRY 09/21/18 130 CUSIP: 8NDBYN7 | 1.00000 | 09/13/2018 | 09/14/2018 | \$175.99 | \$560.00 | \$0.00 | \$0.00 | (\$384.01) | |
| | 1.00000 | 09/13/2018 | 09/14/2018 | \$175.99 | \$660.00 | \$0.00 | \$0.00 | (\$484.01) | |
| Subtotals | 2.00000 | | | \$351.98 | \$1,220.00 | \$0.00 | \$0.00 | (\$868.02) | |

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Account No: 5SC85300
Account Name: TILAK MUVVA
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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH
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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

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| Security Description CUSIP (Box 1a) | Quantity Sold | Date Acquired (Box 1b) | Date Sold or Disposed (Box 1c) | Proceeds (Box 1d) | Cost or Other Basis (Box 1e) | Accrued Market Discount (Box 1f) | Wash Sale Loss Disallowed (Box 1g) | Gain/Loss Amount | Additional Information |
|---|-----------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL TLRV 09/21/18 115 CUSIP: 8NDBYP3 | 1.00000 | 09/12/2018 | 09/13/2018 | \$869.97 | \$390.00 | \$0.00 | \$0.00 | \$479.97 | |
| Subtotals | 2.00000 | | | \$1,759.94 | \$810.00 | \$0.00 | \$0.00 | \$949.94 | |
| CALL TLRV 09/28/18 140 CUSIP: 8NDCBL1 | 1.00000 | 09/17/2018 | 09/18/2018 | \$1,049.97 | \$450.00 | \$0.00 | \$0.00 | \$599.97 | |
| Subtotals | 2.00000 | | | \$2,199.94 | \$873.00 | \$0.00 | \$0.00 | \$1,326.94 | |
| PUT TLRV 10/26/18 100 CUSIP: 8NDCCP6 | 5.00000 | 10/16/2018 | 10/22/2018 | \$199.98 | \$700.00 | \$0.00 | \$300.01 | (\$200.01) | |
| | 1.00000 | 10/17/2018 | 10/26/2018 | \$0.00 | \$195.01 | \$0.00 | \$0.00 | (\$195.01) | EXPIRATION |
| | 1.00000 | 10/17/2018 | 10/26/2018 | \$0.00 | \$205.00 | \$0.00 | \$0.00 | (\$205.00) | EXPIRATION |
| | 1.00000 | 10/17/2018 | 10/26/2018 | \$0.00 | \$155.00 | \$0.00 | \$0.00 | (\$155.00) | EXPIRATION |
| Subtotals | 8.00000 | | | \$199.98 | \$1,255.01 | \$0.00 | \$300.01 | (\$755.02) | |
| CALL AMD 09/21/18 40 CUSIP: 8NDDNZ8 | 5.00000 | 09/13/2018 | 09/21/2018 | \$0.00 | \$65.00 | \$0.00 | \$0.00 | (\$65.00) | EXPIRATION |
| | 5.00000 | 09/13/2018 | 09/21/2018 | \$0.00 | \$125.00 | \$0.00 | \$0.00 | (\$125.00) | EXPIRATION |
| | 2.00000 | 09/13/2018 | 09/21/2018 | \$0.00 | \$52.00 | \$0.00 | \$0.00 | (\$52.00) | EXPIRATION |
| | 3.00000 | 09/13/2018 | 09/21/2018 | \$0.00 | \$78.00 | \$0.00 | \$0.00 | (\$78.00) | EXPIRATION |
| | 5.00000 | 09/14/2018 | 09/21/2018 | \$0.00 | \$75.00 | \$0.00 | \$0.00 | (\$75.00) | EXPIRATION |
| Subtotals | 20.00000 | | | \$0.00 | \$395.00 | \$0.00 | \$0.00 | (\$395.00) | |
| CALL AMRN 10/19/18 25 CUSIP: 8NFDGC6 | 1.00000 | 10/04/2018 | 10/19/2018 | \$0.00 | \$54.00 | \$0.00 | \$0.00 | (\$54.00) | EXPIRATION |
| | 1.00000 | 10/04/2018 | 10/19/2018 | \$0.00 | \$62.00 | \$0.00 | \$0.00 | (\$62.00) | EXPIRATION |
| | 1.00000 | 10/05/2018 | 10/19/2018 | \$0.00 | \$60.00 | \$0.00 | \$0.00 | (\$60.00) | EXPIRATION |
| | 1.00000 | 10/08/2018 | 10/19/2018 | \$0.00 | \$55.00 | \$0.00 | \$0.00 | (\$55.00) | EXPIRATION |
| Subtotals | 4.00000 | | | \$0.00 | \$231.00 | \$0.00 | \$0.00 | (\$231.00) | |
| CALL AMRN 11/16/18 39 CUSIP: 8NFFQW7 | 2.00000 | 10/10/2018 | 10/15/2018 | \$87.98 | \$60.00 | \$0.00 | \$0.00 | \$27.98 | |
| PUT ADBE 09/21/18 255 CUSIP: 8X09331 | 1.00000 | 09/12/2018 | 09/17/2018 | \$14.99 | \$207.00 | \$0.00 | \$0.00 | (\$192.01) | |
| | 1.00000 | 09/13/2018 | 09/17/2018 | \$14.99 | \$162.00 | \$0.00 | \$0.00 | (\$147.01) | |
| Subtotals | 2.00000 | | | \$29.98 | \$369.00 | \$0.00 | \$0.00 | (\$339.02) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| CALL IQ 07/20/18 35 CUSIP: 8X15743 | 1.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 5.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$240.00 | \$0.00 | \$0.00 | (\$240.00) | EXPIRATION |
| | 5.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$100.00 | \$0.00 | \$0.00 | (\$100.00) | EXPIRATION |
| | 5.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$240.00 | \$0.00 | \$0.00 | (\$240.00) | EXPIRATION |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| | 1.00000 | 07/19/2018 | 07/20/2018 | \$0.00 | \$20.00 | \$0.00 | \$0.00 | (\$20.00) | EXPIRATION |
| Subtotals | 20.00000 | | | \$0.00 | \$680.00 | \$0.00 | \$0.00 | (\$680.00) | |
| PUT INNT 08/17/18 5 CUSIP: 8Y04578 | 5.00000 | 07/25/2018 | 07/31/2018 | \$299.98 | \$300.00 | \$0.00 | \$0.00 | (\$0.02) | |
| | 2.00000 | 07/25/2018 | 07/31/2018 | \$119.99 | \$90.00 | \$0.00 | \$0.00 | \$29.99 | |
| | 1.00000 | 07/25/2018 | 07/31/2018 | \$60.00 | \$45.00 | \$0.00 | \$0.00 | \$15.00 | |
| Subtotals | 8.00000 | | | \$479.97 | \$435.00 | \$0.00 | \$0.00 | \$44.97 | |
| U S GLOBAL INVESTORS INC-CL CUSIP: 902952100 | 199.00000 | 01/04/2018 | 01/05/2018 | \$1,026.99 | \$931.12 | \$0.00 | \$0.00 | \$95.87 | |
| | 49.00000 | 01/04/2018 | 01/05/2018 | \$252.88 | \$228.83 | \$0.00 | \$0.00 | \$24.05 | |
| | 1.00000 | 01/04/2018 | 01/05/2018 | \$5.16 | \$7.17 | \$0.00 | \$2.01 | \$0.00 | |
| | 1.00000 | 01/04/2018 | 01/17/2018 | \$3.55 | \$6.69 | \$0.00 | \$0.00 | (\$3.14) | |
| Subtotals | 250.00000 | | | \$1,288.58 | \$1,173.81 | \$0.00 | \$2.01 | \$116.78 | |
| VTV THERAPEUTICS INC CLASS A COM CUSIP: 918385105 | 1.00000 | 12/28/2017 | 01/02/2018 | \$5.48 | \$5.55 | \$0.00 | \$0.00 | (\$0.07) | |
| | 12.00000 | 12/29/2017 | 01/02/2018 | \$65.76 | \$71.39 | \$0.00 | \$0.00 | (\$5.63) | |
| | 45.00000 | 12/29/2017 | 01/02/2018 | \$246.59 | \$287.51 | \$0.00 | \$0.00 | (\$40.92) | |
| | 3.00000 | 12/29/2017 | 01/02/2018 | \$16.44 | \$20.07 | \$0.00 | \$0.00 | (\$3.63) | |
| Subtotals | 61.00000 | | | \$334.27 | \$384.52 | \$0.00 | \$0.00 | (\$50.25) | |
| WPCS INTERNATIONAL INC COM CUSIP: 92931L401 | 129.00000 | 01/12/2018 | 01/17/2018 | \$143.21 | \$194.79 | \$0.00 | \$0.00 | (\$51.58) | |
| | 100.00000 | 01/12/2018 | 01/17/2018 | \$111.01 | \$138.00 | \$0.00 | \$0.00 | (\$26.99) | |
| | 5.00000 | 01/12/2018 | 01/17/2018 | \$5.55 | \$5.85 | \$0.00 | \$0.06 | (\$0.24) | |
| | 1.00000 | 01/12/2018 | 01/17/2018 | \$1.03 | \$1.57 | \$0.00 | \$0.00 | (\$0.54) | |
| Subtotals | 235.00000 | | | \$260.80 | \$340.21 | \$0.00 | \$0.06 | (\$79.35) | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| XENETIC BIOSCIENCES INC | 1.00000 | 06/26/2018 | 06/29/2018 | \$4.30 | \$7.84 | \$0.00 | \$3.54 | \$0.00 | |
| COM NEW | 39.00000 | 06/26/2018 | 06/29/2018 | \$167.69 | \$305.77 | \$0.00 | \$138.08 | \$0.00 | |
| CUSIP: 984015206 | 30.00000 | 06/26/2018 | 06/29/2018 | \$128.99 | \$185.40 | \$0.00 | \$56.41 | \$0.00 | |
| | 10.00000 | 06/26/2018 | 06/29/2018 | \$43.00 | \$78.50 | \$0.00 | \$35.50 | \$0.00 | |
| | 70.00000 | 06/26/2018 | 06/29/2018 | \$300.98 | \$432.60 | \$0.00 | \$131.62 | \$0.00 | |
| | 10.00000 | 06/27/2018 | 06/29/2018 | \$43.00 | \$76.31 | \$0.00 | \$33.31 | \$0.00 | |
| | 10.00000 | 06/27/2018 | 06/29/2018 | \$43.00 | \$66.30 | \$0.00 | \$23.30 | \$0.00 | |
| | 10.00000 | 06/27/2018 | 06/29/2018 | \$43.50 | \$66.30 | \$0.00 | \$22.80 | \$0.00 | |
| | 10.00000 | 06/28/2018 | 06/29/2018 | \$43.50 | \$87.60 | \$0.00 | \$44.10 | \$0.00 | |
| | 10.00000 | 06/28/2018 | 06/29/2018 | \$43.00 | \$93.40 | \$0.00 | \$0.00 | (\$50.40) | |
| | 10.00000 | 06/28/2018 | 06/29/2018 | \$43.00 | \$74.90 | \$0.00 | \$3.19 | (\$28.71) | |
| | 1.00000 | 06/28/2018 | 06/29/2018 | \$4.35 | \$8.75 | \$0.00 | \$4.40 | \$0.00 | |
| | 10.00000 | 06/28/2018 | 06/29/2018 | \$43.50 | \$75.40 | \$0.00 | \$31.90 | \$0.00 | |
| | 10.00000 | 06/28/2018 | 06/29/2018 | \$43.00 | \$81.20 | \$0.00 | \$0.00 | (\$38.20) | |
| | 49.00000 | 06/28/2018 | 06/29/2018 | \$210.69 | \$228.34 | \$0.00 | \$0.00 | (\$17.65) | |
| | 100.00000 | 06/28/2018 | 06/29/2018 | \$429.97 | \$470.00 | \$0.00 | \$0.00 | (\$40.03) | |
| | 1.00000 | 06/28/2018 | 06/29/2018 | \$4.08 | \$7.85 | \$0.00 | \$0.00 | (\$3.77) | |
| | 10.00000 | 06/28/2018 | 06/29/2018 | \$43.00 | \$91.21 | \$0.00 | \$0.00 | (\$48.21) | |
| | 39.00000 | 06/28/2018 | 06/29/2018 | \$167.69 | \$363.90 | \$0.00 | \$0.00 | (\$196.21) | |
| | 20.00000 | 06/28/2018 | 06/29/2018 | \$86.00 | \$153.42 | \$0.00 | \$0.00 | (\$67.42) | |
| | 10.00000 | 06/28/2018 | 06/29/2018 | \$43.00 | \$49.30 | \$0.00 | \$0.00 | (\$6.30) | |
| | 39.00000 | 06/28/2018 | 06/29/2018 | \$169.64 | \$341.27 | \$0.00 | \$171.63 | \$0.00 | |
| | 10.00000 | 06/28/2018 | 06/29/2018 | \$43.50 | \$85.41 | \$0.00 | \$41.91 | \$0.00 | |
| | 400.00000 | 06/28/2018 | 06/29/2018 | \$1,719.93 | \$2,084.00 | \$0.00 | \$0.00 | (\$364.07) | |
| | 20.00000 | 06/28/2018 | 06/29/2018 | \$86.99 | \$141.81 | \$0.00 | \$54.82 | \$0.00 | |
| | 1.00000 | 06/28/2018 | 06/29/2018 | \$4.30 | \$9.33 | \$0.00 | \$0.00 | (\$5.03) | |
| Subtotals | 930.00000 | | | \$4,003.60 | \$5,666.11 | \$0.00 | \$796.51 | (\$866.00) | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|---------------------|------------------------|
| ZOSANO PHARMA CORPORATION | 75.00000 | 03/08/2018 | 03/09/2018 | \$1,467.23 | \$1,222.50 | \$0.00 | \$0.00 | \$244.73 | |
| COMMON STOCK | 49.00000 | 03/08/2018 | 03/09/2018 | \$958.59 | \$925.12 | \$0.00 | \$0.00 | \$33.47 | |
| CUSIP: 98979H202 | 1.00000 | 03/08/2018 | 03/13/2018 | \$14.05 | \$18.88 | \$0.00 | \$4.83 | \$0.00 | |
| | 1.00000 | 03/09/2018 | 03/13/2018 | \$13.78 | \$22.09 | \$0.00 | \$8.31 | \$0.00 | |
| | 84.00000 | 03/09/2018 | 03/13/2018 | \$1,180.17 | \$1,453.20 | \$0.00 | \$273.03 | \$0.00 | |
| | 100.00000 | 03/09/2018 | 03/13/2018 | \$1,404.96 | \$1,980.00 | \$0.00 | \$575.04 | \$0.00 | |
| | 13.00000 | 03/09/2018 | 03/13/2018 | \$182.65 | \$224.38 | \$0.00 | \$41.73 | \$0.00 | |
| | 49.00000 | 03/13/2018 | 03/16/2018 | \$894.43 | \$962.87 | \$0.00 | \$68.44 | \$0.00 | |
| | 20.00000 | 03/13/2018 | 03/16/2018 | \$365.08 | \$393.91 | \$0.00 | \$28.83 | \$0.00 | |
| | 30.00000 | 03/13/2018 | 03/16/2018 | \$547.61 | \$665.86 | \$0.00 | \$118.25 | \$0.00 | |
| | 70.00000 | 03/13/2018 | 03/16/2018 | \$1,277.76 | \$1,511.16 | \$0.00 | \$233.40 | \$0.00 | |
| | 1.00000 | 03/13/2018 | 03/28/2018 | \$9.63 | \$19.65 | \$0.00 | \$10.02 | \$0.00 | |
| | 20.00000 | 03/26/2018 | 03/28/2018 | \$192.61 | \$311.23 | \$0.00 | \$0.00 | (\$118.62) | |
| | 2.00000 | 03/26/2018 | 03/28/2018 | \$19.26 | \$37.04 | \$0.00 | \$0.00 | (\$17.78) | |
| | 28.00000 | 03/26/2018 | 03/28/2018 | \$269.66 | \$505.73 | \$0.00 | \$0.00 | (\$236.07) | |
| | 2.00000 | 03/26/2018 | 03/28/2018 | \$19.26 | \$28.24 | \$0.00 | \$0.00 | (\$8.98) | |
| | 13.00000 | 03/26/2018 | 03/28/2018 | \$125.20 | \$235.17 | \$0.00 | \$0.00 | (\$109.97) | |
| | 49.00000 | 03/26/2018 | 03/28/2018 | \$471.91 | \$760.32 | \$0.00 | \$0.00 | (\$288.41) | |
| | 1.00000 | 03/26/2018 | 03/28/2018 | \$9.63 | \$23.19 | \$0.00 | \$0.00 | (\$13.56) | |
| | 48.00000 | 03/26/2018 | 03/28/2018 | \$462.27 | \$859.89 | \$0.00 | \$0.00 | (\$397.62) | |
| | 14.00000 | 03/26/2018 | 03/28/2018 | \$134.83 | \$253.82 | \$0.00 | \$0.00 | (\$118.99) | |
| | 22.00000 | 03/26/2018 | 03/28/2018 | \$211.87 | \$400.71 | \$0.00 | \$0.00 | (\$188.84) | |
| | 1.00000 | 03/26/2018 | 05/16/2018 | \$4.51 | \$24.14 | \$0.00 | \$19.63 | \$0.00 | |
| | 100.00000 | 05/14/2018 | 05/16/2018 | \$450.97 | \$425.99 | \$0.00 | \$0.00 | \$24.98 | |
| | 1.00000 | 05/14/2018 | 05/16/2018 | \$4.51 | \$23.99 | \$0.00 | \$0.00 | (\$19.48) | |
| | 100.00000 | 05/14/2018 | 05/16/2018 | \$450.98 | \$435.00 | \$0.00 | \$0.00 | \$15.98 | |
| | 99.00000 | 05/14/2018 | 05/16/2018 | \$446.46 | \$431.54 | \$0.00 | \$0.00 | \$14.92 | |
| Subtotals | 993.00000 | | | \$11,589.87 | \$14,155.62 | \$0.00 | \$1,381.51 | (\$1,184.24) | |

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IN ACCOUNT WITH
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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

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|---|------------------|------------------------------|--------------------------------------|----------------------|------------------------------------|---|---|----------------------|------------------------|
| ***CHINA INFORMATION TECHNOL INC BVI ORDINARY SHARES RE DOMESTICATION CUSIP: G21174100 | 200.00000 | 01/04/2018 | 01/05/2018 | \$851.09 | \$660.00 | \$0.00 | \$0.00 | \$191.09 | |
| | 100.00000 | 01/04/2018 | 01/05/2018 | \$425.55 | \$311.00 | \$0.00 | \$0.00 | \$114.55 | |
| | 1.00000 | 01/04/2018 | 01/05/2018 | \$3.33 | \$3.80 | \$0.00 | \$0.47 | \$0.00 | |
| | 200.00000 | 01/04/2018 | 01/05/2018 | \$851.10 | \$522.00 | \$0.00 | \$0.00 | \$329.10 | |
| | 99.00000 | 01/04/2018 | 01/05/2018 | \$421.29 | \$376.20 | \$0.00 | \$0.00 | \$45.09 | |
| | 1.00000 | 01/11/2018 | 01/12/2018 | \$2.85 | \$3.43 | \$0.00 | \$0.00 | (\$0.58) | |
| Subtotals | 601.00000 | | | \$2,555.21 | \$1,876.43 | \$0.00 | \$0.47 | \$679.25 | |
| ***ORIGIN AGRITECH LIMITED CUSIP: G67828106 | 199.00000 | 03/14/2018 | 03/15/2018 | \$242.75 | \$202.98 | \$0.00 | \$0.00 | \$39.77 | |
| | 1.00000 | 03/14/2018 | 03/22/2018 | \$0.86 | \$1.02 | \$0.00 | \$0.00 | (\$0.16) | |
| Subtotals | 200.00000 | | | \$243.61 | \$204.00 | \$0.00 | \$0.00 | \$39.61 | |
| ***ABILITY INC ORDINARY SHARES CUSIP: G8789K124 | 9.00000 | 06/26/2018 | 06/27/2018 | \$81.19 | \$104.40 | \$0.00 | \$23.21 | \$0.00 | |
| | 25.00000 | 06/26/2018 | 06/27/2018 | \$225.51 | \$291.25 | \$0.00 | \$65.74 | \$0.00 | |
| | 100.00000 | 06/26/2018 | 06/27/2018 | \$902.06 | \$1,370.00 | \$0.00 | \$467.94 | \$0.00 | |
| | 1.00000 | 06/26/2018 | 06/29/2018 | \$7.60 | \$16.28 | \$0.00 | \$8.68 | \$0.00 | |
| | 9.00000 | 07/06/2018 | 07/13/2018 | \$63.59 | \$96.83 | \$0.00 | \$0.00 | (\$33.24) | |
| | 1.00000 | 07/06/2018 | 07/13/2018 | \$7.07 | \$12.99 | \$0.00 | \$0.00 | (\$5.92) | |
| | 25.00000 | 07/06/2018 | 07/13/2018 | \$176.63 | \$270.24 | \$0.00 | \$0.00 | (\$93.61) | |
| | 98.00000 | 07/06/2018 | 07/13/2018 | \$692.39 | \$1,260.22 | \$0.00 | \$0.00 | (\$567.83) | |
| | 17.00000 | 07/06/2018 | 07/13/2018 | \$120.11 | \$139.06 | \$0.00 | \$0.00 | (\$18.95) | |
| | 1.00000 | 07/06/2018 | 07/13/2018 | \$7.07 | \$16.86 | \$0.00 | \$0.00 | (\$9.79) | |
| | 10.00000 | 07/09/2018 | 07/13/2018 | \$70.65 | \$68.90 | \$0.00 | \$0.00 | \$1.75 | |
| | 25.00000 | 07/11/2018 | 07/13/2018 | \$176.63 | \$170.00 | \$0.00 | \$0.00 | \$6.63 | |
| Subtotals | 321.00000 | | | \$2,530.50 | \$3,817.03 | \$0.00 | \$565.57 | (\$720.96) | |
| 2057 ITEMS - TOTAL | | | | \$456,534.07 | \$531,023.15 | \$0.00 | \$44,889.31 | (\$29,599.77) | |

FOOT NOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

END OF 2018 FORM 1099-B

END OF 2018 CONSOLIDATED FORMS 1099

Form 1099-B (OMB NO. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary box in box 2 is checked, an adjustment may be required.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Form 1099-B (OMB NO. 1545-0715)**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):**

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2018.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2017.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2018. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2018 in 2019.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2018 Form 6781.

Box 12. If checked, proceeds are from a transaction involving collectibles.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14-16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130
 Account Executive No: DPA
 ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

DETAILS OF 2018 FORM 1099-INT

| Date | Security Description | CUSIP | Transaction Description | Amount | Foreign Tax Paid | Federal Tax Withheld | Additional information |
|--|--------------------------|---------|-------------------------|----------------|------------------|----------------------|------------------------|
| 01/11/18 | FULLYPAID LENDING REBATE | 8S87337 | TAXABLE INTEREST | \$5.28 | - | - | |
| 02/08/18 | FULLYPAID LENDING REBATE | 8S87337 | TAXABLE INTEREST | \$3.69 | - | - | |
| 03/12/18 | FULLYPAID LENDING REBATE | 8S87337 | TAXABLE INTEREST | \$0.10 | - | - | |
| 04/10/18 | FULLYPAID LENDING REBATE | 8S87337 | TAXABLE INTEREST | \$0.60 | - | - | |
| 05/11/18 | FULLYPAID LENDING REBATE | 8S87337 | TAXABLE INTEREST | \$11.92 | - | - | |
| 06/08/18 | FULLYPAID LENDING REBATE | 8S87337 | TAXABLE INTEREST | \$27.69 | - | - | |
| Total Interest Income Not Included in Box 3 (Box 1) | | | | \$49.28 | | | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 INVESTMENT DETAILS

DETAILS OF 2018 INVESTMENT ACTIVITY

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---------------------------------|------------|-------------------------|-----------|--------|------------|------------------------|
| 01/26/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 100.000 | \$6.98 | \$697.50 | |
| 01/30/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 10.000 | \$8.10 | \$81.00 | |
| 01/30/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 100.000 | \$7.26 | \$725.97 | |
| 01/31/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 20.000 | \$8.04 | \$160.80 | |
| 01/31/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 30.000 | \$8.00 | \$239.85 | |
| 01/31/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 40.000 | \$8.14 | \$325.60 | |
| 01/31/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 100.000 | \$8.15 | \$815.00 | |
| 02/01/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 25.000 | \$7.90 | \$197.50 | |
| 02/09/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 6.000 | \$7.09 | \$42.54 | |
| 02/14/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 5.000 | \$6.50 | \$32.48 | |
| 02/14/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 25.000 | \$6.50 | \$162.48 | |
| 02/14/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 29.000 | \$6.55 | \$189.93 | |
| 02/14/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 30.000 | \$6.50 | \$194.98 | |
| 02/14/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 50.000 | \$6.50 | \$324.98 | |
| 02/14/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 90.000 | \$6.50 | \$584.97 | |
| 02/15/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 1.000 | \$6.26 | \$6.24 | |
| 03/02/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 25.000 | \$7.00 | \$175.00 | |
| 03/02/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 100.000 | \$7.00 | \$700.00 | |
| 03/05/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 25.000 | \$7.25 | \$181.25 | |
| 03/05/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 100.000 | \$7.30 | \$730.00 | |
| 03/05/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 126.000 | \$7.46 | \$939.92 | |
| 03/06/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | PURCHASE | 100.000 | \$6.95 | \$695.00 | |
| 03/07/18 | ADVERUM BIOTECHNOLOGIES INC COM | 00773U 108 | SELL | 225.000 | \$7.06 | \$1,587.31 | |
| 02/05/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | PURCHASE | 338.000 | \$0.43 | \$143.65 | |
| 02/05/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | PURCHASE | 662.000 | \$0.43 | \$281.35 | |
| 02/15/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | PURCHASE | 200.000 | \$0.40 | \$79.96 | |
| 02/15/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | PURCHASE | 300.000 | \$0.39 | \$118.05 | |
| 02/28/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | PURCHASE | 1,000.000 | \$0.71 | \$710.40 | |
| 02/28/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | SELL | 34.000 | \$0.69 | \$23.44 | |
| 02/28/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | SELL | 61.000 | \$0.69 | \$42.07 | |
| 02/28/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | SELL | 100.000 | \$0.69 | \$69.00 | |
| 02/28/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | SELL | 151.000 | \$0.69 | \$104.19 | |
| 02/28/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | SELL | 504.000 | \$0.69 | \$347.69 | |
| 02/28/18 | AKERS BIOSCIENCES INC COM | 00973E 102 | SELL | 649.000 | \$0.69 | \$447.84 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--------------------------------------|-----------|-------------------------|-----------|---------|------------|------------------------|
| 03/02/18 | AKERS BIOSCIENCES INC COM | 00973E102 | PURCHASE | 500.000 | \$0.70 | \$347.55 | |
| 03/06/18 | AKERS BIOSCIENCES INC COM | 00973E102 | PURCHASE | 100.000 | \$0.68 | \$67.50 | |
| 03/08/18 | AKERS BIOSCIENCES INC COM | 00973E102 | SELL | 1.000 | \$0.57 | \$0.55 | |
| 03/08/18 | AKERS BIOSCIENCES INC COM | 00973E102 | SELL | 1,600.000 | \$0.59 | \$940.71 | |
| 03/12/18 | AKERS BIOSCIENCES INC COM | 00973E102 | PURCHASE | 950.000 | \$0.70 | \$662.15 | |
| 03/13/18 | AKERS BIOSCIENCES INC COM | 00973E102 | SELL | 949.000 | \$0.68 | \$647.48 | |
| 04/05/18 | AKERS BIOSCIENCES INC COM | 00973E102 | SELL | 1.000 | \$0.60 | \$0.58 | |
| 05/21/18 | ALLIANCE MMA INC COM | 018626101 | PURCHASE | 1.000 | \$0.70 | \$0.70 | |
| 05/21/18 | ALLIANCE MMA INC COM | 018626101 | PURCHASE | 200.000 | \$0.80 | \$159.96 | |
| 05/22/18 | ALLIANCE MMA INC COM | 018626101 | SELL | 1.000 | \$0.55 | \$0.53 | |
| 05/22/18 | ALLIANCE MMA INC COM | 018626101 | SELL | 100.000 | \$0.55 | \$54.98 | |
| 05/22/18 | ALLIANCE MMA INC COM | 018626101 | SELL | 100.000 | \$0.55 | \$54.98 | |
| 03/05/18 | ANTHERA PHARMACEUTICALS INC | 03674U300 | PURCHASE | 45.000 | \$2.40 | \$108.00 | |
| 03/05/18 | ANTHERA PHARMACEUTICALS INC | 03674U300 | PURCHASE | 100.000 | \$2.55 | \$255.00 | |
| 03/09/18 | ANTHERA PHARMACEUTICALS INC | 03674U300 | SELL | 15.000 | \$2.66 | \$39.88 | |
| 03/09/18 | ANTHERA PHARMACEUTICALS INC | 03674U300 | SELL | 29.000 | \$2.66 | \$77.12 | |
| 03/09/18 | ANTHERA PHARMACEUTICALS INC | 03674U300 | SELL | 100.000 | \$2.66 | \$265.98 | |
| 03/12/18 | ANTHERA PHARMACEUTICALS INC | 03674U300 | SELL | 1.000 | \$0.52 | \$0.50 | |
| 05/31/18 | APPLIED OPTOELECTRONICS INC COM | 03823U102 | PURCHASE | 1.000 | \$45.95 | \$45.95 | |
| 06/15/18 | APPLIED OPTOELECTRONICS INC COM | 03823U102 | SELL | 1.000 | \$43.80 | \$43.78 | |
| 02/14/18 | APRICUS BIOSCIENCES INC COMMON STOCK | 03832V307 | PURCHASE | 1.000 | \$2.78 | \$2.78 | |
| 02/15/18 | APRICUS BIOSCIENCES INC COMMON STOCK | 03832V307 | PURCHASE | 20.000 | \$3.16 | \$63.20 | |
| 02/15/18 | APRICUS BIOSCIENCES INC COMMON STOCK | 03832V307 | PURCHASE | 50.000 | \$3.02 | \$151.00 | |
| 02/15/18 | APRICUS BIOSCIENCES INC COMMON STOCK | 03832V307 | PURCHASE | 50.000 | \$3.10 | \$155.00 | |
| 02/15/18 | APRICUS BIOSCIENCES INC COMMON STOCK | 03832V307 | PURCHASE | 100.000 | \$2.88 | \$287.50 | |
| 02/16/18 | APRICUS BIOSCIENCES INC COMMON STOCK | 03832V307 | SELL | 2.000 | \$1.06 | \$2.10 | |
| 02/16/18 | APRICUS BIOSCIENCES INC COMMON STOCK | 03832V307 | SELL | 218.000 | \$1.06 | \$231.11 | |
| 02/26/18 | APRICUS BIOSCIENCES INC COMMON STOCK | 03832V307 | SELL | 1.000 | \$1.00 | \$0.98 | |
| 03/19/18 | ARCADIA BIOSCIENCES INC COMMON STOCK | 039014204 | PURCHASE | 10.000 | \$50.30 | \$503.00 | |
| 03/19/18 | ARCADIA BIOSCIENCES INC COMMON STOCK | 039014204 | PURCHASE | 20.000 | \$42.50 | \$850.00 | |
| 03/20/18 | ARCADIA BIOSCIENCES INC COMMON STOCK | 039014204 | PURCHASE | 6.000 | \$41.43 | \$248.58 | |
| 03/20/18 | ARCADIA BIOSCIENCES INC COMMON STOCK | 039014204 | PURCHASE | 14.000 | \$41.29 | \$578.06 | |
| 03/26/18 | ARCADIA BIOSCIENCES INC COMMON STOCK | 039014204 | SELL | 50.000 | \$29.80 | \$1,489.96 | |
| 04/11/18 | ARMO BIOSCIENCES INC COMMON STOCK | 04225U104 | PURCHASE | 1.000 | \$30.56 | \$30.56 | |
| 05/10/18 | ARMO BIOSCIENCES INC COMMON STOCK | 04225U104 | SELL | 1.000 | \$49.80 | \$49.78 | |
| 01/31/18 | ATOSSA GENETICS INC COM NEW | 04962H209 | PURCHASE | 100.000 | \$0.82 | \$81.82 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---|-----------|-------------------------|-----------|---------|------------|------------------------|
| 02/01/18 | ATOSSA GENETICS INC COM NEW | 04962H209 | SELL | 100.000 | \$0.67 | \$66.98 | |
| 06/11/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 10.000 | \$18.43 | \$184.30 | |
| 06/11/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 40.000 | \$18.43 | \$737.20 | |
| 06/11/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 40.000 | \$18.85 | \$754.00 | |
| 06/11/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 50.000 | \$18.87 | \$943.50 | |
| 06/11/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 60.000 | \$18.85 | \$1,131.00 | |
| 06/11/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 100.000 | \$19.14 | \$1,914.00 | |
| 06/13/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | SELL | 300.000 | \$19.43 | \$5,828.91 | |
| 06/14/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 50.000 | \$20.81 | \$1,040.50 | |
| 06/14/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 50.000 | \$20.81 | \$1,040.50 | |
| 06/14/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 100.000 | \$20.51 | \$2,051.00 | |
| 06/18/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | SELL | 4.000 | \$21.28 | \$85.10 | |
| 06/18/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | SELL | 10.000 | \$21.28 | \$212.78 | |
| 06/18/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | SELL | 30.000 | \$21.28 | \$638.38 | |
| 06/18/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | SELL | 56.000 | \$21.28 | \$1,191.65 | |
| 06/18/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | SELL | 100.000 | \$21.29 | \$2,129.04 | |
| 06/19/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | PURCHASE | 1.000 | \$20.87 | \$20.87 | |
| 08/27/18 | ***BILIBILI INC AMERICAN DEPOSITARY SHA | 090040106 | SELL | 1.000 | \$11.65 | \$11.63 | |
| 02/27/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 1,000.000 | \$0.25 | \$248.00 | |
| 03/05/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | SELL | 1,000.000 | \$0.30 | \$299.87 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 100.000 | \$0.36 | \$36.14 | |
| 03/07/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 500.000 | \$0.35 | \$174.85 | |
| 03/08/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | SELL | 1,499.000 | \$0.30 | \$452.65 | |
| 03/12/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | PURCHASE | 1,500.000 | \$0.35 | \$524.70 | |
| 03/13/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | SELL | 1,500.000 | \$0.31 | \$465.27 | |
| 03/22/18 | BIOPHARMX CORPORATION COMMON STOCK PAR | 09072X101 | SELL | 1.000 | \$0.29 | \$0.27 | |
| 07/09/18 | BLACK BOX CORP-DEL | 091826107 | PURCHASE | 150.000 | \$2.69 | \$403.50 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---------------------------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 07/09/18 | BLACK BOX CORP-DEL | 091826107 | PURCHASE | 200.000 | \$2.69 | \$538.00 | |
| 07/09/18 | BLACK BOX CORP-DEL | 091826107 | SELL | 350.000 | \$2.33 | \$815.76 | |
| 07/11/18 | BLACK BOX CORP-DEL | 091826107 | PURCHASE | 100.000 | \$2.22 | \$222.00 | |
| 07/11/18 | BLACK BOX CORP-DEL | 091826107 | PURCHASE | 100.000 | \$2.38 | \$238.00 | |
| 07/11/18 | BLACK BOX CORP-DEL | 091826107 | PURCHASE | 100.000 | \$2.38 | \$238.00 | |
| 07/11/18 | BLACK BOX CORP-DEL | 091826107 | PURCHASE | 300.000 | \$2.38 | \$714.00 | |
| 07/16/18 | BLACK BOX CORP-DEL | 091826107 | SELL | 100.000 | \$2.00 | \$199.98 | |
| 07/16/18 | BLACK BOX CORP-DEL | 091826107 | SELL | 169.000 | \$1.97 | \$332.90 | |
| 07/16/18 | BLACK BOX CORP-DEL | 091826107 | SELL | 331.000 | \$1.96 | \$648.71 | |
| 01/08/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | PURCHASE | 300.000 | \$4.31 | \$1,293.00 | |
| 01/09/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | SELL | 4.000 | \$3.70 | \$14.78 | |
| 01/09/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | SELL | 12.000 | \$3.60 | \$43.18 | |
| 01/09/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | SELL | 14.000 | \$3.60 | \$50.38 | |
| 01/09/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | SELL | 20.000 | \$3.60 | \$71.98 | |
| 01/09/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | SELL | 50.000 | \$3.60 | \$179.98 | |
| 01/09/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | SELL | 100.000 | \$3.60 | \$359.98 | |
| 01/09/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | SELL | 100.000 | \$3.70 | \$369.98 | |
| 05/14/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | PURCHASE | 1.000 | \$2.89 | \$2.89 | |
| 05/18/18 | CHF SOLUTIONS INC COMMON STOCK | 12542Q508 | SELL | 1.000 | \$2.88 | \$2.86 | |
| 05/23/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 50.000 | \$16.15 | \$807.47 | |
| 05/23/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 50.000 | \$16.40 | \$820.00 | |
| 05/24/18 | CARA THERAPEUTICS INC | 140755109 | SELL | 1.000 | \$15.06 | \$15.04 | |
| 05/24/18 | CARA THERAPEUTICS INC | 140755109 | SELL | 99.000 | \$16.50 | \$1,633.46 | |
| 05/25/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 20.000 | \$17.15 | \$343.00 | |
| 05/25/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 20.000 | \$17.31 | \$346.20 | |
| 05/25/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 30.000 | \$17.42 | \$522.58 | |
| 05/25/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 50.000 | \$17.31 | \$865.50 | |
| 05/25/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 50.000 | \$17.35 | \$867.50 | |
| 05/29/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 10.000 | \$15.57 | \$155.70 | |
| 05/29/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 20.000 | \$15.58 | \$311.60 | |
| 05/29/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 20.000 | \$15.61 | \$312.20 | |
| 05/29/18 | CARA THERAPEUTICS INC | 140755109 | PURCHASE | 25.000 | \$15.56 | \$389.00 | |
| 06/05/18 | CARA THERAPEUTICS INC | 140755109 | SELL | 44.000 | \$15.73 | \$692.14 | |
| 06/05/18 | CARA THERAPEUTICS INC | 140755109 | SELL | 200.000 | \$15.73 | \$3,146.13 | |
| 06/07/18 | CARA THERAPEUTICS INC | 140755109 | SELL | 1.000 | \$16.00 | \$15.98 | |
| 03/07/18 | CATALYST BIOSCIENCES INC COMMON STOCK | 14888D208 | PURCHASE | 1.000 | \$30.25 | \$30.25 | |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--|-----------|-------------------------|----------|---------|------------|------------------------|
| 03/07/18 | CATALYST BIOSCIENCES INC COMMON STOCK | 14888D208 | PURCHASE | 5.000 | \$29.20 | \$146.00 | |
| 03/12/18 | CATALYST BIOSCIENCES INC COMMON STOCK | 14888D208 | SELL | 6.000 | \$27.53 | \$165.17 | |
| 01/05/18 | CHINA COMMERCIAL CREDIT INC | 16891K103 | PURCHASE | 1.000 | \$1.85 | \$1.85 | |
| 01/05/18 | CHINA COMMERCIAL CREDIT INC | 16891K103 | PURCHASE | 200.000 | \$1.95 | \$390.00 | |
| 01/05/18 | CHINA COMMERCIAL CREDIT INC | 16891K103 | PURCHASE | 200.000 | \$1.95 | \$390.00 | |
| 01/09/18 | CHINA COMMERCIAL CREDIT INC | 16891K103 | SELL | 24.000 | \$1.87 | \$44.86 | |
| 01/09/18 | CHINA COMMERCIAL CREDIT INC | 16891K103 | SELL | 33.000 | \$1.85 | \$61.03 | |
| 01/09/18 | CHINA COMMERCIAL CREDIT INC | 16891K103 | SELL | 343.000 | \$1.85 | \$634.49 | |
| 01/11/18 | CHINA COMMERCIAL CREDIT INC | 16891K103 | SELL | 1.000 | \$1.85 | \$1.83 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 1.000 | \$4.77 | \$4.77 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$5.73 | \$573.00 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$5.73 | \$573.00 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$5.74 | \$574.00 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$5.74 | \$574.00 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$9.74 | \$974.00 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$10.12 | \$1,012.00 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$10.62 | \$1,062.26 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | SELL | 100.000 | \$8.54 | \$853.97 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | SELL | 100.000 | \$8.55 | \$854.97 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | SELL | 100.000 | \$9.80 | \$979.96 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | SELL | 100.000 | \$9.86 | \$985.96 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | SELL | 101.000 | \$10.37 | \$1,047.34 | |
| 01/04/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | SELL | 200.000 | \$9.83 | \$1,965.93 | |
| 01/11/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 5.000 | \$8.15 | \$40.74 | |
| 01/11/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 50.000 | \$7.71 | \$385.50 | |
| 01/11/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$6.94 | \$693.99 | |
| 01/11/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | PURCHASE | 100.000 | \$7.73 | \$772.84 | |
| 01/12/18 | CHINANET ONLINE HOLDINGS INC COMMON STO | 16949H201 | SELL | 255.000 | \$5.05 | \$1,287.82 | |
| 01/05/18 | ***CHINACACHE INTL HLDG LTD SPONSORED A | 16950M107 | PURCHASE | 200.000 | \$4.23 | \$845.02 | |
| 01/08/18 | ***CHINACACHE INTL HLDG LTD SPONSORED A | 16950M107 | SELL | 200.000 | \$2.60 | \$519.98 | |
| 06/06/18 | CO DIAGNOSTICS INC COMMON STOCK | 189763105 | PURCHASE | 100.000 | \$5.26 | \$525.85 | |
| 06/07/18 | CO DIAGNOSTICS INC COMMON STOCK | 189763105 | PURCHASE | 10.000 | \$5.00 | \$50.00 | |
| 06/07/18 | CO DIAGNOSTICS INC COMMON STOCK | 189763105 | PURCHASE | 90.000 | \$4.99 | \$449.10 | |
| 06/08/18 | CO DIAGNOSTICS INC COMMON STOCK | 189763105 | SELL | 200.000 | \$4.56 | \$912.30 | |
| 01/10/18 | COGINT INC COMMON STOCK \$0.0005 PAR VAL | 19241Q101 | PURCHASE | 200.000 | \$6.94 | \$1,387.72 | |
| 01/11/18 | COGINT INC COMMON STOCK \$0.0005 PAR VAL | 19241Q101 | SELL | 199.000 | \$4.80 | \$955.57 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--|-----------|-------------------------|----------|---------|------------|------------------------|
| 01/16/18 | COGINT INC COMMON STOCK \$0.0005 PAR VAL | 19241Q101 | SELL | 1.000 | \$4.65 | \$4.63 | |
| 01/11/18 | COUNTERPATH CORPORATION COM | 22228P302 | PURCHASE | 1.000 | \$5.80 | \$5.80 | |
| 01/11/18 | COUNTERPATH CORPORATION COM | 22228P302 | PURCHASE | 10.000 | \$5.94 | \$59.39 | |
| 01/11/18 | COUNTERPATH CORPORATION COM | 22228P302 | PURCHASE | 20.000 | \$5.72 | \$114.40 | |
| 01/12/18 | COUNTERPATH CORPORATION COM | 22228P302 | PURCHASE | 100.000 | \$6.64 | \$663.99 | |
| 01/16/18 | COUNTERPATH CORPORATION COM | 22228P302 | PURCHASE | 1.000 | \$6.62 | \$6.62 | |
| 01/16/18 | COUNTERPATH CORPORATION COM | 22228P302 | PURCHASE | 20.000 | \$6.62 | \$132.40 | |
| 01/17/18 | COUNTERPATH CORPORATION COM | 22228P302 | PURCHASE | 44.000 | \$4.99 | \$219.56 | |
| 01/22/18 | COUNTERPATH CORPORATION COM | 22228P302 | SELL | 100.000 | \$3.82 | \$381.98 | |
| 01/23/18 | COUNTERPATH CORPORATION COM | 22228P302 | SELL | 96.000 | \$3.78 | \$362.86 | |
| 05/29/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | PURCHASE | 1.000 | \$5.61 | \$5.61 | |
| 05/29/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | PURCHASE | 50.000 | \$5.86 | \$293.00 | |
| 05/29/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | PURCHASE | 100.000 | \$5.85 | \$585.00 | |
| 05/29/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | PURCHASE | 100.000 | \$6.20 | \$620.00 | |
| 05/30/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | SELL | 1.000 | \$5.49 | \$5.47 | |
| 05/30/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | SELL | 250.000 | \$5.51 | \$1,377.48 | |
| 05/31/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | PURCHASE | 25.000 | \$5.77 | \$144.23 | |
| 05/31/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | PURCHASE | 100.000 | \$5.77 | \$576.90 | |
| 05/31/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | SELL | 25.000 | \$5.53 | \$138.23 | |
| 05/31/18 | ***CREDIT SUISSE AG NASSAU BRH VELOCITY | 22542D357 | SELL | 100.000 | \$5.53 | \$552.98 | |
| 03/09/18 | DAXOR CORP | 239467103 | PURCHASE | 1.000 | \$7.90 | \$7.90 | |
| 03/09/18 | DAXOR CORP | 239467103 | PURCHASE | 75.000 | \$8.25 | \$618.75 | |
| 03/13/18 | DAXOR CORP | 239467103 | SELL | 75.000 | \$5.04 | \$378.06 | |
| 03/14/18 | DAXOR CORP | 239467103 | PURCHASE | 100.000 | \$7.35 | \$735.00 | |
| 03/15/18 | DAXOR CORP | 239467103 | PURCHASE | 10.000 | \$13.70 | \$137.00 | |
| 03/15/18 | DAXOR CORP | 239467103 | PURCHASE | 100.000 | \$11.59 | \$1,159.00 | |
| 03/15/18 | DAXOR CORP | 239467103 | SELL | 100.000 | \$9.35 | \$934.96 | |
| 03/16/18 | DAXOR CORP | 239467103 | SELL | 110.000 | \$16.25 | \$1,787.45 | |
| 03/19/18 | DAXOR CORP | 239467103 | PURCHASE | 50.000 | \$13.15 | \$657.50 | |
| 03/21/18 | DAXOR CORP | 239467103 | PURCHASE | 25.000 | \$11.38 | \$284.50 | |
| 03/21/18 | DAXOR CORP | 239467103 | PURCHASE | 25.000 | \$11.38 | \$284.50 | |
| 03/21/18 | DAXOR CORP | 239467103 | PURCHASE | 50.000 | \$10.99 | \$549.50 | |
| 03/26/18 | DAXOR CORP | 239467103 | SELL | 150.000 | \$10.25 | \$1,537.46 | |
| 05/14/18 | DAXOR CORP | 239467103 | SELL | 1.000 | \$6.46 | \$6.44 | |
| 03/28/18 | DIREXION SHARES ETF TRUST DIREXION DAIL | 25460E851 | PURCHASE | 1.000 | \$13.16 | \$13.16 | |
| 05/11/18 | DIREXION SHARES ETF TRUST DIREXION DAIL | 25460E851 | PURCHASE | 50.000 | \$15.49 | \$774.50 | |

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ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---|-----------|-------------------------|-----------|---------|------------|------------------------|
| 05/14/18 | DIREXION SHARES ETF TRUST DIREXION DAIL | 25460E851 | SELL | 50.000 | \$15.41 | \$770.47 | |
| 06/15/18 | DIREXION SHARES ETF TRUST DIREXION DAIL | 25460E851 | SELL | 1.000 | \$13.82 | \$13.80 | |
| 01/31/18 | DPW HOLDINGS INC COMMON STOCK | 26140E105 | PURCHASE | 200.000 | \$2.25 | \$450.00 | |
| 02/01/18 | DPW HOLDINGS INC COMMON STOCK | 26140E105 | SELL | 200.000 | \$1.97 | \$394.03 | |
| 01/09/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 4.000 | \$9.20 | \$36.80 | |
| 01/09/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 5.000 | \$9.10 | \$45.50 | |
| 01/09/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 15.000 | \$9.25 | \$138.75 | |
| 01/09/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 25.000 | \$9.25 | \$231.25 | |
| 01/09/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 50.000 | \$7.14 | \$357.00 | |
| 01/09/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 72.000 | \$9.15 | \$658.80 | |
| 01/09/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 100.000 | \$7.90 | \$790.00 | |
| 01/09/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 100.000 | \$8.65 | \$865.00 | |
| 01/10/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | SELL | 50.000 | \$11.20 | \$559.97 | |
| 01/10/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | SELL | 50.000 | \$11.20 | \$559.97 | |
| 01/10/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | SELL | 100.000 | \$11.20 | \$1,119.96 | |
| 01/10/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | SELL | 170.000 | \$10.86 | \$1,846.13 | |
| 01/11/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | SELL | 1.000 | \$9.21 | \$9.19 | |
| 01/29/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 50.000 | \$9.70 | \$485.00 | |
| 01/30/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 50.000 | \$9.24 | \$462.00 | |
| 01/30/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | PURCHASE | 100.000 | \$9.15 | \$915.00 | |
| 02/01/18 | EASTMAN KODAK COMPANY COM NEW | 277461406 | SELL | 200.000 | \$7.31 | \$1,461.94 | |
| 01/11/18 | ENERJEX RESOURCES INC NEW | 292758406 | PURCHASE | 1,000.000 | \$0.22 | \$217.50 | |
| 01/12/18 | ENERJEX RESOURCES INC NEW | 292758406 | SELL | 500.000 | \$0.21 | \$106.73 | |
| 01/12/18 | ENERJEX RESOURCES INC NEW | 292758406 | SELL | 500.000 | \$0.21 | \$106.73 | |
| 07/06/18 | FUNKO INC CLASS A COMMON STOCK | 361008105 | PURCHASE | 75.000 | \$14.87 | \$1,115.25 | |
| 07/09/18 | FUNKO INC CLASS A COMMON STOCK | 361008105 | PURCHASE | 25.000 | \$14.14 | \$353.50 | |
| 07/09/18 | FUNKO INC CLASS A COMMON STOCK | 361008105 | PURCHASE | 50.000 | \$14.89 | \$744.50 | |
| 07/10/18 | FUNKO INC CLASS A COMMON STOCK | 361008105 | PURCHASE | 10.000 | \$14.07 | \$140.70 | |
| 07/11/18 | FUNKO INC CLASS A COMMON STOCK | 361008105 | SELL | 160.000 | \$13.35 | \$2,136.27 | |
| 01/02/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 1.000 | \$4.35 | \$4.35 | |
| 01/02/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 25.000 | \$4.37 | \$109.25 | |
| 01/02/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 99.000 | \$4.37 | \$432.63 | |
| 01/02/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 500.000 | \$4.52 | \$2,259.40 | |
| 01/04/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | SELL | 624.000 | \$5.35 | \$3,339.00 | |
| 01/08/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 100.000 | \$4.47 | \$447.00 | |
| 01/08/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 150.000 | \$4.11 | \$616.50 | |

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 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
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Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
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DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---------------------------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 01/09/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | SELL | 250.000 | \$4.39 | \$1,097.44 | |
| 01/10/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 100.000 | \$4.09 | \$408.99 | |
| 01/10/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 100.000 | \$4.25 | \$424.99 | |
| 01/10/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 100.000 | \$4.25 | \$425.00 | |
| 01/12/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | SELL | 100.000 | \$4.24 | \$423.99 | |
| 01/16/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | SELL | 21.000 | \$4.03 | \$84.61 | |
| 01/16/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | SELL | 50.000 | \$4.02 | \$200.98 | |
| 01/16/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | SELL | 129.000 | \$4.03 | \$519.83 | |
| 01/17/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | SELL | 1.000 | \$3.62 | \$3.60 | |
| 01/19/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | PURCHASE | 1.000 | \$3.75 | \$3.75 | |
| 02/12/18 | FUTURE FINTECH GROUP INC COMMON STOCK | 36117V105 | SELL | 1.000 | \$2.50 | \$2.48 | |
| 04/27/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 1.000 | \$15.45 | \$15.45 | |
| 04/30/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 50.000 | \$15.25 | \$762.50 | |
| 04/30/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 50.000 | \$15.25 | \$762.50 | |
| 04/30/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 50.000 | \$15.35 | \$767.50 | |
| 05/08/18 | GENPREX INC COMMON STOCK | 372446104 | SELL | 150.000 | \$17.81 | \$2,672.10 | |
| 05/10/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 4.000 | \$14.46 | \$57.84 | |
| 05/10/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 10.000 | \$14.10 | \$141.00 | |
| 05/10/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 20.000 | \$14.46 | \$289.20 | |
| 05/10/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 21.000 | \$14.46 | \$303.66 | |
| 05/10/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 40.000 | \$14.01 | \$560.20 | |
| 05/11/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 100.000 | \$12.53 | \$1,252.90 | |
| 05/14/18 | GENPREX INC COMMON STOCK | 372446104 | SELL | 195.000 | \$9.20 | \$1,794.13 | |
| 05/17/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 10.000 | \$11.80 | \$118.00 | |
| 05/17/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 18.000 | \$11.80 | \$212.40 | |
| 05/17/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 72.000 | \$11.80 | \$849.60 | |
| 05/17/18 | GENPREX INC COMMON STOCK | 372446104 | PURCHASE | 100.000 | \$12.44 | \$1,243.58 | |
| 05/23/18 | GENPREX INC COMMON STOCK | 372446104 | SELL | 2.000 | \$9.66 | \$19.30 | |
| 05/23/18 | GENPREX INC COMMON STOCK | 372446104 | SELL | 199.000 | \$9.67 | \$1,923.62 | |
| 03/22/18 | GERON CORP | 374163103 | PURCHASE | 1.000 | \$5.38 | \$5.38 | |
| 03/23/18 | GERON CORP | 374163103 | PURCHASE | 50.000 | \$5.75 | \$287.50 | |
| 03/23/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$5.92 | \$592.00 | |
| 04/02/18 | GERON CORP | 374163103 | SELL | 151.000 | \$3.93 | \$593.54 | |
| 04/04/18 | GERON CORP | 374163103 | PURCHASE | 1.000 | \$3.94 | \$3.94 | |
| 04/12/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$4.10 | \$410.00 | |
| 04/12/18 | GERON CORP | 374163103 | PURCHASE | 200.000 | \$4.13 | \$825.80 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|-----------------------------------|-----------|-------------------------|----------|--------|------------|------------------------|
| 04/16/18 | GERON CORP | 374163103 | PURCHASE | 50.000 | \$3.71 | \$185.50 | |
| 04/16/18 | GERON CORP | 374163103 | PURCHASE | 60.000 | \$3.75 | \$225.00 | |
| 04/17/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$3.94 | \$394.00 | |
| 04/18/18 | GERON CORP | 374163103 | PURCHASE | 24.000 | \$3.90 | \$93.60 | |
| 04/19/18 | GERON CORP | 374163103 | PURCHASE | 200.000 | \$3.78 | \$756.00 | |
| 04/19/18 | GERON CORP | 374163103 | SELL | 10.000 | \$3.66 | \$36.58 | |
| 04/19/18 | GERON CORP | 374163103 | SELL | 25.000 | \$3.66 | \$91.48 | |
| 04/19/18 | GERON CORP | 374163103 | SELL | 99.000 | \$3.66 | \$362.32 | |
| 04/19/18 | GERON CORP | 374163103 | SELL | 100.000 | \$3.66 | \$365.98 | |
| 04/19/18 | GERON CORP | 374163103 | SELL | 100.000 | \$3.66 | \$365.98 | |
| 04/19/18 | GERON CORP | 374163103 | SELL | 200.000 | \$3.66 | \$731.96 | |
| 04/24/18 | GERON CORP | 374163103 | SELL | 200.000 | \$4.19 | \$837.98 | |
| 05/10/18 | GERON CORP | 374163103 | PURCHASE | 200.000 | \$3.77 | \$753.94 | |
| 05/11/18 | GERON CORP | 374163103 | PURCHASE | 200.000 | \$3.37 | \$674.00 | |
| 05/21/18 | GERON CORP | 374163103 | SELL | 100.000 | \$3.63 | \$362.98 | |
| 05/21/18 | GERON CORP | 374163103 | SELL | 100.000 | \$3.64 | \$363.98 | |
| 05/22/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$4.04 | \$404.00 | |
| 05/22/18 | GERON CORP | 374163103 | PURCHASE | 200.000 | \$4.10 | \$820.00 | |
| 05/23/18 | GERON CORP | 374163103 | PURCHASE | 20.000 | \$4.68 | \$93.64 | |
| 05/23/18 | GERON CORP | 374163103 | PURCHASE | 50.000 | \$4.72 | \$236.00 | |
| 05/23/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$4.70 | \$470.00 | |
| 05/23/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$4.70 | \$470.00 | |
| 05/23/18 | GERON CORP | 374163103 | PURCHASE | 200.000 | \$4.64 | \$927.94 | |
| 05/23/18 | GERON CORP | 374163103 | PURCHASE | 200.000 | \$4.80 | \$960.00 | |
| 05/23/18 | GERON CORP | 374163103 | SELL | 500.000 | \$4.19 | \$2,095.41 | |
| 05/24/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$4.70 | \$470.00 | |
| 05/29/18 | GERON CORP | 374163103 | PURCHASE | 50.000 | \$4.55 | \$227.50 | |
| 05/29/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$4.54 | \$454.00 | |
| 06/04/18 | GERON CORP | 374163103 | PURCHASE | 100.000 | \$3.89 | \$388.99 | |
| 06/04/18 | GERON CORP | 374163103 | SELL | 920.000 | \$3.78 | \$3,477.53 | |
| 06/05/18 | GERON CORP | 374163103 | SELL | 100.000 | \$3.90 | \$389.98 | |
| 01/04/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | PURCHASE | 1.000 | \$3.18 | \$3.18 | |
| 01/04/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | PURCHASE | 208.000 | \$3.62 | \$752.96 | |
| 01/05/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | PURCHASE | 100.000 | \$4.02 | \$401.96 | |
| 01/05/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | PURCHASE | 100.000 | \$4.45 | \$444.99 | |
| 01/05/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | PURCHASE | 200.000 | \$3.64 | \$727.92 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--|-----------|-------------------------|----------|---------|------------|------------------------|
| 01/05/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | SELL | 208.000 | \$3.60 | \$748.76 | |
| 01/08/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | SELL | 400.000 | \$3.42 | \$1,367.95 | |
| 01/11/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | SELL | 1.000 | \$3.38 | \$3.36 | |
| 01/12/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | PURCHASE | 1.000 | \$3.83 | \$3.83 | |
| 01/16/18 | HTG MOLECULAR DIAGNOSTICS INC COM | 40434H104 | SELL | 1.000 | \$3.61 | \$3.59 | |
| 05/01/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 1.000 | \$3.24 | \$3.24 | |
| 05/01/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 100.000 | \$3.57 | \$356.97 | |
| 05/02/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 100.000 | \$3.07 | \$307.00 | |
| 05/08/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 150.000 | \$1.33 | \$199.50 | |
| 05/08/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 150.000 | \$1.33 | \$199.50 | |
| 05/08/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 200.000 | \$1.33 | \$266.00 | |
| 05/22/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 100.000 | \$2.11 | \$211.00 | |
| 05/22/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 200.000 | \$2.26 | \$452.00 | |
| 05/22/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 500.000 | \$2.14 | \$1,070.00 | |
| 05/22/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | SELL | 10.000 | \$1.88 | \$18.78 | |
| 05/22/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | SELL | 690.000 | \$1.88 | \$1,297.17 | |
| 05/23/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | SELL | 300.000 | \$2.17 | \$651.16 | |
| 05/24/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | PURCHASE | 200.000 | \$2.11 | \$421.80 | |
| 05/29/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | SELL | 700.000 | \$2.32 | \$1,624.38 | |
| 05/31/18 | HEAT BIOLOGICS INC COMMON STOCK | 42237K300 | SELL | 1.000 | \$2.26 | \$2.24 | |
| 06/19/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 1.000 | \$45.86 | \$45.86 | |
| 06/19/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 25.000 | \$44.60 | \$1,115.00 | |
| 06/19/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 30.000 | \$44.70 | \$1,341.00 | |
| 06/19/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 50.000 | \$45.30 | \$2,265.00 | |
| 06/20/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 30.000 | \$43.70 | \$1,311.00 | |
| 06/21/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 10.000 | \$42.18 | \$421.80 | |
| 06/21/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 25.000 | \$39.68 | \$992.00 | |
| 06/21/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 50.000 | \$39.78 | \$1,989.00 | |
| 06/21/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 15.000 | \$42.47 | \$637.05 | |
| 06/25/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 60.000 | \$33.20 | \$1,991.96 | |
| 06/25/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 145.000 | \$33.17 | \$4,809.56 | |
| 06/29/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 5.000 | \$34.44 | \$172.20 | |
| 06/29/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 10.000 | \$32.89 | \$328.90 | |
| 06/29/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 10.000 | \$34.44 | \$344.40 | |
| 06/29/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 12.000 | \$34.50 | \$414.00 | |
| 06/29/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 13.000 | \$34.50 | \$448.50 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--|-----------|-------------------------|----------|---------|------------|------------------------|
| 06/29/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 50.000 | \$34.44 | \$1,722.00 | |
| 06/29/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 75.000 | \$34.50 | \$2,587.50 | |
| 07/03/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 50.000 | \$31.70 | \$1,585.00 | |
| 07/05/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 24.000 | \$28.99 | \$695.76 | |
| 07/05/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 25.000 | \$29.62 | \$740.50 | |
| 07/06/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 1.000 | \$28.64 | \$28.62 | |
| 07/06/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 20.000 | \$28.55 | \$570.98 | |
| 07/06/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 49.000 | \$28.64 | \$1,403.33 | |
| 07/06/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 50.000 | \$28.60 | \$1,429.97 | |
| 07/06/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 100.000 | \$28.64 | \$2,863.95 | |
| 07/09/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 54.000 | \$29.60 | \$1,598.36 | |
| 07/10/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 25.000 | \$32.09 | \$802.25 | |
| 07/10/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 25.000 | \$32.56 | \$814.00 | |
| 07/11/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | PURCHASE | 100.000 | \$35.99 | \$3,599.44 | |
| 07/11/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 50.000 | \$33.88 | \$1,693.97 | |
| 07/11/18 | ***HUYA INC AMERICAN DEPOSITARY SHARES | 44852D108 | SELL | 100.000 | \$35.65 | \$3,564.44 | |
| 01/11/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 1.000 | \$3.65 | \$3.65 | |
| 01/11/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 10.000 | \$3.56 | \$35.59 | |
| 01/12/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 15.000 | \$3.98 | \$59.69 | |
| 01/12/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 50.000 | \$3.67 | \$183.50 | |
| 01/12/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 52.000 | \$4.06 | \$211.12 | |
| 01/16/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 10.000 | \$4.34 | \$43.40 | |
| 01/16/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 25.000 | \$4.39 | \$109.75 | |
| 01/16/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 75.000 | \$4.50 | \$337.50 | |
| 01/17/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 12.000 | \$4.44 | \$53.28 | |
| 01/17/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 50.000 | \$4.60 | \$230.00 | |
| 01/17/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 100.000 | \$4.57 | \$457.00 | |
| 01/19/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 1.000 | \$4.29 | \$4.29 | |
| 01/19/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 10.000 | \$4.29 | \$42.89 | |
| 01/19/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 25.000 | \$4.28 | \$107.00 | |
| 01/19/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 50.000 | \$4.25 | \$212.50 | |
| 01/22/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 81.000 | \$4.74 | \$383.94 | |
| 01/24/18 | ITUS CORPORATION COM NEW | 45069V203 | SELL | 10.000 | \$5.60 | \$55.98 | |
| 01/24/18 | ITUS CORPORATION COM NEW | 45069V203 | SELL | 57.000 | \$5.60 | \$319.18 | |
| 01/26/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 35.000 | \$5.70 | \$199.50 | |
| 01/29/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 120.000 | \$4.18 | \$501.60 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--|-----------|-------------------------|----------|---------|------------|------------------------|
| 01/30/18 | ITUS CORPORATION COM NEW | 45069V203 | SELL | 200.000 | \$4.01 | \$801.96 | |
| 01/30/18 | ITUS CORPORATION COM NEW | 45069V203 | SELL | 300.000 | \$4.00 | \$1,199.93 | |
| 01/31/18 | ITUS CORPORATION COM NEW | 45069V203 | SELL | 155.000 | \$3.87 | \$599.93 | |
| 03/05/18 | ITUS CORPORATION COM NEW | 45069V203 | PURCHASE | 1.000 | \$3.69 | \$3.69 | |
| 03/22/18 | ITUS CORPORATION COM NEW | 45069V203 | SELL | 1.000 | \$4.40 | \$4.38 | |
| 06/13/18 | IMMERSSION CORP | 452521107 | PURCHASE | 1.000 | \$17.65 | \$17.65 | |
| 06/27/18 | IMMERSSION CORP | 452521107 | SELL | 1.000 | \$15.55 | \$15.53 | |
| 03/12/18 | INFINITY PHARMACEUTICALS INC | 45665G303 | PURCHASE | 1.000 | \$2.52 | \$2.52 | |
| 03/13/18 | INFINITY PHARMACEUTICALS INC | 45665G303 | SELL | 1.000 | \$2.48 | \$2.46 | |
| 07/02/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | PURCHASE | 1.000 | \$25.99 | \$25.99 | |
| 07/02/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | PURCHASE | 100.000 | \$26.69 | \$2,669.00 | |
| 07/03/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | PURCHASE | 10.000 | \$25.86 | \$258.60 | |
| 07/03/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | PURCHASE | 10.000 | \$26.18 | \$261.80 | |
| 07/03/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | PURCHASE | 10.000 | \$27.13 | \$271.30 | |
| 07/03/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | PURCHASE | 50.000 | \$26.50 | \$1,325.00 | |
| 07/03/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | PURCHASE | 50.000 | \$26.50 | \$1,325.00 | |
| 07/03/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | PURCHASE | 50.000 | \$27.30 | \$1,365.00 | |
| 07/03/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | SELL | 100.000 | \$27.55 | \$2,755.31 | |
| 07/05/18 | INNOVATE BIOPHARMACEUTICALS INC COMMON | 45782F105 | SELL | 180.000 | \$20.28 | \$3,650.15 | |
| 01/02/18 | INSYS THERAPEUTICS INC | 45824V209 | PURCHASE | 100.000 | \$11.56 | \$1,155.96 | |
| 01/03/18 | INSYS THERAPEUTICS INC | 45824V209 | SELL | 100.000 | \$13.23 | \$1,322.96 | |
| 05/24/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 1.000 | \$23.59 | \$23.59 | |
| 05/24/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$23.15 | \$1,157.50 | |
| 05/24/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$23.15 | \$1,157.50 | |
| 05/29/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 50.000 | \$22.81 | \$1,140.52 | |
| 05/29/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 50.000 | \$22.91 | \$1,145.48 | |
| 05/31/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 20.000 | \$25.34 | \$506.80 | |
| 05/31/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$25.39 | \$634.75 | |
| 05/31/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$25.52 | \$638.00 | |
| 05/31/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$25.60 | \$640.00 | |
| 05/31/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$25.39 | \$1,269.50 | |
| 06/04/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 30.000 | \$29.04 | \$871.20 | |
| 06/04/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$29.40 | \$1,470.00 | |
| 06/05/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$28.90 | \$722.50 | |
| 06/07/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$29.65 | \$741.25 | |
| 06/07/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 50.000 | \$30.11 | \$1,505.61 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--|-----------|-------------------------|----------|---------|------------|------------------------|
| 06/07/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 200.000 | \$30.35 | \$6,070.22 | |
| 06/08/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 10.000 | \$33.60 | \$336.00 | |
| 06/08/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 100.000 | \$33.33 | \$3,332.92 | |
| 06/08/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 100.000 | \$33.90 | \$3,390.00 | |
| 06/08/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 1.000 | \$33.57 | \$33.55 | |
| 06/08/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 234.000 | \$33.51 | \$7,841.29 | |
| 06/11/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 10.000 | \$34.09 | \$340.90 | |
| 06/11/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 20.000 | \$33.90 | \$678.00 | |
| 06/11/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 20.000 | \$34.20 | \$684.00 | |
| 06/11/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$33.46 | \$1,673.00 | |
| 06/11/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 100.000 | \$33.46 | \$3,346.00 | |
| 06/12/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 20.000 | \$34.00 | \$680.00 | |
| 06/14/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$41.19 | \$2,059.50 | |
| 06/14/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 200.000 | \$39.30 | \$7,859.89 | |
| 06/15/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 1.000 | \$39.44 | \$39.44 | |
| 06/15/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 40.000 | \$39.86 | \$1,594.40 | |
| 06/18/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 30.000 | \$43.70 | \$1,310.97 | |
| 06/18/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 81.000 | \$43.70 | \$3,539.64 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 5.000 | \$42.45 | \$212.25 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 20.000 | \$41.85 | \$837.00 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$42.60 | \$1,065.00 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$42.65 | \$1,066.25 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$43.00 | \$2,150.00 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$43.50 | \$2,175.00 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$44.10 | \$2,205.00 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 50.000 | \$45.79 | \$2,289.65 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 25.000 | \$44.15 | \$1,103.65 | |
| 06/19/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 75.000 | \$44.14 | \$3,310.44 | |
| 06/21/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$39.30 | \$982.50 | |
| 06/21/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$40.41 | \$1,010.25 | |
| 06/22/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 25.000 | \$36.17 | \$904.25 | |
| 06/25/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 10.000 | \$32.68 | \$326.80 | |
| 06/25/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 10.000 | \$32.70 | \$327.00 | |
| 06/25/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 10.000 | \$33.30 | \$333.00 | |
| 06/27/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 1.000 | \$31.23 | \$31.23 | |
| 06/27/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 10.000 | \$31.10 | \$311.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--|-----------|-------------------------|-----------|---------|------------|------------------------|
| 07/05/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 8.000 | \$31.00 | \$248.00 | |
| 07/06/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 1.000 | \$29.88 | \$29.88 | |
| 07/06/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 10.000 | \$29.88 | \$298.80 | |
| 07/09/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 310.000 | \$30.57 | \$9,477.62 | |
| 07/10/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | PURCHASE | 100.000 | \$31.39 | \$3,138.79 | |
| 07/12/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 100.000 | \$35.00 | \$3,499.94 | |
| 07/30/18 | ***QIYI INC AMERICAN DEPOSITARY SHARES | 46267X108 | SELL | 1.000 | \$31.62 | \$31.60 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 100.000 | \$0.17 | \$16.80 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 100.000 | \$0.17 | \$16.80 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 100.000 | \$0.17 | \$16.80 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 100.000 | \$0.17 | \$16.80 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 100.000 | \$0.17 | \$16.80 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 100.000 | \$0.17 | \$16.80 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 350.000 | \$0.17 | \$58.77 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 400.000 | \$0.17 | \$67.20 | |
| 03/08/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 650.000 | \$0.17 | \$109.20 | |
| 03/09/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 1.000 | \$0.32 | \$0.32 | |
| 03/09/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | SELL | 200.000 | \$0.32 | \$63.79 | |
| 03/12/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | SELL | 1.000 | \$0.23 | \$0.21 | |
| 03/12/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | SELL | 188.000 | \$0.23 | \$42.65 | |
| 03/12/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | SELL | 1,612.000 | \$0.23 | \$365.72 | |
| 03/13/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | PURCHASE | 1.000 | \$0.25 | \$0.25 | |
| 04/05/18 | JAGUAR HEALTH INC COMMON STOCK | 47010C201 | SELL | 1.000 | \$0.18 | \$0.16 | |
| 01/17/18 | JUNO THERAPEUTICS INC COM | 48205A109 | PURCHASE | 8.000 | \$69.18 | \$553.44 | |
| 01/19/18 | JUNO THERAPEUTICS INC COM | 48205A109 | SELL | 8.000 | \$69.03 | \$552.21 | |
| 03/06/18 | KEMET CORP COM | 488360207 | PURCHASE | 2.000 | \$18.01 | \$36.02 | |
| 03/06/18 | KEMET CORP COM | 488360207 | PURCHASE | 8.000 | \$17.96 | \$143.68 | |
| 03/09/18 | KEMET CORP COM | 488360207 | SELL | 10.000 | \$19.52 | \$195.18 | |
| 03/19/18 | KEMET CORP COM | 488360207 | PURCHASE | 50.000 | \$19.25 | \$962.50 | |
| 03/21/18 | KEMET CORP COM | 488360207 | SELL | 50.000 | \$20.02 | \$1,001.00 | |
| 07/11/18 | KEMET CORP COM | 488360207 | PURCHASE | 1.000 | \$28.23 | \$28.23 | |
| 08/13/18 | KEMET CORP COM | 488360207 | SELL | 1.000 | \$24.17 | \$24.15 | |
| 01/09/18 | LM FUNDING AMERICA INC COM | 502074107 | PURCHASE | 500.000 | \$1.69 | \$844.70 | |
| 01/10/18 | LM FUNDING AMERICA INC COM | 502074107 | SELL | 500.000 | \$1.53 | \$765.12 | |
| 01/24/18 | LIGHTBRIDGE CORPORATION COM | 53224K203 | PURCHASE | 14.000 | \$3.84 | \$53.76 | |
| 01/24/18 | LIGHTBRIDGE CORPORATION COM | 53224K203 | PURCHASE | 83.000 | \$3.82 | \$317.06 | |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---------------------------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 01/25/18 | LIGHTBRIDGE CORPORATION COM | 53224K203 | SELL | 97.000 | \$3.13 | \$303.59 | |
| 04/05/18 | LONGFIN CORP CLASS A COMMON STOCK | 54304F106 | PURCHASE | 15.000 | \$17.12 | \$256.80 | |
| 04/05/18 | LONGFIN CORP CLASS A COMMON STOCK | 54304F106 | PURCHASE | 75.000 | \$17.12 | \$1,284.00 | |
| 05/24/18 | LONGFIN CORP CL A CM STK COMMON STOCK | 54304F106 | SELL | 90.000 | \$6.60 | \$593.98 | |
| 01/03/18 | MEXCO ENERGY CORP | 592770101 | PURCHASE | 150.000 | \$5.19 | \$778.50 | |
| 01/04/18 | MEXCO ENERGY CORP | 592770101 | SELL | 150.000 | \$5.05 | \$757.48 | |
| 03/16/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 30.000 | \$60.55 | \$1,816.50 | |
| 03/22/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 10.000 | \$57.95 | \$579.50 | |
| 03/22/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 20.000 | \$54.25 | \$1,085.00 | |
| 03/27/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 10.000 | \$54.55 | \$545.50 | |
| 03/27/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 30.000 | \$52.54 | \$1,576.05 | |
| 03/28/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 25.000 | \$51.40 | \$1,285.00 | |
| 04/12/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 100.000 | \$52.61 | \$5,260.36 | |
| 04/13/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 30.000 | \$52.24 | \$1,567.20 | |
| 04/17/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 24.000 | \$51.95 | \$1,246.80 | |
| 04/17/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 30.000 | \$52.00 | \$1,560.00 | |
| 04/18/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 60.000 | \$53.95 | \$3,236.91 | |
| 04/19/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 75.000 | \$51.41 | \$3,855.75 | |
| 04/30/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 10.000 | \$45.75 | \$457.45 | |
| 05/08/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 10.000 | \$48.56 | \$485.60 | |
| 05/08/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 25.000 | \$48.56 | \$1,214.00 | |
| 05/10/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 20.000 | \$52.46 | \$1,049.16 | |
| 05/10/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 69.000 | \$51.85 | \$3,577.55 | |
| 05/11/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 79.000 | \$52.25 | \$4,127.64 | |
| 05/17/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 20.000 | \$56.15 | \$1,123.00 | |
| 05/21/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 20.000 | \$55.40 | \$1,107.90 | |
| 05/22/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 40.000 | \$58.92 | \$2,356.55 | |
| 05/23/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 1.000 | \$59.05 | \$59.03 | |
| 05/30/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 1.000 | \$61.73 | \$61.73 | |
| 05/30/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 20.000 | \$64.00 | \$1,280.00 | |
| 05/30/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 24.000 | \$62.67 | \$1,504.08 | |
| 05/30/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 30.000 | \$64.29 | \$1,928.70 | |
| 05/30/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 50.000 | \$61.78 | \$3,089.00 | |
| 05/31/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 50.000 | \$59.63 | \$2,981.50 | |
| 06/14/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 1.000 | \$59.00 | \$59.00 | |
| 06/14/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 1.000 | \$59.02 | \$59.02 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|-----------------------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 06/18/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 10.000 | \$58.49 | \$584.90 | |
| 06/18/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 10.000 | \$58.55 | \$585.50 | |
| 06/18/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 10.000 | \$58.57 | \$585.70 | |
| 06/20/18 | MICRON TECHNOLOGY INC | 595112103 | PURCHASE | 20.000 | \$60.07 | \$1,201.40 | |
| 06/21/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 100.000 | \$61.17 | \$6,116.91 | |
| 06/21/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 126.000 | \$61.16 | \$7,706.17 | |
| 07/06/18 | MICRON TECHNOLOGY INC | 595112103 | SELL | 1.000 | \$53.23 | \$53.22 | |
| 03/07/18 | ***MOMO INC ADR | 60879B107 | PURCHASE | 1.000 | \$36.10 | \$36.10 | |
| 03/08/18 | ***MOMO INC ADR | 60879B107 | PURCHASE | 25.000 | \$36.58 | \$914.50 | |
| 03/12/18 | ***MOMO INC ADR | 60879B107 | SELL | 25.000 | \$37.25 | \$931.24 | |
| 03/16/18 | ***MOMO INC ADR | 60879B107 | PURCHASE | 25.000 | \$36.85 | \$921.25 | |
| 03/21/18 | ***MOMO INC ADR | 60879B107 | SELL | 25.000 | \$39.95 | \$998.72 | |
| 03/22/18 | ***MOMO INC ADR | 60879B107 | PURCHASE | 10.000 | \$38.85 | \$388.50 | |
| 03/27/18 | ***MOMO INC ADR | 60879B107 | PURCHASE | 50.000 | \$36.45 | \$1,822.50 | |
| 04/11/18 | ***MOMO INC ADR | 60879B107 | SELL | 60.000 | \$37.61 | \$2,256.53 | |
| 04/27/18 | ***MOMO INC ADR | 60879B107 | SELL | 1.000 | \$35.71 | \$35.69 | |
| 03/20/18 | MULESOFT INC CLASS A COMMON STOCK | 625207105 | PURCHASE | 15.000 | \$44.05 | \$660.75 | |
| 03/21/18 | MULESOFT INC CLASS A COMMON STOCK | 625207105 | SELL | 15.000 | \$44.50 | \$667.47 | |
| 01/05/18 | MY SIZE INC COM | 62844N109 | PURCHASE | 200.000 | \$1.94 | \$387.92 | |
| 01/08/18 | MY SIZE INC COM | 62844N109 | PURCHASE | 50.000 | \$2.86 | \$143.00 | |
| 01/08/18 | MY SIZE INC COM | 62844N109 | PURCHASE | 50.000 | \$2.88 | \$144.00 | |
| 01/08/18 | MY SIZE INC COM | 62844N109 | PURCHASE | 100.000 | \$2.88 | \$288.00 | |
| 01/09/18 | MY SIZE INC COM | 62844N109 | PURCHASE | 100.000 | \$2.09 | \$209.00 | |
| 01/09/18 | MY SIZE INC COM | 62844N109 | PURCHASE | 100.000 | \$2.09 | \$209.00 | |
| 01/10/18 | MY SIZE INC COM | 62844N109 | SELL | 1.000 | \$1.48 | \$1.46 | |
| 01/10/18 | MY SIZE INC COM | 62844N109 | SELL | 599.000 | \$1.74 | \$1,042.46 | |
| 01/26/18 | MY SIZE INC COM | 62844N109 | PURCHASE | 1.000 | \$2.69 | \$2.69 | |
| 02/16/18 | MY SIZE INC COM | 62844N109 | SELL | 1.000 | \$1.25 | \$1.23 | |
| 01/29/18 | MYOMO INC COMMON STOCK | 62857J102 | PURCHASE | 1.000 | \$5.09 | \$5.09 | |
| 02/01/18 | MYOMO INC COMMON STOCK | 62857J102 | PURCHASE | 10.000 | \$4.61 | \$46.10 | |
| 02/02/18 | MYOMO INC COMMON STOCK | 62857J102 | PURCHASE | 50.000 | \$4.18 | \$208.95 | |
| 02/12/18 | MYOMO INC COMMON STOCK | 62857J102 | SELL | 5.000 | \$3.59 | \$17.93 | |
| 02/12/18 | MYOMO INC COMMON STOCK | 62857J102 | SELL | 56.000 | \$3.53 | \$197.66 | |
| 01/17/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | PURCHASE | 16.000 | \$2.48 | \$39.68 | |
| 01/17/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | PURCHASE | 19.000 | \$2.81 | \$53.39 | |
| 01/17/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | PURCHASE | 20.000 | \$2.48 | \$49.60 | |

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 350 N. ST PAUL, SUITE 1300
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Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

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IN ACCOUNT WITH

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DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|-----------------------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 01/17/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | PURCHASE | 64.000 | \$2.48 | \$158.72 | |
| 01/17/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | PURCHASE | 81.000 | \$2.81 | \$227.61 | |
| 01/18/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | PURCHASE | 58.000 | \$2.08 | \$120.64 | |
| 01/23/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | PURCHASE | 100.000 | \$2.09 | \$209.00 | |
| 01/24/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | PURCHASE | 79.000 | \$2.00 | \$158.00 | |
| 01/25/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | SELL | 100.000 | \$1.87 | \$186.98 | |
| 01/25/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | SELL | 100.000 | \$1.88 | \$187.98 | |
| 01/25/18 | NEUROMETRIX INC COMMON STOCK | 641255708 | SELL | 237.000 | \$1.82 | \$431.30 | |
| 01/19/18 | NEW AGE BEVERAGES CORPORATION COM | 64157V108 | PURCHASE | 1.000 | \$3.51 | \$3.51 | |
| 01/22/18 | NEW AGE BEVERAGES CORPORATION COM | 64157V108 | SELL | 1.000 | \$3.90 | \$3.88 | |
| 02/09/18 | NOVAVAX INC | 670002104 | PURCHASE | 1.000 | \$1.99 | \$1.99 | |
| 02/09/18 | NOVAVAX INC | 670002104 | PURCHASE | 1.000 | \$2.00 | \$2.00 | |
| 02/12/18 | NOVAVAX INC | 670002104 | PURCHASE | 90.000 | \$1.98 | \$178.20 | |
| 02/15/18 | NOVAVAX INC | 670002104 | PURCHASE | 20.000 | \$2.10 | \$41.98 | |
| 02/15/18 | NOVAVAX INC | 670002104 | PURCHASE | 50.000 | \$2.10 | \$104.95 | |
| 02/16/18 | NOVAVAX INC | 670002104 | PURCHASE | 100.000 | \$2.25 | \$225.00 | |
| 02/16/18 | NOVAVAX INC | 670002104 | PURCHASE | 100.000 | \$2.46 | \$246.00 | |
| 03/01/18 | NOVAVAX INC | 670002104 | PURCHASE | 100.000 | \$2.27 | \$227.00 | |
| 03/01/18 | NOVAVAX INC | 670002104 | SELL | 100.000 | \$2.54 | \$254.48 | |
| 03/01/18 | NOVAVAX INC | 670002104 | SELL | 100.000 | \$2.54 | \$254.48 | |
| 03/01/18 | NOVAVAX INC | 670002104 | SELL | 161.000 | \$2.54 | \$409.72 | |
| 03/02/18 | NOVAVAX INC | 670002104 | SELL | 101.000 | \$2.16 | \$218.15 | |
| 01/04/18 | NXT ID INC COM NEW | 67091J206 | PURCHASE | 250.000 | \$3.63 | \$907.48 | |
| 01/05/18 | NXT ID INC COM NEW | 67091J206 | SELL | 100.000 | \$3.48 | \$347.98 | |
| 01/05/18 | NXT ID INC COM NEW | 67091J206 | SELL | 150.000 | \$3.48 | \$521.96 | |
| 04/04/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 100.000 | \$12.68 | \$1,268.00 | |
| 04/05/18 | OMEROS CORPORATION | 682143102 | SELL | 99.000 | \$12.85 | \$1,272.17 | |
| 04/11/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 30.000 | \$14.64 | \$439.18 | |
| 04/11/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 30.000 | \$14.75 | \$442.50 | |
| 04/11/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$15.05 | \$752.50 | |
| 04/11/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 65.000 | \$15.00 | \$974.95 | |
| 04/17/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 25.000 | \$15.28 | \$381.99 | |
| 04/17/18 | OMEROS CORPORATION | 682143102 | SELL | 175.000 | \$14.72 | \$2,576.03 | |
| 04/19/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 100.000 | \$14.95 | \$1,495.00 | |
| 04/24/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 59.000 | \$14.33 | \$845.47 | |
| 04/30/18 | OMEROS CORPORATION | 682143102 | SELL | 85.000 | \$14.80 | \$1,257.96 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 04/30/18 | OMEROS CORPORATION | 682143102 | SELL | 99.000 | \$14.73 | \$1,458.06 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 5.000 | \$17.16 | \$85.80 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 5.000 | \$17.16 | \$85.80 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 10.000 | \$17.08 | \$170.80 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 10.000 | \$17.21 | \$172.09 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 10.000 | \$17.40 | \$174.00 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 10.000 | \$17.47 | \$174.70 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 20.000 | \$17.03 | \$340.60 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 20.000 | \$17.20 | \$344.00 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$17.09 | \$854.41 | |
| 05/09/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$17.39 | \$869.50 | |
| 05/10/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 10.000 | \$16.30 | \$163.00 | |
| 05/10/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 40.000 | \$16.30 | \$652.00 | |
| 05/10/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$16.30 | \$815.00 | |
| 05/11/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 100.000 | \$19.36 | \$1,935.50 | |
| 05/11/18 | OMEROS CORPORATION | 682143102 | SELL | 139.000 | \$18.04 | \$2,506.80 | |
| 05/11/18 | OMEROS CORPORATION | 682143102 | SELL | 151.000 | \$18.08 | \$2,729.42 | |
| 05/14/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$20.10 | \$1,005.00 | |
| 05/15/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$24.35 | \$1,217.50 | |
| 05/15/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$24.70 | \$1,235.00 | |
| 05/15/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$24.85 | \$1,242.44 | |
| 05/15/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 100.000 | \$24.83 | \$2,482.85 | |
| 05/15/18 | OMEROS CORPORATION | 682143102 | SELL | 150.000 | \$23.59 | \$3,538.41 | |
| 05/16/18 | OMEROS CORPORATION | 682143102 | SELL | 100.000 | \$22.42 | \$2,241.93 | |
| 05/16/18 | OMEROS CORPORATION | 682143102 | SELL | 150.000 | \$22.93 | \$3,440.03 | |
| 05/17/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 1.000 | \$19.81 | \$19.81 | |
| 05/17/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 5.000 | \$21.14 | \$105.69 | |
| 05/17/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 20.000 | \$20.65 | \$413.00 | |
| 05/17/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 25.000 | \$21.28 | \$531.99 | |
| 05/17/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 100.000 | \$21.74 | \$2,173.70 | |
| 05/18/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$20.03 | \$1,001.50 | |
| 05/22/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 23.000 | \$21.07 | \$484.61 | |
| 05/22/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 27.000 | \$21.07 | \$568.89 | |
| 05/22/18 | OMEROS CORPORATION | 682143102 | PURCHASE | 50.000 | \$20.88 | \$1,044.00 | |
| 05/30/18 | OMEROS CORPORATION | 682143102 | SELL | 10.000 | \$20.17 | \$201.68 | |
| 05/30/18 | OMEROS CORPORATION | 682143102 | SELL | 92.000 | \$20.10 | \$1,849.35 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---|-----------|-------------------------|-----------|---------|------------|------------------------|
| 05/30/18 | OMEROS CORPORATION | 682143102 | SELL | 100.000 | \$20.52 | \$2,052.13 | |
| 05/31/18 | OMEROS CORPORATION | 682143102 | SELL | 99.000 | \$20.06 | \$1,986.00 | |
| 07/02/18 | OMEROS CORPORATION | 682143102 | SELL | 1.000 | \$18.02 | \$18.00 | |
| 02/16/18 | OTONOMY INC COM | 68906L105 | PURCHASE | 1.000 | \$5.95 | \$5.95 | |
| 02/16/18 | OTONOMY INC COM | 68906L105 | PURCHASE | 50.000 | \$5.90 | \$295.00 | |
| 02/20/18 | OTONOMY INC COM | 68906L105 | PURCHASE | 25.000 | \$5.80 | \$145.00 | |
| 02/20/18 | OTONOMY INC COM | 68906L105 | PURCHASE | 50.000 | \$5.75 | \$287.50 | |
| 02/27/18 | OTONOMY INC COM | 68906L105 | PURCHASE | 50.000 | \$5.95 | \$297.50 | |
| 02/28/18 | OTONOMY INC COM | 68906L105 | SELL | 50.000 | \$6.00 | \$299.98 | |
| 03/01/18 | OTONOMY INC COM | 68906L105 | PURCHASE | 100.000 | \$5.65 | \$565.00 | |
| 03/09/18 | OTONOMY INC COM | 68906L105 | SELL | 225.000 | \$5.25 | \$1,181.19 | |
| 03/12/18 | OTONOMY INC COM | 68906L105 | SELL | 1.000 | \$5.16 | \$5.14 | |
| 01/08/18 | OVERSTOCK.COM INC DEL | 690370101 | PURCHASE | 1.000 | \$86.95 | \$86.95 | |
| 01/10/18 | OVERSTOCK.COM INC DEL | 690370101 | PURCHASE | 10.000 | \$80.35 | \$803.48 | |
| 01/10/18 | OVERSTOCK.COM INC DEL | 690370101 | PURCHASE | 20.000 | \$80.30 | \$1,606.00 | |
| 01/10/18 | OVERSTOCK.COM INC DEL | 690370101 | PURCHASE | 20.000 | \$80.80 | \$1,616.00 | |
| 01/16/18 | OVERSTOCK.COM INC DEL | 690370101 | SELL | 20.000 | \$69.95 | \$1,398.95 | |
| 01/17/18 | OVERSTOCK.COM INC DEL | 690370101 | SELL | 1.000 | \$68.80 | \$68.78 | |
| 01/17/18 | OVERSTOCK.COM INC DEL | 690370101 | SELL | 10.000 | \$68.80 | \$687.97 | |
| 01/17/18 | OVERSTOCK.COM INC DEL | 690370101 | SELL | 20.000 | \$68.15 | \$1,362.95 | |
| 01/03/18 | PARETEUM CORPORATION COMMON STOCK | 69946T207 | PURCHASE | 380.000 | \$3.17 | \$1,204.41 | |
| 01/03/18 | PARETEUM CORPORATION COMMON STOCK | 69946T207 | SELL | 380.000 | \$3.07 | \$1,166.90 | |
| 03/13/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | PURCHASE | 1.000 | \$6.13 | \$6.13 | |
| 03/13/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | PURCHASE | 10.000 | \$6.55 | \$65.50 | |
| 03/13/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | PURCHASE | 14.000 | \$6.55 | \$91.70 | |
| 03/13/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | PURCHASE | 48.000 | \$6.55 | \$314.40 | |
| 03/14/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | SELL | 72.000 | \$6.59 | \$474.55 | |
| 03/16/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | PURCHASE | 100.000 | \$7.24 | \$724.00 | |
| 03/22/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | PURCHASE | 100.000 | \$5.37 | \$536.99 | |
| 03/26/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | SELL | 100.000 | \$4.55 | \$454.97 | |
| 03/26/18 | PROTEOSTASIS THERAPEUTICS INC COM | 74373B109 | SELL | 101.000 | \$4.55 | \$459.52 | |
| 05/22/18 | REGIONAL HEALTH PROPERTIES INC COMMON S | 75903M101 | PURCHASE | 1,000.000 | \$0.45 | \$454.97 | |
| 05/23/18 | REGIONAL HEALTH PROPERTIES INC COMMON S | 75903M101 | SELL | 100.000 | \$0.25 | \$24.99 | |
| 05/23/18 | REGIONAL HEALTH PROPERTIES INC COMMON S | 75903M101 | SELL | 900.000 | \$0.25 | \$224.88 | |
| 01/02/18 | RIOT BLOCKCHAIN INC COMMON STOCK | 767292105 | SELL | 11.000 | \$26.31 | \$289.43 | |
| 01/11/18 | RITE AID CORP | 767754104 | PURCHASE | 1.000 | \$2.36 | \$2.36 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|-----------------------|-----------|-------------------------|-----------|--------|------------|------------------------|
| 01/12/18 | RITE AID CORP | 767754104 | SELL | 1.000 | \$2.49 | \$2.47 | |
| 01/10/18 | SEMILEDS CORP COM NEW | 816645204 | PURCHASE | 100.000 | \$7.30 | \$729.99 | |
| 01/11/18 | SEMILEDS CORP COM NEW | 816645204 | SELL | 100.000 | \$4.72 | \$472.07 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 20.000 | \$1.34 | \$26.80 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 40.000 | \$1.46 | \$58.40 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 49.000 | \$1.13 | \$55.37 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 75.000 | \$1.41 | \$105.75 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.13 | \$113.00 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.49 | \$149.00 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 101.000 | \$1.13 | \$114.13 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 200.000 | \$1.48 | \$296.00 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 200.000 | \$1.50 | \$300.00 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 250.000 | \$1.13 | \$282.50 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 350.000 | \$1.31 | \$458.50 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 500.000 | \$1.07 | \$535.00 | |
| 05/21/18 | SENESTECH INC COM | 81720R109 | SELL | 1,000.000 | \$1.16 | \$1,159.96 | |
| 05/22/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 500.000 | \$1.29 | \$645.00 | |
| 05/22/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 500.000 | \$1.38 | \$689.60 | |
| 05/22/18 | SENESTECH INC COM | 81720R109 | SELL | 30.000 | \$1.43 | \$42.88 | |
| 05/22/18 | SENESTECH INC COM | 81720R109 | SELL | 954.000 | \$1.64 | \$1,564.71 | |
| 05/23/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 391.000 | \$1.42 | \$555.22 | |
| 05/23/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 609.000 | \$1.42 | \$864.29 | |
| 05/23/18 | SENESTECH INC COM | 81720R109 | SELL | 100.000 | \$1.33 | \$132.99 | |
| 05/23/18 | SENESTECH INC COM | 81720R109 | SELL | 900.000 | \$1.35 | \$1,214.96 | |
| 05/25/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.15 | \$115.00 | |
| 05/31/18 | SENESTECH INC COM | 81720R109 | SELL | 100.000 | \$1.34 | \$133.98 | |
| 05/31/18 | SENESTECH INC COM | 81720R109 | SELL | 500.000 | \$1.34 | \$669.98 | |
| 05/31/18 | SENESTECH INC COM | 81720R109 | SELL | 500.000 | \$1.35 | \$675.33 | |
| 06/05/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.84 | \$184.00 | |
| 06/05/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 200.000 | \$1.85 | \$370.00 | |
| 06/06/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$2.31 | \$230.50 | |
| 06/06/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$2.31 | \$231.00 | |
| 06/06/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 200.000 | \$2.26 | \$452.00 | |
| 06/06/18 | SENESTECH INC COM | 81720R109 | SELL | 35.000 | \$2.04 | \$71.38 | |
| 06/06/18 | SENESTECH INC COM | 81720R109 | SELL | 265.000 | \$2.01 | \$532.61 | |
| 06/06/18 | SENESTECH INC COM | 81720R109 | SELL | 400.000 | \$2.18 | \$872.57 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--|-----------|-------------------------|----------|---------|------------|------------------------|
| 06/07/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 50.000 | \$1.89 | \$94.46 | |
| 06/07/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.66 | \$166.00 | |
| 06/07/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.70 | \$170.00 | |
| 06/07/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.71 | \$170.96 | |
| 06/08/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.42 | \$142.00 | |
| 06/08/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 100.000 | \$1.43 | \$143.00 | |
| 06/11/18 | SENESTECH INC COM | 81720R109 | SELL | 550.000 | \$1.62 | \$890.97 | |
| 06/21/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 500.000 | \$2.06 | \$1,029.95 | |
| 06/22/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 37.000 | \$1.92 | \$71.04 | |
| 06/22/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 213.000 | \$1.92 | \$408.96 | |
| 06/22/18 | SENESTECH INC COM | 81720R109 | PURCHASE | 250.000 | \$1.90 | \$474.75 | |
| 07/02/18 | SENESTECH INC COM | 81720R109 | SELL | 11.000 | \$1.56 | \$17.14 | |
| 07/02/18 | SENESTECH INC COM | 81720R109 | SELL | 14.000 | \$1.54 | \$21.54 | |
| 07/02/18 | SENESTECH INC COM | 81720R109 | SELL | 275.000 | \$1.55 | \$426.21 | |
| 07/02/18 | SENESTECH INC COM | 81720R109 | SELL | 700.000 | \$1.54 | \$1,077.90 | |
| 07/12/18 | SENESTECH INC COM | 81720R109 | SELL | 1.000 | \$1.23 | \$1.21 | |
| 06/22/18 | SENSEONICS HOLDINGS INC COMMON STOCK \$0 | 81727U105 | PURCHASE | 100.000 | \$4.76 | \$476.00 | |
| 06/22/18 | SENSEONICS HOLDINGS INC COMMON STOCK \$0 | 81727U105 | PURCHASE | 100.000 | \$4.80 | \$480.00 | |
| 06/25/18 | SENSEONICS HOLDINGS INC COMMON STOCK \$0 | 81727U105 | SELL | 200.000 | \$4.68 | \$936.26 | |
| 03/09/18 | SHIFTPIXY INC COMMON STOCK | 82452L104 | PURCHASE | 100.000 | \$5.98 | \$598.00 | |
| 03/09/18 | SHIFTPIXY INC COMMON STOCK | 82452L104 | PURCHASE | 100.000 | \$6.10 | \$610.00 | |
| 03/13/18 | SHIFTPIXY INC COMMON STOCK | 82452L104 | SELL | 199.000 | \$3.78 | \$752.20 | |
| 03/14/18 | SHIFTPIXY INC COMMON STOCK | 82452L104 | SELL | 1.000 | \$3.70 | \$3.68 | |
| 01/31/18 | SKYLINE MEDICAL INC COMMON STOCK | 83084T606 | PURCHASE | 1.000 | \$1.27 | \$1.27 | |
| 01/31/18 | SKYLINE MEDICAL INC COMMON STOCK | 83084T606 | PURCHASE | 30.000 | \$1.36 | \$40.80 | |
| 01/31/18 | SKYLINE MEDICAL INC COMMON STOCK | 83084T606 | PURCHASE | 100.000 | \$1.41 | \$140.94 | |
| 02/01/18 | SKYLINE MEDICAL INC COMMON STOCK | 83084T606 | SELL | 131.000 | \$1.25 | \$163.85 | |
| 06/05/18 | ***SOGOU INC ADS EACH REPRESENTING ONE | 83409V104 | PURCHASE | 40.000 | \$11.19 | \$447.60 | |
| 06/05/18 | ***SOGOU INC ADS EACH REPRESENTING ONE | 83409V104 | PURCHASE | 100.000 | \$11.33 | \$1,133.00 | |
| 06/05/18 | ***SOGOU INC ADS EACH REPRESENTING ONE | 83409V104 | PURCHASE | 100.000 | \$11.46 | \$1,145.90 | |
| 06/08/18 | ***SOGOU INC ADS EACH REPRESENTING ONE | 83409V104 | SELL | 240.000 | \$11.32 | \$2,716.73 | |
| 06/14/18 | ***SOGOU INC ADS EACH REPRESENTING ONE | 83409V104 | PURCHASE | 100.000 | \$13.04 | \$1,304.00 | |
| 06/14/18 | ***SOGOU INC ADS EACH REPRESENTING ONE | 83409V104 | PURCHASE | 100.000 | \$13.42 | \$1,342.00 | |
| 06/20/18 | ***SOGOU INC ADS EACH REPRESENTING ONE | 83409V104 | SELL | 199.000 | \$13.64 | \$2,714.32 | |
| 07/30/18 | ***SOGOU INC ADS EACH REPRESENTING ONE | 83409V104 | SELL | 1.000 | \$9.16 | \$9.14 | |
| 06/22/18 | SOLENO THERAPEUTICS INC COMMON STOCK | 834203200 | PURCHASE | 1.000 | \$2.96 | \$2.96 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number:***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--------------------------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 06/25/18 | SOLENO THERAPEUTICS INC COMMON STOCK | 834203200 | SELL | 1.000 | \$2.75 | \$2.73 | |
| 01/05/18 | ***SOPHIRIS BIO INC COM NEW | 83578Q209 | PURCHASE | 100.000 | \$2.44 | \$243.99 | |
| 01/08/18 | ***SOPHIRIS BIO INC COM NEW | 83578Q209 | SELL | 100.000 | \$2.42 | \$241.99 | |
| 02/01/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 50.000 | \$7.24 | \$362.00 | |
| 02/05/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 50.000 | \$7.30 | \$365.00 | |
| 02/14/18 | SORRENTO THERAPEUTICS INC | 83587F202 | SELL | 99.000 | \$8.15 | \$806.82 | |
| 02/15/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 10.000 | \$8.54 | \$85.40 | |
| 02/15/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 100.000 | \$8.75 | \$875.00 | |
| 02/16/18 | SORRENTO THERAPEUTICS INC | 83587F202 | SELL | 110.000 | \$8.95 | \$984.46 | |
| 02/20/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 5.000 | \$9.24 | \$46.20 | |
| 02/28/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 8.000 | \$10.40 | \$83.20 | |
| 02/28/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 30.000 | \$10.40 | \$312.00 | |
| 02/28/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 50.000 | \$10.35 | \$517.50 | |
| 03/01/18 | SORRENTO THERAPEUTICS INC | 83587F202 | SELL | 93.000 | \$9.80 | \$911.36 | |
| 03/12/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 22.000 | \$8.30 | \$182.60 | |
| 03/12/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 25.000 | \$8.35 | \$208.75 | |
| 03/12/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 50.000 | \$8.35 | \$417.50 | |
| 03/13/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 100.000 | \$7.95 | \$795.00 | |
| 03/16/18 | SORRENTO THERAPEUTICS INC | 83587F202 | PURCHASE | 50.000 | \$7.70 | \$385.00 | |
| 03/20/18 | SORRENTO THERAPEUTICS INC | 83587F202 | SELL | 50.000 | \$8.05 | \$402.48 | |
| 03/27/18 | SORRENTO THERAPEUTICS INC | 83587F202 | SELL | 198.000 | \$6.21 | \$1,229.53 | |
| 07/06/18 | STITCH FIX INC CLASS A COMMON STOCK | 860897107 | PURCHASE | 50.000 | \$32.23 | \$1,611.42 | |
| 07/09/18 | STITCH FIX INC CLASS A COMMON STOCK | 860897107 | PURCHASE | 20.000 | \$32.30 | \$646.00 | |
| 07/09/18 | STITCH FIX INC CLASS A COMMON STOCK | 860897107 | PURCHASE | 30.000 | \$31.70 | \$951.00 | |
| 07/10/18 | STITCH FIX INC CLASS A COMMON STOCK | 860897107 | SELL | 99.000 | \$33.13 | \$3,280.21 | |
| 10/01/18 | STITCH FIX INC CLASS A COMMON STOCK | 860897107 | SELL | 1.000 | \$38.65 | \$38.63 | |
| 03/13/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | PURCHASE | 5.000 | \$10.63 | \$53.15 | |
| 03/13/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | PURCHASE | 100.000 | \$10.71 | \$1,070.99 | |
| 03/14/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | PURCHASE | 10.000 | \$13.40 | \$134.00 | |
| 03/14/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | PURCHASE | 25.000 | \$13.31 | \$332.75 | |
| 03/14/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | PURCHASE | 25.000 | \$13.60 | \$340.00 | |
| 03/14/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | PURCHASE | 35.000 | \$13.22 | \$462.70 | |
| 03/14/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | PURCHASE | 50.000 | \$13.49 | \$674.44 | |
| 03/14/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | SELL | 104.000 | \$12.60 | \$1,310.41 | |
| 03/21/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | SELL | 30.000 | \$14.08 | \$422.38 | |
| 03/21/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | SELL | 115.000 | \$14.08 | \$1,619.15 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---------------------------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 04/30/18 | SYNDAX PHARMACEUTICALS INC COM | 87164F105 | SELL | 1.000 | \$10.53 | \$10.51 | |
| 04/12/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 1.000 | \$7.07 | \$7.07 | |
| 05/11/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 10.000 | \$8.89 | \$88.90 | |
| 05/14/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$10.27 | \$1,026.97 | |
| 05/14/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$10.48 | \$1,048.00 | |
| 05/15/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 40.000 | \$11.64 | \$465.60 | |
| 05/15/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 100.000 | \$11.07 | \$1,106.96 | |
| 05/15/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 110.000 | \$11.07 | \$1,217.66 | |
| 05/16/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 40.000 | \$11.64 | \$465.59 | |
| 05/18/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$12.68 | \$1,267.94 | |
| 05/18/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$12.70 | \$1,269.50 | |
| 05/22/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 200.000 | \$12.87 | \$2,574.06 | |
| 05/23/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$13.31 | \$1,330.99 | |
| 05/25/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 50.000 | \$14.07 | \$703.50 | |
| 05/25/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 50.000 | \$14.12 | \$705.98 | |
| 05/31/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 1.000 | \$15.09 | \$15.09 | |
| 05/31/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 2.000 | \$14.63 | \$29.26 | |
| 05/31/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 14.000 | \$15.09 | \$211.26 | |
| 05/31/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$14.45 | \$722.50 | |
| 05/31/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$14.50 | \$725.00 | |
| 05/31/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$15.13 | \$756.45 | |
| 06/04/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 167.000 | \$15.15 | \$2,529.99 | |
| 06/05/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$15.22 | \$761.00 | |
| 06/05/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$15.35 | \$767.50 | |
| 06/05/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$15.20 | \$1,520.00 | |
| 06/08/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$15.61 | \$780.50 | |
| 06/08/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$15.65 | \$1,565.00 | |
| 06/08/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 100.000 | \$15.72 | \$1,572.06 | |
| 06/08/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 100.000 | \$15.73 | \$1,572.96 | |
| 06/11/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 150.000 | \$16.21 | \$2,431.46 | |
| 06/13/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 25.000 | \$18.30 | \$457.50 | |
| 06/13/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 35.000 | \$18.00 | \$630.00 | |
| 06/13/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$17.55 | \$877.50 | |
| 06/13/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$17.80 | \$890.00 | |
| 06/14/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 15.000 | \$18.33 | \$274.95 | |
| 06/14/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 25.000 | \$18.33 | \$458.25 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---------------------------------------|-----------|-------------------------|----------|---------|------------|------------------------|
| 06/14/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$18.00 | \$900.00 | |
| 06/19/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 250.000 | \$19.68 | \$4,919.98 | |
| 06/21/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 13.000 | \$21.05 | \$273.65 | |
| 06/21/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 25.000 | \$20.87 | \$521.75 | |
| 06/21/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 37.000 | \$21.05 | \$778.85 | |
| 06/21/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$20.10 | \$1,005.00 | |
| 06/21/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$20.86 | \$1,043.00 | |
| 06/21/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$21.12 | \$1,056.00 | |
| 06/21/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$21.90 | \$1,095.00 | |
| 06/21/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$23.57 | \$1,178.50 | |
| 06/22/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$24.74 | \$2,474.00 | |
| 06/22/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 50.000 | \$23.92 | \$1,195.99 | |
| 06/22/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 125.000 | \$24.08 | \$3,009.96 | |
| 06/22/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 250.000 | \$24.00 | \$5,999.89 | |
| 06/25/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$23.20 | \$1,160.00 | |
| 06/25/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$23.46 | \$1,172.75 | |
| 06/25/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 100.000 | \$23.46 | \$2,345.50 | |
| 06/27/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 10.000 | \$21.99 | \$219.90 | |
| 06/27/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 14.000 | \$22.18 | \$310.51 | |
| 07/02/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 100.000 | \$21.40 | \$2,139.96 | |
| 07/02/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 124.000 | \$20.29 | \$2,515.91 | |
| 07/09/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$23.00 | \$1,150.00 | |
| 07/10/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 50.000 | \$23.10 | \$1,154.98 | |
| 07/13/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | PURCHASE | 50.000 | \$25.50 | \$1,275.00 | |
| 07/18/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 50.000 | \$26.25 | \$1,312.47 | |
| 08/20/18 | TANDEM DIABETES CARE INC COMMON STOCK | 875372203 | SELL | 1.000 | \$35.86 | \$35.84 | |
| 01/02/18 | TECHNICAL COMMUNICATIONS CORP | 878409101 | SELL | 281.000 | \$10.26 | \$2,883.72 | |
| 01/16/18 | ***THE9 LTD ADR | 88337K104 | PURCHASE | 100.000 | \$1.38 | \$137.90 | |
| 01/17/18 | ***THE9 LTD ADR | 88337K104 | SELL | 100.000 | \$1.15 | \$114.99 | |
| 03/05/18 | TRANSENTERIX INC | 89366M201 | PURCHASE | 50.000 | \$1.93 | \$96.50 | |
| 03/06/18 | TRANSENTERIX INC | 89366M201 | SELL | 50.000 | \$1.68 | \$83.98 | |
| 05/21/18 | TRANSENTERIX INC | 89366M201 | PURCHASE | 100.000 | \$3.48 | \$347.90 | |
| 05/22/18 | TRANSENTERIX INC | 89366M201 | PURCHASE | 1.000 | \$3.24 | \$3.24 | |
| 05/22/18 | TRANSENTERIX INC | 89366M201 | PURCHASE | 99.000 | \$3.24 | \$320.27 | |
| 05/22/18 | TRANSENTERIX INC | 89366M201 | PURCHASE | 100.000 | \$3.19 | \$319.00 | |
| 05/23/18 | TRANSENTERIX INC | 89366M201 | SELL | 10.000 | \$2.83 | \$28.28 | |

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------------------|-----------|-------------------------|-----------|--------|------------|------------------------|
| 05/23/18 | TRANSENERIX INC | 89366M201 | SELL | 90.000 | \$2.83 | \$254.68 | |
| 05/23/18 | TRANSENERIX INC | 89366M201 | SELL | 100.000 | \$2.83 | \$282.98 | |
| 05/23/18 | TRANSENERIX INC | 89366M201 | SELL | 100.000 | \$2.83 | \$282.98 | |
| 06/22/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 100.000 | \$4.19 | \$418.90 | |
| 06/22/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 100.000 | \$4.19 | \$418.90 | |
| 06/22/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 100.000 | \$4.26 | \$425.75 | |
| 06/22/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 100.000 | \$4.26 | \$426.00 | |
| 06/22/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 100.000 | \$4.26 | \$426.00 | |
| 06/22/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 100.000 | \$4.33 | \$432.91 | |
| 06/25/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 100.000 | \$4.88 | \$488.00 | |
| 06/25/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 278.000 | \$4.98 | \$1,384.13 | |
| 06/25/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 722.000 | \$4.98 | \$3,594.26 | |
| 06/25/18 | TRANSENERIX INC | 89366M201 | SELL | 40.000 | \$4.47 | \$178.74 | |
| 06/25/18 | TRANSENERIX INC | 89366M201 | SELL | 559.000 | \$4.39 | \$2,453.96 | |
| 06/28/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 100.000 | \$5.10 | \$510.00 | |
| 06/28/18 | TRANSENERIX INC | 89366M201 | SELL | 100.000 | \$5.10 | \$509.98 | |
| 06/28/18 | TRANSENERIX INC | 89366M201 | SELL | 1,000.000 | \$5.03 | \$5,029.81 | |
| 06/29/18 | TRANSENERIX INC | 89366M201 | SELL | 100.000 | \$4.26 | \$425.99 | |
| 07/02/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 141.000 | \$4.15 | \$585.15 | |
| 07/02/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 259.000 | \$4.15 | \$1,074.85 | |
| 07/02/18 | TRANSENERIX INC | 89366M201 | PURCHASE | 500.000 | \$4.14 | \$2,070.00 | |
| 07/03/18 | TRANSENERIX INC | 89366M201 | SELL | 901.000 | \$3.81 | \$3,433.55 | |
| 01/04/18 | U S GLOBAL INVESTORS INC-CL A | 902952100 | PURCHASE | 50.000 | \$4.67 | \$233.50 | |
| 01/04/18 | U S GLOBAL INVESTORS INC-CL A | 902952100 | PURCHASE | 200.000 | \$4.68 | \$935.80 | |
| 01/05/18 | U S GLOBAL INVESTORS INC-CL A | 902952100 | SELL | 249.000 | \$5.16 | \$1,285.03 | |
| 01/17/18 | U S GLOBAL INVESTORS INC-CL A | 902952100 | SELL | 1.000 | \$3.57 | \$3.55 | |
| 01/02/18 | VTV THERAPEUTICS INC CLASS A COM | 918385105 | SELL | 61.000 | \$5.48 | \$334.27 | |
| 01/12/18 | WPCS INTERNATIONAL INC COM | 92931L401 | PURCHASE | 5.000 | \$1.17 | \$5.85 | |
| 01/12/18 | WPCS INTERNATIONAL INC COM | 92931L401 | PURCHASE | 100.000 | \$1.38 | \$138.00 | |
| 01/12/18 | WPCS INTERNATIONAL INC COM | 92931L401 | PURCHASE | 130.000 | \$1.51 | \$196.30 | |
| 01/17/18 | WPCS INTERNATIONAL INC COM | 92931L401 | SELL | 1.000 | \$1.05 | \$1.03 | |
| 01/17/18 | WPCS INTERNATIONAL INC COM | 92931L401 | SELL | 234.000 | \$1.11 | \$259.77 | |
| 06/26/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 1.000 | \$5.96 | \$5.96 | |
| 06/26/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 10.000 | \$5.97 | \$59.70 | |
| 06/26/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 39.000 | \$5.96 | \$232.44 | |
| 06/26/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 100.000 | \$6.18 | \$618.00 | |

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 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---|-----------|-------------------------|----------|---------|------------|------------------------|
| 06/27/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 10.000 | \$5.75 | \$57.50 | |
| 06/27/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 20.000 | \$4.75 | \$95.00 | |
| 06/28/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 50.000 | \$4.66 | \$233.00 | |
| 06/28/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 100.000 | \$4.70 | \$470.00 | |
| 06/28/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 100.000 | \$4.93 | \$493.00 | |
| 06/28/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | PURCHASE | 500.000 | \$5.21 | \$2,605.00 | |
| 06/29/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | SELL | 1.000 | \$4.10 | \$4.08 | |
| 06/29/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | SELL | 70.000 | \$4.30 | \$300.98 | |
| 06/29/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | SELL | 100.000 | \$4.30 | \$429.98 | |
| 06/29/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | SELL | 100.000 | \$4.35 | \$434.98 | |
| 06/29/18 | XENETIC BIOSCIENCES INC COM NEW | 984015206 | SELL | 659.000 | \$4.30 | \$2,833.58 | |
| 03/08/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 50.000 | \$18.88 | \$944.00 | |
| 03/08/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 75.000 | \$16.30 | \$1,222.50 | |
| 03/09/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 14.000 | \$17.26 | \$241.64 | |
| 03/09/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 84.000 | \$17.30 | \$1,453.20 | |
| 03/09/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 100.000 | \$19.80 | \$1,980.00 | |
| 03/09/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | SELL | 124.000 | \$19.56 | \$2,425.82 | |
| 03/13/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 50.000 | \$16.40 | \$820.00 | |
| 03/13/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 50.000 | \$16.45 | \$822.25 | |
| 03/13/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 70.000 | \$15.84 | \$1,108.63 | |
| 03/13/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | SELL | 1.000 | \$13.80 | \$13.78 | |
| 03/13/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | SELL | 198.000 | \$14.05 | \$2,781.83 | |
| 03/16/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | SELL | 169.000 | \$18.25 | \$3,084.88 | |
| 03/26/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 50.000 | \$14.58 | \$729.00 | |
| 03/26/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 50.000 | \$14.88 | \$744.00 | |
| 03/26/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 100.000 | \$14.12 | \$1,412.00 | |
| 03/28/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | SELL | 200.000 | \$9.63 | \$1,926.13 | |
| 05/14/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 100.000 | \$4.26 | \$425.99 | |
| 05/14/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 100.000 | \$4.35 | \$435.00 | |
| 05/14/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | PURCHASE | 100.000 | \$4.36 | \$435.90 | |
| 05/16/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | SELL | 100.000 | \$4.51 | \$450.97 | |
| 05/16/18 | ZOSANO PHARMA CORPORATION COMMON STOCK | 98979H202 | SELL | 201.000 | \$4.51 | \$906.46 | |
| 01/04/18 | ***CHINA INFORMATION TECHNOLOGY INC BVI | G21174100 | PURCHASE | 100.000 | \$3.11 | \$311.00 | |
| 01/04/18 | ***CHINA INFORMATION TECHNOLOGY INC BVI | G21174100 | PURCHASE | 100.000 | \$3.80 | \$380.00 | |
| 01/04/18 | ***CHINA INFORMATION TECHNOLOGY INC BVI | G21174100 | PURCHASE | 200.000 | \$2.61 | \$522.00 | |
| 01/04/18 | ***CHINA INFORMATION TECHNOLOGY INC BVI | G21174100 | PURCHASE | 200.000 | \$3.30 | \$660.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|---|-----------|-------------------------|----------|---------|------------|------------------------|
| 01/05/18 | ***CHINA INFORMATION TECHNOLOGY INC BVI | G21174100 | SELL | 1.000 | \$3.35 | \$3.33 | |
| 01/05/18 | ***CHINA INFORMATION TECHNOLOGY INC BVI | G21174100 | SELL | 599.000 | \$4.26 | \$2,549.03 | |
| 01/11/18 | ***CHINA INFORMATION TECHNOLOGY INC BVI | G21174100 | PURCHASE | 1.000 | \$2.96 | \$2.96 | |
| 01/12/18 | ***CHINA INFORMATION TECHNOLOGY INC BVI | G21174100 | SELL | 1.000 | \$2.87 | \$2.85 | |
| 03/14/18 | ***ORIGIN AGRITECH LIMITED | G67828106 | PURCHASE | 200.000 | \$1.02 | \$204.00 | |
| 03/15/18 | ***ORIGIN AGRITECH LIMITED | G67828106 | SELL | 199.000 | \$1.22 | \$242.75 | |
| 03/22/18 | ***ORIGIN AGRITECH LIMITED | G67828106 | SELL | 1.000 | \$0.88 | \$0.86 | |
| 06/26/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | PURCHASE | 10.000 | \$11.60 | \$116.00 | |
| 06/26/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | PURCHASE | 25.000 | \$11.65 | \$291.25 | |
| 06/26/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | PURCHASE | 100.000 | \$13.70 | \$1,370.00 | |
| 06/27/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | SELL | 134.000 | \$9.02 | \$1,208.76 | |
| 06/29/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | SELL | 1.000 | \$7.62 | \$7.60 | |
| 07/06/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | PURCHASE | 1.000 | \$8.31 | \$8.31 | |
| 07/06/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | PURCHASE | 150.000 | \$8.18 | \$1,227.00 | |
| 07/09/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | PURCHASE | 10.000 | \$6.89 | \$68.90 | |
| 07/11/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | PURCHASE | 25.000 | \$6.80 | \$170.00 | |
| 07/13/18 | ***ABILITY INC ORDINARY SHARES | G8789K124 | SELL | 186.000 | \$7.07 | \$1,314.14 | |

DETAILS OF 2018 OPTIONS ACTIVITY

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|----------|------------------------|
| 08/30/18 | CALL ZUO 09/21/18 40 ZUORA INC | 8275302 | PURCHASE | 1.000 | \$1.85 | \$185.00 | |
| 08/30/18 | CALL ZUO 09/21/18 40 ZUORA INC | 8275302 | PURCHASE | 1.000 | \$1.95 | \$195.00 | |
| 08/31/18 | CALL ZUO 09/21/18 40 ZUORA INC | 8275302 | PURCHASE | 1.000 | \$0.15 | \$15.00 | |
| 08/31/18 | CALL ZUO 09/21/18 40 ZUORA INC | 8275302 | PURCHASE | 2.000 | \$0.15 | \$30.00 | |
| 08/31/18 | CALL ZUO 09/21/18 40 ZUORA INC | 8275302 | PURCHASE | 5.000 | \$0.10 | \$50.00 | |
| 07/20/18 | CALL AMD 07/27/18 16.50 ADVANCED | 8278782 | PURCHASE | 2.000 | \$0.94 | \$188.00 | |
| 07/20/18 | CALL AMD 07/27/18 16.50 ADVANCED | 8278782 | PURCHASE | 5.000 | \$0.94 | \$470.00 | |
| 07/26/18 | CALL AMD 07/27/18 16.50 ADVANCED | 8278782 | SELL | 1.000 | \$0.99 | \$98.98 | |
| 07/26/18 | CALL AMD 07/27/18 16.50 ADVANCED | 8278782 | SELL | 2.000 | \$0.99 | \$197.98 | |
| 07/26/18 | CALL AMD 07/27/18 16.50 ADVANCED | 8278782 | SELL | 4.000 | \$0.98 | \$391.98 | |
| 07/20/18 | PUT MSFT 07/27/18 106 MICROSOFT CO | 8516662 | PURCHASE | 1.000 | \$0.79 | \$79.00 | |
| 07/20/18 | PUT MSFT 07/27/18 106 MICROSOFT CO | 8516662 | PURCHASE | 3.000 | \$0.79 | \$237.00 | |
| 07/20/18 | PUT MSFT 07/27/18 106 MICROSOFT CO | 8516662 | PURCHASE | 4.000 | \$0.79 | \$316.00 | |
| 07/20/18 | PUT MSFT 07/27/18 106 MICROSOFT CO | 8516662 | PURCHASE | 12.000 | \$0.79 | \$948.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|------------------|-------------------------|----------|--------|---------|------------------------|
| 07/23/18 | PUT MSFT 07/27/18 | 106 MICROSOFT CO | 8516662 | PURCHASE | 1.000 | \$0.30 | \$30.00 |
| 07/23/18 | PUT MSFT 07/27/18 | 106 MICROSOFT CO | 8516662 | PURCHASE | 1.000 | \$0.26 | \$26.00 |
| 07/23/18 | PUT MSFT 07/27/18 | 106 MICROSOFT CO | 8516662 | PURCHASE | 9.000 | \$0.26 | \$234.00 |
| 07/25/18 | PUT MSFT 07/27/18 | 106 MICROSOFT CO | 8516662 | PURCHASE | 1.000 | \$0.04 | \$4.00 |
| 07/27/18 | PUT MSFT 07/27/18 | 106 MICROSOFT CO | 8516662 | SELL | 32.000 | \$0.02 | \$63.93 |
| 07/20/18 | CALL UAA 07/27/18 | 21.50 UNDER ARM | 8529234 | PURCHASE | 3.000 | \$1.48 | \$444.00 |
| 07/23/18 | CALL UAA 07/27/18 | 21.50 UNDER ARM | 8529234 | SELL | 3.000 | \$1.34 | \$401.98 |
| 07/12/18 | CALL TNDM 07/20/18 | 30 TANDEM DIABE | 8556435 | PURCHASE | 1.000 | \$0.30 | \$30.00 |
| 07/13/18 | CALL TNDM 07/20/18 | 30 TANDEM DIABE | 8556435 | PURCHASE | 1.000 | \$0.20 | \$20.00 |
| 07/13/18 | CALL TNDM 07/20/18 | 30 TANDEM DIABE | 8556435 | PURCHASE | 1.000 | \$0.20 | \$20.00 |
| 07/13/18 | CALL TNDM 07/20/18 | 30 TANDEM DIABE | 8556435 | PURCHASE | 2.000 | \$0.30 | \$60.00 |
| 07/13/18 | CALL TNDM 07/20/18 | 30 TANDEM DIABE | 8556435 | PURCHASE | 5.000 | \$0.20 | \$100.00 |
| 07/26/18 | PUT AMD 08/10/18 | 18 ADVANCED MIC | 8566866 | PURCHASE | 3.000 | \$0.67 | \$201.00 |
| 07/27/18 | PUT AMD 08/10/18 | 18 ADVANCED MIC | 8566866 | PURCHASE | 1.000 | \$0.37 | \$37.00 |
| 07/27/18 | PUT AMD 08/10/18 | 18 ADVANCED MIC | 8566866 | PURCHASE | 2.000 | \$0.42 | \$84.00 |
| 07/27/18 | PUT AMD 08/10/18 | 18 ADVANCED MIC | 8566866 | PURCHASE | 2.000 | \$0.28 | \$56.00 |
| 07/27/18 | PUT AMD 08/10/18 | 18 ADVANCED MIC | 8566866 | PURCHASE | 2.000 | \$0.31 | \$62.00 |
| 07/27/18 | PUT AMD 08/10/18 | 18 ADVANCED MIC | 8566866 | PURCHASE | 3.000 | \$0.31 | \$93.00 |
| 08/03/18 | PUT AMD 08/10/18 | 18 ADVANCED MIC | 8566866 | SELL | 4.000 | \$0.22 | \$87.98 |
| 08/01/18 | CALL ATVI 08/10/18 | 73 ACTIVISION B | 8569051 | PURCHASE | 3.000 | \$2.37 | \$711.00 |
| 08/03/18 | CALL ATVI 08/10/18 | 73 ACTIVISION B | 8569051 | SELL | 3.000 | \$1.62 | \$485.98 |
| 07/30/18 | PUT CAT 08/10/18 | 143 CATERPILLAR | 8572749 | PURCHASE | 1.000 | \$2.64 | \$264.00 |
| 07/30/18 | PUT CAT 08/10/18 | 143 CATERPILLAR | 8572749 | PURCHASE | 1.000 | \$2.67 | \$267.00 |
| 07/31/18 | PUT CAT 08/10/18 | 143 CATERPILLAR | 8572749 | PURCHASE | 1.000 | \$2.88 | \$288.00 |
| 07/31/18 | PUT CAT 08/10/18 | 143 CATERPILLAR | 8572749 | PURCHASE | 1.000 | \$1.70 | \$170.00 |
| 08/01/18 | PUT CAT 08/10/18 | 143 CATERPILLAR | 8572749 | SELL | 2.000 | \$4.75 | \$949.97 |
| 08/06/18 | PUT CAT 08/10/18 | 143 CATERPILLAR | 8572749 | SELL | 2.000 | \$4.97 | \$993.97 |
| 08/02/18 | CALL TTWO 08/10/18 | 113 TAKE TWO INT | 8715462 | PURCHASE | 1.000 | \$4.60 | \$460.00 |
| 08/03/18 | CALL TTWO 08/10/18 | 113 TAKE TWO INT | 8715462 | SELL | 1.000 | \$15.10 | \$1,509.97 |
| 08/06/18 | CALL WTW 08/10/18 | 92 WEIGHT WATCH | 8719174 | PURCHASE | 2.000 | \$5.30 | \$1,060.00 |
| 08/07/18 | CALL WTW 08/10/18 | 92 WEIGHT WATCH | 8719174 | PURCHASE | 1.000 | \$0.10 | \$10.00 |
| 07/25/18 | CALL SYNT 08/17/18 | 40 SYNTEL INC | 8726644 | PURCHASE | 1.000 | \$0.85 | \$85.00 |
| 07/25/18 | CALL SYNT 08/17/18 | 40 SYNTEL INC | 8726644 | PURCHASE | 1.000 | \$0.85 | \$85.00 |
| 07/25/18 | CALL SYNT 08/17/18 | 40 SYNTEL INC | 8726644 | PURCHASE | 1.000 | \$0.85 | \$85.00 |
| 07/25/18 | CALL SYNT 08/17/18 | 40 SYNTEL INC | 8726644 | PURCHASE | 1.000 | \$0.86 | \$86.00 |
| 07/25/18 | CALL SYNT 08/17/18 | 40 SYNTEL INC | 8726644 | PURCHASE | 3.000 | \$0.85 | \$255.00 |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information | |
|----------|----------------------|----------|-------------------------|----------|----------|--------|------------------------|----------|
| 07/26/18 | CALL SYNT | 08/17/18 | 40 SYNTEL INC | 8726644 | SELL | 3.000 | \$0.80 | \$239.98 |
| 07/26/18 | CALL SYNT | 08/17/18 | 40 SYNTEL INC | 8726644 | SELL | 4.000 | \$0.80 | \$319.98 |
| 07/20/18 | CALL FNKO | 08/17/18 | 17.50 FUNKO INC | 8730885 | PURCHASE | 4.000 | \$1.40 | \$560.00 |
| 07/23/18 | CALL FNKO | 08/17/18 | 17.50 FUNKO INC | 8730885 | SELL | 1.000 | \$1.95 | \$194.98 |
| 07/23/18 | CALL FNKO | 08/17/18 | 17.50 FUNKO INC | 8730885 | SELL | 3.000 | \$1.95 | \$584.98 |
| 08/06/18 | PUT FNKO | 08/17/18 | 17.50 FUNKO INC | 8730886 | PURCHASE | 5.000 | \$1.15 | \$575.00 |
| 08/09/18 | PUT FNKO | 08/17/18 | 17.50 FUNKO INC | 8730886 | SELL | 1.000 | \$1.10 | \$109.98 |
| 08/09/18 | PUT FNKO | 08/17/18 | 17.50 FUNKO INC | 8730886 | SELL | 1.000 | \$1.10 | \$109.98 |
| 08/09/18 | PUT FNKO | 08/17/18 | 17.50 FUNKO INC | 8730886 | SELL | 1.000 | \$1.10 | \$109.98 |
| 08/09/18 | PUT FNKO | 08/17/18 | 17.50 FUNKO INC | 8730886 | SELL | 2.000 | \$1.10 | \$219.98 |
| 08/16/18 | PUT NVDA | 08/24/18 | 195 NVIDIA CORP | 8732860 | PURCHASE | 5.000 | \$0.21 | \$105.00 |
| 08/10/18 | PUT TTD | 08/24/18 | 115 TRADE DESK I | 8734766 | PURCHASE | 1.000 | \$2.00 | \$200.00 |
| 08/10/18 | PUT TTD | 08/24/18 | 115 TRADE DESK I | 8734766 | PURCHASE | 1.000 | \$2.00 | \$200.00 |
| 08/15/18 | PUT TTD | 08/24/18 | 115 TRADE DESK I | 8734766 | PURCHASE | 1.000 | \$0.45 | \$45.00 |
| 08/20/18 | PUT TTD | 08/24/18 | 115 TRADE DESK I | 8734766 | SELL | 3.000 | \$0.10 | \$29.98 |
| 08/22/18 | CALL BABA | 08/24/18 | 185 ALIBABA GROU | 8738452 | PURCHASE | 1.000 | \$2.16 | \$216.00 |
| 08/22/18 | CALL BABA | 08/24/18 | 185 ALIBABA GROU | 8738452 | PURCHASE | 1.000 | \$2.14 | \$214.00 |
| 08/22/18 | CALL BABA | 08/24/18 | 185 ALIBABA GROU | 8738452 | PURCHASE | 2.000 | \$2.02 | \$404.00 |
| 08/23/18 | CALL BABA | 08/24/18 | 185 ALIBABA GROU | 8738452 | SELL | 4.000 | \$1.83 | \$731.98 |
| 08/06/18 | PUT DATA | 08/10/18 | 104 TABLEAU SOFT | 8743007 | PURCHASE | 1.000 | \$1.45 | \$145.00 |
| 08/06/18 | PUT DATA | 08/10/18 | 104 TABLEAU SOFT | 8743007 | PURCHASE | 1.000 | \$1.45 | \$145.00 |
| 08/07/18 | PUT DATA | 08/10/18 | 104 TABLEAU SOFT | 8743007 | SELL | 1.000 | \$1.46 | \$145.98 |
| 08/07/18 | PUT DATA | 08/10/18 | 104 TABLEAU SOFT | 8743007 | SELL | 1.000 | \$1.45 | \$144.98 |
| 08/21/18 | CALL MOMO | 08/24/18 | 45 MOMO INC | 8755178 | PURCHASE | 2.000 | \$0.65 | \$130.00 |
| 08/21/18 | CALL MOMO | 08/24/18 | 45 MOMO INC | 8755178 | PURCHASE | 3.000 | \$0.65 | \$195.00 |
| 08/22/18 | CALL MOMO | 08/24/18 | 45 MOMO INC | 8755178 | SELL | 5.000 | \$0.15 | \$74.98 |
| 08/16/18 | CALL NVDA | 08/24/18 | 270 NVIDIA CORP | 8757481 | PURCHASE | 1.000 | \$4.55 | \$455.00 |
| 08/16/18 | CALL NVDA | 08/24/18 | 270 NVIDIA CORP | 8757481 | PURCHASE | 1.000 | \$4.25 | \$425.00 |
| 08/16/18 | CALL NVDA | 08/24/18 | 270 NVIDIA CORP | 8757481 | PURCHASE | 1.000 | \$4.10 | \$410.00 |
| 08/17/18 | CALL NVDA | 08/24/18 | 270 NVIDIA CORP | 8757481 | SELL | 1.000 | \$0.33 | \$32.98 |
| 08/17/18 | CALL NVDA | 08/24/18 | 270 NVIDIA CORP | 8757481 | SELL | 1.000 | \$0.26 | \$25.98 |
| 08/17/18 | CALL NVDA | 08/24/18 | 270 NVIDIA CORP | 8757481 | SELL | 1.000 | \$0.19 | \$18.98 |
| 08/21/18 | CALL TGT | 08/24/18 | 83.50 TARGET CO | 8782035 | PURCHASE | 1.000 | \$2.35 | \$235.00 |
| 08/21/18 | CALL TGT | 08/24/18 | 83.50 TARGET CO | 8782035 | PURCHASE | 1.000 | \$2.33 | \$233.00 |
| 08/22/18 | CALL TGT | 08/24/18 | 83.50 TARGET CO | 8782035 | SELL | 2.000 | \$4.65 | \$929.97 |
| 08/13/18 | CALL TPR | 08/24/18 | 48.50 TAPESTRY | 8782593 | PURCHASE | 1.000 | \$1.70 | \$170.00 |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|----------|------------------------|
| 08/13/18 | CALL TPR 08/24/18 48.50 TAPESTRY | 8782593 | PURCHASE | 1.000 | \$1.70 | \$170.00 | |
| 08/13/18 | CALL TPR 08/24/18 48.50 TAPESTRY | 8782593 | PURCHASE | 1.000 | \$1.75 | \$175.00 | |
| 08/14/18 | CALL TPR 08/24/18 48.50 TAPESTRY | 8782593 | SELL | 1.000 | \$4.00 | \$399.98 | |
| 08/14/18 | CALL TPR 08/24/18 48.50 TAPESTRY | 8782593 | SELL | 1.000 | \$3.60 | \$359.98 | |
| 08/14/18 | CALL TPR 08/24/18 48.50 TAPESTRY | 8782593 | SELL | 1.000 | \$4.00 | \$399.98 | |
| 08/06/18 | PUT TWLO 08/10/18 63 TWILIO INC | 8783215 | PURCHASE | 1.000 | \$3.70 | \$370.00 | |
| 08/03/18 | PUT TTWO 08/24/18 120 TAKE TWO INT | 8783249 | PURCHASE | 3.000 | \$1.55 | \$465.00 | |
| 08/06/18 | PUT TTWO 08/24/18 120 TAKE TWO INT | 8783249 | SELL | 3.000 | \$3.20 | \$959.97 | |
| 09/07/18 | PUT CAT 09/21/18 135 CATERPILLAR | 8BTRZK0 | PURCHASE | 2.000 | \$0.87 | \$174.00 | |
| 08/01/18 | PUT PAYC 08/17/18 125 PAYCOM SOFTW | 8BTTZB1 | PURCHASE | 3.000 | \$2.60 | \$780.00 | |
| 08/06/18 | PUT PAYC 08/17/18 125 PAYCOM SOFTW | 8BTTZB1 | SELL | 1.000 | \$0.45 | \$44.98 | |
| 08/06/18 | PUT PAYC 08/17/18 125 PAYCOM SOFTW | 8BTTZB1 | SELL | 1.000 | \$0.45 | \$44.98 | |
| 08/06/18 | PUT PAYC 08/17/18 125 PAYCOM SOFTW | 8BTTZB1 | SELL | 1.000 | \$0.45 | \$44.98 | |
| 08/30/18 | PUT SFIX 09/21/18 35 STITCH FIX I | 8BTWCX6 | PURCHASE | 1.000 | \$0.90 | \$90.00 | |
| 08/31/18 | PUT SFIX 09/21/18 35 STITCH FIX I | 8BTWCX6 | PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 08/31/18 | PUT SFIX 09/21/18 35 STITCH FIX I | 8BTWCX6 | PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 08/31/18 | PUT SFIX 09/21/18 35 STITCH FIX I | 8BTWCX6 | PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 09/05/18 | PUT SFIX 09/21/18 35 STITCH FIX I | 8BTWCX6 | SELL | 4.000 | \$0.30 | \$119.98 | |
| 07/10/18 | CALL HUYA 07/20/18 45 HUYA INC ADS | 8BWHRQ7 | PURCHASE | 5.000 | \$0.15 | \$75.00 | |
| 07/11/18 | CALL HUYA 07/20/18 45 HUYA INC ADS | 8BWHRQ7 | SELL | 1.000 | \$0.40 | \$39.98 | |
| 07/11/18 | CALL HUYA 07/20/18 45 HUYA INC ADS | 8BWHRQ7 | SELL | 1.000 | \$0.40 | \$39.98 | |
| 07/11/18 | CALL HUYA 07/20/18 45 HUYA INC ADS | 8BWHRQ7 | SELL | 2.000 | \$0.40 | \$79.98 | |
| 07/13/18 | CALL HUYA 07/20/18 45 HUYA INC ADS | 8BWHRQ7 | PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 07/19/18 | CALL HUYA 07/20/18 45 HUYA INC ADS | 8BWHRQ7 | SELL | 2.000 | \$0.05 | \$9.98 | |
| 10/02/18 | CALL LEN 10/19/18 50 LENNAR CORP | 8BXBZV2 | PURCHASE | 1.000 | \$0.61 | \$61.00 | |
| 10/02/18 | CALL LEN 10/19/18 50 LENNAR CORP | 8BXBZV2 | PURCHASE | 1.000 | \$0.61 | \$61.00 | |
| 10/02/18 | CALL LEN 10/19/18 50 LENNAR CORP | 8BXBZV2 | PURCHASE | 2.000 | \$0.62 | \$124.00 | |
| 10/03/18 | CALL LEN 10/19/18 50 LENNAR CORP | 8BXBZV2 | SELL | 4.000 | \$0.13 | \$51.98 | |
| 08/27/18 | CALL BBY 09/21/18 90 BEST BUY CO | 8BYLWK1 | PURCHASE | 1.000 | \$0.94 | \$94.00 | |
| 08/27/18 | CALL BBY 09/21/18 90 BEST BUY CO | 8BYLWK1 | PURCHASE | 3.000 | \$0.90 | \$270.00 | |
| 08/03/18 | PUT CAT 08/17/18 138 CATERPILLAR | 8C69402 | PURCHASE | 1.000 | \$2.40 | \$240.00 | |
| 08/03/18 | PUT CAT 08/17/18 138 CATERPILLAR | 8C69402 | PURCHASE | 1.000 | \$2.40 | \$240.00 | |
| 08/03/18 | PUT CAT 08/17/18 138 CATERPILLAR | 8C69402 | PURCHASE | 1.000 | \$2.70 | \$270.00 | |
| 08/06/18 | PUT CAT 08/17/18 138 CATERPILLAR | 8C69402 | PURCHASE | 1.000 | \$1.73 | \$173.00 | |
| 08/07/18 | PUT CAT 08/17/18 138 CATERPILLAR | 8C69402 | PURCHASE | 1.000 | \$0.62 | \$62.00 | |
| 08/09/18 | PUT CAT 08/17/18 138 CATERPILLAR | 8C69402 | SELL | 1.000 | \$0.91 | \$90.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|---------------------------|-------------------------|----------|--------|------------|------------------------|
| 08/09/18 | PUT CAT | 08/17/18 138 CATERPILLAR | 8C69402 SELL | 4.000 | \$0.91 | \$363.98 | |
| 07/09/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 07/09/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 2.000 | \$0.55 | \$110.00 | |
| 07/10/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 SELL | 2.000 | \$0.90 | \$179.98 | |
| 07/11/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.90 | \$90.00 | |
| 07/11/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.87 | \$87.00 | |
| 07/11/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 2.000 | \$0.85 | \$170.00 | |
| 07/12/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.70 | \$70.00 | |
| 07/12/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 07/12/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 07/12/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 07/12/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 07/12/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 07/12/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 07/12/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 2.000 | \$0.65 | \$130.00 | |
| 07/16/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 PURCHASE | 5.000 | \$0.29 | \$145.00 | |
| 07/19/18 | CALL SFIX | 07/20/18 35 STITCH FIX I | 8C72013 SELL | 20.000 | \$0.65 | \$1,299.94 | |
| 07/19/18 | PUT SFIX | 07/20/18 35 STITCH FIX I | 8C72014 PURCHASE | 3.000 | \$0.70 | \$210.00 | |
| 07/20/18 | PUT SFIX | 07/20/18 35 STITCH FIX I | 8C72014 SELL | 1.000 | \$0.75 | \$74.98 | |
| 07/20/18 | PUT SFIX | 07/20/18 35 STITCH FIX I | 8C72014 SELL | 2.000 | \$0.60 | \$119.98 | |
| 09/20/18 | CALL DRI | 10/19/18 125 DARDEN RESTA | 8CRSNP2 PURCHASE | 1.000 | \$0.38 | \$38.00 | |
| 09/20/18 | CALL DRI | 10/19/18 125 DARDEN RESTA | 8CRSNP2 PURCHASE | 2.000 | \$0.35 | \$70.00 | |
| 09/21/18 | CALL DRI | 10/19/18 125 DARDEN RESTA | 8CRSNP2 PURCHASE | 1.000 | \$0.10 | \$10.00 | |
| 08/06/18 | PUT GRUB | 08/10/18 128 GRUBHUB INC | 8CRWBG5 PURCHASE | 3.000 | \$2.10 | \$630.00 | |
| 08/08/18 | PUT GRUB | 08/10/18 128 GRUBHUB INC | 8CRWBG5 SELL | 1.000 | \$1.70 | \$169.98 | |
| 08/08/18 | PUT GRUB | 08/10/18 128 GRUBHUB INC | 8CRWBG5 SELL | 1.000 | \$1.70 | \$169.98 | |
| 08/08/18 | PUT GRUB | 08/10/18 128 GRUBHUB INC | 8CRWBG5 SELL | 1.000 | \$1.70 | \$169.98 | |
| 08/28/18 | CALL DKS | 09/21/18 39 DICKS SPORTI | 8CTYCG2 PURCHASE | 2.000 | \$1.25 | \$250.00 | |
| 08/28/18 | CALL DKS | 09/21/18 39 DICKS SPORTI | 8CTYCG2 PURCHASE | 2.000 | \$1.30 | \$260.00 | |
| 08/31/18 | CALL DKS | 09/21/18 39 DICKS SPORTI | 8CTYCG2 SELL | 1.000 | \$0.40 | \$39.98 | |
| 08/31/18 | CALL DKS | 09/21/18 39 DICKS SPORTI | 8CTYCG2 SELL | 1.000 | \$0.40 | \$39.98 | |
| 08/31/18 | CALL DKS | 09/21/18 39 DICKS SPORTI | 8CTYCG2 SELL | 2.000 | \$0.40 | \$79.98 | |
| 10/30/18 | PUT EBAY | 11/16/18 25 EBAY INC | 8CVWLG1 PURCHASE | 5.000 | \$0.62 | \$310.00 | |
| 10/31/18 | PUT EBAY | 11/16/18 25 EBAY INC | 8CVWLG1 SELL | 5.000 | \$0.12 | \$59.98 | |
| 10/15/18 | CALL IBM | 10/19/18 160 INTL BUSINES | 8CWHRF4 PURCHASE | 20.000 | \$0.03 | \$60.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|-----------------|-------------------------|----------|--------|----------|------------------------|
| 07/11/18 | PUT HUYA 07/20/18 | 30 HUYA INC ADS | 8CWVMN0 PURCHASE | 1.000 | \$0.90 | \$90.00 | |
| 07/11/18 | PUT HUYA 07/20/18 | 30 HUYA INC ADS | 8CWVMN0 PURCHASE | 1.000 | \$0.65 | \$65.00 | |
| 07/12/18 | PUT HUYA 07/20/18 | 30 HUYA INC ADS | 8CWVMN0 PURCHASE | 1.000 | \$0.30 | \$30.00 | |
| 07/16/18 | PUT HUYA 07/20/18 | 30 HUYA INC ADS | 8CWVMN0 SELL | 1.000 | \$0.25 | \$24.98 | |
| 07/16/18 | PUT HUYA 07/20/18 | 30 HUYA INC ADS | 8CWVMN0 SELL | 1.000 | \$0.25 | \$24.98 | |
| 07/16/18 | PUT HUYA 07/20/18 | 30 HUYA INC ADS | 8CWVMN0 SELL | 1.000 | \$0.25 | \$24.98 | |
| 07/30/18 | PUT EVH 09/21/18 | 20 EVOLENT HEAL | 8CXZWZ9 PURCHASE | 2.000 | \$1.30 | \$260.00 | |
| 07/31/18 | PUT EVH 09/21/18 | 20 EVOLENT HEAL | 8CXZWZ9 SELL | 1.000 | \$1.30 | \$129.98 | |
| 08/06/18 | PUT EVH 09/21/18 | 20 EVOLENT HEAL | 8CXZWZ9 SELL | 1.000 | \$1.30 | \$129.98 | |
| 09/12/18 | CALL PVTL 09/21/18 | 33 PIVOTAL SOFT | 8CYLSK5 PURCHASE | 1.000 | \$1.35 | \$135.00 | |
| 09/12/18 | CALL PVTL 09/21/18 | 33 PIVOTAL SOFT | 8CYLSK5 PURCHASE | 1.000 | \$1.70 | \$170.00 | |
| 09/12/18 | CALL PVTL 09/21/18 | 33 PIVOTAL SOFT | 8CYLSK5 PURCHASE | 1.000 | \$1.50 | \$150.00 | |
| 09/12/18 | CALL PVTL 09/21/18 | 33 PIVOTAL SOFT | 8CYLSK5 PURCHASE | 2.000 | \$1.45 | \$290.00 | |
| 08/23/18 | CALL BIG 09/21/18 | 55 BIG LOTS INC | 8CZJRR8 PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 08/27/18 | CALL BIG 09/21/18 | 55 BIG LOTS INC | 8CZJRR8 PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 09/24/18 | CALL GERN 10/05/18 | 10 GERON CORP | 8CZJSD5 PURCHASE | 1.000 | \$0.30 | \$30.00 | |
| 09/25/18 | CALL GERN 10/05/18 | 10 GERON CORP | 8CZJSD5 PURCHASE | 5.000 | \$0.45 | \$225.00 | |
| 09/26/18 | CALL GERN 10/05/18 | 10 GERON CORP | 8CZJSD5 PURCHASE | 1.000 | \$0.45 | \$45.00 | |
| 09/26/18 | CALL GERN 10/05/18 | 10 GERON CORP | 8CZJSD5 PURCHASE | 3.000 | \$0.45 | \$135.00 | |
| 09/21/18 | CALL GERN 10/12/18 | 8 GERON CORP | 8CZJVT3 PURCHASE | 1.000 | \$0.65 | \$65.00 | |
| 08/27/18 | CALL BJ 09/21/18 | 30 BJ S WHOLES | 8CZLSH8 PURCHASE | 1.000 | \$1.40 | \$140.00 | |
| 08/28/18 | CALL BJ 09/21/18 | 30 BJ S WHOLES | 8CZLSH8 PURCHASE | 1.000 | \$0.35 | \$35.00 | |
| 08/28/18 | CALL BJ 09/21/18 | 30 BJ S WHOLES | 8CZLSH8 PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 08/29/18 | CALL BJ 09/21/18 | 30 BJ S WHOLES | 8CZLSH8 PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 08/29/18 | CALL BJ 09/21/18 | 30 BJ S WHOLES | 8CZLSH8 PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 08/30/18 | CALL BJ 09/21/18 | 30 BJ S WHOLES | 8CZLSH8 SELL | 1.000 | \$0.95 | \$94.98 | |
| 08/30/18 | CALL BJ 09/21/18 | 30 BJ S WHOLES | 8CZLSH8 SELL | 1.000 | \$0.95 | \$94.98 | |
| 08/30/18 | CALL BJ 09/21/18 | 30 BJ S WHOLES | 8CZLSH8 SELL | 3.000 | \$0.95 | \$284.98 | |
| 08/27/18 | CALL BJ 09/21/18 | 35 BJ S WHOLES | 8CZLSJ3 PURCHASE | 2.000 | \$0.45 | \$90.00 | |
| 08/28/18 | CALL BJ 09/21/18 | 35 BJ S WHOLES | 8CZLSJ3 PURCHASE | 1.000 | \$0.10 | \$10.00 | |
| 08/28/18 | CALL BJ 09/21/18 | 35 BJ S WHOLES | 8CZLSJ3 PURCHASE | 1.000 | \$0.10 | \$10.00 | |
| 08/31/18 | CALL BJ 09/21/18 | 35 BJ S WHOLES | 8CZLSJ3 PURCHASE | 1.000 | \$0.15 | \$15.00 | |
| 09/04/18 | CALL BJ 09/21/18 | 35 BJ S WHOLES | 8CZLSJ3 PURCHASE | 1.000 | \$0.15 | \$15.00 | |
| 09/07/18 | CALL BJ 09/21/18 | 35 BJ S WHOLES | 8CZLSJ3 PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 09/07/18 | CALL BJ 09/21/18 | 35 BJ S WHOLES | 8CZLSJ3 PURCHASE | 3.000 | \$0.20 | \$60.00 | |
| 09/07/18 | PUT FNSR 09/21/18 | 20 FINISAR CORP | 8D02025 PURCHASE | 5.000 | \$0.20 | \$100.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|------------|------------------------|
| 09/10/18 | PUT FNSR 09/21/18 20 FINISAR CORP | 8D02025 | SELL | 5.000 | \$0.60 | \$299.98 | |
| 07/13/18 | CALL BBOX 07/20/18 2.50 BLACK BOX | 8D52975 | PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 07/16/18 | CALL BBOX 07/20/18 2.50 BLACK BOX | 8D52975 | SELL | 1.000 | \$0.11 | \$10.98 | |
| 10/09/18 | CALL FAST 10/19/18 60 FASTENAL CO | 8E08728 | PURCHASE | 5.000 | \$0.54 | \$270.00 | |
| 09/05/18 | CALL CLDR 09/21/18 17.50 CLOUDERA | 8E59002 | PURCHASE | 2.000 | \$0.34 | \$68.00 | |
| 09/05/18 | CALL CLDR 09/21/18 17.50 CLOUDERA | 8E59002 | PURCHASE | 3.000 | \$0.34 | \$102.00 | |
| 09/06/18 | CALL CLDR 09/21/18 17.50 CLOUDERA | 8E59002 | SELL | 5.000 | \$0.85 | \$424.98 | |
| 09/05/18 | CALL CLDR 09/21/18 20 CLOUDERA INC | 8E59064 | PURCHASE | 5.000 | \$0.15 | \$75.00 | |
| 09/06/18 | CALL CLDR 09/21/18 20 CLOUDERA INC | 8E59064 | SELL | 5.000 | \$0.20 | \$99.98 | |
| 09/17/18 | CALL TLRV 09/28/18 150 TILRAY INC | 8GBJPN6 | PURCHASE | 1.000 | \$2.80 | \$280.00 | |
| 09/17/18 | CALL TLRV 09/28/18 150 TILRAY INC | 8GBJPN6 | PURCHASE | 2.000 | \$2.67 | \$534.00 | |
| 09/18/18 | CALL TLRV 09/28/18 150 TILRAY INC | 8GBJPN6 | SELL | 1.000 | \$6.90 | \$689.98 | |
| 09/18/18 | CALL TLRV 09/28/18 150 TILRAY INC | 8GBJPN6 | SELL | 2.000 | \$5.70 | \$1,139.97 | |
| 08/06/18 | PUT ANET 08/17/18 260 ARISTA NETWO | 8GGXKV3 | PURCHASE | 2.000 | \$2.90 | \$580.00 | |
| 08/10/18 | PUT ANET 08/17/18 260 ARISTA NETWO | 8GGXKV3 | PURCHASE | 1.000 | \$1.35 | \$135.00 | |
| 08/10/18 | PUT ANET 08/17/18 260 ARISTA NETWO | 8GGXKV3 | PURCHASE | 1.000 | \$1.30 | \$130.00 | |
| 08/10/18 | PUT ANET 08/17/18 260 ARISTA NETWO | 8GGXKV3 | PURCHASE | 1.000 | \$1.35 | \$135.00 | |
| 08/13/18 | PUT ANET 08/17/18 260 ARISTA NETWO | 8GGXKV3 | PURCHASE | 1.000 | \$0.65 | \$65.00 | |
| 08/15/18 | PUT ANET 08/17/18 260 ARISTA NETWO | 8GGXKV3 | SELL | 1.000 | \$0.65 | \$64.98 | |
| 08/15/18 | PUT ANET 08/17/18 260 ARISTA NETWO | 8GGXKV3 | SELL | 1.000 | \$0.65 | \$64.98 | |
| 08/15/18 | PUT ANET 08/17/18 260 ARISTA NETWO | 8GGXKV3 | SELL | 4.000 | \$0.65 | \$259.98 | |
| 07/12/18 | PUT FNKO 07/20/18 12.50 FUNKO INC | 8GHLKM5 | PURCHASE | 3.000 | \$0.04 | \$12.00 | |
| 07/12/18 | PUT FNKO 07/20/18 12.50 FUNKO INC | 8GHLKM5 | PURCHASE | 3.000 | \$0.04 | \$12.00 | |
| 07/12/18 | PUT FNKO 07/20/18 12.50 FUNKO INC | 8GHLKM5 | PURCHASE | 4.000 | \$0.04 | \$16.00 | |
| 07/12/18 | PUT FNKO 07/20/18 12.50 FUNKO INC | 8GHLKM5 | PURCHASE | 4.000 | \$0.05 | \$20.00 | |
| 07/12/18 | PUT FNKO 07/20/18 12.50 FUNKO INC | 8GHLKM5 | PURCHASE | 6.000 | \$0.05 | \$30.00 | |
| 07/13/18 | PUT FNKO 07/20/18 12.50 FUNKO INC | 8GHLKM5 | PURCHASE | 2.000 | \$0.05 | \$10.00 | |
| 07/13/18 | PUT FNKO 07/20/18 12.50 FUNKO INC | 8GHLKM5 | PURCHASE | 3.000 | \$0.05 | \$15.00 | |
| 07/09/18 | CALL FNKO 07/20/18 15 FUNKO INC | 8GHLKM6 | PURCHASE | 10.000 | \$0.20 | \$200.00 | |
| 07/12/18 | CALL FNKO 07/20/18 15 FUNKO INC | 8GHLKM6 | SELL | 10.000 | \$0.60 | \$599.97 | |
| 07/13/18 | PUT FNKO 07/20/18 15 FUNKO INC | 8GHLKM7 | PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 07/13/18 | PUT FNKO 07/20/18 15 FUNKO INC | 8GHLKM7 | PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 07/13/18 | PUT FNKO 07/20/18 15 FUNKO INC | 8GHLKM7 | PURCHASE | 2.000 | \$0.15 | \$30.00 | |
| 07/17/18 | PUT FNKO 07/20/18 15 FUNKO INC | 8GHLKM7 | SELL | 4.000 | \$0.20 | \$79.98 | |
| 07/16/18 | CALL FNKO 07/20/18 17.50 FUNKO INC | 8GHLKM8 | PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 07/16/18 | CALL FNKO 07/20/18 17.50 FUNKO INC | 8GHLKM8 | PURCHASE | 1.000 | \$0.25 | \$25.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|------------|------------------------|
| 07/16/18 | CALL FNKO 07/20/18 17.50 FUNKO INC | 8GHLKM8 | PURCHASE | 8.000 | \$0.25 | \$200.00 | |
| 07/19/18 | CALL FNKO 07/20/18 17.50 FUNKO INC | 8GHLKM8 | SELL | 10.000 | \$0.30 | \$299.97 | |
| 08/29/18 | CALL CRM 09/21/18 170 SALESFORCE.C | 8GHQWZ1 | PURCHASE | 1.000 | \$0.71 | \$71.00 | |
| 08/29/18 | CALL CRM 09/21/18 170 SALESFORCE.C | 8GHQWZ1 | PURCHASE | 4.000 | \$0.82 | \$328.00 | |
| 08/31/18 | CALL CRM 09/21/18 170 SALESFORCE.C | 8GHQWZ1 | PURCHASE | 1.000 | \$0.31 | \$31.00 | |
| 07/25/18 | PUT AVY 08/17/18 110 AVERY DENNIS | 8GJLWL2 | PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 07/25/18 | PUT AVY 08/17/18 110 AVERY DENNIS | 8GJLWL2 | PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 07/25/18 | PUT AVY 08/17/18 110 AVERY DENNIS | 8GJLWL2 | PURCHASE | 5.000 | \$0.85 | \$425.00 | |
| 08/06/18 | PUT AVY 08/17/18 110 AVERY DENNIS | 8GJLWL2 | SELL | 7.000 | \$0.45 | \$314.98 | |
| 10/01/18 | CALL FNKO 10/19/18 30 FUNKO INC | 8GJLZY2 | PURCHASE | 5.000 | \$0.15 | \$75.00 | |
| 09/07/18 | PUT FNSR 09/21/18 20.50 FINISAR C | 8GJMNJ8 | PURCHASE | 3.000 | \$0.35 | \$105.00 | |
| 09/10/18 | PUT FNSR 09/21/18 20.50 FINISAR C | 8GJMNJ8 | SELL | 1.000 | \$0.85 | \$84.98 | |
| 09/10/18 | PUT FNSR 09/21/18 20.50 FINISAR C | 8GJMNJ8 | SELL | 1.000 | \$0.86 | \$85.98 | |
| 09/10/18 | PUT FNSR 09/21/18 20.50 FINISAR C | 8GJMNJ8 | SELL | 1.000 | \$0.86 | \$85.98 | |
| 08/07/18 | CALL DIS 08/17/18 117 WALT DISNEY | 8GJTDD5 | PURCHASE | 3.000 | \$2.68 | \$804.00 | |
| 08/08/18 | CALL DIS 08/17/18 117 WALT DISNEY | 8GJTDD5 | SELL | 1.000 | \$1.01 | \$100.98 | |
| 08/08/18 | CALL DIS 08/17/18 117 WALT DISNEY | 8GJTDD5 | SELL | 2.000 | \$1.24 | \$247.98 | |
| 07/17/18 | PUT INNT 07/20/18 5 INNOVATE BIO | 8GJVTX3 | PURCHASE | 2.000 | \$0.20 | \$40.00 | |
| 07/17/18 | PUT INNT 07/20/18 5 INNOVATE BIO | 8GJVTX3 | PURCHASE | 3.000 | \$0.20 | \$60.00 | |
| 07/18/18 | PUT INNT 07/20/18 5 INNOVATE BIO | 8GJVTX3 | PURCHASE | 5.000 | \$0.10 | \$50.00 | |
| 07/20/18 | PUT INNT 07/20/18 5 INNOVATE BIO | 8GJVTX3 | SELL | 10.000 | \$0.05 | \$49.97 | |
| 07/16/18 | PUT INNT 07/20/18 7.50 INNOVATE | 8GJVTZ4 | PURCHASE | 2.000 | \$0.70 | \$140.00 | |
| 07/16/18 | PUT INNT 07/20/18 7.50 INNOVATE | 8GJVTZ4 | PURCHASE | 3.000 | \$0.55 | \$165.00 | |
| 07/16/18 | PUT INNT 07/20/18 7.50 INNOVATE | 8GJVTZ4 | PURCHASE | 5.000 | \$0.30 | \$150.00 | |
| 07/20/18 | PUT INNT 07/20/18 7.50 INNOVATE | 8GJVTZ4 | SELL | 10.000 | \$1.15 | \$1,149.96 | |
| 07/16/18 | PUT INNT 07/20/18 10 INNOVATE BIO | 8GJVTZ8 | PURCHASE | 2.000 | \$0.90 | \$180.00 | |
| 07/16/18 | PUT INNT 07/20/18 10 INNOVATE BIO | 8GJVTZ8 | PURCHASE | 3.000 | \$1.20 | \$360.00 | |
| 07/19/18 | PUT INNT 07/20/18 10 INNOVATE BIO | 8GJVTZ8 | SELL | 1.000 | \$3.50 | \$349.98 | |
| 07/19/18 | PUT INNT 07/20/18 10 INNOVATE BIO | 8GJVTZ8 | SELL | 2.000 | \$3.40 | \$679.98 | |
| 07/19/18 | PUT INNT 07/20/18 10 INNOVATE BIO | 8GJVTZ8 | SELL | 2.000 | \$3.40 | \$679.98 | |
| 07/12/18 | PUT IQ 07/20/18 25 IQIYI INC | 8GJWZW4 | PURCHASE | 10.000 | \$0.05 | \$50.00 | |
| 07/12/18 | PUT IQ 07/20/18 30 IQIYI INC | 8GJWZW4 | PURCHASE | 2.000 | \$0.33 | \$66.00 | |
| 07/16/18 | PUT IQ 07/20/18 30 IQIYI INC | 8GJWZW4 | SELL | 2.000 | \$0.05 | \$9.98 | |
| 08/07/18 | CALL BOFI 08/17/18 40 BOFI HOLDING | 8GKMXQ6 | PURCHASE | 1.000 | \$1.85 | \$185.00 | |
| 08/07/18 | CALL BOFI 08/17/18 40 BOFI HOLDING | 8GKMXQ6 | PURCHASE | 2.000 | \$1.85 | \$370.00 | |
| 08/08/18 | CALL BOFI 08/17/18 40 BOFI HOLDING | 8GKMXQ6 | SELL | 1.000 | \$0.15 | \$14.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|------------------|-------------------------|----------|--------|----------|------------------------|
| 08/08/18 | CALL BOFI 08/17/18 | 40 BOFI HOLDING | 8GKMXQ6 SELL | 2.000 | \$0.15 | \$29.98 | |
| 07/25/18 | PUT FLIR 08/17/18 | 55 FLIR SYSTEMS | 8GMLXS1 PURCHASE | 1.000 | \$0.30 | \$30.00 | |
| 07/25/18 | PUT FLIR 08/17/18 | 55 FLIR SYSTEMS | 8GMLXS1 PURCHASE | 1.000 | \$0.41 | \$41.00 | |
| 07/25/18 | PUT FLIR 08/17/18 | 55 FLIR SYSTEMS | 8GMLXS1 PURCHASE | 1.000 | \$0.35 | \$35.00 | |
| 07/25/18 | PUT FLIR 08/17/18 | 55 FLIR SYSTEMS | 8GMLXS1 PURCHASE | 2.000 | \$0.30 | \$60.00 | |
| 07/31/18 | PUT FLIR 08/17/18 | 55 FLIR SYSTEMS | 8GMLXS1 SELL | 1.000 | \$0.20 | \$19.98 | |
| 07/31/18 | PUT FLIR 08/17/18 | 55 FLIR SYSTEMS | 8GMLXS1 SELL | 4.000 | \$0.21 | \$83.98 | |
| 08/01/18 | PUT GRUB 08/17/18 | 125 GRUBHUB INC | 8GNDKY0 PURCHASE | 2.000 | \$3.50 | \$700.00 | |
| 08/01/18 | PUT GRUB 08/17/18 | 125 GRUBHUB INC | 8GNDKY0 PURCHASE | 2.000 | \$3.70 | \$740.00 | |
| 08/03/18 | PUT GRUB 08/17/18 | 125 GRUBHUB INC | 8GNDKY0 PURCHASE | 1.000 | \$2.70 | \$270.00 | |
| 08/06/18 | PUT GRUB 08/17/18 | 125 GRUBHUB INC | 8GNDKY0 SELL | 1.000 | \$1.90 | \$189.98 | |
| 08/06/18 | PUT GRUB 08/17/18 | 125 GRUBHUB INC | 8GNDKY0 SELL | 1.000 | \$1.90 | \$189.98 | |
| 08/06/18 | PUT GRUB 08/17/18 | 125 GRUBHUB INC | 8GNDKY0 SELL | 3.000 | \$1.90 | \$569.98 | |
| 10/08/18 | CALL HELE 10/19/18 | 135 HELEN OF TRO | 8GNFSC7 PURCHASE | 3.000 | \$0.90 | \$270.00 | |
| 10/09/18 | CALL HELE 10/19/18 | 135 HELEN OF TRO | 8GNFSC7 SELL | 1.000 | \$0.95 | \$94.98 | |
| 10/09/18 | CALL HELE 10/19/18 | 135 HELEN OF TRO | 8GNFSC7 SELL | 1.000 | \$0.95 | \$94.98 | |
| 10/09/18 | CALL HELE 10/19/18 | 135 HELEN OF TRO | 8GNFSC7 SELL | 1.000 | \$0.80 | \$79.98 | |
| 08/06/18 | CALL HEAR 08/17/18 | 35 TURTLE BEACH | 8GNGWJ3 PURCHASE | 2.000 | \$1.90 | \$380.00 | |
| 08/07/18 | CALL HEAR 08/17/18 | 35 TURTLE BEACH | 8GNGWJ3 PURCHASE | 1.000 | \$1.20 | \$120.00 | |
| 08/07/18 | CALL HEAR 08/17/18 | 35 TURTLE BEACH | 8GNGWJ3 PURCHASE | 1.000 | \$0.80 | \$80.00 | |
| 08/07/18 | CALL HEAR 08/17/18 | 35 TURTLE BEACH | 8GNGWJ3 PURCHASE | 1.000 | \$0.95 | \$95.00 | |
| 08/15/18 | CALL HEAR 08/17/18 | 35 TURTLE BEACH | 8GNGWJ3 SELL | 1.000 | \$0.05 | \$4.98 | |
| 08/01/18 | PUT EXPE 08/17/18 | 132 EXPEDIA GROU | 8GNNLZ5 PURCHASE | 1.000 | \$2.15 | \$215.00 | |
| 08/03/18 | PUT EXPE 08/17/18 | 132 EXPEDIA GROU | 8GNNLZ5 PURCHASE | 1.000 | \$1.85 | \$185.00 | |
| 08/03/18 | PUT EXPE 08/17/18 | 132 EXPEDIA GROU | 8GNNLZ5 PURCHASE | 1.000 | \$1.85 | \$185.00 | |
| 08/06/18 | PUT EXPE 08/17/18 | 132 EXPEDIA GROU | 8GNNLZ5 SELL | 3.000 | \$1.21 | \$362.98 | |
| 08/01/18 | PUT EXPE 08/17/18 | 134 EXPEDIA GROU | 8GNNMF8 PURCHASE | 2.000 | \$2.40 | \$480.00 | |
| 08/07/18 | PUT EXPE 08/17/18 | 134 EXPEDIA GROU | 8GNNMF8 SELL | 2.000 | \$2.20 | \$439.98 | |
| 09/07/18 | PUT FIVE 09/21/18 | 115 FIVE BELOW I | 8GNYWW1 PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 08/23/18 | CALL FL 09/21/18 | 55 FOOT LOCKER | 8GPDWC2 PURCHASE | 1.000 | \$3.10 | \$310.00 | |
| 08/23/18 | CALL FL 09/21/18 | 55 FOOT LOCKER | 8GPDWC2 PURCHASE | 1.000 | \$3.00 | \$300.00 | |
| 08/23/18 | CALL FL 09/21/18 | 55 FOOT LOCKER | 8GPDWC2 PURCHASE | 1.000 | \$3.30 | \$330.00 | |
| 09/07/18 | CALL FL 09/21/18 | 55 FOOT LOCKER | 8GPDWC2 SELL | 3.000 | \$0.05 | \$14.98 | |
| 09/04/18 | CALL FNKO 09/21/18 | 30 FUNKO INC | 8GPGXK0 PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 09/05/18 | CALL FNKO 09/21/18 | 30 FUNKO INC | 8GPGXK0 PURCHASE | 4.000 | \$0.50 | \$200.00 | |
| 09/07/18 | CALL FNKO 09/21/18 | 30 FUNKO INC | 8GPGXK0 SELL | 5.000 | \$1.50 | \$749.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|------------------|-------------------------|----------|--------|----------|------------------------|
| 09/11/18 | CALL FNKO 09/21/18 | 30 FUNKO INC | 8GPGXK0 PURCHASE | 1.000 | \$0.86 | \$86.00 | |
| 09/11/18 | CALL FNKO 09/21/18 | 30 FUNKO INC | 8GPGXK0 PURCHASE | 2.000 | \$0.97 | \$194.00 | |
| 09/11/18 | CALL FNKO 09/21/18 | 30 FUNKO INC | 8GPGXK0 PURCHASE | 2.000 | \$0.75 | \$150.00 | |
| 09/12/18 | CALL FNKO 09/21/18 | 30 FUNKO INC | 8GPGXK0 SELL | 5.000 | \$0.36 | \$179.98 | |
| 08/03/18 | PUT PEP 08/17/18 | 115 PEPSICO INC | 8GPJCB8 PURCHASE | 2.000 | \$0.71 | \$142.00 | |
| 08/03/18 | PUT PEP 08/17/18 | 115 PEPSICO INC | 8GPJCB8 PURCHASE | 3.000 | \$1.05 | \$315.00 | |
| 08/06/18 | PUT PEP 08/17/18 | 115 PEPSICO INC | 8GPJCB8 SELL | 5.000 | \$0.33 | \$164.98 | |
| 07/13/18 | CALL KEM 07/20/18 | 30 KEMET CORP | 8GPQGF2 PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 07/13/18 | CALL KEM 07/20/18 | 30 KEMET CORP | 8GPQGF2 PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 07/18/18 | CALL KEM 07/20/18 | 30 KEMET CORP | 8GPQGF2 SELL | 1.000 | \$0.15 | \$14.98 | |
| 07/18/18 | CALL KEM 07/20/18 | 30 KEMET CORP | 8GPQGF2 SELL | 1.000 | \$0.15 | \$14.98 | |
| 07/18/18 | CALL KEM 07/20/18 | 30 KEMET CORP | 8GPQGF2 SELL | 3.000 | \$0.15 | \$44.98 | |
| 07/18/18 | CALL KEM 07/20/18 | 30 KEMET CORP | 8GPQGF2 SELL | 5.000 | \$0.15 | \$74.98 | |
| 07/20/18 | CALL KEM 08/17/18 | 29 KEMET CORP | 8GPQQJ1 PURCHASE | 5.000 | \$1.95 | \$975.00 | |
| 07/25/18 | CALL KEM 08/17/18 | 29 KEMET CORP | 8GPQQJ1 PURCHASE | 2.000 | \$0.45 | \$90.00 | |
| 07/25/18 | CALL KEM 08/17/18 | 29 KEMET CORP | 8GPQQJ1 PURCHASE | 3.000 | \$0.45 | \$135.00 | |
| 08/07/18 | CALL KEM 08/17/18 | 29 KEMET CORP | 8GPQQJ1 SELL | 1.000 | \$0.10 | \$9.98 | |
| 08/07/18 | CALL KEM 08/17/18 | 29 KEMET CORP | 8GPQQJ1 SELL | 9.000 | \$0.10 | \$89.97 | |
| 07/31/18 | PUT KLAC 08/17/18 | 115 KLA TENCOR C | 8GPSCP7 PURCHASE | 1.000 | \$1.95 | \$195.00 | |
| 07/31/18 | PUT KLAC 08/17/18 | 115 KLA TENCOR C | 8GPSCP7 PURCHASE | 1.000 | \$1.75 | \$175.00 | |
| 07/31/18 | PUT KLAC 08/17/18 | 115 KLA TENCOR C | 8GPSCP7 PURCHASE | 2.000 | \$1.75 | \$350.00 | |
| 08/06/18 | PUT KLAC 08/17/18 | 115 KLA TENCOR C | 8GPSCP7 SELL | 1.000 | \$1.05 | \$104.98 | |
| 08/06/18 | PUT KLAC 08/17/18 | 115 KLA TENCOR C | 8GPSCP7 SELL | 3.000 | \$1.05 | \$314.98 | |
| 10/01/18 | PUT NBEV 10/19/18 | 2.50 NEW AGE B | 8GQMJH2 PURCHASE | 1.000 | \$0.05 | \$5.00 | |
| 10/01/18 | PUT NBEV 10/19/18 | 2.50 NEW AGE B | 8GQMJH2 PURCHASE | 1.000 | \$0.07 | \$7.00 | |
| 10/01/18 | PUT NBEV 10/19/18 | 2.50 NEW AGE B | 8GQMJH2 PURCHASE | 1.000 | \$0.07 | \$7.00 | |
| 10/01/18 | PUT NBEV 10/19/18 | 2.50 NEW AGE B | 8GQMJH2 PURCHASE | 4.000 | \$0.07 | \$28.00 | |
| 10/01/18 | PUT NBEV 10/19/18 | 2.50 NEW AGE B | 8GQMJH2 PURCHASE | 4.000 | \$0.07 | \$28.00 | |
| 10/01/18 | PUT NBEV 10/19/18 | 2.50 NEW AGE B | 8GQMJH2 PURCHASE | 10.000 | \$0.10 | \$100.00 | |
| 08/28/18 | CALL HEAR 09/21/18 | 30 TURTLE BEACH | 8GQWPF3 PURCHASE | 2.000 | \$0.40 | \$80.00 | |
| 08/28/18 | CALL HEAR 09/21/18 | 30 TURTLE BEACH | 8GQWPF3 PURCHASE | 2.000 | \$0.42 | \$84.00 | |
| 08/28/18 | CALL HEAR 09/21/18 | 30 TURTLE BEACH | 8GQWPF3 PURCHASE | 3.000 | \$0.45 | \$135.00 | |
| 08/29/18 | CALL HEAR 09/21/18 | 30 TURTLE BEACH | 8GQWPF3 SELL | 1.000 | \$0.40 | \$39.98 | |
| 08/29/18 | CALL HEAR 09/21/18 | 30 TURTLE BEACH | 8GQWPF3 SELL | 1.000 | \$0.40 | \$39.98 | |
| 08/29/18 | CALL HEAR 09/21/18 | 30 TURTLE BEACH | 8GQWPF3 SELL | 3.000 | \$0.40 | \$119.98 | |
| 08/30/18 | CALL HEAR 09/21/18 | 30 TURTLE BEACH | 8GQWPF3 SELL | 1.000 | \$0.31 | \$30.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|------------------|-------------------------|----------|--------|----------|------------------------|
| 08/30/18 | CALL HEAR 09/21/18 | 30 TURTLE BEACH | 8GQWPF3 SELL | 1.000 | \$0.31 | \$30.98 | |
| 07/19/18 | CALL MSFT 07/20/18 | 106 MICROSOFT CO | 8GQZLM0 PURCHASE | 5.000 | \$1.14 | \$570.00 | |
| 07/20/18 | CALL MSFT 07/20/18 | 106 MICROSOFT CO | 8GQZLM0 SELL | 5.000 | \$1.16 | \$579.98 | |
| 07/18/18 | CALL MSFT 07/20/18 | 108 MICROSOFT CO | 8GQZLM4 PURCHASE | 1.000 | \$0.79 | \$79.00 | |
| 07/18/18 | CALL MSFT 07/20/18 | 108 MICROSOFT CO | 8GQZLM4 PURCHASE | 1.000 | \$0.79 | \$79.00 | |
| 07/20/18 | CALL MSFT 07/20/18 | 108 MICROSOFT CO | 8GQZLM4 SELL | 2.000 | \$0.53 | \$105.98 | |
| 08/31/18 | PUT INNT 09/21/18 | 5 INNOVATE BIO | 8GRTYM3 PURCHASE | 5.000 | \$0.40 | \$200.00 | |
| 09/12/18 | PUT INNT 09/21/18 | 5 INNOVATE BIO | 8GRTYM3 SELL | 5.000 | \$0.10 | \$49.98 | |
| 09/05/18 | CALL INTU 09/21/18 | 220 INTUIT INC | 8GRVNL0 PURCHASE | 1.000 | \$2.10 | \$210.00 | |
| 09/06/18 | CALL INTU 09/21/18 | 220 INTUIT INC | 8GRVNL0 SELL | 1.000 | \$3.70 | \$369.98 | |
| 08/23/18 | CALL INTU 09/21/18 | 230 INTUIT INC | 8GRVNL2 PURCHASE | 3.000 | \$1.15 | \$345.00 | |
| 08/31/18 | CALL INTU 09/21/18 | 230 INTUIT INC | 8GRVNL2 PURCHASE | 2.000 | \$0.60 | \$120.00 | |
| 09/04/18 | CALL INTU 09/21/18 | 230 INTUIT INC | 8GRVNL2 SELL | 5.000 | \$0.80 | \$399.98 | |
| 09/07/18 | CALL INTU 09/21/18 | 230 INTUIT INC | 8GRVNL2 PURCHASE | 1.000 | \$0.82 | \$82.00 | |
| 09/07/18 | CALL INTU 09/21/18 | 230 INTUIT INC | 8GRVNL2 PURCHASE | 1.000 | \$0.85 | \$85.00 | |
| 09/11/18 | CALL INTU 09/21/18 | 230 INTUIT INC | 8GRVNL2 SELL | 1.000 | \$1.85 | \$184.98 | |
| 09/11/18 | CALL INTU 09/21/18 | 230 INTUIT INC | 8GRVNL2 SELL | 1.000 | \$1.85 | \$184.98 | |
| 09/07/18 | CALL INTU 09/21/18 | 240 INTUIT INC | 8GRVNL4 PURCHASE | 8.000 | \$0.15 | \$120.00 | |
| 09/10/18 | CALL INTU 09/21/18 | 240 INTUIT INC | 8GRVNL4 SELL | 8.000 | \$0.20 | \$159.97 | |
| 08/08/18 | PUT IQ 08/17/18 | 28.50 IQIYI INC | 8GRWNW0 PURCHASE | 1.000 | \$0.90 | \$90.00 | |
| 08/08/18 | PUT IQ 08/17/18 | 28.50 IQIYI INC | 8GRWNW0 PURCHASE | 1.000 | \$0.90 | \$90.00 | |
| 08/14/18 | PUT IQ 08/17/18 | 28.50 IQIYI INC | 8GRWNW0 SELL | 2.000 | \$1.35 | \$269.98 | |
| 09/12/18 | CALL KR 09/21/18 | 35 KROGER CO | 8GSNNK9 PURCHASE | 2.000 | \$0.24 | \$48.00 | |
| 09/12/18 | CALL KR 09/21/18 | 35 KROGER CO | 8GSNNK9 PURCHASE | 3.000 | \$0.24 | \$72.00 | |
| 09/12/18 | CALL KR 09/21/18 | 35 KROGER CO | 8GSNNK9 PURCHASE | 5.000 | \$0.24 | \$120.00 | |
| 10/22/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 2.000 | \$0.40 | \$80.00 | |
| 10/22/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 2.000 | \$0.40 | \$80.00 | |
| 10/22/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 3.000 | \$0.65 | \$195.00 | |
| 10/23/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 1.000 | \$0.45 | \$45.00 | |
| 10/23/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 10/23/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 10/23/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 10/23/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 10/23/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 10/29/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 SELL | 1.000 | \$1.35 | \$134.98 | |
| 10/29/18 | PUT TLRV 11/02/18 | 75 TILRAY INC | 8GTNLD7 SELL | 1.000 | \$1.15 | \$114.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|------------|------------------------|
| 10/29/18 | PUT TLRY 11/02/18 75 TILRAY INC | 8GTNLD7 | SELL | 1.000 | \$1.35 | \$134.98 | |
| 10/29/18 | PUT TLRY 11/02/18 75 TILRAY INC | 8GTNLD7 | SELL | 1.000 | \$1.35 | \$134.98 | |
| 10/29/18 | PUT TLRY 11/02/18 75 TILRAY INC | 8GTNLD7 | SELL | 1.000 | \$1.45 | \$144.98 | |
| 10/29/18 | PUT TLRY 11/02/18 75 TILRAY INC | 8GTNLD7 | SELL | 1.000 | \$1.35 | \$134.98 | |
| 10/29/18 | PUT TLRY 11/02/18 75 TILRAY INC | 8GTNLD7 | SELL | 1.000 | \$1.75 | \$174.98 | |
| 10/29/18 | PUT TLRY 11/02/18 75 TILRAY INC | 8GTNLD7 | SELL | 2.000 | \$1.25 | \$249.98 | |
| 10/31/18 | PUT TLRY 11/02/18 75 TILRAY INC | 8GTNLD7 | SELL | 4.000 | \$0.25 | \$99.98 | |
| 07/16/18 | CALL SFIX 07/20/18 34 STITCH FIX I | 8GTVMT8 | PURCHASE | 5.000 | \$0.50 | \$250.00 | |
| 07/19/18 | CALL SFIX 07/20/18 34 STITCH FIX I | 8GTVMT8 | PURCHASE | 10.000 | \$0.70 | \$700.00 | |
| 07/19/18 | CALL SFIX 07/20/18 34 STITCH FIX I | 8GTVMT8 | SELL | 1.000 | \$0.80 | \$79.98 | |
| 07/19/18 | CALL SFIX 07/20/18 34 STITCH FIX I | 8GTVMT8 | SELL | 4.000 | \$0.80 | \$319.98 | |
| 07/20/18 | CALL SFIX 07/20/18 34 STITCH FIX I | 8GTVMT8 | SELL | 10.000 | \$1.10 | \$1,099.96 | |
| 09/11/18 | CALL NXPI 09/21/18 95 NXP SEMICOND | 8GVWZP6 | PURCHASE | 1.000 | \$0.29 | \$29.00 | |
| 09/11/18 | CALL NXPI 09/21/18 95 NXP SEMICOND | 8GVWZP6 | PURCHASE | 2.000 | \$0.30 | \$60.00 | |
| 09/13/18 | CALL NXPI 09/21/18 95 NXP SEMICOND | 8GVWZP6 | SELL | 3.000 | \$0.21 | \$62.98 | |
| 09/07/18 | PUT OKTA 09/21/18 60 OKTA INC | 8GWBYD3 | PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 09/07/18 | PUT OKTA 09/21/18 60 OKTA INC | 8GWBYD3 | PURCHASE | 4.000 | \$0.25 | \$100.00 | |
| 09/12/18 | PUT OKTA 09/21/18 60 OKTA INC | 8GWBYD3 | SELL | 1.000 | \$0.10 | \$9.98 | |
| 09/12/18 | PUT OKTA 09/21/18 60 OKTA INC | 8GWBYD3 | SELL | 1.000 | \$0.10 | \$9.98 | |
| 09/12/18 | PUT OKTA 09/21/18 60 OKTA INC | 8GWBYD3 | SELL | 3.000 | \$0.10 | \$29.98 | |
| 08/03/18 | PUT PEP 08/17/18 116 PEPSICO INC | 8GWQCJ6 | PURCHASE | 4.000 | \$1.10 | \$440.00 | |
| 08/07/18 | PUT PEP 08/17/18 116 PEPSICO INC | 8GWQCJ6 | SELL | 4.000 | \$2.65 | \$1,059.97 | |
| 07/20/18 | PUT SNA 08/17/18 170 SNAP-ON INC | 8GWRXM3 | PURCHASE | 1.000 | \$2.15 | \$215.00 | |
| 07/20/18 | PUT SNA 08/17/18 170 SNAP-ON INC | 8GWRXM3 | PURCHASE | 1.000 | \$2.15 | \$215.00 | |
| 07/20/18 | PUT SNA 08/17/18 170 SNAP-ON INC | 8GWRXM3 | PURCHASE | 3.000 | \$2.15 | \$645.00 | |
| 07/24/18 | PUT SNA 08/17/18 170 SNAP-ON INC | 8GWRXM3 | SELL | 5.000 | \$4.40 | \$2,199.96 | |
| 09/13/18 | PUT PI 09/21/18 20 IMPINJ INC | 8GWVWC5 | PURCHASE | 10.000 | \$0.10 | \$100.00 | |
| 09/13/18 | CALL PLAY 09/21/18 60 DAVE & BUSTE | 8GWXCS6 | PURCHASE | 1.000 | \$1.70 | \$170.00 | |
| 09/13/18 | CALL PLAY 09/21/18 60 DAVE & BUSTE | 8GWXCS6 | PURCHASE | 1.000 | \$1.70 | \$170.00 | |
| 09/14/18 | CALL PLAY 09/21/18 60 DAVE & BUSTE | 8GWXCS6 | SELL | 2.000 | \$0.85 | \$169.98 | |
| 10/17/18 | PUT PM 10/19/18 80 PHILIP MORRI | 8GWYSG4 | PURCHASE | 1.000 | \$0.29 | \$29.00 | |
| 10/17/18 | PUT PM 10/19/18 80 PHILIP MORRI | 8GWYSG4 | PURCHASE | 1.000 | \$0.30 | \$30.00 | |
| 10/17/18 | PUT PM 10/19/18 80 PHILIP MORRI | 8GWYSG4 | PURCHASE | 3.000 | \$0.30 | \$90.00 | |
| 10/17/18 | PUT PM 10/19/18 80 PHILIP MORRI | 8GWYSG4 | PURCHASE | 5.000 | \$0.30 | \$150.00 | |
| 10/02/18 | PUT RPM 10/19/18 60 RPM INTERNAT | 8GXSCX8 | PURCHASE | 3.000 | \$0.65 | \$195.00 | |
| 10/04/18 | PUT RPM 10/19/18 60 RPM INTERNAT | 8GXSCX8 | SELL | 3.000 | \$1.05 | \$314.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information | |
|----------|----------------------|----------|-------------------------|----------|----------|--------|------------------------|----------|
| 09/12/18 | CALL ROKU | 09/21/18 | 75 ROKU INC | 8GYGSS9 | PURCHASE | 1.000 | \$1.02 | \$102.00 |
| 09/18/18 | CALL ROKU | 09/21/18 | 75 ROKU INC | 8GYGSS9 | SELL | 1.000 | \$0.76 | \$75.98 |
| 09/19/18 | CALL TEAM | 10/19/18 | 100 ATLISSIAN CO | 8GYHKZ2 | PURCHASE | 1.000 | \$1.80 | \$180.00 |
| 09/24/18 | CALL TEAM | 10/19/18 | 100 ATLISSIAN CO | 8GYHKZ2 | SELL | 1.000 | \$1.50 | \$149.98 |
| 09/12/18 | PUT AAPL | 09/21/18 | 215 APPLE INC | 8GYKKB1 | PURCHASE | 1.000 | \$1.46 | \$146.00 |
| 09/14/18 | PUT AAPL | 09/21/18 | 215 APPLE INC | 8GYKKB1 | PURCHASE | 1.000 | \$0.79 | \$79.00 |
| 09/17/18 | PUT AAPL | 09/21/18 | 215 APPLE INC | 8GYKKB1 | SELL | 2.000 | \$1.37 | \$273.98 |
| 08/30/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | PURCHASE | 1.000 | \$0.81 | \$81.00 |
| 08/31/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 1.000 | \$1.19 | \$118.98 |
| 09/05/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | PURCHASE | 1.000 | \$0.95 | \$95.00 |
| 09/05/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | PURCHASE | 1.000 | \$0.95 | \$95.00 |
| 09/07/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | PURCHASE | 1.000 | \$0.35 | \$35.00 |
| 09/07/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | PURCHASE | 1.000 | \$0.35 | \$35.00 |
| 09/07/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | PURCHASE | 1.000 | \$0.35 | \$35.00 |
| 09/07/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | PURCHASE | 2.000 | \$0.52 | \$104.00 |
| 09/10/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | PURCHASE | 3.000 | \$0.17 | \$51.00 |
| 09/11/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 1.000 | \$0.39 | \$38.98 |
| 09/11/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 1.000 | \$0.39 | \$38.98 |
| 09/11/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 1.000 | \$0.40 | \$39.98 |
| 09/11/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 1.000 | \$0.41 | \$40.98 |
| 09/11/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 1.000 | \$0.41 | \$40.98 |
| 09/11/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 1.000 | \$0.41 | \$40.98 |
| 09/11/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 2.000 | \$0.40 | \$79.98 |
| 09/11/18 | CALL AAPL | 09/21/18 | 240 APPLE INC | 8GYKKF1 | SELL | 2.000 | \$0.41 | \$81.98 |
| 07/10/18 | CALL SOGO | 07/20/18 | 12.50 SOGOU INC | 8GZFLS1 | PURCHASE | 1.000 | \$0.15 | \$15.00 |
| 07/10/18 | CALL SOGO | 07/20/18 | 12.50 SOGOU INC | 8GZFLS1 | PURCHASE | 3.000 | \$0.15 | \$45.00 |
| 07/10/18 | CALL SOGO | 07/20/18 | 12.50 SOGOU INC | 8GZFLS1 | PURCHASE | 6.000 | \$0.15 | \$90.00 |
| 10/05/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$6.00 | \$600.00 |
| 10/08/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$3.45 | \$345.00 |
| 10/08/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$3.00 | \$300.00 |
| 10/12/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$0.55 | \$55.00 |
| 10/12/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$0.59 | \$59.00 |
| 10/12/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$0.50 | \$50.00 |
| 10/15/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$0.50 | \$50.00 |
| 10/15/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$0.43 | \$43.00 |
| 10/15/18 | CALL AMZN | 10/19/18 | 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$0.24 | \$24.00 |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--------------------------------------|---------|-------------------------|----------|---------|------------|------------------------|
| 10/15/18 | CALL AMZN 10/19/18 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$0.24 | \$24.00 | |
| 10/15/18 | CALL AMZN 10/19/18 2050 AMAZON.COM I | 8J01075 | PURCHASE | 1.000 | \$0.27 | \$27.00 | |
| 10/15/18 | CALL AMZN 10/19/18 2050 AMAZON.COM I | 8J01075 | PURCHASE | 3.000 | \$0.31 | \$93.00 | |
| 10/17/18 | CALL AMZN 10/19/18 2050 AMAZON.COM I | 8J01075 | SELL | 2.000 | \$0.09 | \$17.98 | |
| 10/17/18 | CALL AMZN 10/19/18 2050 AMAZON.COM I | 8J01075 | SELL | 3.000 | \$0.09 | \$26.98 | |
| 10/17/18 | CALL AMZN 10/19/18 2050 AMAZON.COM I | 8J01075 | SELL | 4.000 | \$0.08 | \$31.98 | |
| 10/17/18 | CALL AMZN 10/19/18 2050 AMAZON.COM I | 8J01075 | SELL | 5.000 | \$0.11 | \$54.98 | |
| 10/04/18 | CALL AMZN 10/19/18 2110 AMAZON.COM I | 8J01133 | PURCHASE | 1.000 | \$3.40 | \$340.00 | |
| 10/05/18 | CALL AMZN 10/19/18 2110 AMAZON.COM I | 8J01133 | PURCHASE | 1.000 | \$2.56 | \$256.00 | |
| 10/08/18 | CALL AMZN 10/19/18 2110 AMAZON.COM I | 8J01133 | PURCHASE | 1.000 | \$1.48 | \$148.00 | |
| 10/10/18 | CALL AMZN 10/19/18 2110 AMAZON.COM I | 8J01133 | SELL | 1.000 | \$0.59 | \$58.98 | |
| 10/10/18 | CALL AMZN 10/19/18 2110 AMAZON.COM I | 8J01133 | SELL | 2.000 | \$0.59 | \$117.98 | |
| 08/28/18 | PUT IRBT 09/21/18 95 IROBOT CORP | 8J51606 | PURCHASE | 1.000 | \$0.45 | \$45.00 | |
| 09/06/18 | PUT IRBT 09/21/18 95 IROBOT CORP | 8J51606 | SELL | 1.000 | \$1.10 | \$109.98 | |
| 09/20/18 | CALL TLRV 09/28/18 280 TILRAY INC | 8J56431 | PURCHASE | 1.000 | \$5.20 | \$520.00 | |
| 09/19/18 | CALL TLRV 09/28/18 310 TILRAY INC | 8J56454 | PURCHASE | 1.000 | \$22.00 | \$2,200.00 | |
| 09/20/18 | CALL TLRV 09/28/18 310 TILRAY INC | 8J56454 | SELL | 1.000 | \$5.70 | \$569.98 | |
| 09/27/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$3.70 | \$370.00 | |
| 10/04/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$1.90 | \$190.00 | |
| 10/04/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$1.70 | \$170.00 | |
| 10/04/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$1.70 | \$170.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 2.000 | \$0.20 | \$40.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 3.000 | \$0.20 | \$60.00 | |
| 10/08/18 | CALL TLRV 10/12/18 200 TILRAY INC | 8J57023 | PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 09/27/18 | CALL TLRV 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$1.90 | \$190.00 | |
| 09/27/18 | CALL TLRV 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$1.60 | \$160.00 | |
| 09/28/18 | CALL TLRV 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$1.30 | \$130.00 | |
| 09/28/18 | CALL TLRV 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$1.10 | \$110.00 | |
| 09/28/18 | CALL TLRV 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$1.65 | \$165.00 | |
| 10/02/18 | CALL TLRV 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$1.05 | \$105.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|----------|------------------------|
| 10/02/18 | CALL TRLY 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$1.90 | \$190.00 | |
| 10/02/18 | CALL TRLY 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 2.000 | \$1.05 | \$210.00 | |
| 10/03/18 | CALL TRLY 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$0.80 | \$80.00 | |
| 10/04/18 | CALL TRLY 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$0.85 | \$85.00 | |
| 10/04/18 | CALL TRLY 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 10/04/18 | CALL TRLY 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 10/04/18 | CALL TRLY 10/12/18 240 TILRAY INC | 8J57256 | PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 10/08/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | PURCHASE | 1.000 | \$1.90 | \$190.00 | |
| 10/12/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | PURCHASE | 1.000 | \$1.00 | \$100.00 | |
| 10/16/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | PURCHASE | 1.000 | \$4.40 | \$440.00 | |
| 10/16/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | PURCHASE | 1.000 | \$4.60 | \$460.00 | |
| 10/16/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | PURCHASE | 1.000 | \$3.40 | \$340.00 | |
| 10/16/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | PURCHASE | 1.000 | \$3.70 | \$370.00 | |
| 10/16/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | SELL | 1.000 | \$4.90 | \$489.98 | |
| 10/16/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | SELL | 1.000 | \$4.50 | \$449.98 | |
| 10/17/18 | CALL TRLY 10/26/18 200 TILRAY INC | 8J57693 | SELL | 4.000 | \$1.70 | \$679.98 | |
| 08/31/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 1.000 | \$1.60 | \$160.00 | |
| 08/31/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 1.000 | \$1.65 | \$165.00 | |
| 08/31/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 2.000 | \$1.60 | \$320.00 | |
| 09/05/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 1.000 | \$1.15 | \$115.00 | |
| 09/10/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 1.000 | \$1.05 | \$105.00 | |
| 09/13/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | SELL | 1.000 | \$2.70 | \$269.98 | |
| 09/13/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | SELL | 1.000 | \$2.70 | \$269.98 | |
| 09/13/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | SELL | 2.000 | \$3.20 | \$639.98 | |
| 09/13/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | SELL | 2.000 | \$2.45 | \$489.98 | |
| 09/14/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 1.000 | \$1.10 | \$110.00 | |
| 09/14/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 3.000 | \$1.30 | \$390.00 | |
| 09/17/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 09/17/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 1.000 | \$0.15 | \$15.00 | |
| 09/17/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 2.000 | \$0.55 | \$110.00 | |
| 09/17/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 2.000 | \$0.20 | \$40.00 | |
| 09/17/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 2.000 | \$0.20 | \$40.00 | |
| 09/18/18 | CALL TNDM 09/21/18 50 TANDEM DIABE | 8J75736 | PURCHASE | 3.000 | \$0.20 | \$60.00 | |
| 09/25/18 | CALL VKTX 10/19/18 22 VIKING THERA | 8J77490 | PURCHASE | 1.000 | \$1.10 | \$110.00 | |
| 09/28/18 | CALL VKTX 10/19/18 22 VIKING THERA | 8J77490 | SELL | 1.000 | \$0.55 | \$54.98 | |
| 09/25/18 | PUT AMD 10/12/18 30 ADVANCED MIC | 8KBPCG3 | PURCHASE | 1.000 | \$0.67 | \$67.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number:***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information | |
|----------|----------------------|----------|-------------------------|----------|----------|--------|------------------------|------------|
| 09/25/18 | PUT AMD | 10/12/18 | 30 ADVANCED MIC | 8KBPCG3 | PURCHASE | 1.000 | \$0.67 | \$67.00 |
| 09/28/18 | PUT AMD | 10/12/18 | 30 ADVANCED MIC | 8KBPCG3 | SELL | 1.000 | \$1.34 | \$133.98 |
| 09/28/18 | PUT AMD | 10/12/18 | 30 ADVANCED MIC | 8KBPCG3 | SELL | 1.000 | \$1.11 | \$110.98 |
| 09/19/18 | CALL INTU | 10/19/18 | 240 INTUIT INC | 8KJLCS1 | PURCHASE | 2.000 | \$1.00 | \$200.00 |
| 09/26/18 | CALL INTU | 10/19/18 | 240 INTUIT INC | 8KJLCS1 | PURCHASE | 1.000 | \$0.40 | \$40.00 |
| 10/01/18 | CALL INTU | 10/19/18 | 240 INTUIT INC | 8KJLCS1 | SELL | 1.000 | \$0.60 | \$59.98 |
| 10/01/18 | CALL INTU | 10/19/18 | 240 INTUIT INC | 8KJLCS1 | SELL | 2.000 | \$0.61 | \$121.98 |
| 07/12/18 | PUT TNDM | 07/20/18 | 22.50 TANDEM DI | 8KKWGG1 | PURCHASE | 1.000 | \$0.40 | \$40.00 |
| 07/16/18 | PUT TNDM | 07/20/18 | 22.50 TANDEM DI | 8KKWGG1 | PURCHASE | 1.000 | \$0.15 | \$15.00 |
| 07/19/18 | CALL MSFT | 07/20/18 | 105 MICROSOFT CO | 8KMFRB8 | PURCHASE | 5.000 | \$1.54 | \$770.00 |
| 07/20/18 | CALL MSFT | 07/20/18 | 105 MICROSOFT CO | 8KMFRB8 | SELL | 5.000 | \$2.58 | \$1,289.97 |
| 07/09/18 | CALL TRXC | 07/20/18 | 4 TRANSENTERIX | 8KNWBD9 | PURCHASE | 1.000 | \$0.30 | \$30.00 |
| 07/09/18 | CALL TRXC | 07/20/18 | 4 TRANSENTERIX | 8KNWBD9 | PURCHASE | 9.000 | \$0.30 | \$270.00 |
| 07/11/18 | CALL TRXC | 07/20/18 | 4 TRANSENTERIX | 8KNWBD9 | SELL | 10.000 | \$0.35 | \$349.97 |
| 06/25/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 1.000 | \$0.45 | \$45.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 1.000 | \$0.10 | \$10.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 1.000 | \$0.10 | \$10.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 1.000 | \$0.10 | \$10.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 1.000 | \$0.10 | \$10.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 2.000 | \$0.10 | \$20.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 2.000 | \$0.10 | \$20.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 2.000 | \$0.10 | \$20.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 2.000 | \$0.10 | \$20.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 3.000 | \$0.10 | \$30.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 3.000 | \$0.10 | \$30.00 |
| 07/05/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | PURCHASE | 3.000 | \$0.10 | \$30.00 |
| 07/19/18 | CALL TRXC | 07/20/18 | 5 TRANSENTERIX | 8KNWBF3 | SELL | 20.000 | \$0.05 | \$99.95 |
| 08/06/18 | PUT TRXC | 08/17/18 | 5 TRANSENTERIX | 8KPCNC1 | PURCHASE | 1.000 | \$0.40 | \$40.00 |
| 08/06/18 | PUT TRXC | 08/17/18 | 5 TRANSENTERIX | 8KPCNC1 | PURCHASE | 1.000 | \$0.40 | \$40.00 |
| 08/06/18 | PUT TRXC | 08/17/18 | 5 TRANSENTERIX | 8KPCNC1 | PURCHASE | 1.000 | \$0.40 | \$40.00 |
| 08/06/18 | PUT TRXC | 08/17/18 | 5 TRANSENTERIX | 8KPCNC1 | PURCHASE | 2.000 | \$0.40 | \$80.00 |
| 08/06/18 | PUT TRXC | 08/17/18 | 5 TRANSENTERIX | 8KPCNC1 | PURCHASE | 5.000 | \$0.40 | \$200.00 |
| 08/07/18 | PUT TRXC | 08/17/18 | 5 TRANSENTERIX | 8KPCNC1 | SELL | 10.000 | \$0.50 | \$499.97 |
| 10/18/18 | PUT SKX | 10/19/18 | 20 SKECHERS U S | 8KRJLH4 | PURCHASE | 2.000 | \$0.22 | \$44.00 |
| 10/18/18 | PUT SKX | 10/19/18 | 20 SKECHERS U S | 8KRJLH4 | PURCHASE | 3.000 | \$0.22 | \$66.00 |
| 09/05/18 | CALL NVDA | 09/21/18 | 300 NVIDIA CORP | 8KSCDG6 | PURCHASE | 1.000 | \$0.77 | \$77.00 |
| 09/05/18 | CALL NVDA | 09/21/18 | 300 NVIDIA CORP | 8KSCDG6 | PURCHASE | 2.000 | \$0.91 | \$182.00 |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|---------------------------|-------------------------|----------|---------|------------|------------------------|
| 09/06/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 PURCHASE | 2.000 | \$0.47 | \$94.00 | |
| 09/07/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 PURCHASE | 1.000 | \$0.21 | \$21.00 | |
| 09/07/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 PURCHASE | 2.000 | \$0.34 | \$68.00 | |
| 09/11/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 PURCHASE | 2.000 | \$0.16 | \$32.00 | |
| 09/11/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 PURCHASE | 4.000 | \$0.16 | \$64.00 | |
| 09/11/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 PURCHASE | 6.000 | \$0.12 | \$72.00 | |
| 09/14/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 SELL | 1.000 | \$0.23 | \$22.98 | |
| 09/14/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 SELL | 1.000 | \$0.23 | \$22.98 | |
| 09/14/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 SELL | 2.000 | \$0.24 | \$47.98 | |
| 09/14/18 | CALL NVDA | 09/21/18 300 NVIDIA CORP | 8KSCDG6 SELL | 3.000 | \$0.23 | \$68.98 | |
| 08/27/18 | CALL TIF | 09/21/18 135 TIFFANY & CO | 8KSCMF9 PURCHASE | 1.000 | \$3.20 | \$320.00 | |
| 08/27/18 | CALL TIF | 09/21/18 135 TIFFANY & CO | 8KSCMF9 PURCHASE | 2.000 | \$3.15 | \$630.00 | |
| 08/28/18 | CALL TIF | 09/21/18 135 TIFFANY & CO | 8KSCMF9 SELL | 3.000 | \$2.67 | \$800.97 | |
| 08/20/18 | CALL TJX | 09/21/18 105 TJX COMPANIE | 8KSCVK8 PURCHASE | 1.000 | \$1.80 | \$180.00 | |
| 08/20/18 | CALL TJX | 09/21/18 105 TJX COMPANIE | 8KSCVK8 PURCHASE | 1.000 | \$1.84 | \$184.00 | |
| 08/21/18 | CALL TJX | 09/21/18 105 TJX COMPANIE | 8KSCVK8 SELL | 1.000 | \$2.95 | \$294.98 | |
| 08/21/18 | CALL TJX | 09/21/18 105 TJX COMPANIE | 8KSCVK8 SELL | 1.000 | \$2.85 | \$284.98 | |
| 08/24/18 | PUT TRXC | 09/21/18 4.50 TRANSENTE | 8KSGRG2 PURCHASE | 1.000 | \$0.15 | \$15.00 | |
| 08/24/18 | PUT TRXC | 09/21/18 4.50 TRANSENTE | 8KSGRG2 PURCHASE | 10.000 | \$0.20 | \$200.00 | |
| 08/27/18 | PUT TRXC | 09/21/18 4.50 TRANSENTE | 8KSGRG2 PURCHASE | 1.000 | \$0.15 | \$15.00 | |
| 08/09/18 | CALL TTD | 08/17/18 93 TRADE DESK I | 8KSHHX5 PURCHASE | 1.000 | \$8.00 | \$800.00 | |
| 08/10/18 | CALL TTD | 08/17/18 93 TRADE DESK I | 8KSHHX5 SELL | 1.000 | \$20.43 | \$2,042.96 | |
| 07/23/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 PURCHASE | 1.000 | \$1.60 | \$160.00 | |
| 07/23/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 PURCHASE | 1.000 | \$1.60 | \$160.00 | |
| 07/23/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 PURCHASE | 1.000 | \$1.60 | \$160.00 | |
| 07/23/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 PURCHASE | 1.000 | \$1.55 | \$155.00 | |
| 07/23/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 PURCHASE | 1.000 | \$1.60 | \$160.00 | |
| 07/23/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 PURCHASE | 2.000 | \$1.60 | \$320.00 | |
| 07/24/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 SELL | 1.000 | \$2.00 | \$199.98 | |
| 07/24/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 SELL | 1.000 | \$2.00 | \$199.98 | |
| 07/24/18 | CALL STLD | 08/17/18 48 STEEL DYNAMI | 8KSJQW1 SELL | 5.000 | \$2.00 | \$999.97 | |
| 08/29/18 | CALL PVH | 09/21/18 165 PVH CORP | 8KSTDL6 PURCHASE | 2.000 | \$1.65 | \$330.00 | |
| 08/21/18 | CALL RRGB | 09/21/18 45 RED ROBIN GO | 8KTBXQ7 PURCHASE | 1.000 | \$1.15 | \$115.00 | |
| 08/21/18 | CALL RRGB | 09/21/18 45 RED ROBIN GO | 8KTBXQ7 PURCHASE | 2.000 | \$1.15 | \$230.00 | |
| 08/22/18 | CALL RRGB | 09/21/18 45 RED ROBIN GO | 8KTBXQ7 SELL | 1.000 | \$0.10 | \$9.98 | |
| 08/22/18 | CALL RRGB | 09/21/18 45 RED ROBIN GO | 8KTBXQ7 SELL | 2.000 | \$0.10 | \$19.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|----------------------------|-------------------------|----------|---------|------------|------------------------|
| 08/07/18 | CALL WB | 08/17/18 83.50 WEIBO COR | 8KTCXC2 PURCHASE | 1.000 | \$3.70 | \$370.00 | |
| 08/07/18 | CALL WB | 08/17/18 83.50 WEIBO COR | 8KTCXC2 PURCHASE | 1.000 | \$3.70 | \$370.00 | |
| 08/07/18 | CALL WB | 08/17/18 83.50 WEIBO COR | 8KTCXC2 PURCHASE | 1.000 | \$3.70 | \$370.00 | |
| 08/08/18 | CALL WB | 08/17/18 83.50 WEIBO COR | 8KTCXC2 SELL | 1.000 | \$2.70 | \$269.98 | |
| 08/08/18 | CALL WB | 08/17/18 83.50 WEIBO COR | 8KTCXC2 SELL | 2.000 | \$2.70 | \$539.98 | |
| 10/18/18 | CALL ISRG | 10/19/18 550 INTUITIVE SU | 8KTLRZ2 PURCHASE | 1.000 | \$3.80 | \$380.00 | |
| 10/18/18 | CALL ISRG | 10/19/18 550 INTUITIVE SU | 8KTLRZ2 PURCHASE | 1.000 | \$3.60 | \$360.00 | |
| 08/30/18 | PUT AMZN | 09/07/18 1900 AMAZON.COM I | 8KTWSK1 PURCHASE | 1.000 | \$1.45 | \$145.00 | |
| 08/30/18 | PUT AMZN | 09/07/18 1900 AMAZON.COM I | 8KTWSK1 PURCHASE | 1.000 | \$1.62 | \$162.00 | |
| 09/05/18 | PUT AMZN | 09/07/18 1900 AMAZON.COM I | 8KTWSK1 SELL | 1.000 | \$0.85 | \$84.98 | |
| 09/05/18 | PUT AMZN | 09/07/18 1900 AMAZON.COM I | 8KTWSK1 SELL | 1.000 | \$0.85 | \$84.98 | |
| 08/22/18 | CALL BABA | 09/07/18 185 ALIBABA GROU | 8KTYQK8 PURCHASE | 1.000 | \$3.45 | \$345.00 | |
| 08/23/18 | CALL BABA | 09/07/18 185 ALIBABA GROU | 8KTYQK8 SELL | 1.000 | \$3.90 | \$389.98 | |
| 09/13/18 | PUT MU | 09/21/18 40 MICRON TECHN | 8KVCGT3 PURCHASE | 1.000 | \$0.76 | \$76.00 | |
| 09/13/18 | PUT MU | 09/21/18 40 MICRON TECHN | 8KVCGT3 PURCHASE | 1.000 | \$0.76 | \$76.00 | |
| 09/18/18 | PUT MU | 09/21/18 40 MICRON TECHN | 8KVCGT3 PURCHASE | 5.000 | \$0.22 | \$110.00 | |
| 09/19/18 | PUT MU | 09/21/18 40 MICRON TECHN | 8KVCGT3 PURCHASE | 3.000 | \$0.26 | \$78.00 | |
| 09/20/18 | PUT MU | 09/21/18 40 MICRON TECHN | 8KVCGT3 PURCHASE | 2.000 | \$0.15 | \$30.00 | |
| 09/20/18 | PUT MU | 09/21/18 40 MICRON TECHN | 8KVCGT3 PURCHASE | 2.000 | \$0.15 | \$30.00 | |
| 09/20/18 | PUT MU | 09/21/18 40 MICRON TECHN | 8KVCGT3 PURCHASE | 2.000 | \$0.15 | \$30.00 | |
| 09/20/18 | PUT MU | 09/21/18 40 MICRON TECHN | 8KVCGT3 PURCHASE | 4.000 | \$0.15 | \$60.00 | |
| 08/24/18 | CALL MU | 09/21/18 60 MICRON TECHN | 8KVCGV9 PURCHASE | 5.000 | \$0.38 | \$190.00 | |
| 08/30/18 | CALL MU | 09/21/18 60 MICRON TECHN | 8KVCGV9 SELL | 1.000 | \$0.64 | \$63.98 | |
| 08/30/18 | CALL MU | 09/21/18 60 MICRON TECHN | 8KVCGV9 SELL | 1.000 | \$0.64 | \$63.98 | |
| 08/30/18 | CALL MU | 09/21/18 60 MICRON TECHN | 8KVCGV9 SELL | 1.000 | \$0.64 | \$63.98 | |
| 08/30/18 | CALL MU | 09/21/18 60 MICRON TECHN | 8KVCGV9 SELL | 1.000 | \$0.64 | \$63.98 | |
| 08/30/18 | CALL MU | 09/21/18 60 MICRON TECHN | 8KVCGV9 SELL | 1.000 | \$0.64 | \$63.98 | |
| 07/26/18 | PUT GRUB | 08/03/18 138 GRUBHUB INC | 8KWCYG7 PURCHASE | 1.000 | \$3.08 | \$308.00 | |
| 07/26/18 | PUT GRUB | 08/03/18 138 GRUBHUB INC | 8KWCYG7 PURCHASE | 1.000 | \$3.10 | \$310.00 | |
| 07/30/18 | PUT GRUB | 08/03/18 138 GRUBHUB INC | 8KWCYG7 SELL | 1.000 | \$13.20 | \$1,319.97 | |
| 07/30/18 | PUT GRUB | 08/03/18 138 GRUBHUB INC | 8KWCYG7 SELL | 1.000 | \$13.20 | \$1,319.97 | |
| 10/01/18 | CALL AMRN | 10/19/18 20 AMARIN CORP | 8KWGFC2 PURCHASE | 2.000 | \$1.24 | \$248.00 | |
| 10/03/18 | CALL AMRN | 10/19/18 20 AMARIN CORP | 8KWGFC2 SELL | 1.000 | \$1.10 | \$109.98 | |
| 10/04/18 | CALL AMRN | 10/19/18 20 AMARIN CORP | 8KWGFC2 SELL | 1.000 | \$2.17 | \$216.98 | |
| 09/27/18 | CALL BBBY | 10/05/18 16 BED BATH & B | 8KWLXY0 PURCHASE | 10.000 | \$0.11 | \$110.00 | |
| 09/27/18 | CALL BBBY | 10/05/18 16 BED BATH & B | 8KWLXY0 PURCHASE | 10.000 | \$0.11 | \$110.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|------------|------------------------|
| 10/01/18 | PUT SFIX 10/05/18 35 STITCH FIX I | 8KWPXS2 | PURCHASE | 1.000 | \$0.33 | \$33.00 | |
| 10/01/18 | PUT SFIX 10/05/18 35 STITCH FIX I | 8KWPXS2 | PURCHASE | 2.000 | \$0.33 | \$66.00 | |
| 10/02/18 | PUT SFIX 10/05/18 35 STITCH FIX I | 8KWPXS2 | SELL | 1.000 | \$2.10 | \$209.98 | |
| 10/02/18 | PUT SFIX 10/05/18 35 STITCH FIX I | 8KWPXS2 | SELL | 1.000 | \$3.10 | \$309.98 | |
| 10/02/18 | PUT SFIX 10/05/18 35 STITCH FIX I | 8KWPXS2 | SELL | 1.000 | \$4.50 | \$449.98 | |
| 07/25/18 | PUT IRBT 08/03/18 88 IROBOT CORP | 8KWQBT4 | PURCHASE | 2.000 | \$2.65 | \$530.00 | |
| 07/25/18 | PUT IRBT 08/03/18 88 IROBOT CORP | 8KWQBT4 | PURCHASE | 2.000 | \$2.65 | \$530.00 | |
| 07/25/18 | PUT IRBT 08/03/18 88 IROBOT CORP | 8KWQBT4 | PURCHASE | 2.000 | \$2.75 | \$550.00 | |
| 07/26/18 | PUT IRBT 08/03/18 88 IROBOT CORP | 8KWQBT4 | SELL | 1.000 | \$5.40 | \$539.98 | |
| 07/26/18 | PUT IRBT 08/03/18 88 IROBOT CORP | 8KWQBT4 | SELL | 5.000 | \$5.40 | \$2,699.95 | |
| 10/08/18 | CALL NBEV 10/19/18 15 NEW AGE BEVE | 8KWSBL8 | PURCHASE | 4.000 | \$0.25 | \$100.00 | |
| 10/29/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.75 | \$75.00 | |
| 10/29/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.75 | \$75.00 | |
| 10/30/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 2.000 | \$0.70 | \$140.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.65 | \$65.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.58 | \$58.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 2.000 | \$0.48 | \$96.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 2.000 | \$0.49 | \$98.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 2.000 | \$0.50 | \$100.00 | |
| 10/31/18 | PUT TLRY 11/16/18 50 TILRAY INC | 8KWSKQ1 | PURCHASE | 2.000 | \$0.50 | \$100.00 | |
| 10/04/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | PURCHASE | 2.000 | \$0.31 | \$62.00 | |
| 10/04/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | PURCHASE | 3.000 | \$0.31 | \$93.00 | |
| 10/04/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | PURCHASE | 5.000 | \$0.30 | \$150.00 | |
| 10/05/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | SELL | 1.000 | \$1.30 | \$129.98 | |
| 10/05/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | SELL | 1.000 | \$1.55 | \$154.98 | |
| 10/05/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | SELL | 1.000 | \$1.55 | \$154.98 | |
| 10/05/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | SELL | 1.000 | \$1.55 | \$154.98 | |
| 10/05/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | SELL | 1.000 | \$1.60 | \$159.98 | |
| 10/05/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | SELL | 1.000 | \$1.85 | \$184.98 | |
| 10/05/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | SELL | 1.000 | \$1.90 | \$189.98 | |
| 10/05/18 | PUT COST 10/05/18 220 COSTCO WHOLE | 8KWVKR6 | SELL | 1.000 | \$1.80 | \$179.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|---------------------------|-------------------------|----------|--------|----------|------------------------|
| 10/05/18 | PUT COST | 10/05/18 220 COSTCO WHOLE | 8KWVKR6 SELL | 2.000 | \$1.50 | \$299.98 | |
| 08/28/18 | CALL GERN | 09/21/18 6 GERON CORP | 8LBPTV9 PURCHASE | 1.000 | \$0.80 | \$80.00 | |
| 08/31/18 | CALL GERN | 09/21/18 6 GERON CORP | 8LBPTV9 SELL | 1.000 | \$1.25 | \$124.98 | |
| 09/07/18 | CALL GERN | 09/21/18 7 GERON CORP | 8LBPTW1 PURCHASE | 1.000 | \$0.95 | \$95.00 | |
| 09/07/18 | CALL GERN | 09/21/18 7 GERON CORP | 8LBPTW1 PURCHASE | 1.000 | \$0.95 | \$95.00 | |
| 09/11/18 | CALL GERN | 09/21/18 7 GERON CORP | 8LBPTW1 PURCHASE | 1.000 | \$0.80 | \$80.00 | |
| 09/11/18 | CALL GERN | 09/21/18 7 GERON CORP | 8LBPTW1 PURCHASE | 1.000 | \$0.80 | \$80.00 | |
| 08/28/18 | CALL GERN | 09/21/18 8 GERON CORP | 8LBPVJ8 PURCHASE | 5.000 | \$0.43 | \$215.00 | |
| 08/31/18 | CALL GERN | 09/21/18 8 GERON CORP | 8LBPVJ8 SELL | 1.000 | \$0.65 | \$64.98 | |
| 08/31/18 | CALL GERN | 09/21/18 8 GERON CORP | 8LBPVJ8 SELL | 1.000 | \$0.65 | \$64.98 | |
| 08/31/18 | CALL GERN | 09/21/18 8 GERON CORP | 8LBPVJ8 SELL | 3.000 | \$0.65 | \$194.98 | |
| 09/05/18 | CALL GERN | 09/21/18 8 GERON CORP | 8LBPVJ8 PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 09/05/18 | CALL GERN | 09/21/18 8 GERON CORP | 8LBPVJ8 PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 09/05/18 | CALL GERN | 09/21/18 8 GERON CORP | 8LBPVJ8 PURCHASE | 3.000 | \$0.55 | \$165.00 | |
| 08/01/18 | PUT PAYC | 08/17/18 120 PAYCOM SOFTW | 8LDDKW7 PURCHASE | 1.000 | \$1.75 | \$175.00 | |
| 08/01/18 | PUT PAYC | 08/17/18 120 PAYCOM SOFTW | 8LDDKW7 PURCHASE | 1.000 | \$1.70 | \$170.00 | |
| 08/01/18 | PUT PAYC | 08/17/18 120 PAYCOM SOFTW | 8LDDKW7 PURCHASE | 1.000 | \$3.20 | \$320.00 | |
| 08/01/18 | PUT PAYC | 08/17/18 120 PAYCOM SOFTW | 8LDDKW7 PURCHASE | 1.000 | \$3.20 | \$320.00 | |
| 08/01/18 | PUT PAYC | 08/17/18 120 PAYCOM SOFTW | 8LDDKW7 PURCHASE | 1.000 | \$3.30 | \$330.00 | |
| 08/01/18 | PUT PAYC | 08/17/18 120 PAYCOM SOFTW | 8LDDKW7 PURCHASE | 1.000 | \$2.05 | \$205.00 | |
| 08/01/18 | PUT PAYC | 08/17/18 120 PAYCOM SOFTW | 8LDDKW7 PURCHASE | 2.000 | \$3.40 | \$680.00 | |
| 08/06/18 | PUT PAYC | 08/17/18 120 PAYCOM SOFTW | 8LDDKW7 SELL | 8.000 | \$0.25 | \$199.97 | |
| 09/19/18 | PUT THO | 09/21/18 90 THOR INDUSTR | 8LFBMQ3 PURCHASE | 1.000 | \$0.30 | \$30.00 | |
| 09/19/18 | PUT THO | 09/21/18 90 THOR INDUSTR | 8LFBMQ3 PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 09/20/18 | PUT THO | 09/21/18 90 THOR INDUSTR | 8LFBMQ3 SELL | 1.000 | \$1.00 | \$99.98 | |
| 09/20/18 | PUT THO | 09/21/18 90 THOR INDUSTR | 8LFBMQ3 SELL | 1.000 | \$0.55 | \$54.98 | |
| 09/05/18 | PUT CTRP | 09/21/18 33 CTRIP.COM IN | 8LFLHB9 PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 09/05/18 | CALL NEWR | 09/21/18 110 NEW RELIC IN | 8LGLVD6 PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 09/05/18 | CALL NEWR | 09/21/18 110 NEW RELIC IN | 8LGLVD6 PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 09/07/18 | CALL NEWR | 09/21/18 110 NEW RELIC IN | 8LGLVD6 SELL | 2.000 | \$0.95 | \$189.98 | |
| 08/23/18 | CALL VEEV | 09/21/18 105 VEEVA SYSTEM | 8LGQXV1 PURCHASE | 1.000 | \$0.40 | \$40.00 | |
| 08/23/18 | CALL VEEV | 09/21/18 105 VEEVA SYSTEM | 8LGQXV1 PURCHASE | 3.000 | \$0.35 | \$105.00 | |
| 08/24/18 | CALL VEEV | 09/21/18 105 VEEVA SYSTEM | 8LGQXV1 SELL | 1.000 | \$1.25 | \$124.98 | |
| 08/24/18 | CALL VEEV | 09/21/18 105 VEEVA SYSTEM | 8LGQXV1 SELL | 1.000 | \$1.25 | \$124.98 | |
| 08/24/18 | CALL VEEV | 09/21/18 105 VEEVA SYSTEM | 8LGQXV1 SELL | 1.000 | \$1.30 | \$129.98 | |
| 08/24/18 | CALL VEEV | 09/21/18 105 VEEVA SYSTEM | 8LGQXV1 SELL | 1.000 | \$1.30 | \$129.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|---------------------------|-------------------------|----------|---------|------------|------------------------|
| 09/04/18 | CALL GERN | 09/21/18 10 GERON CORP | 8LGVHD9 PURCHASE | 5.000 | \$0.35 | \$175.00 | |
| 09/07/18 | CALL GERN | 09/21/18 10 GERON CORP | 8LGVHD9 PURCHASE | 2.000 | \$0.30 | \$60.00 | |
| 09/10/18 | CALL GERN | 09/21/18 10 GERON CORP | 8LGVHD9 PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 09/10/18 | CALL GERN | 09/21/18 10 GERON CORP | 8LGVHD9 PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 09/10/18 | CALL GERN | 09/21/18 10 GERON CORP | 8LGVHD9 PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 08/30/18 | CALL LULU | 09/21/18 145 LULULEMON AT | 8LJJGF8 PURCHASE | 1.000 | \$3.60 | \$360.00 | |
| 08/31/18 | CALL LULU | 09/21/18 145 LULULEMON AT | 8LJJGF8 SELL | 1.000 | \$12.30 | \$1,229.97 | |
| 07/25/18 | CALL AGN | 08/03/18 177.50 ALLERGAN | 8LJSLK9 PURCHASE | 1.000 | \$3.05 | \$305.00 | |
| 07/26/18 | CALL AGN | 08/03/18 177.50 ALLERGAN | 8LJSLK9 SELL | 1.000 | \$2.42 | \$241.98 | |
| 07/27/18 | PUT EXPE | 08/03/18 136 EXPEDIA GROU | 8LKMSQ7 PURCHASE | 1.000 | \$1.90 | \$190.00 | |
| 07/27/18 | PUT EXPE | 08/03/18 136 EXPEDIA GROU | 8LKMSQ7 PURCHASE | 1.000 | \$1.90 | \$190.00 | |
| 07/27/18 | PUT EXPE | 08/03/18 136 EXPEDIA GROU | 8LKMSQ7 PURCHASE | 1.000 | \$1.90 | \$190.00 | |
| 07/27/18 | PUT EXPE | 08/03/18 136 EXPEDIA GROU | 8LKMSQ7 PURCHASE | 1.000 | \$1.20 | \$120.00 | |
| 07/27/18 | PUT EXPE | 08/03/18 136 EXPEDIA GROU | 8LKMSQ7 PURCHASE | 1.000 | \$1.20 | \$120.00 | |
| 08/02/18 | PUT EXPE | 08/03/18 136 EXPEDIA GROU | 8LKMSQ7 SELL | 5.000 | \$4.20 | \$2,099.96 | |
| 07/11/18 | PUT HUYA | 07/20/18 27.50 HUYA INC | 8LKPHP8 PURCHASE | 2.000 | \$0.35 | \$70.00 | |
| 07/12/18 | PUT HUYA | 07/20/18 27.50 HUYA INC | 8LKPHP8 PURCHASE | 1.000 | \$0.15 | \$15.00 | |
| 07/16/18 | PUT HUYA | 07/20/18 27.50 HUYA INC | 8LKPHP8 SELL | 1.000 | \$0.10 | \$9.98 | |
| 07/16/18 | PUT HUYA | 07/20/18 27.50 HUYA INC | 8LKPHP8 SELL | 1.000 | \$0.10 | \$9.98 | |
| 07/16/18 | PUT HUYA | 07/20/18 27.50 HUYA INC | 8LKPHP8 SELL | 1.000 | \$0.10 | \$9.98 | |
| 07/05/18 | CALL HUYA | 07/20/18 32.50 HUYA INC | 8LKPHP9 PURCHASE | 1.000 | \$1.10 | \$110.00 | |
| 07/05/18 | CALL HUYA | 07/20/18 32.50 HUYA INC | 8LKPHP9 PURCHASE | 1.000 | \$1.10 | \$110.00 | |
| 07/05/18 | CALL HUYA | 07/20/18 32.50 HUYA INC | 8LKPHP9 PURCHASE | 3.000 | \$1.10 | \$330.00 | |
| 07/09/18 | CALL HUYA | 07/20/18 32.50 HUYA INC | 8LKPHP9 SELL | 1.000 | \$0.90 | \$89.98 | |
| 07/09/18 | CALL HUYA | 07/20/18 32.50 HUYA INC | 8LKPHP9 SELL | 1.000 | \$1.25 | \$124.98 | |
| 07/09/18 | CALL HUYA | 07/20/18 32.50 HUYA INC | 8LKPHP9 SELL | 1.000 | \$1.25 | \$124.98 | |
| 07/09/18 | CALL HUYA | 07/20/18 32.50 HUYA INC | 8LKPHP9 SELL | 1.000 | \$1.60 | \$159.98 | |
| 07/09/18 | CALL HUYA | 07/20/18 32.50 HUYA INC | 8LKPHP9 SELL | 1.000 | \$1.60 | \$159.98 | |
| 07/11/18 | PUT HUYA | 07/20/18 32.50 HUYA INC | 8LKPHQ0 PURCHASE | 2.000 | \$1.10 | \$220.00 | |
| 07/12/18 | PUT HUYA | 07/20/18 32.50 HUYA INC | 8LKPHQ0 PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 07/12/18 | PUT HUYA | 07/20/18 32.50 HUYA INC | 8LKPHQ0 PURCHASE | 1.000 | \$0.75 | \$75.00 | |
| 07/12/18 | PUT HUYA | 07/20/18 32.50 HUYA INC | 8LKPHQ0 PURCHASE | 1.000 | \$0.80 | \$80.00 | |
| 07/18/18 | PUT HUYA | 07/20/18 32.50 HUYA INC | 8LKPHQ0 SELL | 1.000 | \$0.10 | \$9.98 | |
| 07/18/18 | PUT HUYA | 07/20/18 32.50 HUYA INC | 8LKPHQ0 SELL | 1.000 | \$0.10 | \$9.98 | |
| 07/10/18 | CALL HUYA | 07/20/18 37.50 HUYA INC | 8LKPHQ1 PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 07/10/18 | CALL HUYA | 07/20/18 37.50 HUYA INC | 8LKPHQ1 PURCHASE | 1.000 | \$0.75 | \$75.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|----------|-------------------------|----------|--------|----------|------------------------|
| 07/10/18 | CALL HUYA 07/20/18 37.50 HUYA INC | 8LKP HQ1 | PURCHASE | 2.000 | \$0.65 | \$130.00 | |
| 07/11/18 | CALL HUYA 07/20/18 37.50 HUYA INC | 8LKP HQ1 | SELL | 1.000 | \$0.85 | \$84.98 | |
| 07/11/18 | CALL HUYA 07/20/18 37.50 HUYA INC | 8LKP HQ1 | SELL | 3.000 | \$0.85 | \$254.98 | |
| 07/16/18 | CALL HUYA 07/20/18 42.50 HUYA INC | 8LKP HQ3 | PURCHASE | 4.000 | \$0.50 | \$200.00 | |
| 07/17/18 | CALL HUYA 07/20/18 42.50 HUYA INC | 8LKP HQ3 | PURCHASE | 3.000 | \$0.45 | \$135.00 | |
| 07/18/18 | CALL HUYA 07/20/18 42.50 HUYA INC | 8LKP HQ3 | PURCHASE | 2.000 | \$0.45 | \$90.00 | |
| 07/19/18 | CALL HUYA 07/20/18 42.50 HUYA INC | 8LKP HQ3 | SELL | 9.000 | \$0.30 | \$269.97 | |
| 07/10/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 2.000 | \$0.40 | \$80.00 | |
| 07/11/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 2.000 | \$0.30 | \$60.00 | |
| 07/12/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | SELL | 1.000 | \$1.00 | \$99.98 | |
| 07/12/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | SELL | 1.000 | \$1.00 | \$99.98 | |
| 07/12/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | SELL | 2.000 | \$1.00 | \$199.98 | |
| 07/16/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 1.000 | \$1.30 | \$130.00 | |
| 07/16/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 1.000 | \$1.00 | \$100.00 | |
| 07/16/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 1.000 | \$1.00 | \$100.00 | |
| 07/16/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 1.000 | \$1.00 | \$100.00 | |
| 07/16/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 2.000 | \$0.70 | \$140.00 | |
| 07/18/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 1.000 | \$0.65 | \$65.00 | |
| 07/18/18 | CALL IQ 07/20/18 37 IQIYI INC | 8LKP QN9 | PURCHASE | 1.000 | \$0.65 | \$65.00 | |
| 07/13/18 | CALL IQ 07/20/18 37.50 IQIYI INC | 8LKP QP1 | PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 07/16/18 | CALL IQ 07/20/18 37.50 IQIYI INC | 8LKP QP1 | PURCHASE | 5.000 | \$1.10 | \$550.00 | |
| 07/17/18 | CALL IQ 07/20/18 37.50 IQIYI INC | 8LKP QP1 | PURCHASE | 2.000 | \$0.90 | \$180.00 | |
| 07/17/18 | CALL IQ 07/20/18 37.50 IQIYI INC | 8LKP QP1 | PURCHASE | 2.000 | \$1.00 | \$200.00 | |
| 07/19/18 | CALL IQ 07/27/18 37.50 IQIYI INC | 8LKP QW1 | PURCHASE | 10.000 | \$0.60 | \$600.00 | |
| 07/24/18 | CALL IQ 07/27/18 37.50 IQIYI INC | 8LKP QW1 | SELL | 1.000 | \$0.05 | \$4.98 | |
| 07/24/18 | CALL IQ 07/27/18 37.50 IQIYI INC | 8LKP QW1 | SELL | 1.000 | \$0.05 | \$4.98 | |
| 07/24/18 | CALL IQ 07/27/18 37.50 IQIYI INC | 8LKP QW1 | SELL | 1.000 | \$0.05 | \$4.98 | |
| 07/24/18 | CALL IQ 07/27/18 37.50 IQIYI INC | 8LKP QW1 | SELL | 1.000 | \$0.05 | \$4.98 | |
| 07/24/18 | CALL IQ 07/27/18 37.50 IQIYI INC | 8LKP QW1 | SELL | 1.000 | \$0.05 | \$4.98 | |
| 07/24/18 | CALL IQ 07/27/18 37.50 IQIYI INC | 8LKP QW1 | SELL | 4.000 | \$0.05 | \$19.98 | |
| 07/26/18 | PUT QCOM 08/03/18 61 QUALCOMM INC | 8LKS YW0 | PURCHASE | 1.000 | \$0.88 | \$88.00 | |
| 07/26/18 | PUT QCOM 08/03/18 61 QUALCOMM INC | 8LKS YW0 | PURCHASE | 1.000 | \$0.58 | \$58.00 | |
| 07/26/18 | PUT QCOM 08/03/18 61 QUALCOMM INC | 8LKS YW0 | PURCHASE | 1.000 | \$0.67 | \$67.00 | |
| 07/26/18 | PUT QCOM 08/03/18 61 QUALCOMM INC | 8LKS YW0 | PURCHASE | 7.000 | \$0.19 | \$133.00 | |
| 07/30/18 | CALL SHOP 08/03/18 155 SHOPIFY INC | 8LKT QS7 | PURCHASE | 1.000 | \$3.60 | \$360.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|----------------------------|-------------------------|----------|--------|----------|------------------------|
| 07/31/18 | CALL SHOP | 08/03/18 155 SHOPIFY INC | 8LKTQS7 SELL | 1.000 | \$0.45 | \$44.98 | |
| 07/30/18 | CALL SHOP | 08/03/18 157.50 SHOPIFY I | 8LKTQS9 PURCHASE | 1.000 | \$2.85 | \$285.00 | |
| 07/30/18 | CALL SHOP | 08/03/18 157.50 SHOPIFY I | 8LKTQS9 PURCHASE | 1.000 | \$2.85 | \$285.00 | |
| 07/31/18 | CALL SHOP | 08/03/18 157.50 SHOPIFY I | 8LKTQS9 SELL | 1.000 | \$0.35 | \$34.98 | |
| 08/08/18 | CALL NKTR | 08/10/18 56 NEKTAR THERA | 8LKZVS5 PURCHASE | 1.000 | \$2.05 | \$205.00 | |
| 08/08/18 | CALL NKTR | 08/10/18 56 NEKTAR THERA | 8LKZVS5 PURCHASE | 1.000 | \$2.05 | \$205.00 | |
| 08/09/18 | CALL NKTR | 08/10/18 56 NEKTAR THERA | 8LKZVS5 SELL | 2.000 | \$1.05 | \$209.98 | |
| 08/30/18 | PUT SIG | 09/07/18 64 SIGNET JEWEL | 8LLCRD9 PURCHASE | 1.000 | \$0.69 | \$69.00 | |
| 08/30/18 | PUT SIG | 09/07/18 64 SIGNET JEWEL | 8LLCRD9 PURCHASE | 2.000 | \$1.00 | \$200.00 | |
| 08/31/18 | PUT SIG | 09/07/18 64 SIGNET JEWEL | 8LLCRD9 SELL | 3.000 | \$1.10 | \$329.98 | |
| 09/28/18 | CALL PEP | 10/05/18 115 PEPSICO INC | 8LLJZS0 PURCHASE | 5.000 | \$0.18 | \$90.00 | |
| 10/01/18 | CALL PEP | 10/05/18 115 PEPSICO INC | 8LLJZS0 PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 10/01/18 | CALL PEP | 10/05/18 115 PEPSICO INC | 8LLJZS0 PURCHASE | 2.000 | \$0.17 | \$34.00 | |
| 10/01/18 | CALL PEP | 10/05/18 115 PEPSICO INC | 8LLJZS0 PURCHASE | 2.000 | \$0.18 | \$36.00 | |
| 10/01/18 | CALL PEP | 10/05/18 115 PEPSICO INC | 8LLJZS0 PURCHASE | 5.000 | \$0.18 | \$90.00 | |
| 10/01/18 | CALL PEP | 10/05/18 115 PEPSICO INC | 8LLJZS0 PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 09/04/18 | PUT WDAY | 09/07/18 140 WORKDAY INC | 8LLLNC2 PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 09/04/18 | PUT WDAY | 09/07/18 140 WORKDAY INC | 8LLLNC2 PURCHASE | 4.000 | \$0.55 | \$220.00 | |
| 09/05/18 | PUT WDAY | 09/07/18 140 WORKDAY INC | 8LLLNC2 SELL | 2.000 | \$0.60 | \$119.98 | |
| 09/05/18 | PUT WDAY | 09/07/18 140 WORKDAY INC | 8LLLNC2 SELL | 3.000 | \$0.55 | \$164.98 | |
| 08/16/18 | PUT WMT | 09/07/18 92 WALMART INC | 8LLTM3 PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 08/16/18 | PUT WMT | 09/07/18 92 WALMART INC | 8LLTM3 PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 08/22/18 | PUT WMT | 09/07/18 92 WALMART INC | 8LLTM3 SELL | 10.000 | \$0.20 | \$199.97 | |
| 08/16/18 | CALL AMZN | 09/07/18 2010 AMAZON.COM I | 8LLQFQ5 PURCHASE | 1.000 | \$7.45 | \$745.00 | |
| 08/21/18 | CALL AMZN | 09/07/18 2010 AMAZON.COM I | 8LLQFQ5 PURCHASE | 1.000 | \$2.80 | \$280.00 | |
| 08/23/18 | CALL AMZN | 09/07/18 2010 AMAZON.COM I | 8LLQFQ5 PURCHASE | 2.000 | \$2.73 | \$546.00 | |
| 08/24/18 | CALL AMZN | 09/07/18 2010 AMAZON.COM I | 8LLQFQ5 SELL | 4.000 | \$2.02 | \$807.97 | |
| 08/30/18 | PUT FB | 09/07/18 170 FACEBOOK INC | 8LLQVK1 PURCHASE | 2.000 | \$0.24 | \$48.00 | |
| 08/30/18 | PUT FB | 09/07/18 170 FACEBOOK INC | 8LLQVK1 PURCHASE | 3.000 | \$0.24 | \$72.00 | |
| 08/31/18 | PUT FB | 09/07/18 170 FACEBOOK INC | 8LLQVK1 SELL | 2.000 | \$0.46 | \$91.98 | |
| 08/31/18 | PUT FB | 09/07/18 170 FACEBOOK INC | 8LLQVK1 SELL | 3.000 | \$0.46 | \$137.98 | |
| 08/02/18 | PUT SODA | 08/03/18 108 SODASTREAM I | 8LLRSR4 PURCHASE | 1.000 | \$0.95 | \$95.00 | |
| 08/08/18 | CALL NKTR | 08/10/18 56.50 NEKTAR TH | 8LLTXV4 PURCHASE | 2.000 | \$1.95 | \$390.00 | |
| 08/09/18 | CALL NKTR | 08/10/18 56.50 NEKTAR TH | 8LLTXV4 SELL | 2.000 | \$0.65 | \$129.98 | |
| 08/15/18 | PUT NFLX | 09/07/18 275 NETFLIX INC | 8LLTYB8 PURCHASE | 2.000 | \$0.86 | \$172.00 | |
| 09/05/18 | PUT NFLX | 09/07/18 275 NETFLIX INC | 8LLTYB8 SELL | 2.000 | \$0.10 | \$19.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|------------|------------------------|
| 07/26/18 | PUT GRUB 08/03/18 135 GRUBHUB INC | 8LLXLL3 | PURCHASE | 1.000 | \$3.40 | \$340.00 | |
| 07/26/18 | PUT GRUB 08/03/18 135 GRUBHUB INC | 8LLXLL3 | PURCHASE | 1.000 | \$3.40 | \$340.00 | |
| 07/26/18 | PUT GRUB 08/03/18 135 GRUBHUB INC | 8LLXLL3 | PURCHASE | 2.000 | \$2.70 | \$540.00 | |
| 08/01/18 | PUT GRUB 08/03/18 135 GRUBHUB INC | 8LLXLL3 | SELL | 4.000 | \$8.60 | \$3,439.94 | |
| 07/09/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.45 | \$45.00 | |
| 07/09/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.49 | \$49.00 | |
| 07/09/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.49 | \$49.00 | |
| 07/09/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.45 | \$45.00 | |
| 07/09/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.45 | \$45.00 | |
| 07/09/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 4.000 | \$0.45 | \$180.00 | |
| 07/10/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.75 | \$75.00 | |
| 07/10/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | SELL | 5.000 | \$0.85 | \$424.98 | |
| 07/12/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.35 | \$35.00 | |
| 07/12/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 07/12/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 07/19/18 | CALL SFIX 07/20/18 36 STITCH FIX I | 8LMGVQ4 | SELL | 8.000 | \$0.20 | \$159.97 | |
| 08/27/18 | CALL SFIX 09/21/18 40 STITCH FIX I | 8LMGYP7 | PURCHASE | 2.000 | \$1.13 | \$226.00 | |
| 08/30/18 | CALL SFIX 09/21/18 40 STITCH FIX I | 8LMGYP7 | SELL | 2.000 | \$1.30 | \$259.98 | |
| 08/02/18 | PUT SODA 08/17/18 109 SODASTREAM I | 8LMJVV9 | PURCHASE | 1.000 | \$1.30 | \$130.00 | |
| 08/02/18 | PUT SODA 08/17/18 109 SODASTREAM I | 8LMJVV9 | PURCHASE | 3.000 | \$2.90 | \$870.00 | |
| 08/03/18 | PUT SODA 08/17/18 109 SODASTREAM I | 8LMJVV9 | PURCHASE | 1.000 | \$1.05 | \$105.00 | |
| 08/03/18 | PUT SODA 08/17/18 109 SODASTREAM I | 8LMJVV9 | PURCHASE | 1.000 | \$0.95 | \$95.00 | |
| 08/06/18 | PUT SODA 08/17/18 109 SODASTREAM I | 8LMJVV9 | SELL | 1.000 | \$0.55 | \$54.98 | |
| 08/06/18 | PUT SODA 08/17/18 109 SODASTREAM I | 8LMJVV9 | SELL | 1.000 | \$0.55 | \$54.98 | |
| 08/06/18 | PUT SODA 08/17/18 109 SODASTREAM I | 8LMJVV9 | SELL | 1.000 | \$0.55 | \$54.98 | |
| 08/06/18 | PUT SODA 08/17/18 109 SODASTREAM I | 8LMJVV9 | SELL | 3.000 | \$0.55 | \$164.98 | |
| 10/29/18 | PUT TLRY 12/21/18 20 TILRAY INC | 8LMKKT4 | PURCHASE | 10.000 | \$0.11 | \$110.00 | |
| 11/06/18 | PUT TLRY 12/21/18 20 TILRAY INC | 8LMKKT4 | SELL | 5.000 | \$0.05 | \$24.98 | |
| 09/05/18 | CALL SQ 09/21/18 95 SQUARE INC | 8LMLML7 | PURCHASE | 2.000 | \$1.37 | \$274.00 | |
| 09/10/18 | CALL SQ 09/21/18 95 SQUARE INC | 8LMLML7 | PURCHASE | 1.000 | \$1.04 | \$104.00 | |
| 09/11/18 | CALL SQ 09/21/18 95 SQUARE INC | 8LMLML7 | SELL | 3.000 | \$1.71 | \$512.98 | |
| 10/04/18 | CALL STZ 10/05/18 225 CONSTELLATIO | 8LMMGW7 | PURCHASE | 1.000 | \$0.51 | \$51.00 | |
| 10/04/18 | CALL STZ 10/05/18 225 CONSTELLATIO | 8LMMGW7 | PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 10/05/18 | CALL STZ 10/05/18 225 CONSTELLATIO | 8LMMGW7 | SELL | 2.000 | \$0.60 | \$119.98 | |
| 10/03/18 | CALL STZ 10/05/18 220 CONSTELLATIO | 8LMMGX1 | PURCHASE | 1.000 | \$1.30 | \$130.00 | |
| 10/04/18 | CALL STZ 10/05/18 220 CONSTELLATIO | 8LMMGX1 | SELL | 1.000 | \$2.60 | \$259.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|---------------------------|-------------------------|----------|--------|----------|------------------------|
| 09/25/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 09/25/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 PURCHASE | 2.000 | \$0.85 | \$170.00 | |
| 09/25/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 PURCHASE | 2.000 | \$0.50 | \$100.00 | |
| 09/26/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 09/26/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 PURCHASE | 3.000 | \$0.20 | \$60.00 | |
| 09/26/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 PURCHASE | 4.000 | \$0.25 | \$100.00 | |
| 09/27/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 PURCHASE | 1.000 | \$0.15 | \$15.00 | |
| 09/27/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 PURCHASE | 5.000 | \$0.15 | \$75.00 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 1.000 | \$0.90 | \$89.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 1.000 | \$0.30 | \$29.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 1.000 | \$0.30 | \$29.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 1.000 | \$0.40 | \$39.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 1.000 | \$0.40 | \$39.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 1.000 | \$0.60 | \$59.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 1.000 | \$0.70 | \$69.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 1.000 | \$0.90 | \$89.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 3.000 | \$0.20 | \$59.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 3.000 | \$0.30 | \$89.98 | |
| 10/01/18 | PUT TTD | 10/05/18 135 TRADE DESK I | 8LMNCN4 SELL | 5.000 | \$0.25 | \$124.98 | |
| 09/07/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 1.000 | \$0.10 | \$10.00 | |
| 09/07/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 2.000 | \$0.10 | \$20.00 | |
| 09/07/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 3.000 | \$0.10 | \$30.00 | |
| 09/07/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 4.000 | \$0.10 | \$40.00 | |
| 09/10/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 SELL | 1.000 | \$0.26 | \$25.98 | |
| 09/10/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 SELL | 3.000 | \$0.26 | \$77.98 | |
| 09/10/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 SELL | 6.000 | \$0.26 | \$155.98 | |
| 09/12/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 09/13/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 1.000 | \$1.00 | \$100.00 | |
| 09/13/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 1.000 | \$0.51 | \$51.00 | |
| 09/13/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 1.000 | \$0.51 | \$51.00 | |
| 09/13/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 2.000 | \$1.02 | \$204.00 | |
| 09/13/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 2.000 | \$0.49 | \$98.00 | |
| 09/13/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 SELL | 1.000 | \$1.28 | \$127.98 | |
| 09/14/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 1.000 | \$0.59 | \$59.00 | |
| 09/17/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 1.000 | \$0.42 | \$42.00 | |
| 09/17/18 | CALL AMD | 09/21/18 35 ADVANCED MIC | 8LMVFW8 PURCHASE | 1.000 | \$0.41 | \$41.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information | |
|----------|----------------------|----------|-------------------------|----------|----------|--------|------------------------|----------|
| 09/18/18 | CALL AMD | 09/21/18 | 35 ADVANCED MIC | 8LMVFW8 | SELL | 2.000 | \$0.16 | \$31.98 |
| 09/18/18 | CALL AMD | 09/21/18 | 35 ADVANCED MIC | 8LMVFW8 | SELL | 4.000 | \$0.16 | \$63.98 |
| 09/18/18 | CALL AMD | 09/21/18 | 35 ADVANCED MIC | 8LMVFW8 | SELL | 4.000 | \$0.16 | \$63.98 |
| 09/05/18 | CALL CGC | 09/21/18 | 70 CANOPY GROWT | 8LMVRH9 | PURCHASE | 1.000 | \$0.95 | \$95.00 |
| 09/05/18 | CALL CGC | 09/21/18 | 70 CANOPY GROWT | 8LMVRH9 | PURCHASE | 1.000 | \$0.90 | \$90.00 |
| 09/05/18 | CALL CGC | 09/21/18 | 70 CANOPY GROWT | 8LMVRH9 | PURCHASE | 1.000 | \$1.00 | \$100.00 |
| 09/07/18 | CALL CGC | 09/21/18 | 70 CANOPY GROWT | 8LMVRH9 | PURCHASE | 1.000 | \$0.45 | \$45.00 |
| 09/14/18 | CALL CGC | 09/21/18 | 70 CANOPY GROWT | 8LMVRH9 | SELL | 4.000 | \$0.05 | \$19.98 |
| 08/24/18 | PUT GERN | 09/21/18 | 4.50 GERON COR | 8LYDHY9 | PURCHASE | 3.000 | \$0.85 | \$255.00 |
| 08/28/18 | PUT GERN | 09/21/18 | 4.50 GERON COR | 8LYDHY9 | SELL | 3.000 | \$0.65 | \$194.98 |
| 09/12/18 | CALL GRUB | 09/21/18 | 150 GRUBHUB INC | 8LYMWL9 | PURCHASE | 3.000 | \$1.00 | \$300.00 |
| 09/14/18 | CALL GRUB | 09/21/18 | 150 GRUBHUB INC | 8LYMWL9 | SELL | 1.000 | \$2.55 | \$254.98 |
| 09/14/18 | CALL GRUB | 09/21/18 | 150 GRUBHUB INC | 8LYMWL9 | SELL | 1.000 | \$2.25 | \$224.98 |
| 09/14/18 | CALL GRUB | 09/21/18 | 150 GRUBHUB INC | 8LYMWL9 | SELL | 1.000 | \$2.25 | \$224.98 |
| 08/27/18 | CALL NTN | 09/21/18 | 70 NUTANIX INC | 8LYSWT4 | PURCHASE | 1.000 | \$0.90 | \$90.00 |
| 08/27/18 | CALL NTN | 09/21/18 | 70 NUTANIX INC | 8LYSWT4 | PURCHASE | 1.000 | \$0.90 | \$90.00 |
| 08/29/18 | CALL ANF | 08/31/18 | 29 ABERCROMBIE | 8NBBRN5 | PURCHASE | 2.000 | \$0.93 | \$186.00 |
| 07/26/18 | CALL BIIB | 08/31/18 | 370 BIOGEN INC | 8NBCDB7 | PURCHASE | 2.000 | \$3.70 | \$740.00 |
| 08/01/18 | CALL BIIB | 08/31/18 | 370 BIOGEN INC | 8NBCDB7 | SELL | 1.000 | \$2.30 | \$229.98 |
| 08/01/18 | CALL BIIB | 08/31/18 | 370 BIOGEN INC | 8NBCDB7 | SELL | 1.000 | \$2.30 | \$229.98 |
| 08/16/18 | PUT CMG | 08/31/18 | 472.50 CHIPOTLE | 8NBCSG0 | PURCHASE | 1.000 | \$3.40 | \$340.00 |
| 08/17/18 | PUT CMG | 08/31/18 | 472.50 CHIPOTLE | 8NBCSG0 | SELL | 1.000 | \$1.70 | \$169.98 |
| 07/23/18 | PUT HAS | 07/27/18 | 106 HASBRO INC | 8NBFQY8 | PURCHASE | 1.000 | \$1.65 | \$165.00 |
| 07/24/18 | PUT HAS | 07/27/18 | 106 HASBRO INC | 8NBFQY8 | SELL | 1.000 | \$2.18 | \$217.98 |
| 07/19/18 | CALL HUYA | 07/20/18 | 38.50 HUYA INC | 8NBFYF9 | PURCHASE | 5.000 | \$0.80 | \$400.00 |
| 07/19/18 | CALL HUYA | 07/27/18 | 37.50 HUYA INC | 8NBFYL1 | PURCHASE | 2.000 | \$1.15 | \$230.00 |
| 07/20/18 | CALL HUYA | 07/27/18 | 37.50 HUYA INC | 8NBFYL1 | SELL | 1.000 | \$0.70 | \$69.98 |
| 07/20/18 | CALL HUYA | 07/27/18 | 37.50 HUYA INC | 8NBFYL1 | SELL | 1.000 | \$0.70 | \$69.98 |
| 07/19/18 | CALL HUYA | 07/27/18 | 39 HUYA INC ADS | 8NBFYL7 | PURCHASE | 1.000 | \$0.80 | \$80.00 |
| 07/19/18 | CALL HUYA | 07/27/18 | 39 HUYA INC ADS | 8NBFYL7 | PURCHASE | 4.000 | \$0.79 | \$316.00 |
| 07/20/18 | CALL HUYA | 07/27/18 | 39 HUYA INC ADS | 8NBFYL7 | SELL | 2.000 | \$0.35 | \$69.98 |
| 07/20/18 | CALL HUYA | 07/27/18 | 39 HUYA INC ADS | 8NBFYL7 | SELL | 3.000 | \$0.35 | \$104.98 |
| 07/18/18 | CALL HUYA | 07/27/18 | 41 HUYA INC ADS | 8NBFYM5 | PURCHASE | 4.000 | \$1.20 | \$480.00 |
| 07/19/18 | CALL HUYA | 07/27/18 | 41 HUYA INC ADS | 8NBFYM5 | SELL | 1.000 | \$1.50 | \$149.98 |
| 07/19/18 | CALL HUYA | 07/27/18 | 41 HUYA INC ADS | 8NBFYM5 | SELL | 3.000 | \$1.50 | \$449.98 |
| 07/19/18 | CALL HUYA | 07/27/18 | 41.50 HUYA INC | 8NBFYM7 | PURCHASE | 1.000 | \$0.80 | \$80.00 |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--------------------------------------|---------|-------------------------|----------|---------|------------|------------------------|
| 07/19/18 | CALL HUYA 07/27/18 41.50 HUYA INC | 8NBFYM7 | PURCHASE | 1.000 | \$0.80 | \$80.00 | |
| 07/19/18 | CALL HUYA 07/27/18 41.50 HUYA INC | 8NBFYM7 | PURCHASE | 3.000 | \$0.80 | \$240.00 | |
| 07/20/18 | CALL HUYA 07/27/18 41.50 HUYA INC | 8NBFYM7 | SELL | 1.000 | \$0.25 | \$24.98 | |
| 07/20/18 | CALL HUYA 07/27/18 41.50 HUYA INC | 8NBFYM7 | SELL | 1.000 | \$0.25 | \$24.98 | |
| 07/20/18 | CALL HUYA 07/27/18 41.50 HUYA INC | 8NBFYM7 | SELL | 3.000 | \$0.25 | \$74.98 | |
| 08/15/18 | PUT MU 08/31/18 45 MICRON TECHN | 8NBHJS0 | PURCHASE | 2.000 | \$0.68 | \$136.00 | |
| 08/23/18 | CALL SPLK 08/31/18 111 SPLUNK INC | 8NBJZD3 | PURCHASE | 1.000 | \$3.30 | \$330.00 | |
| 08/24/18 | CALL SPLK 08/31/18 111 SPLUNK INC | 8NBJZD3 | SELL | 1.000 | \$11.90 | \$1,189.97 | |
| 08/10/18 | CALL AMZN 08/31/18 1970 AMAZON.COM I | 8NBMFT4 | PURCHASE | 1.000 | \$8.80 | \$880.00 | |
| 08/13/18 | CALL AMZN 08/31/18 1970 AMAZON.COM I | 8NBMFT4 | SELL | 1.000 | \$15.30 | \$1,529.97 | |
| 08/09/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | PURCHASE | 2.000 | \$5.75 | \$1,150.00 | |
| 08/10/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | PURCHASE | 1.000 | \$4.50 | \$450.00 | |
| 08/13/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | PURCHASE | 1.000 | \$5.65 | \$565.00 | |
| 08/13/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | PURCHASE | 1.000 | \$5.95 | \$595.00 | |
| 08/13/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | PURCHASE | 1.000 | \$5.25 | \$525.00 | |
| 08/13/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | SELL | 3.000 | \$7.50 | \$2,249.96 | |
| 08/16/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | PURCHASE | 1.000 | \$3.70 | \$370.00 | |
| 08/17/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | PURCHASE | 1.000 | \$1.93 | \$193.00 | |
| 08/20/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | PURCHASE | 1.000 | \$1.39 | \$139.00 | |
| 08/21/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | SELL | 2.000 | \$1.00 | \$199.98 | |
| 08/21/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | SELL | 2.000 | \$0.82 | \$163.98 | |
| 08/21/18 | CALL AMZN 08/31/18 2010 AMAZON.COM I | 8NBMFV2 | SELL | 2.000 | \$0.83 | \$165.98 | |
| 08/14/18 | CALL AMZN 08/31/18 2040 AMAZON.COM I | 8NBMFV8 | PURCHASE | 1.000 | \$4.10 | \$410.00 | |
| 08/14/18 | CALL AMZN 08/31/18 2040 AMAZON.COM I | 8NBMFV8 | PURCHASE | 1.000 | \$4.00 | \$400.00 | |
| 08/15/18 | CALL AMZN 08/31/18 2040 AMAZON.COM I | 8NBMFV8 | PURCHASE | 1.000 | \$3.95 | \$395.00 | |
| 08/16/18 | CALL AMZN 08/31/18 2040 AMAZON.COM I | 8NBMFV8 | PURCHASE | 1.000 | \$2.60 | \$260.00 | |
| 08/20/18 | CALL AMZN 08/31/18 2040 AMAZON.COM I | 8NBMFV8 | SELL | 4.000 | \$0.64 | \$255.98 | |
| 07/16/18 | CALL HUYA 07/20/18 40.50 HUYA INC | 8NBNND3 | PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 07/16/18 | CALL HUYA 07/20/18 40.50 HUYA INC | 8NBNND3 | PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 07/16/18 | CALL HUYA 07/20/18 40.50 HUYA INC | 8NBNND3 | PURCHASE | 3.000 | \$0.60 | \$180.00 | |
| 07/19/18 | CALL HUYA 07/20/18 40.50 HUYA INC | 8NBNND3 | PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 07/19/18 | CALL HUYA 07/20/18 40.50 HUYA INC | 8NBNND3 | PURCHASE | 4.000 | \$0.55 | \$220.00 | |
| 09/11/18 | CALL TLRD 09/21/18 28 TAILORED BRA | 8NBNSN1 | PURCHASE | 5.000 | \$0.30 | \$150.00 | |
| 09/13/18 | CALL TLRD 09/21/18 28 TAILORED BRA | 8NBNSN1 | SELL | 1.000 | \$1.03 | \$102.98 | |
| 09/13/18 | CALL TLRD 09/21/18 28 TAILORED BRA | 8NBNSN1 | SELL | 1.000 | \$1.06 | \$105.98 | |
| 09/13/18 | CALL TLRD 09/21/18 28 TAILORED BRA | 8NBNSN1 | SELL | 1.000 | \$1.06 | \$105.98 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information | |
|----------|----------------------|----------|-------------------------|----------|----------|--------|------------------------|----------|
| 09/13/18 | CALL TLRD | 09/21/18 | 28 TAILORED BRA | 8NBNSN1 | SELL | 1.000 | \$0.90 | \$89.98 |
| 09/13/18 | CALL TLRD | 09/21/18 | 28 TAILORED BRA | 8NBNSN1 | SELL | 1.000 | \$0.91 | \$90.98 |
| 08/15/18 | CALL WMT | 08/31/18 | 100 WALMART INC | 8NBNTM9 | PURCHASE | 5.000 | \$0.14 | \$70.00 |
| 08/15/18 | CALL WMT | 08/31/18 | 100 WALMART INC | 8NBNTM9 | PURCHASE | 10.000 | \$0.15 | \$150.00 |
| 08/16/18 | CALL WMT | 08/31/18 | 100 WALMART INC | 8NBNTM9 | SELL | 5.000 | \$1.10 | \$549.98 |
| 08/16/18 | CALL WMT | 08/31/18 | 100 WALMART INC | 8NBNTM9 | SELL | 5.000 | \$1.09 | \$544.98 |
| 08/16/18 | CALL WMT | 08/31/18 | 100 WALMART INC | 8NBNTM9 | SELL | 5.000 | \$1.04 | \$519.98 |
| 10/01/18 | CALL BHC | 10/19/18 | 32 BAUSCH HEALT | 8NBXZ3 | PURCHASE | 5.000 | \$0.08 | \$40.00 |
| 10/01/18 | CALL BHC | 10/19/18 | 35 BAUSCH HEALT | 8NBXZ9 | PURCHASE | 1.000 | \$0.03 | \$3.00 |
| 10/01/18 | CALL BHC | 10/19/18 | 35 BAUSCH HEALT | 8NBXZ9 | PURCHASE | 9.000 | \$0.03 | \$27.00 |
| 09/20/18 | PUT MU | 09/28/18 | 40 MICRON TECHN | 8NBQRY8 | PURCHASE | 1.000 | \$0.41 | \$41.00 |
| 09/20/18 | PUT MU | 09/28/18 | 40 MICRON TECHN | 8NBQRY8 | PURCHASE | 1.000 | \$0.40 | \$40.00 |
| 09/20/18 | PUT MU | 09/28/18 | 40 MICRON TECHN | 8NBQRY8 | PURCHASE | 1.000 | \$0.40 | \$40.00 |
| 09/20/18 | PUT MU | 09/28/18 | 40 MICRON TECHN | 8NBQRY8 | PURCHASE | 2.000 | \$0.40 | \$80.00 |
| 09/20/18 | PUT MU | 09/28/18 | 40 MICRON TECHN | 8NBQRY8 | PURCHASE | 2.000 | \$0.40 | \$80.00 |
| 09/20/18 | PUT MU | 09/28/18 | 40 MICRON TECHN | 8NBQRY8 | PURCHASE | 3.000 | \$0.40 | \$120.00 |
| 08/31/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 2.000 | \$2.45 | \$490.00 |
| 09/04/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$3.70 | \$369.98 |
| 09/04/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$3.70 | \$369.98 |
| 09/05/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$2.45 | \$245.00 |
| 09/07/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$2.10 | \$210.00 |
| 09/07/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$2.15 | \$215.00 |
| 09/07/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$3.50 | \$349.98 |
| 09/10/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.60 | \$160.00 |
| 09/11/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$3.10 | \$309.98 |
| 09/11/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$3.10 | \$309.98 |
| 09/11/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$3.60 | \$359.98 |
| 09/12/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.70 | \$170.00 |
| 09/12/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$2.35 | \$235.00 |
| 09/12/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$2.10 | \$210.00 |
| 09/12/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.62 | \$162.00 |
| 09/13/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$4.60 | \$459.98 |
| 09/13/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$4.30 | \$429.98 |
| 09/13/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 2.000 | \$4.70 | \$939.97 |
| 09/14/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.75 | \$175.00 |
| 09/14/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.90 | \$190.00 |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information | |
|----------|----------------------|----------|-------------------------|----------|----------|--------|------------------------|------------|
| 09/14/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.80 | \$180.00 |
| 09/14/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 2.000 | \$1.85 | \$370.00 |
| 09/17/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.00 | \$100.00 |
| 09/17/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.35 | \$135.00 |
| 09/17/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$1.35 | \$135.00 |
| 09/17/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 2.000 | \$0.65 | \$130.00 |
| 09/18/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 1.000 | \$0.70 | \$70.00 |
| 09/18/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 1.000 | \$1.10 | \$109.98 |
| 09/18/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 2.000 | \$1.10 | \$219.98 |
| 09/19/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | PURCHASE | 5.000 | \$0.27 | \$135.00 |
| 09/20/18 | CALL TTD | 09/21/18 | 150 TRADE DESK I | 8NBQXD7 | SELL | 13.000 | \$0.10 | \$129.96 |
| 09/19/18 | CALL TTD | 09/28/18 | 150 TRADE DESK I | 8NBQXG1 | PURCHASE | 1.000 | \$1.70 | \$170.00 |
| 09/20/18 | CALL TTD | 09/28/18 | 150 TRADE DESK I | 8NBQXG1 | PURCHASE | 2.000 | \$1.05 | \$210.00 |
| 09/25/18 | CALL TTD | 09/28/18 | 150 TRADE DESK I | 8NBQXG1 | SELL | 3.000 | \$0.55 | \$164.98 |
| 08/24/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | PURCHASE | 5.000 | \$0.30 | \$150.00 |
| 08/27/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | SELL | 5.000 | \$2.06 | \$1,029.97 |
| 08/28/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | PURCHASE | 1.000 | \$0.86 | \$86.00 |
| 08/28/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | PURCHASE | 1.000 | \$0.85 | \$85.00 |
| 08/28/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | PURCHASE | 1.000 | \$0.75 | \$75.00 |
| 08/28/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | PURCHASE | 2.000 | \$1.20 | \$240.00 |
| 08/28/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | PURCHASE | 2.000 | \$1.09 | \$218.00 |
| 08/29/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | PURCHASE | 3.000 | \$0.77 | \$231.00 |
| 09/04/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | SELL | 1.000 | \$1.90 | \$189.98 |
| 09/04/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | SELL | 1.000 | \$1.90 | \$189.98 |
| 09/04/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | SELL | 2.000 | \$2.25 | \$449.98 |
| 09/04/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | SELL | 3.000 | \$1.97 | \$590.98 |
| 09/04/18 | CALL AMD | 09/21/18 | 27 ADVANCED MIC | 8NBRDH1 | SELL | 3.000 | \$2.00 | \$599.98 |
| 09/07/18 | CALL AMD | 09/21/18 | 30 ADVANCED MIC | 8NBRDH7 | PURCHASE | 1.000 | \$0.57 | \$57.00 |
| 09/07/18 | CALL AMD | 09/21/18 | 30 ADVANCED MIC | 8NBRDH7 | PURCHASE | 2.000 | \$0.56 | \$112.00 |
| 09/07/18 | CALL AMD | 09/21/18 | 30 ADVANCED MIC | 8NBRDH7 | PURCHASE | 2.000 | \$0.60 | \$120.00 |
| 09/10/18 | CALL AMD | 09/21/18 | 30 ADVANCED MIC | 8NBRDH7 | SELL | 1.000 | \$1.44 | \$143.98 |
| 09/10/18 | CALL AMD | 09/21/18 | 30 ADVANCED MIC | 8NBRDH7 | SELL | 2.000 | \$1.34 | \$267.98 |
| 09/10/18 | CALL AMD | 09/21/18 | 30 ADVANCED MIC | 8NBRDH7 | SELL | 2.000 | \$1.30 | \$259.98 |
| 10/30/18 | PUT TLRY | 12/21/18 | 30 TILRAY INC | 8NBTPZ1 | PURCHASE | 1.000 | \$0.40 | \$40.00 |
| 10/30/18 | PUT TLRY | 12/21/18 | 30 TILRAY INC | 8NBTPZ1 | PURCHASE | 1.000 | \$0.39 | \$39.00 |
| 08/24/18 | CALL TNDM | 09/21/18 | 40 TANDEM DIABE | 8NBTQC6 | PURCHASE | 1.000 | \$2.25 | \$225.00 |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|--------------------------------------|---------|-------------------------|----------|--------|----------|------------------------|
| 08/24/18 | CALL TNDM 09/21/18 40 TANDEM DIABE | 8NBTQC6 | PURCHASE | 1.000 | \$2.25 | \$225.00 | |
| 08/27/18 | CALL TNDM 09/21/18 40 TANDEM DIABE | 8NBTQC6 | SELL | 2.000 | \$3.30 | \$659.98 | |
| 08/24/18 | CALL TNDM 09/21/18 45 TANDEM DIABE | 8NBTQC8 | PURCHASE | 1.000 | \$0.90 | \$90.00 | |
| 08/24/18 | CALL TNDM 09/21/18 45 TANDEM DIABE | 8NBTQC8 | PURCHASE | 1.000 | \$0.90 | \$90.00 | |
| 08/27/18 | CALL TNDM 09/21/18 45 TANDEM DIABE | 8NBTQC8 | SELL | 1.000 | \$1.40 | \$139.98 | |
| 08/27/18 | CALL TNDM 09/21/18 45 TANDEM DIABE | 8NBTQC8 | SELL | 1.000 | \$1.40 | \$139.98 | |
| 09/26/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | PURCHASE | 1.000 | \$0.26 | \$26.00 | |
| 09/26/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | PURCHASE | 1.000 | \$0.27 | \$27.00 | |
| 09/26/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | PURCHASE | 1.000 | \$0.26 | \$26.00 | |
| 09/26/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | PURCHASE | 7.000 | \$0.26 | \$182.00 | |
| 09/27/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | SELL | 1.000 | \$2.04 | \$203.98 | |
| 09/27/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | SELL | 1.000 | \$2.55 | \$254.98 | |
| 09/27/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | SELL | 1.000 | \$2.15 | \$214.98 | |
| 09/27/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | SELL | 2.000 | \$2.25 | \$449.98 | |
| 09/27/18 | PUT BBY 09/28/18 17 BED BATH & B | 8NBVYX3 | SELL | 5.000 | \$1.95 | \$974.97 | |
| 09/19/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | PURCHASE | 1.000 | \$6.35 | \$635.00 | |
| 09/19/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | PURCHASE | 1.000 | \$6.25 | \$625.00 | |
| 09/20/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | PURCHASE | 1.000 | \$6.05 | \$605.00 | |
| 09/21/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | PURCHASE | 1.000 | \$2.20 | \$220.00 | |
| 09/21/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | PURCHASE | 1.000 | \$2.04 | \$204.00 | |
| 09/24/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | PURCHASE | 1.000 | \$1.31 | \$131.00 | |
| 09/24/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | PURCHASE | 1.000 | \$1.69 | \$169.00 | |
| 09/24/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | PURCHASE | 1.000 | \$1.65 | \$165.00 | |
| 09/25/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | SELL | 1.000 | \$2.75 | \$274.98 | |
| 09/25/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | SELL | 1.000 | \$2.76 | \$275.98 | |
| 09/25/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | SELL | 1.000 | \$2.75 | \$274.98 | |
| 09/25/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | SELL | 1.000 | \$1.51 | \$150.98 | |
| 09/25/18 | CALL AMZN 09/28/18 2010 AMAZON.COM I | 8NCFPQ7 | SELL | 4.000 | \$1.52 | \$607.98 | |
| 09/07/18 | CALL TGT 09/28/18 100 TARGET CORP | 8NCGTM7 | PURCHASE | 20.000 | \$0.05 | \$100.00 | |
| 09/05/18 | CALL WDAY 09/14/18 155 WORKDAY INC | 8NCGXR5 | PURCHASE | 1.000 | \$0.30 | \$30.00 | |
| 09/05/18 | CALL WDAY 09/14/18 155 WORKDAY INC | 8NCGXR5 | PURCHASE | 2.000 | \$0.50 | \$100.00 | |
| 09/11/18 | CALL WDAY 09/14/18 155 WORKDAY INC | 8NCGXR5 | SELL | 3.000 | \$0.15 | \$44.98 | |
| 10/04/18 | PUT STZ 10/12/18 210 CONSTELLATIO | 8NCMHP1 | PURCHASE | 1.000 | \$1.05 | \$105.00 | |
| 10/04/18 | PUT STZ 10/12/18 210 CONSTELLATIO | 8NCMHP1 | PURCHASE | 1.000 | \$0.50 | \$50.00 | |
| 10/04/18 | PUT STZ 10/12/18 210 CONSTELLATIO | 8NCMHP1 | PURCHASE | 2.000 | \$0.50 | \$100.00 | |
| 10/05/18 | PUT STZ 10/12/18 210 CONSTELLATIO | 8NCMHP1 | PURCHASE | 1.000 | \$0.30 | \$30.00 | |

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|----------------------|---------------------------|-------------------------|----------|---------|------------|------------------------|
| 10/05/18 | PUT STZ | 10/12/18 210 CONSTELLATIO | 8NCMHP1 PURCHASE | 1.000 | \$0.25 | \$25.00 | |
| 10/01/18 | CALL TTD | 10/12/18 160 TRADE DESK I | 8NCMXH0 PURCHASE | 1.000 | \$0.70 | \$70.00 | |
| 10/01/18 | CALL TTD | 10/12/18 160 TRADE DESK I | 8NCMXH0 PURCHASE | 1.000 | \$0.95 | \$95.00 | |
| 10/02/18 | CALL TTD | 10/12/18 160 TRADE DESK I | 8NCMXH0 PURCHASE | 2.000 | \$0.39 | \$78.00 | |
| 10/02/18 | CALL TTD | 10/12/18 160 TRADE DESK I | 8NCMXH0 PURCHASE | 3.000 | \$0.35 | \$105.00 | |
| 09/10/18 | CALL TLRY | 09/21/18 100 TILRAY INC | 8NCQMJ5 PURCHASE | 1.000 | \$2.50 | \$250.00 | |
| 09/11/18 | CALL TLRY | 09/21/18 100 TILRAY INC | 8NCQMJ5 SELL | 1.000 | \$3.90 | \$389.98 | |
| 09/28/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 1.000 | \$0.33 | \$33.00 | |
| 09/28/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 1.000 | \$0.33 | \$33.00 | |
| 09/28/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 2.000 | \$0.37 | \$74.00 | |
| 09/28/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 2.000 | \$0.40 | \$80.00 | |
| 09/28/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 3.000 | \$0.33 | \$99.00 | |
| 09/28/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 3.000 | \$0.32 | \$96.00 | |
| 10/01/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 1.000 | \$0.31 | \$31.00 | |
| 10/01/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 2.000 | \$0.31 | \$62.00 | |
| 10/02/18 | CALL AMD | 10/12/18 35 ADVANCED MIC | 8NCQTT6 PURCHASE | 5.000 | \$0.15 | \$75.00 | |
| 10/24/18 | CALL AMD | 10/26/18 30 ADVANCED MIC | 8NCTZK8 PURCHASE | 3.000 | \$0.15 | \$45.00 | |
| 10/24/18 | CALL AMD | 10/26/18 30 ADVANCED MIC | 8NCTZK8 PURCHASE | 4.000 | \$0.15 | \$60.00 | |
| 10/24/18 | CALL AMD | 10/26/18 30 ADVANCED MIC | 8NCTZK8 PURCHASE | 6.000 | \$0.15 | \$90.00 | |
| 10/15/18 | CALL IBM | 10/26/18 160 INTL BUSINES | 8NCYBL9 PURCHASE | 10.000 | \$0.08 | \$80.00 | |
| 09/28/18 | CALL PYPL | 10/26/18 95 PAYPAL HOLDI | 8NCZWY3 PURCHASE | 1.000 | \$0.82 | \$82.00 | |
| 10/04/18 | CALL PYPL | 10/26/18 95 PAYPAL HOLDI | 8NCZWY3 PURCHASE | 3.000 | \$0.34 | \$102.00 | |
| 10/12/18 | CALL PYPL | 10/26/18 95 PAYPAL HOLDI | 8NCZWY3 PURCHASE | 1.000 | \$0.10 | \$10.00 | |
| 09/13/18 | CALL TLRY | 09/21/18 130 TILRAY INC | 8NDBYN7 PURCHASE | 1.000 | \$5.60 | \$560.00 | |
| 09/13/18 | CALL TLRY | 09/21/18 130 TILRAY INC | 8NDBYN7 PURCHASE | 1.000 | \$6.60 | \$660.00 | |
| 09/14/18 | CALL TLRY | 09/21/18 130 TILRAY INC | 8NDBYN7 SELL | 2.000 | \$1.76 | \$351.98 | |
| 09/12/18 | CALL TLRY | 09/21/18 115 TILRAY INC | 8NDBYP3 PURCHASE | 1.000 | \$4.20 | \$420.00 | |
| 09/12/18 | CALL TLRY | 09/21/18 115 TILRAY INC | 8NDBYP3 PURCHASE | 1.000 | \$3.90 | \$390.00 | |
| 09/13/18 | CALL TLRY | 09/21/18 115 TILRAY INC | 8NDBYP3 SELL | 1.000 | \$8.90 | \$889.97 | |
| 09/13/18 | CALL TLRY | 09/21/18 115 TILRAY INC | 8NDBYP3 SELL | 1.000 | \$8.70 | \$869.97 | |
| 09/17/18 | CALL TLRY | 09/28/18 140 TILRAY INC | 8NDCBL1 PURCHASE | 1.000 | \$4.23 | \$423.00 | |
| 09/17/18 | CALL TLRY | 09/28/18 140 TILRAY INC | 8NDCBL1 PURCHASE | 1.000 | \$4.50 | \$450.00 | |
| 09/18/18 | CALL TLRY | 09/28/18 140 TILRAY INC | 8NDCBL1 SELL | 1.000 | \$10.50 | \$1,049.97 | |
| 09/18/18 | CALL TLRY | 09/28/18 140 TILRAY INC | 8NDCBL1 SELL | 1.000 | \$11.50 | \$1,149.97 | |
| 10/16/18 | PUT TLRY | 10/26/18 100 TILRAY INC | 8NDCCP6 PURCHASE | 5.000 | \$1.40 | \$700.00 | |
| 10/17/18 | PUT TLRY | 10/26/18 100 TILRAY INC | 8NDCCP6 PURCHASE | 1.000 | \$0.55 | \$55.00 | |

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SC85300
 Account Name: TILAK MUVVA
 Taxpayer Identification Number:***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 OPTIONS ACTIVITY, CONTINUED

| Date | Security Description | CUSIP | Transaction Description | Quantity | Price | Amount | Additional Information |
|----------|------------------------------------|---------|-------------------------|----------|--------|----------|------------------------|
| 10/17/18 | PUT TLRY 10/26/18 100 TILRAY INC | 8NDCCP6 | PURCHASE | 1.000 | \$0.95 | \$95.00 | |
| 10/17/18 | PUT TLRY 10/26/18 100 TILRAY INC | 8NDCCP6 | PURCHASE | 1.000 | \$1.05 | \$105.00 | |
| 10/22/18 | PUT TLRY 10/26/18 100 TILRAY INC | 8NDCCP6 | SELL | 5.000 | \$0.40 | \$199.98 | |
| 09/13/18 | CALL AMD 09/21/18 40 ADVANCED MIC | 8NDDNZ8 | PURCHASE | 2.000 | \$0.26 | \$52.00 | |
| 09/13/18 | CALL AMD 09/21/18 40 ADVANCED MIC | 8NDDNZ8 | PURCHASE | 3.000 | \$0.26 | \$78.00 | |
| 09/13/18 | CALL AMD 09/21/18 40 ADVANCED MIC | 8NDDNZ8 | PURCHASE | 5.000 | \$0.25 | \$125.00 | |
| 09/13/18 | CALL AMD 09/21/18 40 ADVANCED MIC | 8NDDNZ8 | PURCHASE | 5.000 | \$0.13 | \$65.00 | |
| 09/14/18 | CALL AMD 09/21/18 40 ADVANCED MIC | 8NDDNZ8 | PURCHASE | 5.000 | \$0.15 | \$75.00 | |
| 11/02/18 | CALL AMRN 11/16/18 30 AMARIN CORP | 8NDRBK0 | PURCHASE | 1.000 | \$2.25 | \$225.00 | |
| 11/02/18 | CALL AMRN 11/16/18 25 AMARIN CORP | 8NDSBS3 | PURCHASE | 1.000 | \$3.70 | \$370.00 | |
| 10/30/18 | PUT FB 11/09/18 100 FACEBOOK INC | 8NDWLT0 | PURCHASE | 1.000 | \$0.12 | \$12.00 | |
| 10/30/18 | PUT FB 11/09/18 100 FACEBOOK INC | 8NDWLT0 | PURCHASE | 1.000 | \$0.12 | \$12.00 | |
| 10/30/18 | PUT FB 11/09/18 100 FACEBOOK INC | 8NDWLT0 | PURCHASE | 1.000 | \$0.12 | \$12.00 | |
| 10/30/18 | PUT FB 11/09/18 100 FACEBOOK INC | 8NDWLT0 | PURCHASE | 5.000 | \$0.13 | \$65.00 | |
| 10/30/18 | PUT FB 11/09/18 100 FACEBOOK INC | 8NDWLT0 | PURCHASE | 8.000 | \$0.12 | \$96.00 | |
| 10/04/18 | CALL AMRN 10/19/18 25 AMARIN CORP | 8NFDGC6 | PURCHASE | 1.000 | \$0.62 | \$62.00 | |
| 10/04/18 | CALL AMRN 10/19/18 25 AMARIN CORP | 8NFDGC6 | PURCHASE | 1.000 | \$0.54 | \$54.00 | |
| 10/05/18 | CALL AMRN 10/19/18 25 AMARIN CORP | 8NFDGC6 | PURCHASE | 1.000 | \$0.60 | \$60.00 | |
| 10/08/18 | CALL AMRN 10/19/18 25 AMARIN CORP | 8NFDGC6 | PURCHASE | 1.000 | \$0.55 | \$55.00 | |
| 10/10/18 | CALL AMRN 11/16/18 39 AMARIN CORP | 8NFFQW7 | PURCHASE | 2.000 | \$0.30 | \$60.00 | |
| 10/15/18 | CALL AMRN 11/16/18 39 AMARIN CORP | 8NFFQW7 | SELL | 2.000 | \$0.44 | \$87.98 | |
| 09/12/18 | PUT ADBE 09/21/18 255 ADOBE SYSTEM | 8X09331 | PURCHASE | 1.000 | \$2.07 | \$207.00 | |
| 09/13/18 | PUT ADBE 09/21/18 255 ADOBE SYSTEM | 8X09331 | PURCHASE | 1.000 | \$1.62 | \$162.00 | |
| 09/17/18 | PUT ADBE 09/21/18 255 ADOBE SYSTEM | 8X09331 | SELL | 2.000 | \$0.15 | \$29.98 | |
| 07/19/18 | CALL IQ 07/20/18 35 IQIYI INC | 8X15743 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 07/19/18 | CALL IQ 07/20/18 35 IQIYI INC | 8X15743 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 07/19/18 | CALL IQ 07/20/18 35 IQIYI INC | 8X15743 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 07/19/18 | CALL IQ 07/20/18 35 IQIYI INC | 8X15743 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 07/19/18 | CALL IQ 07/20/18 35 IQIYI INC | 8X15743 | PURCHASE | 1.000 | \$0.20 | \$20.00 | |
| 07/19/18 | CALL IQ 07/20/18 35 IQIYI INC | 8X15743 | PURCHASE | 5.000 | \$0.48 | \$240.00 | |
| 07/19/18 | CALL IQ 07/20/18 35 IQIYI INC | 8X15743 | PURCHASE | 5.000 | \$0.48 | \$240.00 | |
| 07/19/18 | CALL IQ 07/20/18 35 IQIYI INC | 8X15743 | PURCHASE | 5.000 | \$0.20 | \$100.00 | |
| 07/25/18 | PUT INNT 08/17/18 5 INNOVATE BIO | 8Y04578 | PURCHASE | 1.000 | \$0.45 | \$45.00 | |
| 07/25/18 | PUT INNT 08/17/18 5 INNOVATE BIO | 8Y04578 | PURCHASE | 2.000 | \$0.45 | \$90.00 | |
| 07/25/18 | PUT INNT 08/17/18 5 INNOVATE BIO | 8Y04578 | PURCHASE | 5.000 | \$0.60 | \$300.00 | |
| 07/31/18 | PUT INNT 08/17/18 5 INNOVATE BIO | 8Y04578 | SELL | 8.000 | \$0.60 | \$479.97 | |

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number: ***-**-8130

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

END OF 2018 DETAILS

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SC85300
Account Name: TILAK MUVVA
Taxpayer Identification Number:***-**-8130
Account Executive No: DPA
ORIGINAL: 02/01/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 REMIC / WHFIT INCOME DETAILS

THE SECURITIES LISTED BELOW HAVE SPECIAL REPORTING REQUIREMENTS.
AS A HOLDER OF THE SECURITIES LISTED BELOW, YOU SHOULD NOT FILE YOUR TAX RETURN UNTIL AFTER THE MARCH 15TH MAILING AS YOU MAY BE RECEIVING A CORRECTION.

| Cusip No. | Description |
|-----------|-------------|
| 19241Q101 | COGINT INC |

